### Machine-code verification

Experience of tackling medium-sized case studies using decompilation into logic

A Verified Implementation of ML

ACL2'14, Vienna

Magnus Myreen



### Why machine code?

Computer systems:

Ultimately all program verification ought to reach real machine code.

multi-language implementations

source code (Java, Lisp, C etc.)

bytecode or LLVM

computer networks

machine code hardware

electric charge

a (mostly) well specified interface

- extensive manuals
- Ieast ambiguous(?), cf. C semantics

Proofs only target a model of reality.

(Tests run on the 'real thing', but are not as insightful.)

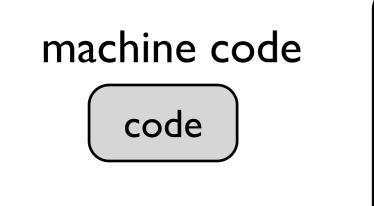
#### Machine code

Machine code,

E1510002 B0422001 C0411002 01AFFFFB

is impossible to read, write or maintain manually.

#### Challenges of Machine Code



ARM/x86/PowerPC model (1000...10,000 lines each) correctness
{P} code {Q}

- unstructured code
- very low-level and limited resources

#### This talk

Part 1: my approach (PhD work)

Part 2: verification of existing code

Part 3: construction of correct code

#### This talk

Part 1: my approach (PhD work)

- automation: code to spec
- automation: spec to code

 Part 2: verification of existing code
 verification of gcc output for microkernel (7,000 lines of C)

Part 3: construction of correct code

 verified implementation of Lisp that can run Jared Davis' Milawa

### HOL: fully-expansive LCF-style prover

The aim is to prove deep functional properties of machine code.

Proofs are performed in HOL4 — a fully expansive theorem prover



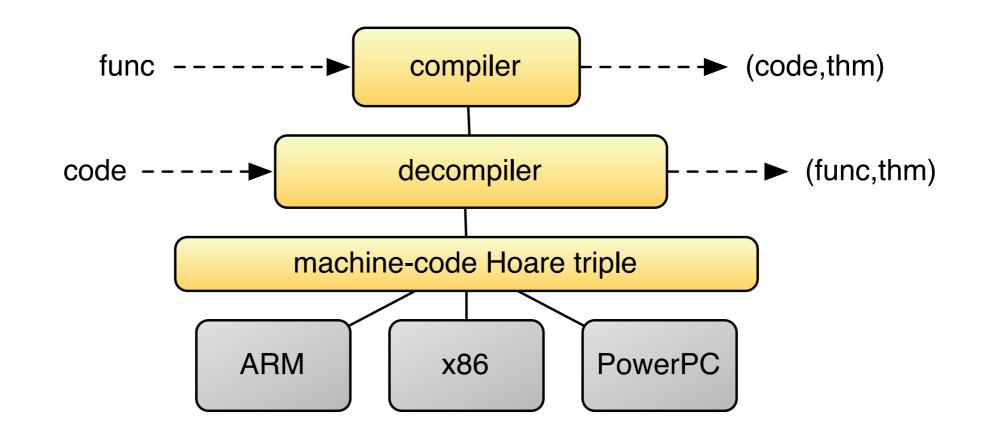


All proofs expand at runtime into primitive inferences in the HOL4 kernel.

The kernel implements the axioms and inference rules of higher-order logic.

#### Infrastructure

During my PhD, I developed the following infrastructure:



...each part will be explained in the next slides.

#### Models of machine code

Machine models borrowed from work by others:

#### ARM model, by Fox [TPHOLs'03]

- covers practically all ARM instructions, for old and new ARMs
- still actively being developed

#### x86 model, by Sarkar et al. [POPL'09]

- covers all addressing modes in 32-bit mode x86
- includes approximately 30 instructions

#### PowerPC model, originally from Leroy [POPL'06]

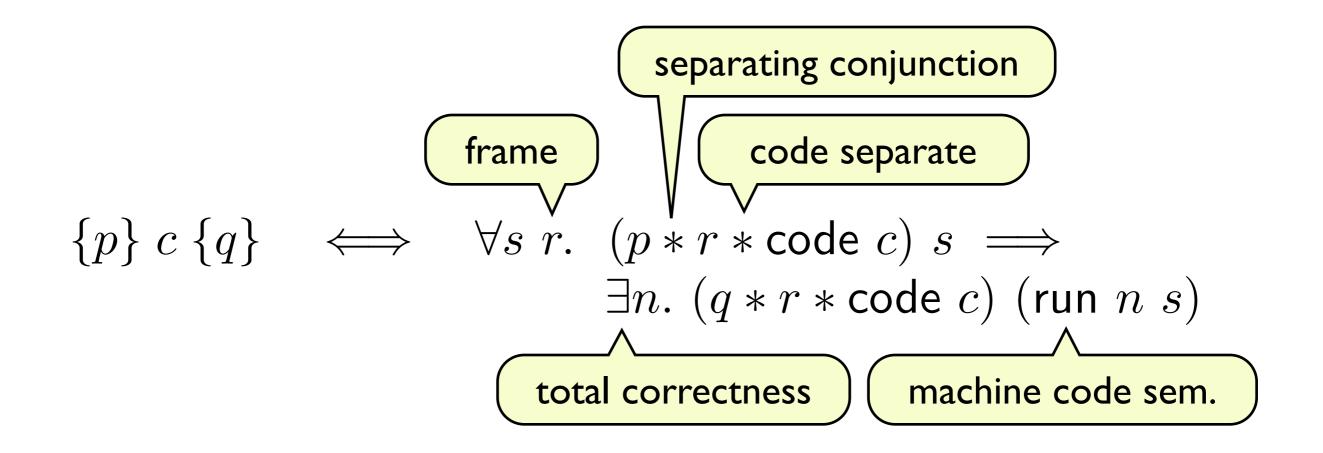
- ▶ manual translation (Coq  $\rightarrow$  HOL4) of Leroy's PowerPC model
- instruction decoder added

#### Hoare triples

Each model can be evaluated, e.g. ARM instruction add r0,r0,r0 is described by theorem:

|- (ARM\_READ\_MEM ((31 >< 2) (ARM\_READ\_REG 15w state)) state =
 OxE0800000w) ∧ ¬state.undefined ⇒
 (NEXT\_ARM\_MMU cp state =
 ARM\_WRITE\_REG 15w (ARM\_READ\_REG 15w state + 4w)
 (ARM\_WRITE\_REG 0w
 (ARM\_READ\_REG 0w state + ARM\_READ\_REG 0w state) state))</pre>

#### Definition of Hoare triple



Program logic can be used directly for verification. But direct reasoning in this embedded logic is tiresome.

### Decompiler

Decompiler automates Hoare triple reasoning.

#### Decompilation, correct?

Decompiler automatically proves a certificate theorem:

 $f_{pre}(r_0, r_1, m) \Rightarrow \\ \{ (R0, R1, M) \text{ is } (r_0, r_1, m) * PC \ p * S \} \\ p : E3A00000 \ E3510000 \ 12800001 \ 15911000 \ 1AFFFFB \\ \{ (R0, R1, M) \text{ is } f(r_0, r_1, m) * PC \ (p + 20) * S \} \end{cases}$ 

which informally reads:

for any initially value  $(r_0, r_1, m)$  in reg 0, reg 1 and memory, the code terminates with  $f(r_0, r_1, m)$  in reg 0, reg 1 and memory.

#### Decompilation verification example

To verify code: prove properties of function f,

 $\forall x \ l \ a \ m. \ list(l, a, m) \Rightarrow f(x, a, m) = (length(l), 0, m)$  $\forall x \ l \ a \ m. \ list(l, a, m) \Rightarrow f_{pre}(x, a, m)$ 

since properties of f carry over to machine code via the certificate.

