## Complexity Theory

Lecture 2: Abstracting algorithms via Turing machines

## Tom Gur

http://www.cl.cam.ac.uk/teaching/2324/Complexity

## Formalising Algorithms

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We will use the Turing machine.
The simplicity of the Turing machine means it's not useful for actually expressing algorithms, but very well suited for proofs about all algorithms.

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- $\Sigma$ - a finite set of symbols, including $\sqcup$ and $\triangleright$.
- $s \in Q$ - an initial state;
- $\delta:(\mathrm{Q} \times \Sigma) \rightarrow(\mathrm{Q} \cup\{$ acc, rej $\}) \times \Sigma \times\{\mathrm{L}, \mathrm{R}, \mathrm{S}\}$

A transition function that specifies, for each state and symbol a next state (or accept acc or reject rej), a symbol to overwrite the current symbol, and a direction for the tape head to move ( L left, R - right, or S - stationary)

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The configuration of a machine completely determines the future behaviour of the machine.

## Computations

Given a machine $\mathrm{M}=(\mathrm{Q}, \Sigma, \mathrm{s}, \delta)$ we say that a configuration ( $\mathrm{q}, \mathrm{w}, \mathrm{u}$ ) yields in one step ( $\mathrm{q}^{\prime}, \mathrm{w}^{\prime}, \mathrm{u}^{\prime}$ ), written

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(\mathrm{q}, \mathrm{w}, \mathrm{u}) \rightarrow_{\mathrm{M}}\left(\mathrm{q}^{\prime}, \mathrm{w}^{\prime}, \mathrm{u}^{\prime}\right)
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if

- $\mathrm{w}=\mathrm{va}$;
- $\delta(\mathrm{q}, \mathrm{a})=\left(\mathrm{q}^{\prime}, \mathrm{b}, \mathrm{D}\right)$; and
- either $\mathrm{D}=\mathrm{L}$ and $\mathrm{w}^{\prime}=\mathrm{v}$ and $\mathrm{u}^{\prime}=\mathrm{bu}$
or $\mathrm{D}=\mathrm{S}$ and $\mathrm{w}^{\prime}=\mathrm{vb}$ and $\mathrm{u}^{\prime}=\mathrm{u}$
or $\mathrm{D}=\mathrm{R}$ and $\mathrm{w}^{\prime}=\mathrm{vbc}$ and $\mathrm{u}^{\prime}=\mathrm{x}$, where $\mathrm{u}=\mathrm{cx}$. If u is empty, then $\mathrm{w}^{\prime}=\mathrm{vb} \sqcup$ and $\mathrm{u}^{\prime}$ is empty.


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The language $\mathrm{L}(\mathrm{M}) \subseteq \Sigma^{\star}$ accepted by the machine M is the set of strings

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\left\{\mathrm{x} \mid(\mathrm{s}, \triangleright, \mathrm{x}) \rightarrow_{\mathrm{M}}^{\star}(\mathrm{acc}, \mathrm{w}, \mathrm{u}) \text { for some } \mathrm{w} \text { and } \mathrm{u}\right\}
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The language $L(M) \subseteq \Sigma^{\star}$ accepted by the machine $M$ is the set of strings

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A machine M is said to halt on input x if for some w and u , either $(\mathrm{s}, \triangleright, \mathrm{x}) \rightarrow_{\mathrm{M}}^{\star}(\mathrm{acc}, \mathrm{w}, \mathrm{u})$ or $(\mathrm{s}, \triangleright, \mathrm{x}) \rightarrow_{\mathrm{M}}^{\star}(\mathrm{rej}, \mathrm{w}, \mathrm{u})$

## Example

Consider the machine with $\delta$ given by:

|  | $\triangleright$ | 0 | 1 | $\sqcup$ |
| ---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
| s | $\mathrm{~s}, \triangleright, \mathrm{R}$ | rej, $0, \mathrm{~S}$ | rej, $1, \mathrm{~S}$ | $\mathrm{q}, \sqcup, \mathrm{R}$ |
| q | rej, $\triangleright, \mathrm{R}$ | $\mathrm{q}, 1, \mathrm{R}$ | $\mathrm{q}, 1, \mathrm{R}$ | $\mathrm{q}^{\prime}, 0, \mathrm{R}$ |
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This machine, when started in configuration $\left(\mathrm{s}, \triangleright, \sqcup 1^{\mathrm{n}} 0\right)$ eventually halts in configuration (acc, $\left.\triangleright \sqcup 1^{\mathrm{n}+1} 0 \sqcup, \varepsilon\right)$.

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Hence, the model does not matter. We can use whichever is most convenient.

To date, the only widely accepted contender to the Extended Church-Turing thesis is Quantum Computing.

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Similarly, a configuration is of the form:

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\left(\mathrm{q}, \mathrm{w}_{1}, \mathrm{u}_{1}, \ldots, \mathrm{w}_{\mathrm{k}}, \mathrm{u}_{\mathrm{k}}\right)
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## Decidability

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A language L is semi-decidable if it is recursively enumerable.

A function $f: \Sigma^{\star} \rightarrow \Sigma^{\star}$ is computable, if there is a machine $M$, such that for all $\mathrm{x},(\mathrm{s}, \triangleright, \mathrm{x}) \rightarrow_{\mathrm{M}}^{\star}(\operatorname{acc}, \triangleright \mathrm{f}(\mathrm{x}), \varepsilon)$

## Running Time

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$r(n)$ is defined to be the largest value $R$ such that there is a string $x$ of length $n$ so that the computation of M starting with configuration ( $s, \triangleright, x$ ) is of length $R$ (i.e. has $R$ successive configurations in it) and ends with an accepting configuration.

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We let $r(n)=0$ if $M$ does not accept any inputs of length $n$.

## Complexity

For any function $f: \mathbb{N} \rightarrow \mathbb{N}$, we say that a language $L$ is in $\operatorname{TIME}(f)$ if there is a machine $M=(Q, \Sigma, s, \delta)$, such that:

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In defining space complexity, we assume a machine M, which has a read-only input tape, and a separate work tape. We only count cells on the work tape towards the complexity.

## Questions?

