Complexity Theory

Lecture 2: Abstracting algorithms via Turing machines

Tom Gur

http://www.cl.cam.ac.uk/teaching/2324/Complexity

To prove a lower bound on the complexity of a problem, rather then a specific algorithm, we need to prove a statement about all algorithms for solving it. To prove a lower bound on the complexity of a problem, rather then a specific algorithm, we need to prove a statement about all algorithms for solving it.

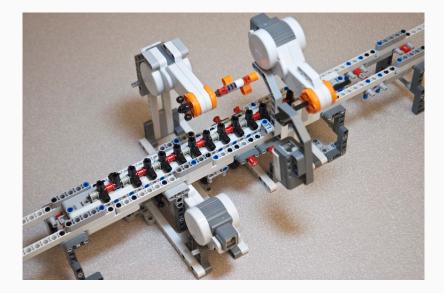
In order to prove facts about all algorithms, we need a mathematically precise definition of an algorithm. To prove a lower bound on the complexity of a problem, rather then a specific algorithm, we need to prove a statement about all algorithms for solving it.

In order to prove facts about all algorithms, we need a mathematically precise definition of an algorithm.

We will use the Turing machine.

The simplicity of the Turing machine means it's not useful for actually expressing algorithms, but very well suited for proofs about all algorithms.

Turing Machines



• Q — a finite set of states;

- Q a finite set of states;
- Σ a finite set of symbols, including \sqcup and \triangleright .

- Q a finite set of states;
- Σ a finite set of symbols, including \sqcup and \triangleright .
- $s \in Q$ an initial state;

- Q a finite set of states;
- Σ a finite set of symbols, including \sqcup and \triangleright .
- $s \in Q$ an initial state;
- $\delta : (Q \times \Sigma) \rightarrow (Q \cup \{acc, rej\}) \times \Sigma \times \{L, R, S\}$

A transition function that specifies, for each state and symbol a next state (or accept acc or reject rej), a symbol to overwrite the current symbol, and a direction for the tape head to move (L – left, R – right, or S - stationary)

Definition

A configuration is a triple (q, w, u), where $q \in Q$ and $w, u \in \Sigma^*$

Definition

A configuration is a triple (q, w, u), where $q \in Q$ and $w, u \in \Sigma^*$

The intuition is that (q, w, u) represents a machine in state q with the string wu on its tape, and the head pointing at the last symbol in w.

Definition

A configuration is a triple (q, w, u), where $q \in Q$ and $w, u \in \Sigma^*$

The intuition is that (q, w, u) represents a machine in state q with the string wu on its tape, and the head pointing at the last symbol in w.

The configuration of a machine completely determines the future behaviour of the machine.

Given a machine $M = (Q, \Sigma, s, \delta)$ we say that a configuration (q, w, u) yields in one step (q', w', u'), written

 $(\mathbf{q},\mathbf{w},\mathbf{u}) \rightarrow_{\mathrm{M}} (\mathbf{q}',\mathbf{w}',\mathbf{u}')$

Given a machine $M = (Q, \Sigma, s, \delta)$ we say that a configuration (q, w, u) yields in one step (q', w', u'), written

 $(\mathbf{q},\mathbf{w},\mathbf{u}) \rightarrow_{\mathrm{M}} (\mathbf{q}',\mathbf{w}',\mathbf{u}')$

if

• $\mathbf{w} = \mathbf{va}$;

Given a machine $M = (Q, \Sigma, s, \delta)$ we say that a configuration (q, w, u) yields in one step (q', w', u'), written

 $(\mathbf{q},\mathbf{w},\mathbf{u})\rightarrow_{\mathrm{M}}(\mathbf{q}',\mathbf{w}',\mathbf{u}')$

if

- $\mathbf{w} = \mathbf{v}\mathbf{a}$;
- $\delta(q, a) = (q', b, D);$ and

Given a machine $M = (Q, \Sigma, s, \delta)$ we say that a configuration (q, w, u) yields in one step (q', w', u'), written