### Decompilation

```
{ R0 i * R1 j * PC p }
p+0:
{ R0 (i+j) * R1 j * PC (p+4) }
```

```
{ R0 i * PC (p+4) }
p+4 :
{ R0 (i >> I) * PC (p+8) }
```

```
{ LR lr * PC (p+8) }
p+8 :
{ LR lr * PC lr }
```

```
How to decompile:
```

<b>e0810000</b> 0	add	r0,	r1,	r0
e1a3303000	lsr	r0,	r0,	#1
e12fffff1ee	bx	lr		

- I. derive Hoare triple theorems using Cambridge ARM model
- 2. compose Hoare triples
- 3. extract function

(Loops result in recursive functions.)

avg(i,j) = (i+j) >> 1

```
{ R0 i * RI j * LR lr * PC p }
p : e0810000 e1a000a0 e12fff1e
{ R0 ((i+j)>>I) * RI j * LR lr * PC lr }
```

#### Decompiler implementation

Implementation:

- ML program which fully-automatically performs forward proof,
- no heuristics and no dangling proof obligations,
- Ioops are handled by a special loop rule which introduces tail-recursive functions:

tailrec(x) = if G(x) then tailrec(F(x)) else D(x)

with termination and side-conditions H collected as:

 $pre(x) = (if G(x) then pre(F(x)) else true) \land H(x)$ 

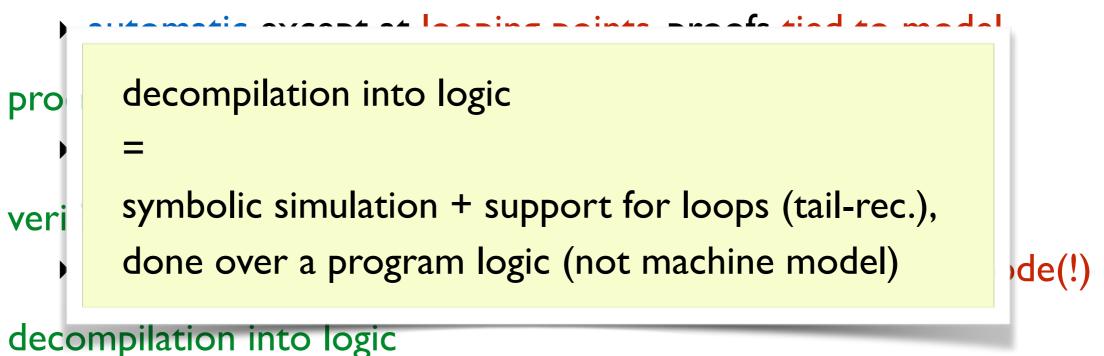
Details in Myreen et al. [FMCAD'08].

# Comparison of approaches

0: E3A00000 mov r0, #0 4: E3510000 L: cmp r1, #0 8: 12800001 addne r0, r0, #1 12: 15911000 ldrne r1, [r1] 16: 1AFFFFB bne L

direct manual proof using definition of instruction set model
tedious and proofs complete tied to model

#### symbolic simulation



- model-specific part is automatic, does not req. annotations
- can implement proof-producing compilation (next slide)

#### Proof-producing compilation

Synthesis often more practical. Given function f,

 $f(r_1) = \text{if } r_1 < 10 \text{ then } r_1 \text{ else let } r_1 = r_1 - 10 \text{ in } f(r_1)$ 

our *compiler* generates ARM machine code:

E351000A	L:	cmp r1,#10
2241100A		<pre>subcs r1,r1,#10</pre>
2AFFFFFC		bcs L

and automatically proves a certificate HOL theorem:

 $\vdash \{ R1 r_1 * PC p * s \}$ p: E351000A 2241100A 2AFFFFC  $\{ R1 f(r_1) * PC (p+12) * s \}$ 

#### Compilation, example cont.

One can prove properties of f since it lives inside HOL:

 $\vdash \forall x. \ f(x) = x \bmod 10$ 

Properties proved of *f* translate to properties of the machine code:

 $\vdash \{ \text{R1} \ r_1 * \text{PC} \ p * \text{s} \}$  p : E351000A 2241100A 2AFFFFC $\{ \text{R1} \ (r_1 \mod 10) * \text{PC} \ (p+12) * \text{s} \}$ 

Additional feature: the compiler can use the above theorem to extend its input language with: let  $r_1 = r_1 \mod 10$  in \_

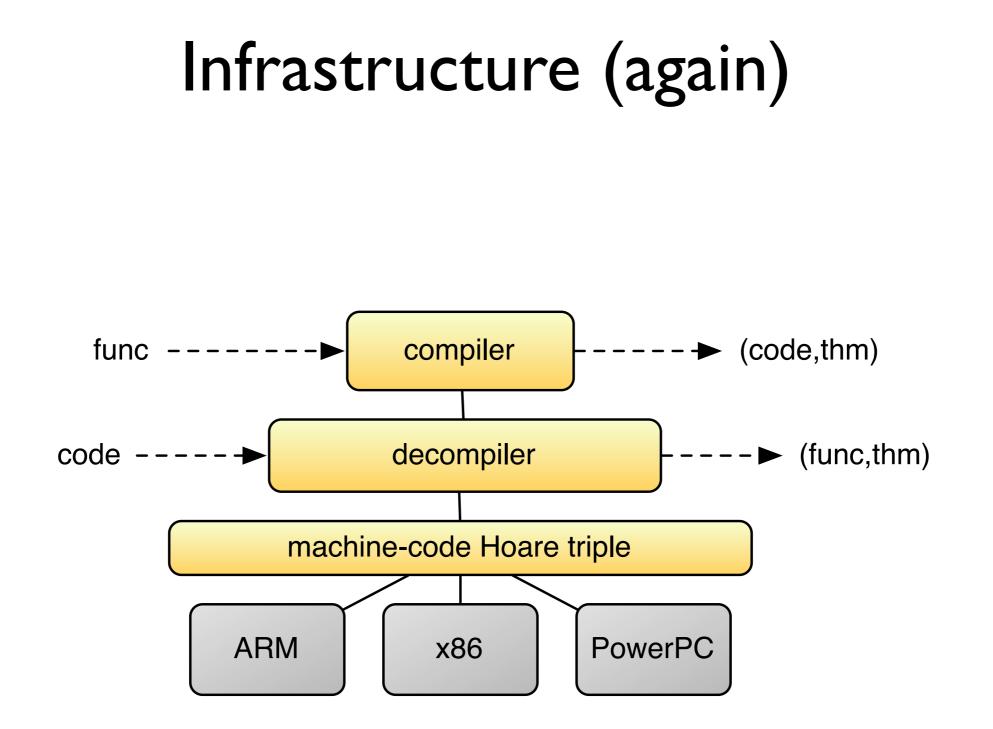
#### Implementation

To compile function *f* :

- 1. generate, without proof, code from input f;
- 2. decompile, with proof, a function f' from generated code;
- 3. prove f = f'.

Features:

- code generation completely separate from proof
- supports many light-weight optimisations without any additional proof burden: instruction reordering, conditional execution, dead-code elimination, duplicate-tail elimination, ...
- allows for significant user-defined extensions



.

### This talk

Part 1:

- automation: code to spec
- automation: spec to code

 Part 2: verification of existing code
 verification of gcc output for microkernel (7,000 lines of C)

**Part 3:** 

verified

that can run Jared Davis'

### L4.verified

seL4 = a formally verified generalpurpose microkernel

about 7,000 lines of C code and assembly 200,000 lines of Isabelle/HOL proofs

(Work by Gerwin Klein's team at NICTA, Australia)

### Assumptions

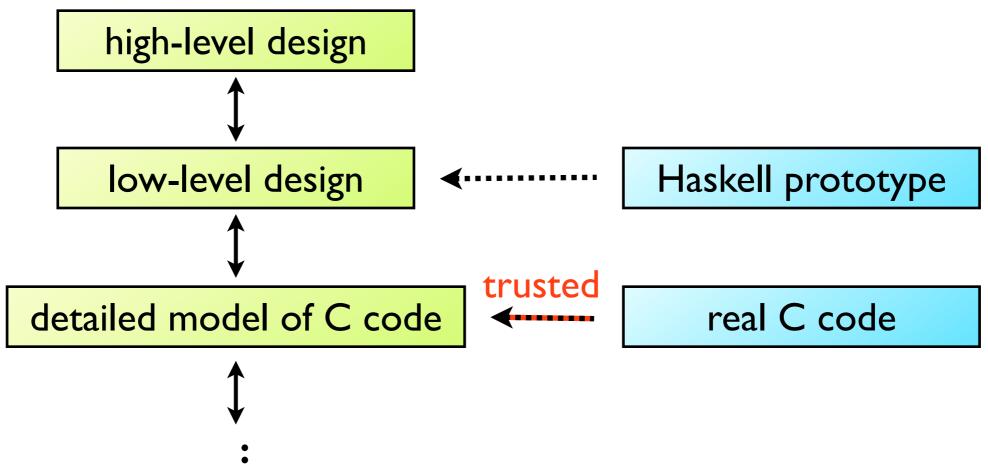
L4.verified project assumes correctness of:

- C compiler (gcc)
  - inline assembly (?)
  - hardware
  - hardware management
  - boot code (?)
  - virtual memory
  - Cambridge ARM model

The aim of this work is to remove the first assumption.

