

Turning proof assistants into programming assistants

ST Winter Meeting, 3 Feb 2015

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Why?

Why combine proof- and programming assistants?

Why proofs? Testing cannot show absence of bugs.

Some care very much about bugs.

(Applicable to specialist code only...)

What is the specification of *Microsoft Word*?

But what about bugs in compilers,
library routines, OS?

Why?

Why combine proof- and programming assistants?

If proof assistants were convenient programming environments, then proofs might become more commonplace.

Unit proofs, instead of unit tests?

Proving some key properties of algorithm implementations?

Not necessarily full functional correctness...

Trusting your toolchain

“ Every compiler we tested was found to crash and also to silently generate wrong code when presented with valid input.”

PLDI'11

Finding and Understanding Bugs in C Compilers

Xuejun Yang Yang Chen Eric Eide John Regehr

“ [The verified part of] CompCert is the only compiler we have tested for which Csmith cannot find wrong-code errors. This is not for lack of trying: we have devoted about six CPU-years to the task.”

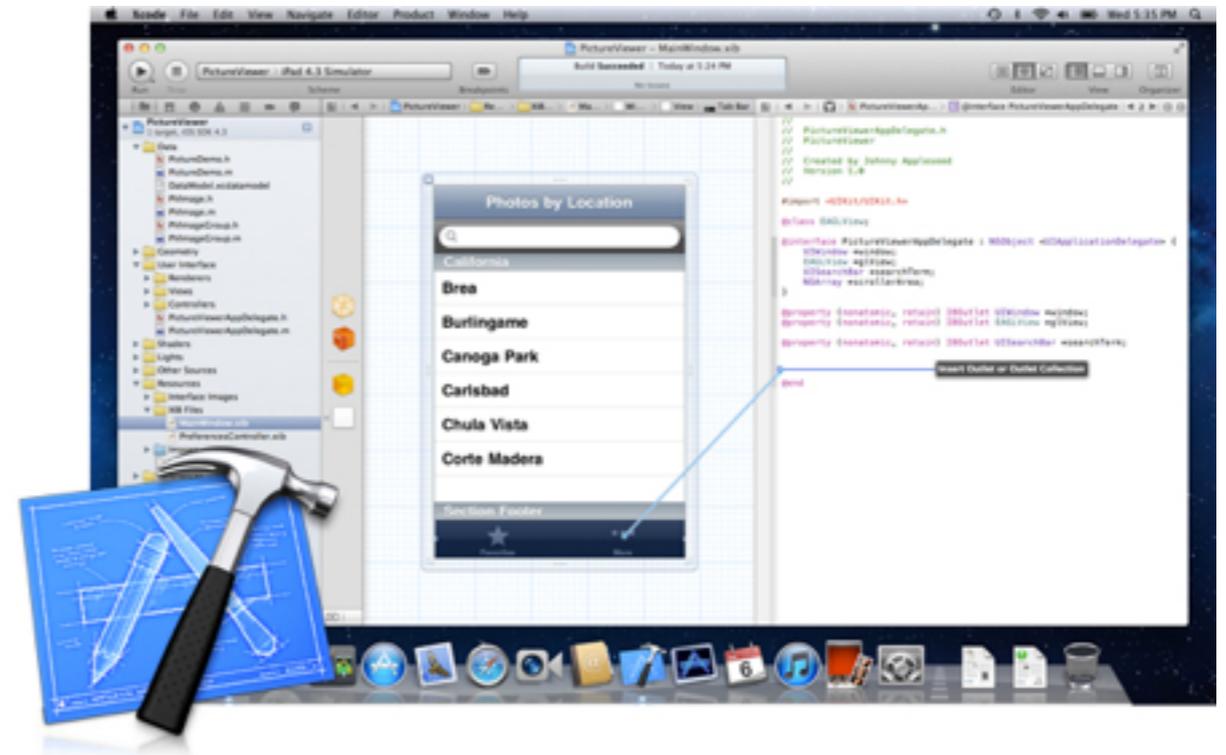
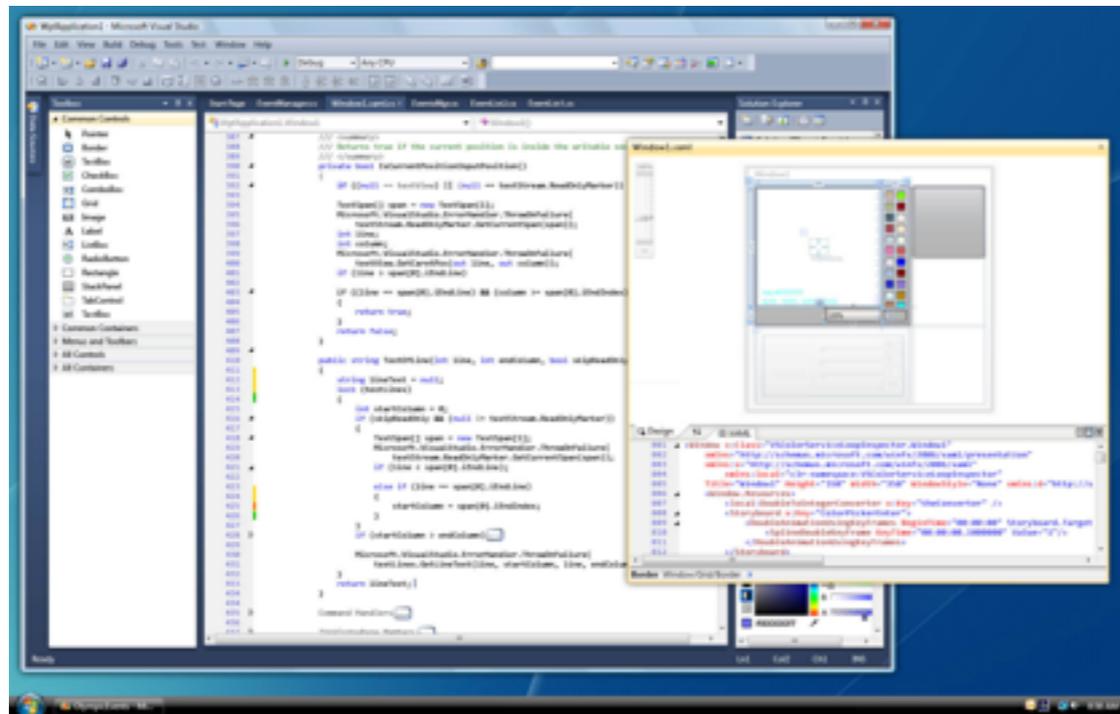
In this paper, we present the results of our bug-hunting study. Our first contribution is to advance the state of the art in compiler testing. Unlike previous tools, Csmith generates programs that cover a large subset of C while avoiding the unspecified behaviors that would destroy its ability to