 $(\mathbf{q},\mathbf{w},\mathbf{u})\rightarrow_{\mathrm{M}}(\mathbf{q}',\mathbf{w}',\mathbf{u}')$

if

- $\mathbf{w} = \mathbf{v}\mathbf{a}$;
- $\delta(q, a) = (q', b, D);$ and
- either D = L and w' = v and u' = bu or D = S and w' = vb and u' = u or D = R and w' = vbc and u' = x, where u = cx. If u is empty, then w' = vb⊔ and u' is empty.

The relation \rightarrow^{\star}_{M} is the reflexive and transitive closure of \rightarrow_{M} .

The relation \rightarrow^{\star}_{M} is the reflexive and transitive closure of \rightarrow_{M} .

A sequence of configurations c_1, \ldots, c_n , where for each $i, c_i \rightarrow_M c_{i+1}$, is called a computation of M.

The relation $\rightarrow^{\star}_{\mathbf{M}}$ is the reflexive and transitive closure of $\rightarrow_{\mathbf{M}}$.

A sequence of configurations c_1, \ldots, c_n , where for each i, $c_i \rightarrow_M c_{i+1}$, is called a computation of M.

The language $L(M)\subseteq \Sigma^{\star}$ accepted by the machine M is the set of strings

 $\{x \mid (s, \triangleright, x) \rightarrow^{\star}_{M} (acc, w, u) \text{ for some } w \text{ and } u\}$

The relation $\rightarrow^{\star}_{\mathbf{M}}$ is the reflexive and transitive closure of $\rightarrow_{\mathbf{M}}$.

A sequence of configurations c_1, \ldots, c_n , where for each i, $c_i \rightarrow_M c_{i+1}$, is called a computation of M.

The language $L(M)\subseteq \Sigma^{\star}$ accepted by the machine M is the set of strings

 $\{x \mid (s, \triangleright, x) \rightarrow^{\star}_{M} (acc, w, u) \text{ for some } w \text{ and } u\}$

A machine M is said to halt on input x if for some w and u, either $(s, \triangleright, x) \rightarrow^{\star}_{M} (acc, w, u)$ or $(s, \triangleright, x) \rightarrow^{\star}_{M} (rej, w, u)$

Consider the machine with δ given by:

	⊳	0	1	
\mathbf{S}	$\mathrm{s}, \triangleright, \mathrm{R}$	${ m rej},0,{ m S}$	${ m rej}, 1, { m S}$	$\mathbf{q},\sqcup,\mathbf{R}$
\mathbf{q}	$\mathrm{rej}, \triangleright, \mathrm{R}$	$\mathrm{q}, \mathrm{1}, \mathrm{R}$	$\mathrm{q}, \mathrm{1}, \mathrm{R}$	$\mathrm{q}^\prime,0,\mathrm{R}$
\mathbf{q}'	$\mathrm{rej}, \triangleright, \mathrm{R}$	${ m rej},0,{ m S}$	$\mathrm{q}^\prime, \mathrm{1, L}$	$\mathrm{acc},\sqcup,\mathrm{S}$

Consider the machine with δ given by:

	⊳	0	1	
S	$\mathrm{s}, \triangleright, \mathrm{R}$	${ m rej},0,{ m S}$	${ m rej}, 1, { m S}$	$\mathbf{q},\sqcup,\mathbf{R}$
\mathbf{q}	$\mathrm{rej}, \triangleright, \mathrm{R}$	$\mathrm{q},\mathrm{1},\mathrm{R}$	$\mathrm{q},\mathrm{1},\mathrm{R}$	$\mathrm{q}^\prime,0,\mathrm{R}$
\mathbf{q}'	$\mathrm{rej}, \triangleright, \mathrm{R}$	${ m rej},0,{ m S}$	$\mathrm{q}^\prime, \mathrm{1, L}$	$\mathrm{acc},\sqcup,\mathrm{S}$

This machine, when started in configuration $(s, \triangleright, \sqcup 1^n 0)$ eventually halts in configuration $(\operatorname{acc}, \triangleright \sqcup 1^{n+1} 0 \sqcup, \varepsilon)$.