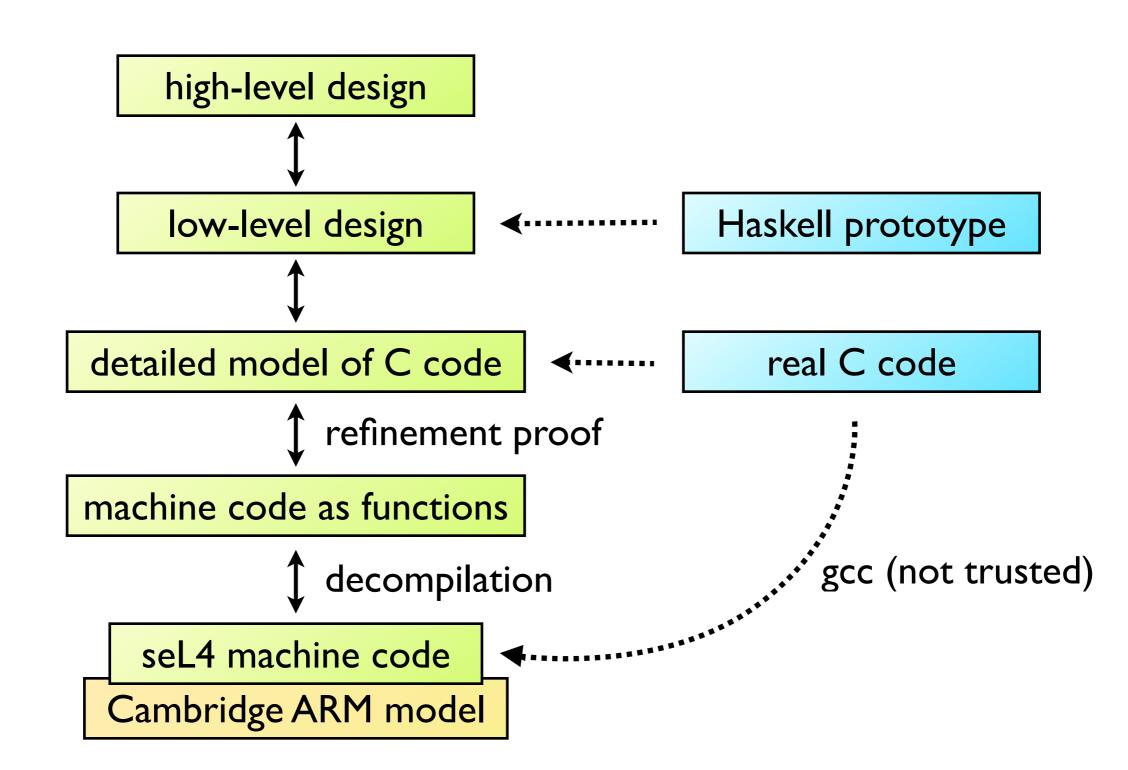
### Aim: extend downwards

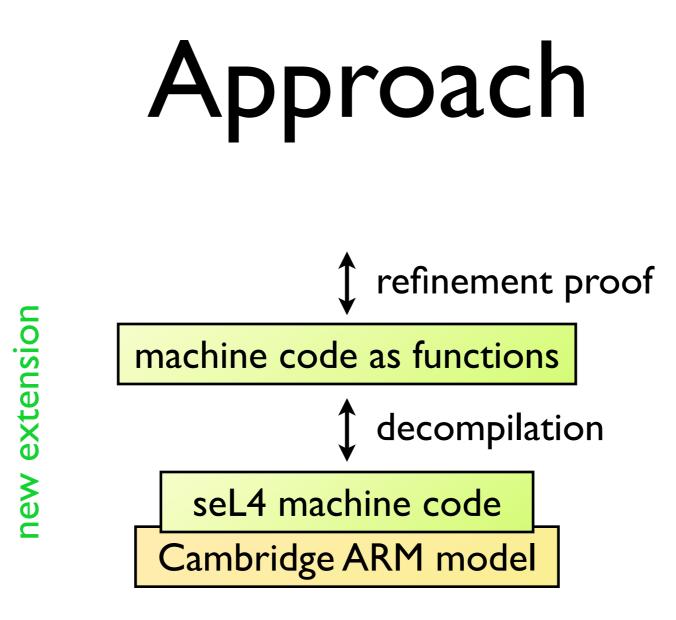




Aim: remove need to trust C compiler and C semantics

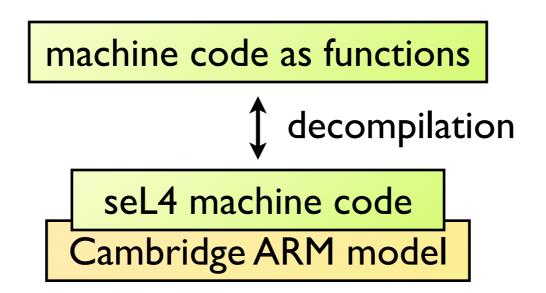
### Using Cambridge ARM model



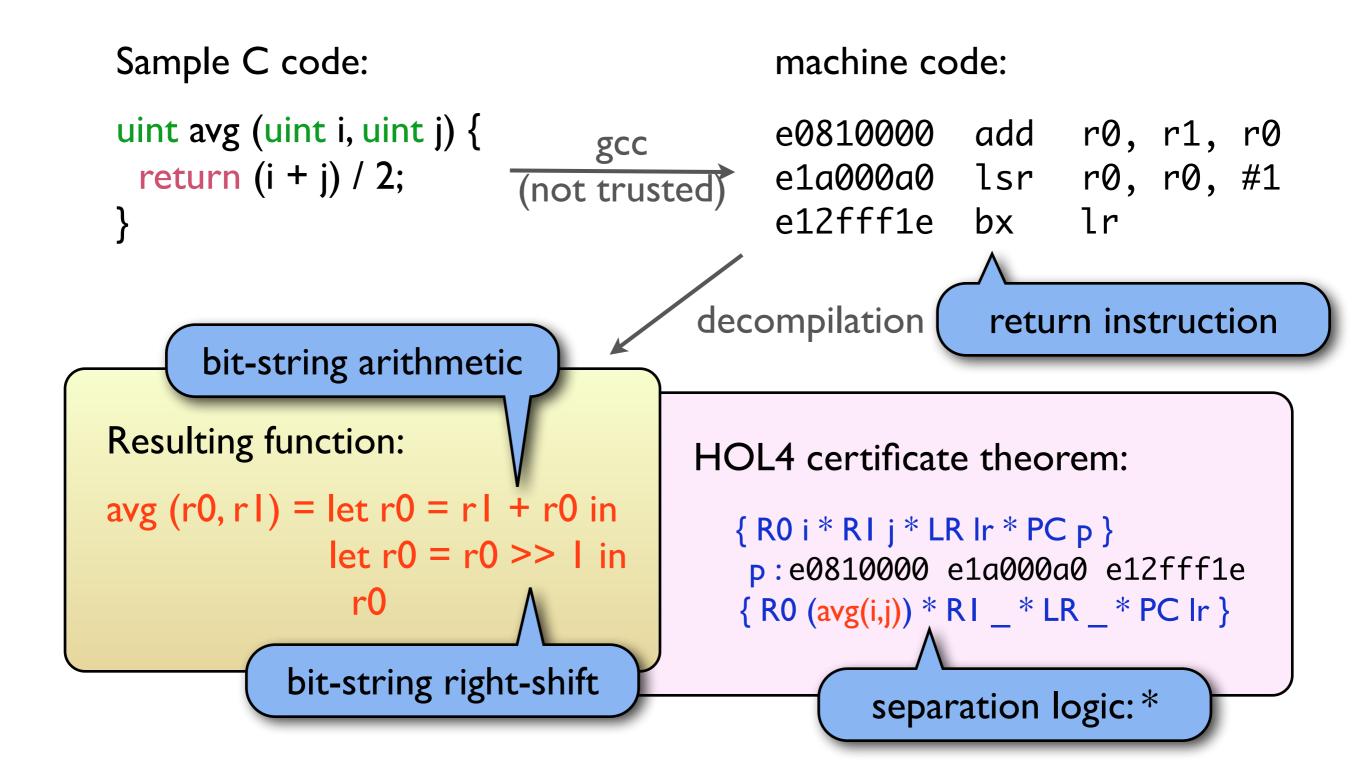


- decompilation by me
- refinement proof by Thomas Sewell (NICTA)

