

# Complexity Theory

## Lecture 7: Reductions beyond graphs

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<http://www.cl.cam.ac.uk/teaching/2324/Complexity>

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- Cook-Levin Theorem: 3SAT is  $\mathcal{NP}$ -complete.
- Using 3SAT, we can establish NP-completeness of many problems (e.g., IS, Clique, Hamiltonicity, TSP).

## Protip

Research is not just about finding answers – it's also about asking the right questions!

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What are the big questions at this stage?

## k-Colourability

A graph  $G = (V, E)$  is  $k$ -colourable, if there is a function

$$\chi : V \rightarrow \{1, \dots, k\}$$

such that, for each  $u, v \in V$ , if  $(u, v) \in E$ ,

$$\chi(u) \neq \chi(v)$$



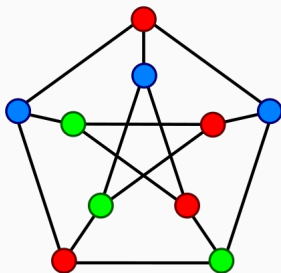
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For all  $k > 2$ ,  $k$ -colourability is  $NP$ -complete.

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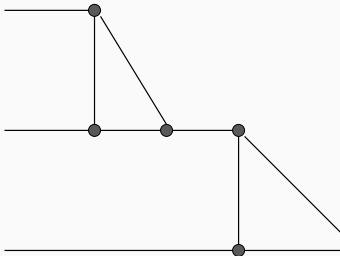
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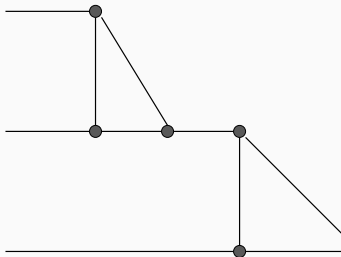
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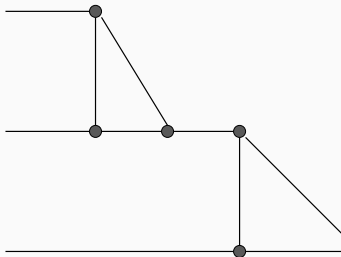
For each variable  $x$ , we have two vertices  $x$ ,  $\bar{x}$  which are connected in a triangle with the vertex  $a$  (common to all variables).

In addition, for each clause containing the literals  $l_1$ ,  $l_2$  and  $l_3$  we have a gadget.









With a further edge from **a** to **b**.

Beyond graph problems

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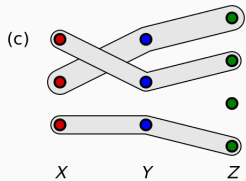
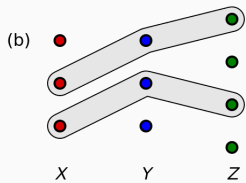
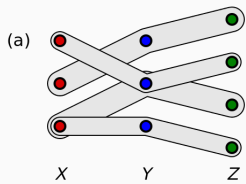
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We now examine three more **NP**-complete problems, whose significance lies in that they have been used to prove a large number of other problems **NP**-complete, through reductions.



# 3D Matching



The decision problem of **3D Matching** is defined as:

Given three disjoint sets **X**, **Y** and **Z**, and a set of triples  $M \subseteq X \times Y \times Z$ , does **M** contain a matching?

I.e. is there a subset  $M' \subseteq M$ , such that each element of **X**, **Y** and **Z** appears in exactly one triple of  $M'$ ?

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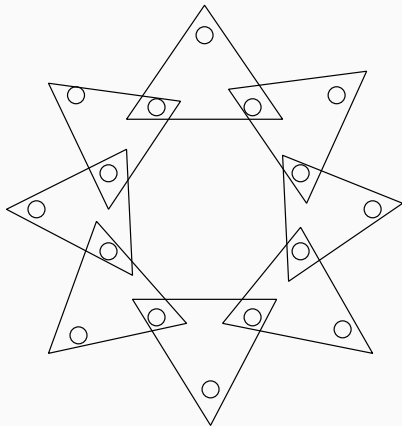
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We can show that **3DM** is **NP**-complete by a reduction from **3SAT**.