was heavily patched; the base version of GCC used in our

We created Csmith, a randomized test-case generator that supports C99 and C11. Csmith generates test cases that are valid C code and that exercise a wide range of compiler behaviors.

Programming assistants

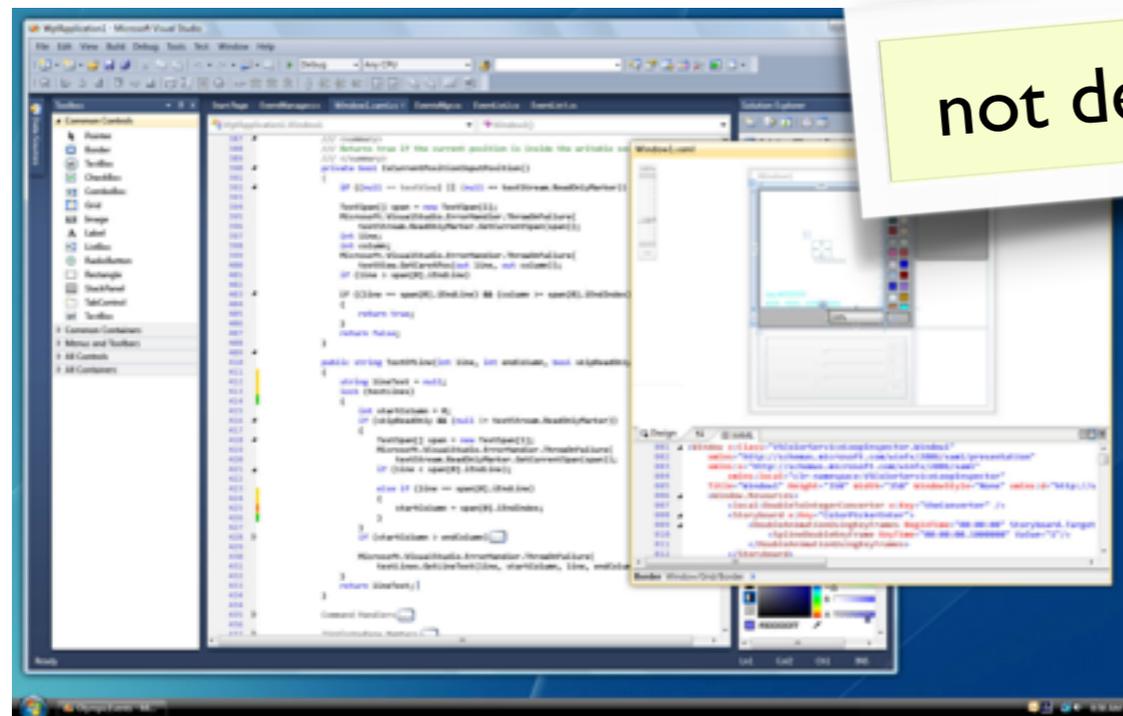
Visual Studio, Xcode, Eclipse



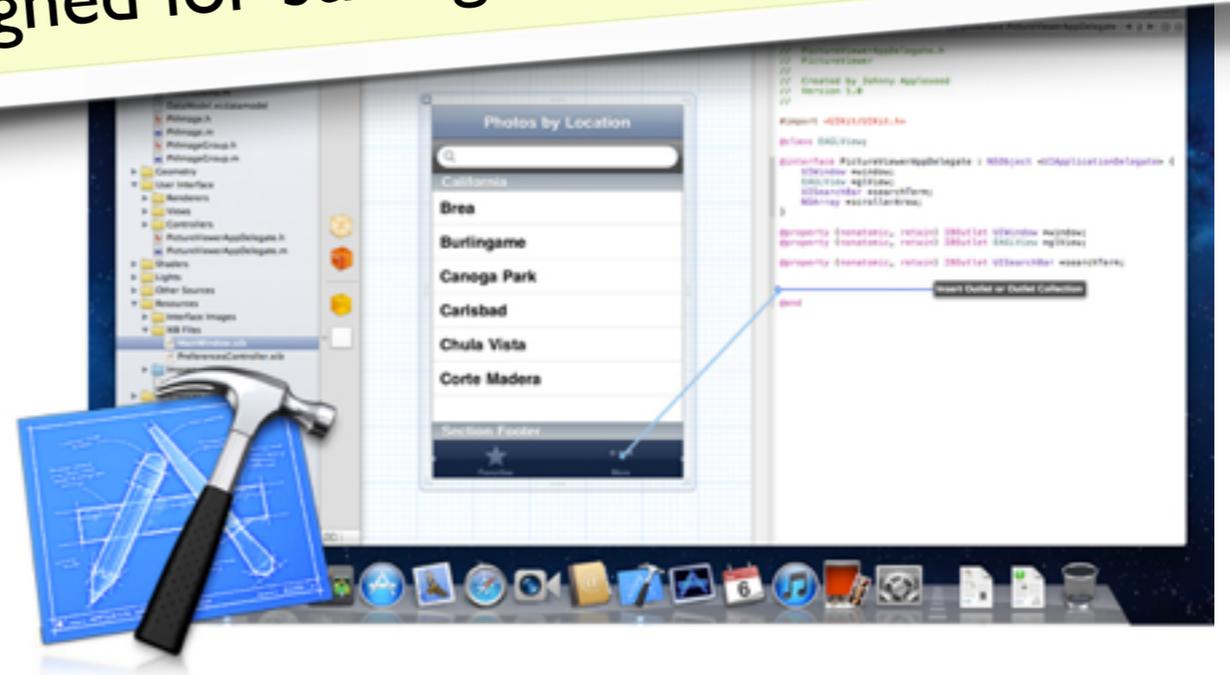
- ▶ a helpful program editor
- ▶ helps test and refactor code
- ▶ debugger
- ▶ some can even do complex static analysis

Programming assistants

Visual Studio, Xcode, Eclipse



not designed for strong semantic guarantees



High-assurance code development?

- ▶ can be used:
 1. write code in programming assistant
 2. verify code using other tools

what about development life cycle?