## Stage I: decompilation



## Decompilation

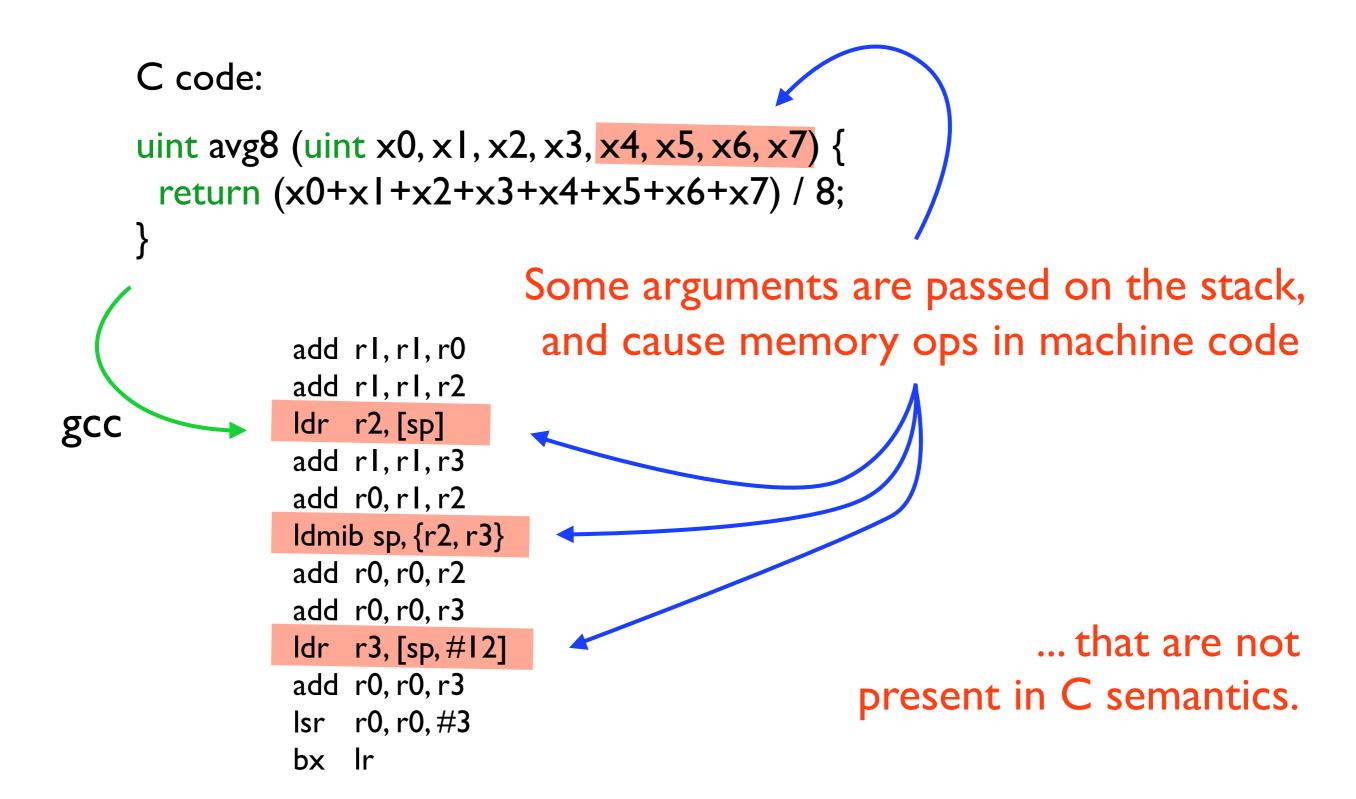


### Decompiling seL4: Challenges

- seL4 is ~12,000 lines of machine code
   decompilation is compositional
- compiled using gcc -O2
   gcc implements ARM/C calling convention
- must be compatible with L4.verified proof

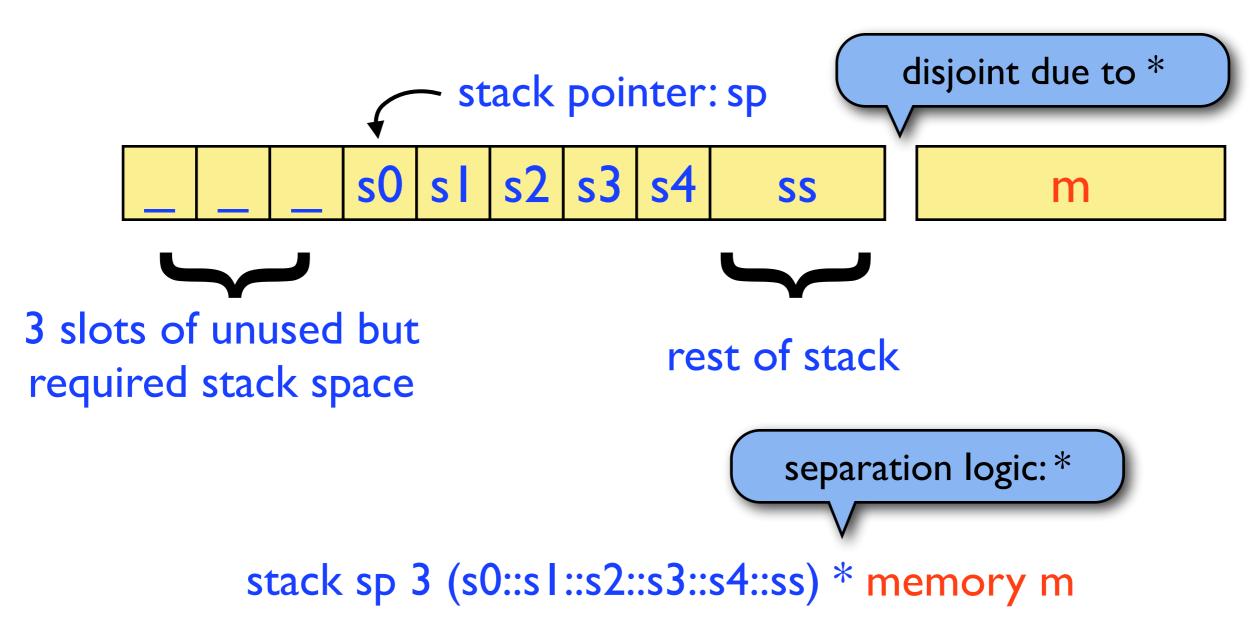
stack requires special treatment

### Stack visible in m. code

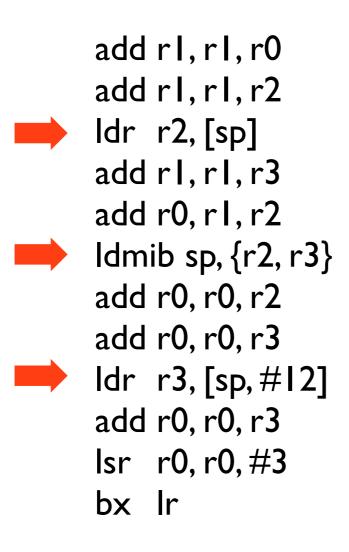


### Solution

#### Use separation-logic inspired approach



## Solution (cont.)

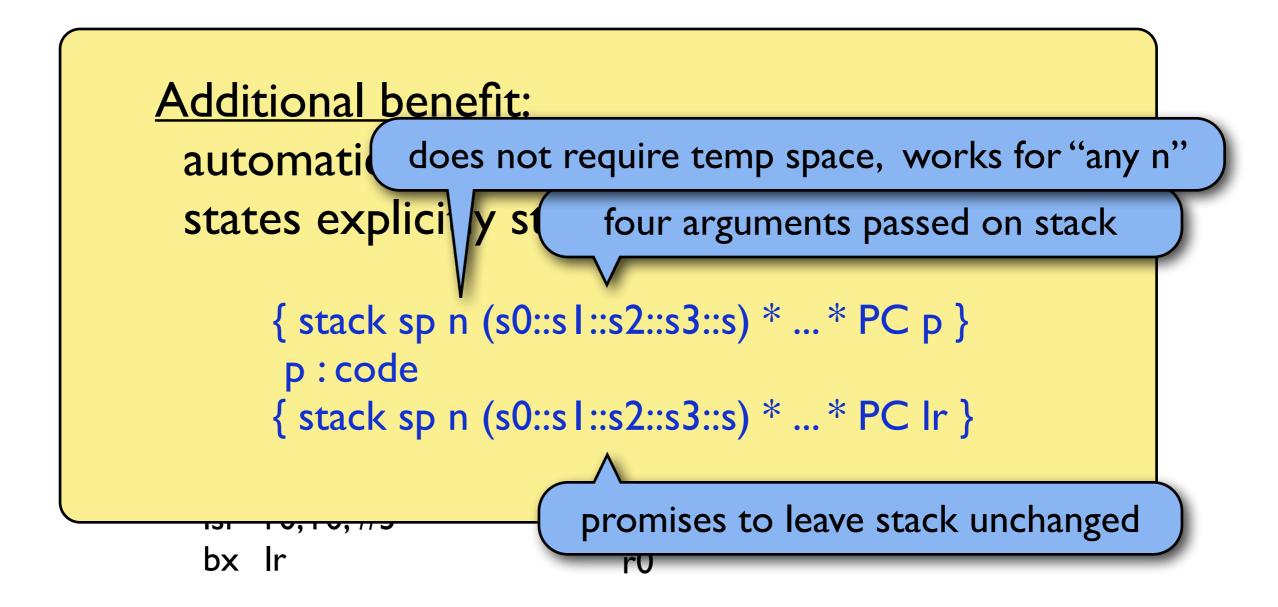


Method:

- static analysis to find stack operations,
- 2. derive stack-specific Hoare triples,
- 3. then run decompiler as before.