The Extended Church-Turing thesis adds that this also captures efficient computation.

The Extended Church-Turing thesis adds that this also captures efficient computation.

Hence, the model does not matter. We can use whichever is most convenient.

The Extended Church-Turing thesis adds that this also captures efficient computation.

Hence, the model does not matter. We can use whichever is most convenient.

To date, the only widely accepted contender to the Extended Church-Turing thesis is **Quantum Computing**.

 $\bullet \ \mathbf{Q}, \, \boldsymbol{\Sigma}, \, \mathbf{s}; \, \mathrm{and} \,$

- $\bullet \ \mathbf{Q}, \, \boldsymbol{\Sigma}, \, \mathbf{s}; \, \mathrm{and} \,$
- $\delta: (Q \times \Sigma^k) \to (Q \cup \{acc, rej\}) \times (\Sigma \times \{L, R, S\})^k$

- $\bullet \ \mathbf{Q}, \, \boldsymbol{\Sigma}, \, \mathbf{s}; \, \mathrm{and} \,$
- $\delta: (Q \times \Sigma^k) \to (Q \cup \{acc, rej\}) \times (\Sigma \times \{L, R, S\})^k$

- $\bullet \ \mathbf{Q}, \, \boldsymbol{\Sigma}, \, \mathbf{s}; \, \mathrm{and} \,$
- $\delta: (Q \times \Sigma^k) \to (Q \cup \{acc, rej\}) \times (\Sigma \times \{L, R, S\})^k$

Similarly, a configuration is of the form:

 $\left(q,w_1,u_1,\ldots,w_k,u_k\right)$

A language L is decidable if it is L(M) for some machine M which halts on every input.

A language L is decidable if it is L(M) for some machine M which halts on every input.

A language L is semi-decidable if it is recursively enumerable.

A language L is decidable if it is L(M) for some machine M which halts on every input.

A language L is semi-decidable if it is recursively enumerable.

A function $f: \Sigma^* \to \Sigma^*$ is computable, if there is a machine M, such that for all $x, (s, \triangleright, x) \to_M^* (acc, \triangleright f(x), \varepsilon)$

r(n) is defined to be the largest value R such that there is a string x of length n so that the computation of M starting with configuration (s, \triangleright, x) is of length R (i.e. has R successive configurations in it) and ends with an accepting configuration.

r(n) is defined to be the largest value R such that there is a string x of length n so that the computation of M starting with configuration (s, \triangleright, x) is of length R (i.e. has R successive configurations in it) and ends with an accepting configuration.

In short, r(n) is the length of the longest accepting computation of M on an input of length n.

r(n) is defined to be the largest value R such that there is a string x of length n so that the computation of M starting with configuration (s, \triangleright, x) is of length R (i.e. has R successive configurations in it) and ends with an accepting configuration.

In short, r(n) is the length of the longest accepting computation of M on an input of length n.

We let r(n) = 0 if M does not accept any inputs of length n.

• L = L(M); and

- L = L(M); and
- The running time of M is O(f).

- L = L(M); and
- The running time of M is O(f).

- L = L(M); and
- The running time of M is O(f).

Similarly, we define SPACE(f) to be the languages accepted by a machine which uses O(f(n)) tape cells on inputs of length n.

- L = L(M); and
- The running time of M is O(f).

Similarly, we define SPACE(f) to be the languages accepted by a machine which uses O(f(n)) tape cells on inputs of length n.

In defining space complexity, we assume a machine M, which has a read-only input tape, and a separate work tape. We only count cells on the work tape towards the complexity.

Questions?