# Reduction

If a Boolean expression  $\phi$  in 3CNF has  $n$  variables, and  $m$  clauses, we construct for each variable  $v$  the following gadget.



In addition, for every clause  $c$ , we have two elements  $x_c$  and  $y_c$ .

If the literal  $v$  occurs in  $c$ , we include the triple

$$(x_c, y_c, z_{vc})$$

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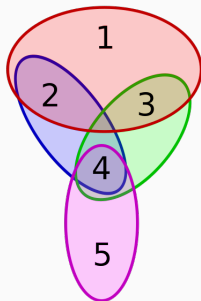
in  $M$ .

Similarly, if  $\neg v$  occurs in  $c$ , we include the triple

$$(x_c, y_c, \bar{z}_{vc})$$

in  $M$ .

Finally, we include extra dummy elements in  $X$  and  $Y$  to make the numbers match up.





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Exact Cover by 3-Sets is defined by:

Given a set  $U$  with  $3n$  elements, and a collection  $S = \{S_1, \dots, S_m\}$  of three-element subsets of  $U$ , is there a sub-collection containing exactly  $n$  of these sets whose union is all of  $U$ ?

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The reduction from 3DM simply takes  $U = X \cup Y \cup Z$ , and  $S$  to be the collection of three-element subsets resulting from  $M$ .

More generally, we have the **Set Covering** problem:

Given a set  $U$ , a collection  $S = \{S_1, \dots, S_m\}$  of subsets of  $U$  and an integer budget  $B$ , is there a collection of  $B$  sets in  $S$  whose union is  $U$ ?

# Knapsack



**KNAPSACK** is a problem which generalises many natural scheduling and optimisation problems, and through reductions has been used to show many such problems **NP**-complete.

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In the problem, we are given  $n$  items, each with a positive integer value  $v_i$  and weight  $w_i$ .

We are also given a maximum total weight  $W$ , and a minimum total value  $V$ .

Can we select a subset of the items whose total weight does not exceed  $W$ , and whose total value is at least  $V$ ?



## Reduction

The proof that **KNAPSACK** is **NP**-complete is by a reduction from the problem of **Exact Cover by 3-Sets**.

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The proof that **KNAPSACK** is **NP**-complete is by a reduction from the problem of **Exact Cover by 3-Sets**.

Given a set  $U = \{1, \dots, 3n\}$  and a collection of 3-element subsets of  $U$ ,  $S = \{S_1, \dots, S_m\}$ .

We map this to an instance of **KNAPSACK** with  $m$  elements each corresponding to one of the  $S_i$ , and having weight and value

$$\sum_{j \in S_i} (m+1)^{j-1}$$

and set the target weight and value both to

$$\sum_{j=0}^{3n-1} (m+1)^j$$

Some examples of the kinds of scheduling tasks that have been proved NP-complete include:

## Timetable Design

Given a set  $H$  of work periods, a set  $W$  of workers each with an associated subset of  $H$  (available periods), a set  $T$  of tasks and an assignment  $r : W \times T \rightarrow \mathbb{N}$  of required work, is there a mapping  $f : W \times T \times H \rightarrow \{0, 1\}$  which completes all tasks?

## Sequencing with Deadlines

Given a set  $T$  of tasks and for each task a length  $l \in \mathbb{N}$ , a release time  $r \in \mathbb{N}$  and a deadline  $d \in \mathbb{N}$ , is there a work schedule which completes each task between its release time and its deadline?

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## Job Scheduling

Given a set  $T$  of tasks, a number  $m \in \mathbb{N}$  of processors a length  $l \in \mathbb{N}$  for each task, and an overall deadline  $D \in \mathbb{N}$ , is there a multi-processor schedule which completes all tasks by the deadline?

Food for thought:  
Outside of P, is everything NP-hard?