### Result

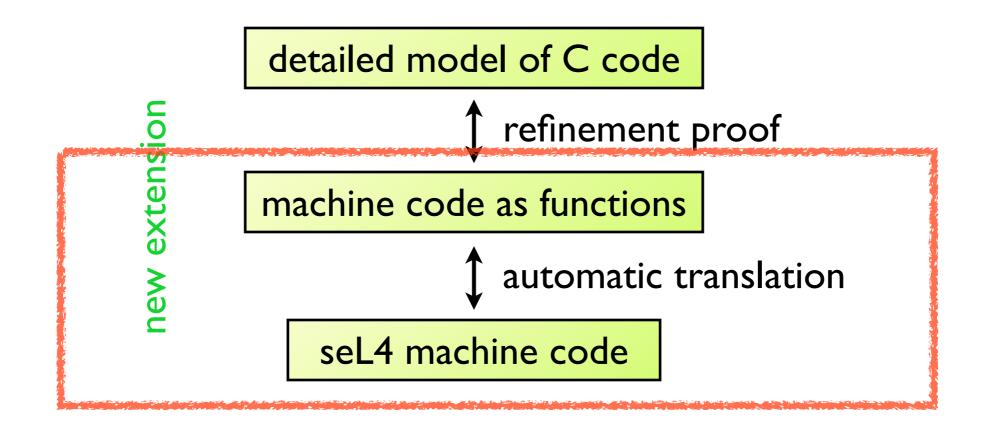
Stack load/stores become straightforward assignments.



## Other C-specifics

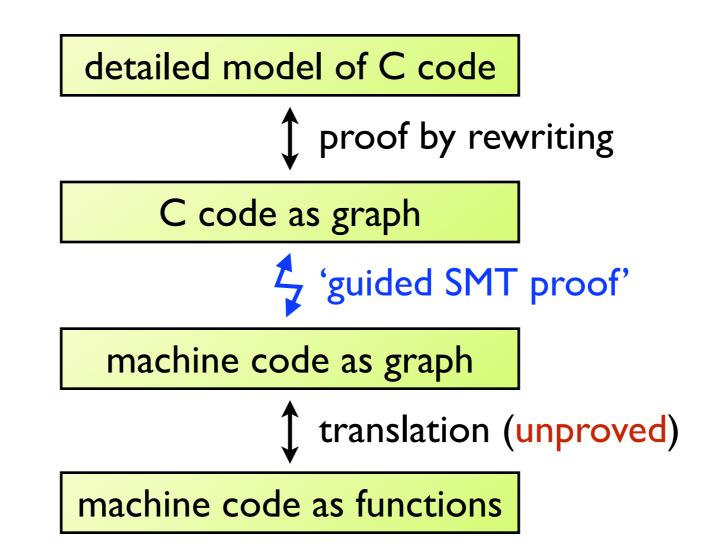
- struct as return value
  - case of passing pointer of stack location
  - stack assertion strong enough
- switch statements
  - position dependent
  - must decompile elf-files, not object files
- infinite loops in C
  - make gcc go weird
  - must be pruned from control-flow graph

## Moving on to stage 2

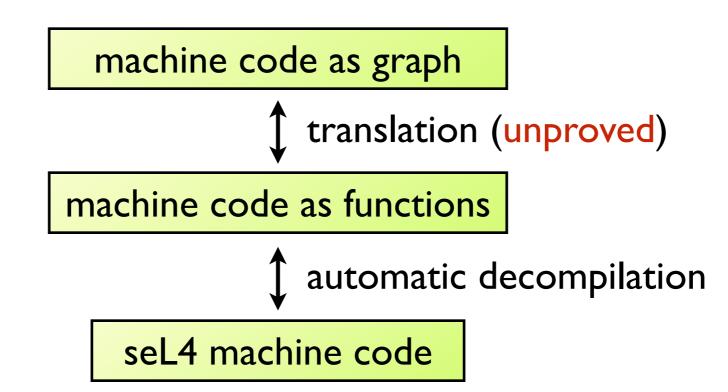


# Refinement proof

(Work by Thomas Sewell, NICTA)



# Graph language



# Graph language

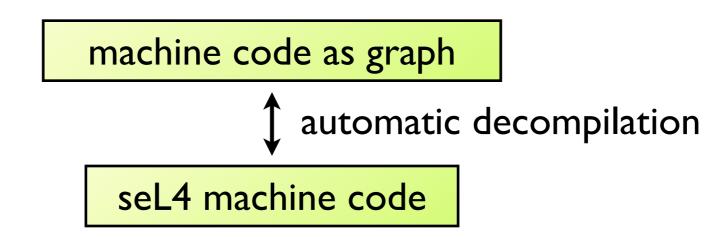
Node types:

- state update
- test-and-branch
- ► call

Next pointers:

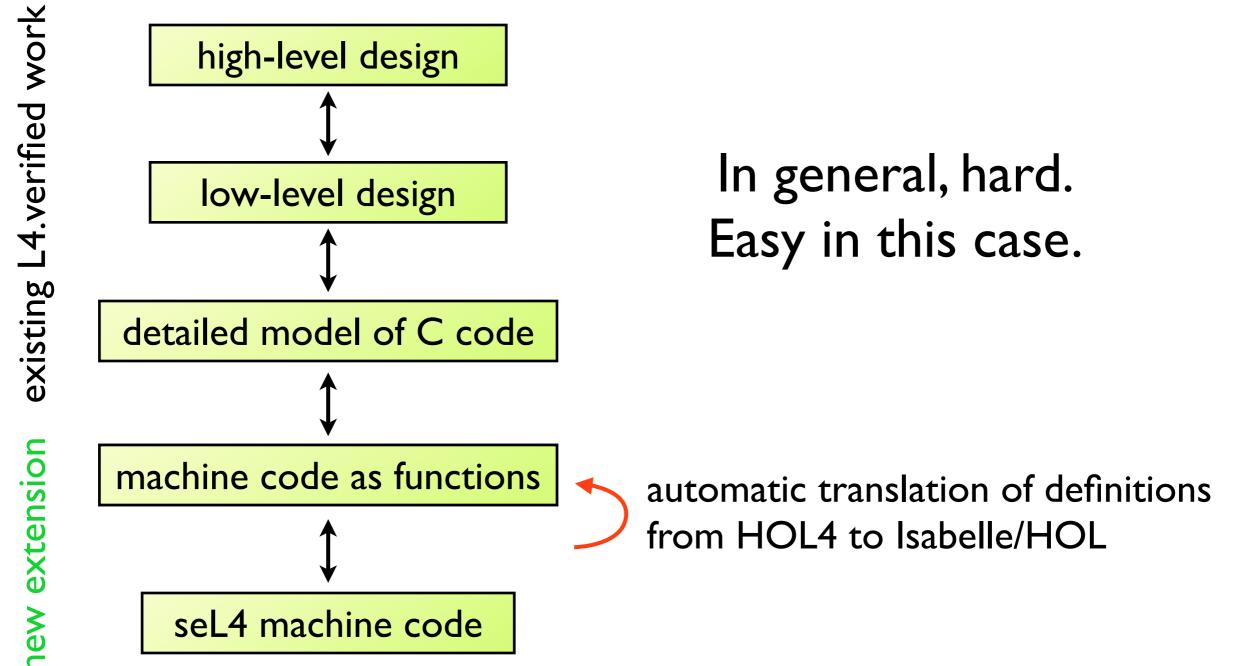
- node address
- return (from call)
- error

Theorem: any exec in graph, can be done in machine code



Potential to suit other applications better, e.g. safety analysis.

