# Complexity Theory 

Lecture 5: Reductions

Tom Gur<br>http://www.cl.cam.ac.uk/teaching/2324/Complexity

- Goal: Chart a landscape of complexity classes
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First superpower of complexity theory: solving one problem using another!

## Reductions



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f: \Sigma_{1}^{\star} \rightarrow \Sigma_{2}^{\star}
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such that for every string $x \in \Sigma_{1}^{\star}$,

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What is missing here?

## Resource Bounded Reductions

If $f$ is computable by a polynomial time algorithm, we say that $L_{1}$ is polynomial time reducible to $L_{2}$.

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Why do we use the $\leq$ notation?

## Reductions: an alternative perspective

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We can get an algorithm to decide $L_{1}$ by first computing $f$, and then using the polynomial time algorithm for $L_{2}$.

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What languages are NP-complete?

## Cook-Levin Theorem: SAT is NP-complete

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Since $L$ is in NP, there is a nondeterministic Turing machine

$$
M=(Q, \Sigma, s, \delta)
$$

and a bound $k$ such that a string $x$ of length $n$ is in $L$ if, and only if, it is accepted by $M$ within $n^{k}$ steps.

## Turing Machine Tableau

We need to give, for each $x \in \Sigma^{\star}$, a Boolean expression $f(x)$ which is satisfiable if, and only if, there is an accepting computation of $M$ on input $x$.

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| Step |  | Head <br> 0 | $-p(n)$ |  | -3 | Tape |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | $s$ |  | - | ... | - | - | - | $\text { ( } I_{0}$ | $I_{1}$ | $I_{2}$ | $I_{3}$ | $\ldots$ | $I_{n}$ | - | $\ldots$ | - |
| 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
|  |  | $\vdots$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| $p(n)$ | $\in F$ |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

$f(x)$ has the following variables:

$$
\begin{array}{ll}
S_{i, q} & \text { for each } i \leq n^{k} \text { and } q \in Q \\
T_{i, j, \sigma} & \text { for each } i, j \leq n^{k} \text { and } \sigma \in \Sigma \\
H_{i, j} & \text { for each } i, j \leq n^{k}
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We now have to see how to write the formula $f(x)$, so that it enforces these meanings.

## Initialization

The initial state is $s$, and the head is initially at the beginning of the tape.

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S_{1, s} \wedge H_{1,1}
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The initial tape contents are $x$

$$
\bigwedge_{j \leq n} T_{1, j, x_{j}} \wedge \bigwedge_{n<j} T_{1, j, \sqcup}
$$

## Consistency

The head is never in two places at once

$$
\bigwedge_{i} \bigwedge_{j}\left(H_{i, j} \rightarrow \bigwedge_{j^{\prime} \neq j}\left(\neg H_{i, j^{\prime}}\right)\right)
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Each tape cell contains only one symbol

$$
\bigwedge_{i} \bigwedge_{j} \bigwedge_{\sigma}\left(T_{i, j, \sigma} \rightarrow \bigwedge_{\sigma^{\prime} \neq \sigma}\left(\neg T_{i, j, \sigma^{\prime}}\right)\right)
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## Computation

The tape does not change except under the head

$$
\bigwedge_{i} \bigwedge_{j} \bigwedge_{j^{\prime} \neq j} \bigwedge_{\sigma}\left(H_{i, j} \wedge T_{i, j^{\prime}, \sigma}\right) \rightarrow T_{i+1, j^{\prime}, \sigma}
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Each step is according to $\delta$.

$$
\begin{aligned}
\bigwedge_{i} \bigwedge_{j} \bigwedge_{\sigma} \bigwedge_{q}( & \left(H_{i, j} \wedge S_{i, q} \wedge T_{i, j, \sigma}\right) \\
& \rightarrow \bigvee_{\Delta}\left(H_{i+1, j^{\prime}} \wedge S_{i+1, q^{\prime}} \wedge T_{i+1, j, \sigma^{\prime}}\right)
\end{aligned}
$$

where $\Delta$ is the set of all triples $\left(q^{\prime}, \sigma^{\prime}, D\right)$ such that $\left((q, \sigma),\left(q^{\prime}, \sigma^{\prime}, D\right)\right) \in \delta$ and

$$
j^{\prime}= \begin{cases}j & \text { if } D=S \\ j-1 & \text { if } D=L \\ j+1 & \text { if } D=R\end{cases}
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j^{\prime}= \begin{cases}j & \text { if } D=S \\ j-1 & \text { if } D=L \\ j+1 & \text { if } D=R\end{cases}
$$

Finally, the accepting state is reached

$$
\bigvee_{i} S_{i, \mathrm{acc}}
$$

## CNF

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$\psi$ can be exponentially longer than $\phi$.

However, CNF-SAT, the collection of satisfiable CNF expressions, is NP-complete.

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3SAT is NP-complete, as there is a polynomial time reduction from CNF-SAT to 3SAT.

## Composing Reductions

Polynomial time reductions are clearly closed under composition.
So, if $L_{1} \leq_{P} L_{2}$ and $L_{2} \leq_{P} L_{3}$, then we also have $L_{1} \leq_{P} L_{3}$.

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If we show, for some problem $A$ in NP that

$$
\mathrm{SAT} \leq_{P} A
$$

or

$$
3 S A T \leq_{P} A
$$

it follows that $A$ is also NP-complete.

## Questions?