Producing high-assurance code

Approaches:

Source code verification (traditional)

e.g. annotate code with assertions and (automatically) prove that program respects the assertions, i.e. never fails

Verification of compiler output (bottom up)

e.g. translation of low-level code (e.g. machine code) into higher-level representation (functions in logic).

Correct-by-constriction (top down)

synthesis of implementations from high-level specifications (e.g. functions in logic)

Trustworthy code

But is the **source code** good enough for
expressing the **specification** and
implementation strategy **in the same text**?

... but that's what compilers do!

Correct-by-constriction (top down)

synthesis of implementations from high-level
specifications (e.g. functions in logic)

Proof assistants

General-purpose proof assistants: HOL4, Isabelle/HOL, Coq, ACL2...

What are they?

- ▶ proof scripts editors

clear name spaces

type definitions

function definitions

proof statements

goal-oriented proofs

```
header {* Finite sequences *}

theory Seq
imports Main
begin

datatype 'a seq = Empty | Seq 'a "'a seq"

fun conc :: "'a seq => 'a seq => 'a seq"
where
  "conc Empty ys = ys"
| "conc (Seq x xs) ys = Seq x (conc xs ys)"

fun reverse :: "'a seq => 'a seq"
where
  "reverse Empty = Empty"
| "reverse (Seq x xs) = conc (reverse xs) (Seq x Empty)"

lemma conc_empty: "conc xs Empty = xs"
by (induct xs) simp_all
```

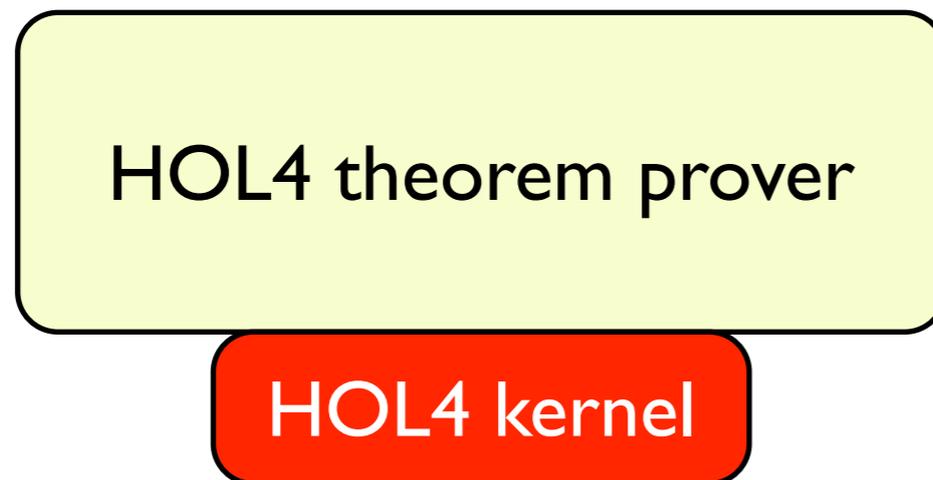
constants
conc :: "'a seq => 'a seq => 'a seq"
Found termination order: "(λp. size (fst p)) <+mlex+> {}"

- ▶ important feature: *proof assistants are programmable* (not shown)

Trustworthy?

Proof assistants are designed to be trustworthy.

HOL4 is a **fully expansive** theorem prover:



All proofs expand at runtime into primitive inferences in the HOL4 kernel.

The kernel implements the axioms and inference rules of higher-order logic.

Thus all HOL4 proofs are **formal** proofs.

Landmarks

Modern provers are scale well:

Major maths proofs

- ▶ Odd Order Theorem, Gonthier et al.
- ▶ Kepler Conjecture, Hales et al.
- ▶ Four-Colour Theorem, Gonthier

Major code verification proofs

- ▶ Correctness of OS microkernel, Klein et al. (NICTA)
- ▶ CompCert optimising C compiler, Leroy et al. (INRIA)

These proofs are 100,000+ lines of proof script.

compositional development!

Proof assistants

A closer look:

- ▶ Correctness of OS microkernel, Klein et al. (NICTA)

Verified a *deep embedding* of 10,000 *C code* w.r.t. a very detailed *semantics of C* and a high-level functional specification.

Proofs also extended down to *machine code* (I helped).

- ▶ CompCert optimising C compiler

Compiler written as *function in logic* (not a deep embedding)

Correctness theorems proved about this function.