# Connecting provers



in Isabelle/HOL

in HOL4

### Looking back

Success: gcc output for -O1 and -O2 on seL4 decompiles.

However:

- stack analysis brittle and requires expert user to debug,
- latest version avoids stack analysis,
- latest version produces graphs (instead of functions)

A one-fits-all decompilation target?

graph — good for automatic analysis/proofs

functions – readable, good for interactive proofs

Should decompilation be over program logic or machine model?

### This talk

Part 1:

- automation: code to spec
- automation: spec to code

**Part 2:** 

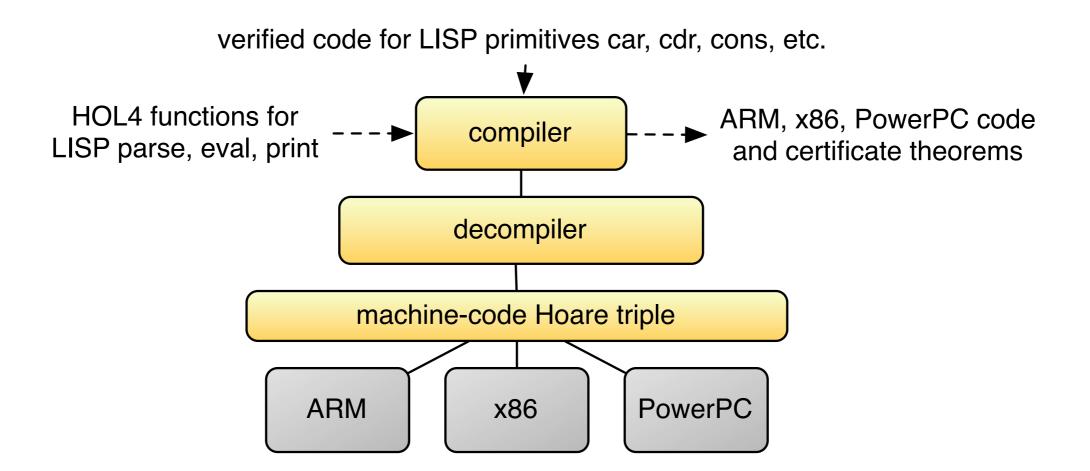
- verification of microkernel
- Part 3: construction of correct code
  verified implementation of Lisp
  - that can run Jared Davis' Milawa

### Inspiration: Lisp interpreter

#### **TPHOLs'09** Verified LISP implementations on ARM, x86 and PowerPC Magnus O. Myreen and Michael J. C. Gordon Computer Laboratory, University of Cambridge, UK Abstract. This paper reports on a case study, which we believe is the first to produce a formally verified end-to-end implementation of a functional programming language running on commercial processors. Interpreters for the core of McCarthy's LISP 1.5 were implemented in ARM, x86 and PowerPC machine code, and proved to correctly parse, evaluate and print LISP s-expressions. The proof of evaluation required working on top of verified implementations of memory allocation and garbage collection. All proofs are mechanised in the HOL4 theorem prover.

### A verified Lisp interpreter

Idea: create LISP implementations via compilation.



### Lisp formalised

LISP s-expressions defined as data-type SExp:

Num :  $\mathbb{N} \rightarrow SExp$ Sym : string  $\rightarrow SExp$ Dot : SExp  $\rightarrow SExp \rightarrow SExp$ 

LISP primitives were defined, e.g.

$$cons x y = Dot x y$$
$$car (Dot x y) = x$$
$$plus (Num m) (Num n) = Num (m + n)$$

The semantics of LISP evaluation was taken to be Gordon's formalisation of 'LISP 1.5'-like evaluation

### Extending the compiler

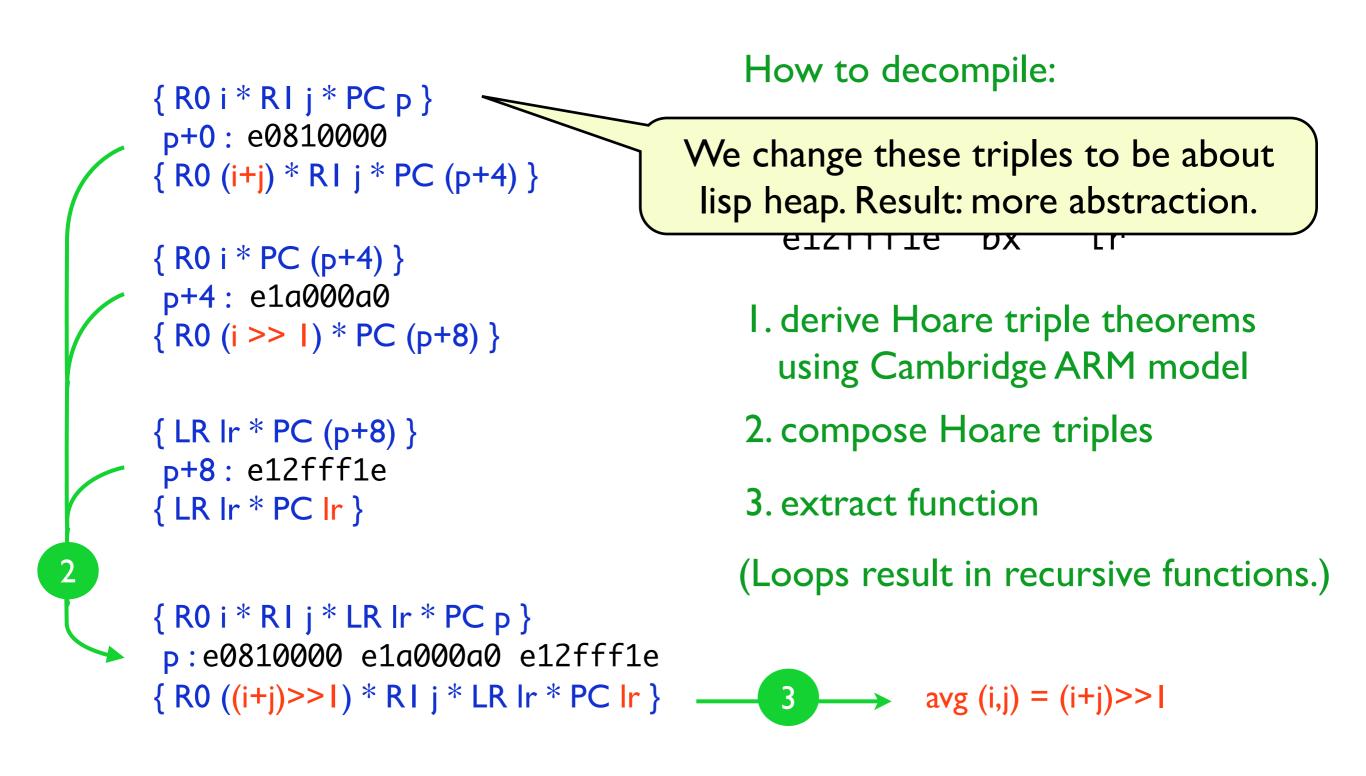
We define heap assertion 'lisp  $(v_1, v_2, v_3, v_4, v_5, v_6, I)$ ' and prove implementations for primitive operations, e.g.

is\_pair  $v_1 \Rightarrow$ { lisp  $(v_1, v_2, v_3, v_4, v_5, v_6, l) * pc p$  } p : E5934000{ lisp  $(v_1, car v_1, v_3, v_4, v_5, v_6, l) * pc (p + 4)$  } size  $v_1 + size v_2 + size v_3 + size v_4 + size v_5 + size v_6 < l \Rightarrow$ { lisp  $(v_1, v_2, v_3, v_4, v_5, v_6, l) * pc p$  } p : E50A3018 E50A4014 E50A5010 E50A600C ...{ lisp  $(cons v_1 v_2, v_2, v_3, v_4, v_5, v_6, l) * pc (p + 332)$  }

with these the compiler understands:

let  $v_2 = \operatorname{car} v_1$  in ... let  $v_1 = \operatorname{cons} v_1 v_2$  in ...