Function *exported to Ocaml* using an unverified code generator.

bottom up-ish

top down

Proof assistants

Used as generators of code

inside the prover:

functions in logic



theorem about functions

no proof



Ocaml code

no formal semantics

► CompCert optimising C compiler

Compiler written as *function in logic* (not a deep embedding)

Correctness theorems proved about this function.

Function *exported to Ocaml* using an unverified code generator.

top down

Proof assistants

Are they programming assistants?

Comparison

proof scripts contain functional programs

... that can be exported to programming languages (Ocaml, SML, Haskell, Scala)

But here: code and spec not necessarily the same.

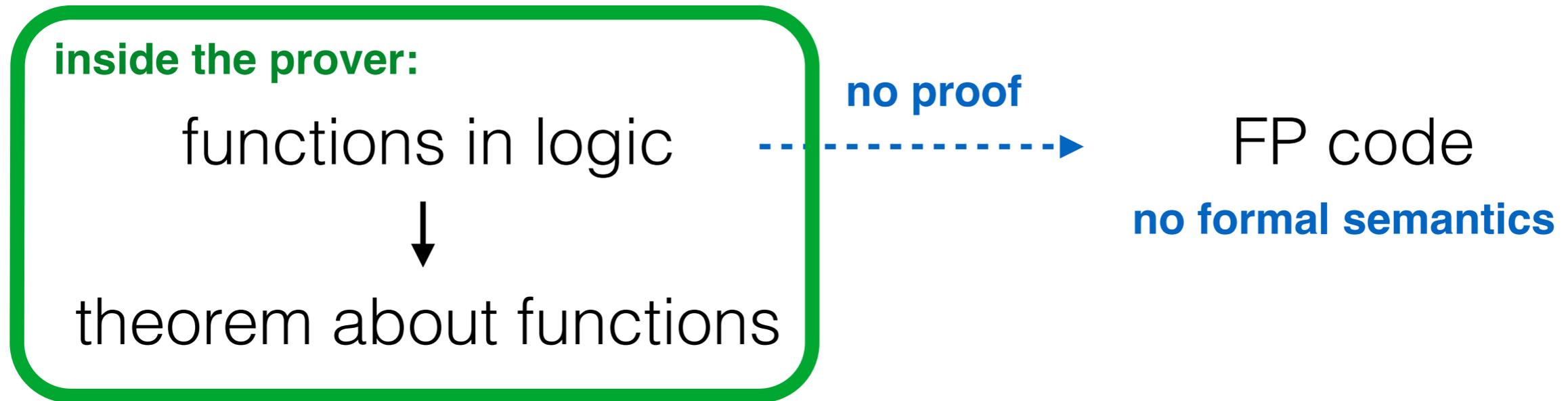
‘Code’ can be *abstract*, non-executable.

The screenshot shows the Isabelle/HOL IDE interface. The main window displays a proof script for a theory named 'Seq'. The script defines a datatype for finite sequences and two functions: 'conc' (concatenation) and 'reverse'. The 'conc' function is defined as a function that takes a sequence and a list of sequences and returns a new sequence. The 'reverse' function is defined as a function that takes a sequence and returns its reverse. The script also includes several lemmas, such as 'conc_empty' and 'reverse_reverse'. A tooltip is visible over the 'conc' function definition, showing its type signature: `constant "Seq.seq.Seq" :: 'a => 'a seq => 'a seq`. The right-hand side of the IDE shows a tree view of the theory, with the 'Seq' theory expanded to show its contents. The bottom of the IDE shows a console window with the output of the script, including the constants and the found termination order: `Found termination order: "(λp. size (fst p)) <+mlex+> {}"`.