## Reminder



### Running the Lisp interpreter



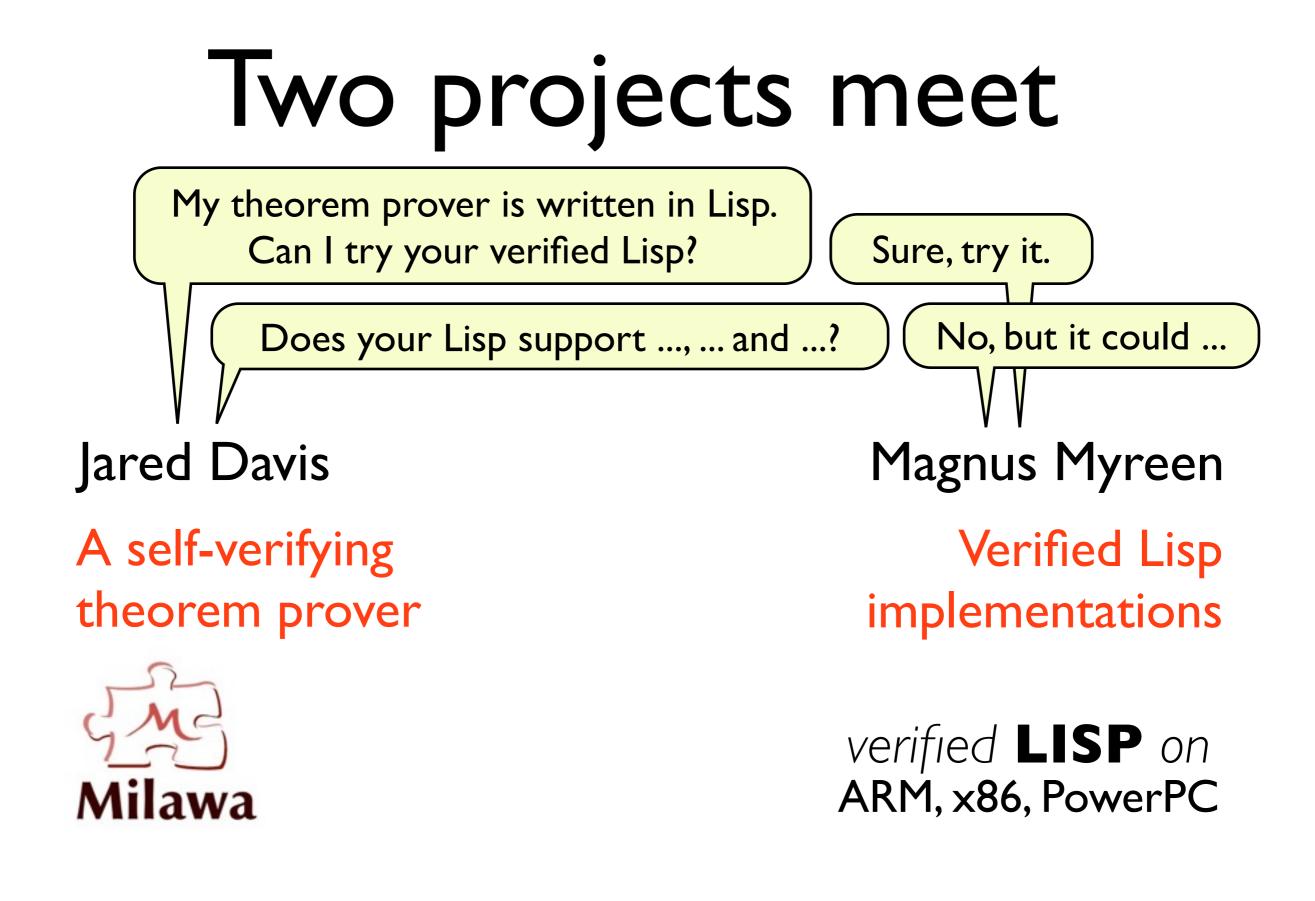
```
Nintendo DS lite (ARM) MacBook (x86) old MacMini (PowerPC)
```

```
(pascal-triangle '((1)) '6)
```

returns:

```
((1 6 15 20 15 6 1)
(1 5 10 10 5 1)
(1 4 6 4 1)
(1 3 3 1)
(1 2 1)
(1 1)
(1))
```

#### Can we do better than a simple Lisp interpreter?



# Running Milawa



Milawa's bootstrap proof:

- 4 gigabyte proof file:
   >500 million unique conses
- takes 16 hours to run on a state-of-the-art runtime (CCL)

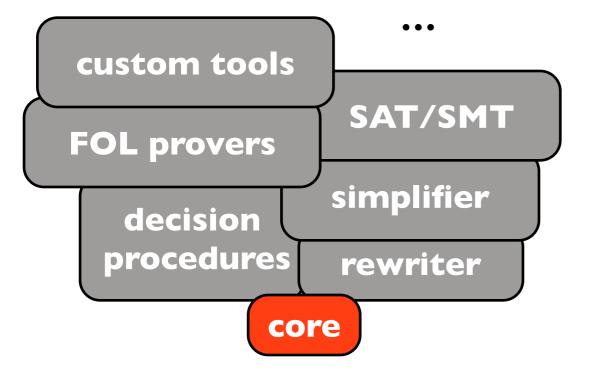
Jitotvių edrikus PISP ARM, x86, PovemPoiler (TPHOLs 2009) Contribution: "toy"

a new verified Lisp which is able to host the Milawa thm prover

# A short introdution to

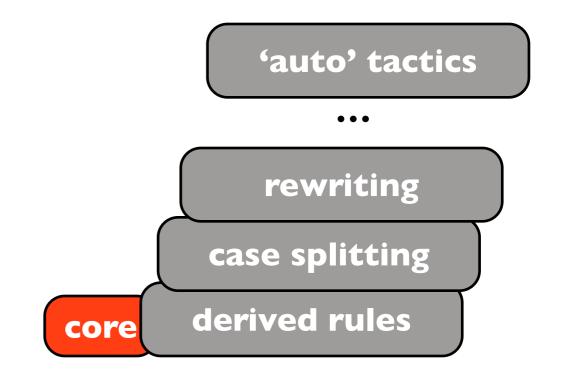
- Milawa is styled after theorem provers such as NQTHM and ACL2,
- has a small trusted logical kernel similar to LCF-style provers,
- ... but does not suffer the performance hit of LCF's fully expansive approach.

### Comparison with LCF approach



#### LCF-style approach

- all proofs pass through the core's primitive inferences
- extensions steer the core



#### the Milawa approach

- all proofs must pass the core
- the core proof checker can be replaced at runtime

# Requirements on runtime

Milawa uses a subset of Common Lisp which

is for most part first-order pure functions over natural numbers, symbols and conses,

uses primitives: cons car cdr consp natp symbolp
 equal + - < symbol-< if</pre>

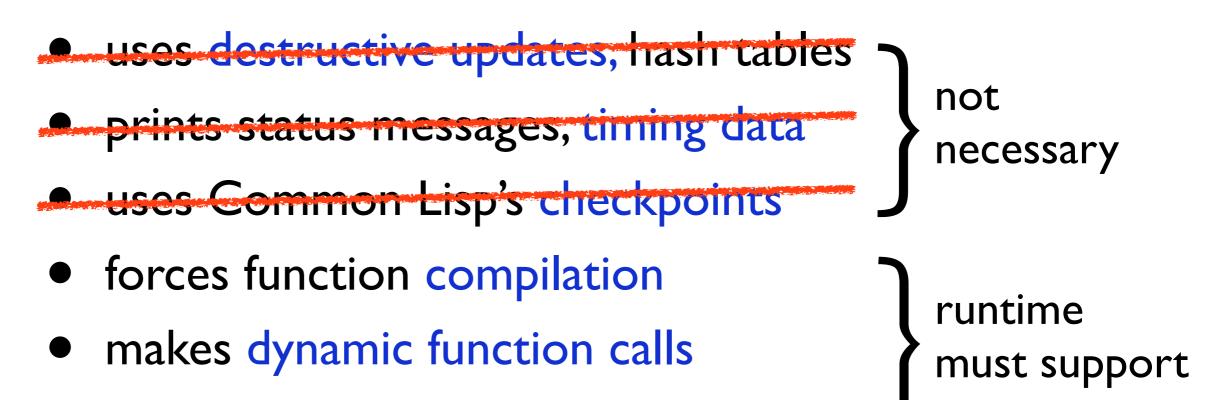
macros: or and list let let\* cond first second third fourth fifth

and a simple form of lambda-applications.

(Lisp subset defined on later slide.)