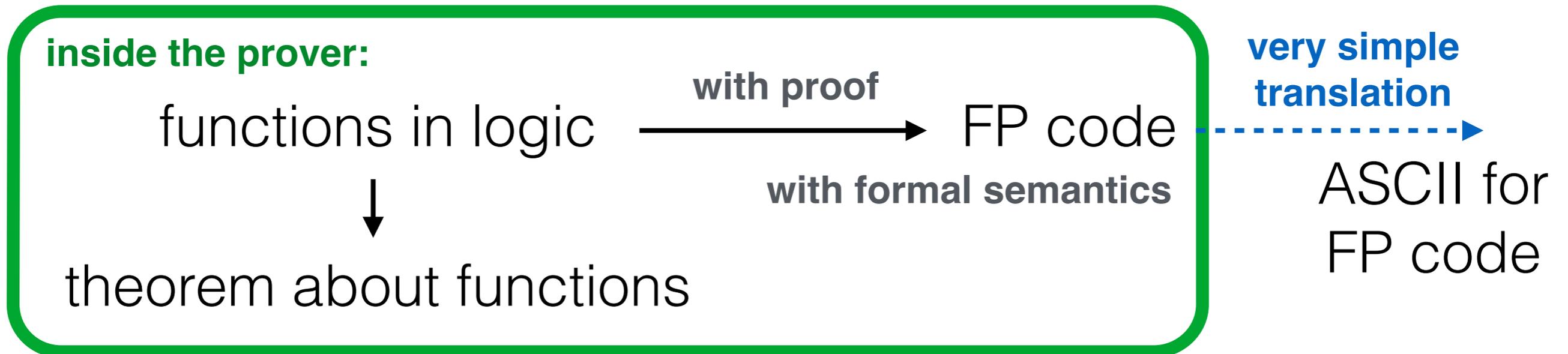
(Isabelle/HOL has nice automation for finding counter examples.)

Trustworthy?

Not to the high standards of fully expansive provers...



A better solution:



Code generation as a trustworthy step

At ICFP'12 (and a JFP'14 paper):

Showed that we can automate proof-producing code generation for FP programs written in HOL4.

The target is **CakeML**, a (large) subset of Standard ML.

... but do we trust Poly/ML to implement **CakeML** according to our semantics?

A better solution:

inside the prover:

functions in logic



theorem about functions

with proof



FP code

with formal semantics

very simple translation



ASCII for
FP code

Going to machine code

Code generation from functions in logic directly to concrete machine code.

From my PhD thesis: Given function f ,

$$f(r_1) = \text{if } r_1 < 10 \text{ then } r_1 \text{ else let } r_1 = r_1 - 10 \text{ in } f(r_1)$$

our *compiler* generates ARM machine code:

```
E351000A      L:  cmp r1,#10
2241100A      subcs r1,r1,#10
2AFFFFFC      bcs L
```

and automatically proves a certificate HOL theorem:

$$\vdash \{ R1 \mathit{r}_1 * PC \mathit{p} * s \}$$

$\mathit{p} : E351000A \ 2241100A \ 2AFFFFFC$

$$\{ R1 \mathit{f}(\mathit{r}_1) * PC (\mathit{p}+12) * s \}$$

Going to machine code

Code generation from functions in logic directly to concrete machine code.

Has been used to build *non-trivial applications*:

e.g. a fully verified machine-code implementation of a **Lisp read-eval-print loop** (with *dynamic compilation*)

Disadvantage of the approach:

The source *functions in logic* must be stated in a *very constrained format* (only tail-rec, only specific types etc.).

Better: going via ML and compilation

We *can be less restrictive* using our *verified compiler* (POPL'14)

inside the prover:

functions in logic

with proof



FP code

with formal semantics



theorem about functions

applying a verified
compilation function



ARM, x86, MIPS machine code
with formal semantics

very simple
translation



binary

i.e. we can use
the compiler's
correctness
theorem

Interest

We are getting closer to a reality of using proof assistants as program development platforms...

Rockwell Collins

- ▶ large avionics/defence contractor in the US
- ▶ keen to use this technology
- ▶ two concrete projects in mind

NICTA

- ▶ developers of the seL4 verified OS microkernel
- ▶ keen to build verified user code
- ▶ connect everything up to produce complete system with formal guarantees

I/O needed

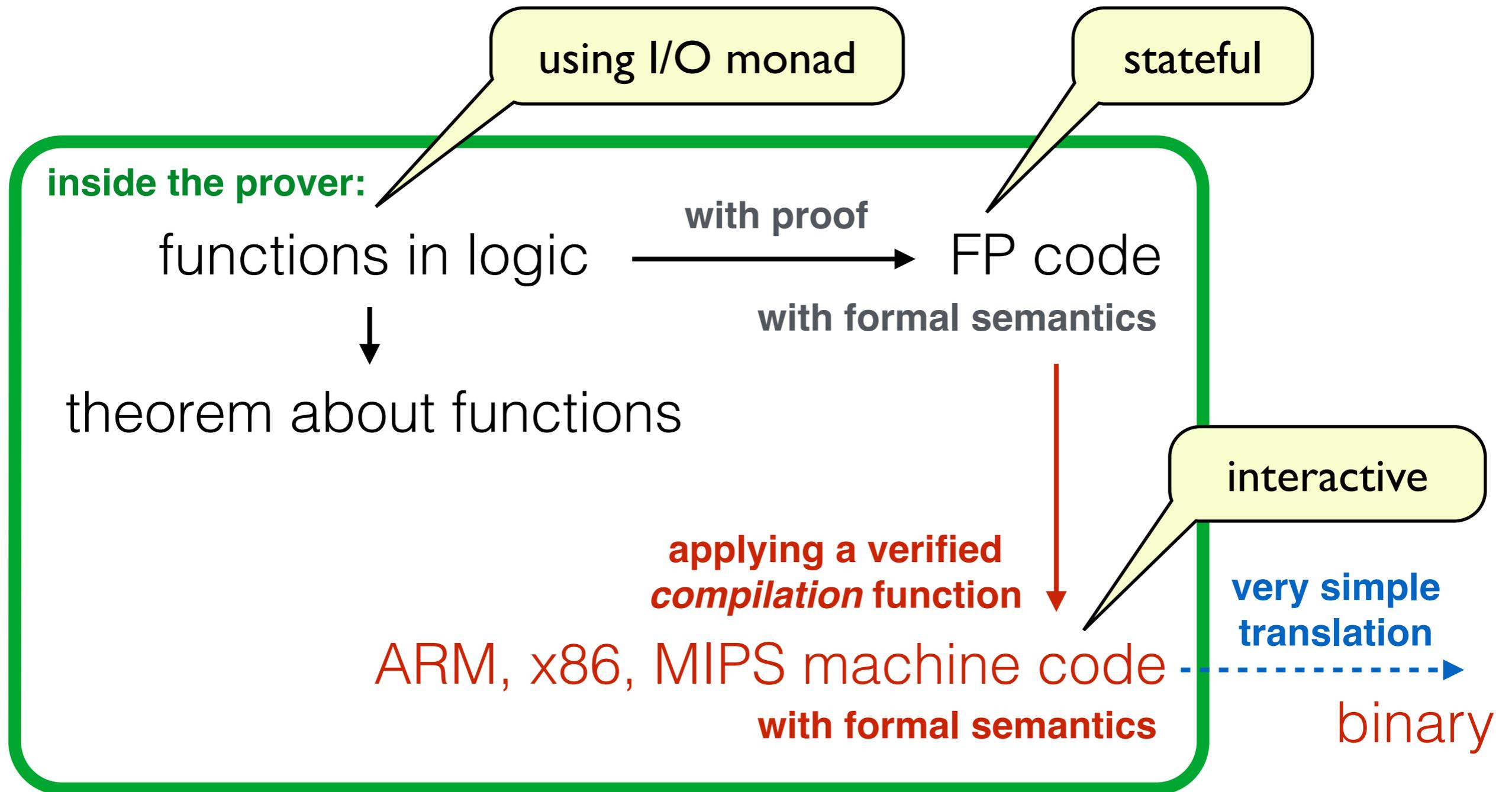
Problem: real applications need I/O

CakeML has only very basic *putc* and *getc* char I/O...

Solution (my current work):

- ▶ the next version of the compiler will have I/O through a simple foreign function interface (FFI)
 - works through mutable byte arrays that are shared with C
 - formally: in the semantics, I/O is modelled by an oracle function (oracle state = rest of the world)
- ▶ the new version *will also include optimisations* (proper register allocation, better closure conversion, multi-argument function opt)

Going via ML and compilation (revisited)



... but still not good enough

CakeML has *automatic memory management*...

The correctness theorem allows it to always exit with “*not enough memory*”.

Execution time unpredictable...

In the long run: need language without a GC. **Go?**

or sublanguage of CakeML

Summary

State-of-the-art:

Proof scripts contain functional programs.

Proof automation for data refinement, testing etc.

Can generate (without proofs) FP code.

I've showed that *this can be done with proofs*.

Verified compilation from FP to machine code.

Future vision:

Proof assistants should be able to *automatically produce verified binaries* from FP-style definitions.

Usable in real high-assurance applications.

Questions?

Collaborators:



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Scott Owens
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