# Requirements on runtime

...but Milawa also



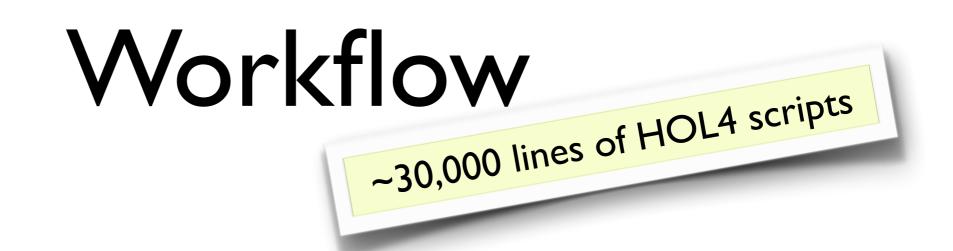
• can produce runtime errors

(Lisp subset defined on later slide.)

# Runtime must scale

#### Designed to scale:

- just-in-time compilation for speed
  - functions compile to native code
- target 64-bit x86 for heap capacity
  - space for 2<sup>31</sup> (2 billion) cons cells (16 GB)
- efficient scannerless parsing + abbreviations
  - must cope with 4 gigabyte input
- graceful exits in all circumstances
  - allowed to run out of space, but must report it



- I. specified input language: syntax & semantics
- 2. verified necessary algorithms, e.g.
  - compilation from source to bytecode
  - parsing and printing of s-expressions
  - copying garbage collection
- 3. proved refinements from algorithms to x86 code
- 4. plugged together to form read-eval-print loop

# AST of input language

term	::=   	Const <i>sexp</i> s Var <i>string</i> App <i>func</i> ( <i>term</i> list)	sexp	::=   	Val <i>num</i> Sym <i>string</i> Dot <i>sexp sexp</i>
		If term term term LambdaApp (string list) term (term li Or (term list)	ist)		
		And ( <i>term</i> list) List ( <i>term</i> list)		(macro) (macro)	
		Let $((string \times term) \text{ list}) term$ LetStar $((string \times term) \text{ list}) term$		(macro) (macro)	)
		Cond $((term \times term) \text{ list})$ First $term \mid \text{Second } term \mid \text{Third } term$	),	(macro) (macro)	)
		Fourth term   Fifth term	-	(macro)	
func	=:: 	Define   Print   Error   Funcall PrimitiveFun <i>primitive</i>   Fun <i>string</i>			
primitive	::=   	Equal   Symbolp   SymbolLess Consp   Cons   Car   Cdr   Natp   Add   Sub   Less			

### compile: $AST \rightarrow bytecode list$

bytecode

Pop PopN num PushVal num PushSym *string* LookupConst *num* Load *num* Store *num* DataOp *primitive* Jump num JumpIfNil *num* DynamicJump Call *num* DynamicCall Return Fail Print Compile

pop one stack element pop n stack elements push a constant number push a constant symbol push the nth constant from system state push the nth stack element overwrite the nth stack element add, subtract, car, cons, ... jump to program point nconditionally jump to njump to location given by stack top static function call (faster) dynamic function call (slower) return to calling function signal a runtime error print an object to stdout compile a function definition

#### How do we get just-in-time compilation?

Treating code as data:

 $\forall p \ c \ q. \quad \{p\} \ c \ \{q\} = \{p * \mathsf{code} \ c\} \ \emptyset \ \{q * \mathsf{code} \ c\}$ (POPL'10)

Definition of Hoare triple:

 $\begin{array}{ll} \{p\} \ c \ \{q\} & = & \forall s \ r. & (p \ast r \ast \mathsf{code} \ c) \ s \implies \\ & \exists n. \ (q \ast r \ast \mathsf{code} \ c) \ (\mathsf{run} \ n \ s) \end{array}$ 

# I/O and efficient parsing

Jitawa implements a read-eval-print loop:

Use of external C routines adds assumptions to proof:

- reading next string from stdin
- printing null-terminated string to stdout

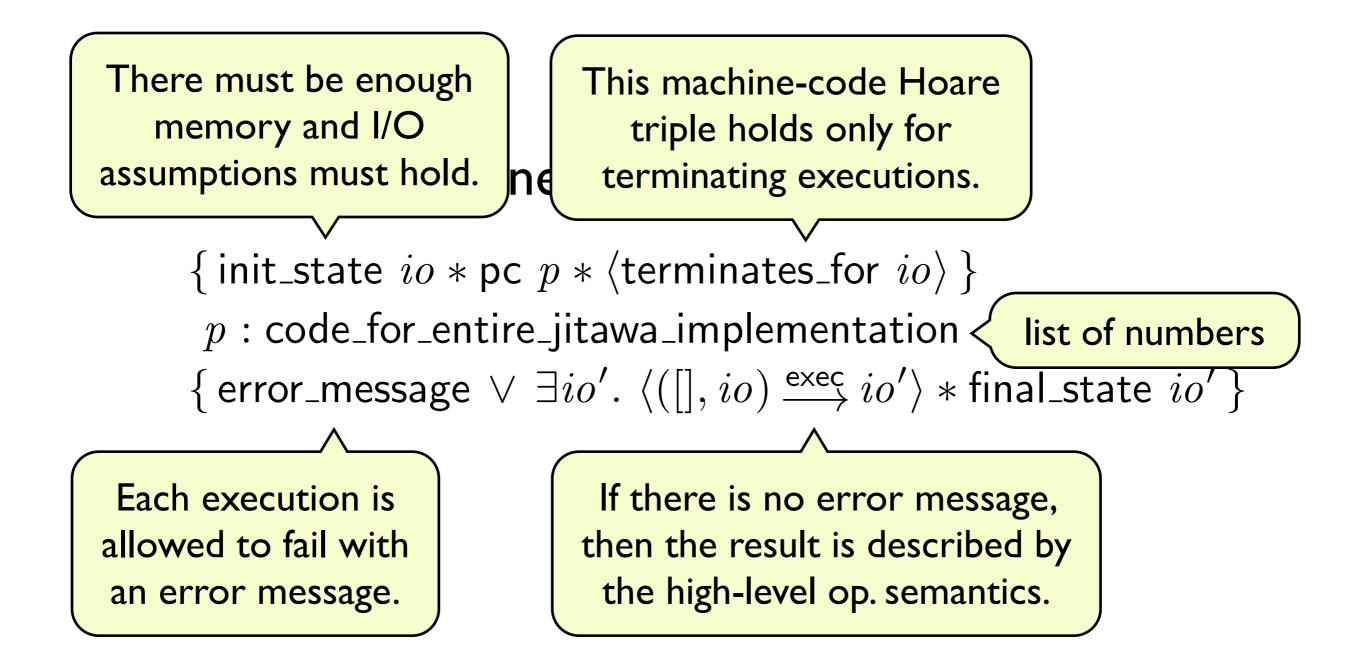
# Read-eval-print loop

- Result of reading lazily, writing eagerly
- Eval = compile then jump-to-compiled-code
- Specification: read-eval-print until end of input

$$\neg \text{is\_empty (get\_input } io) \land \\ \text{next\_sexp (get\_input } io)) = (s, rest) \land \\ (\text{sexp2term } s, [], k, \text{set\_input } rest \; io) \xrightarrow{\text{ev}} (ans, k', io') \land \\ (k', \text{append\_to\_output (sexp2string } ans) \; io') \xrightarrow{\text{exec}} io'' \\ (k, io) \xrightarrow{\text{exec}} io''$$

 $\begin{array}{c} \text{is\_empty (get\_input } io) \\ (k, io) \xrightarrow{\text{exec}} io \end{array}$ 

# Correctness theorem



### Verified code

#### \$ cat verified\_code.s

/\* Machine code automatically extracted from a HOL4 theorem. \*/

\*/

/\* The code consists of 7423 instructions (31840 bytes).

.byte	0x48,	0x8B,	0x5F,	0x18		
.byte	0x4C,	0x8B,	0x7F,	0x10		
.byte	0x48,	0x8B,	0x47,	0x20		
.byte	0x48,	0x8B,	0x4F,	0x28		
.byte	0x48,	0x8B,	0x57,	0x08		
.byte	0x48,	0x8B,	0x37			
.byte	0x4C,	0x8B,	0x47,	0x60		
.byte	0x4C,	0x8B,	0x4F,	0x68		
.byte	0x4C,	0x8B,	0x57,	0x58		
.byte	0x48,	0x01,	0xC1			
.byte	0xC7,	0x00,	0x04,	0x4E,	0x49,	0x4C
.byte	0x48,	0x83,	0xC0,	0x04		
.byte	0xC7,	0x00,	0x02,	0x54,	0x06,	0x51
.byte	0x48,	0x83,	0xC0,	0x04		
• • •						

# Running Milawa on Jitawa

Running Milawa's 4-gigabyte booststrap process:

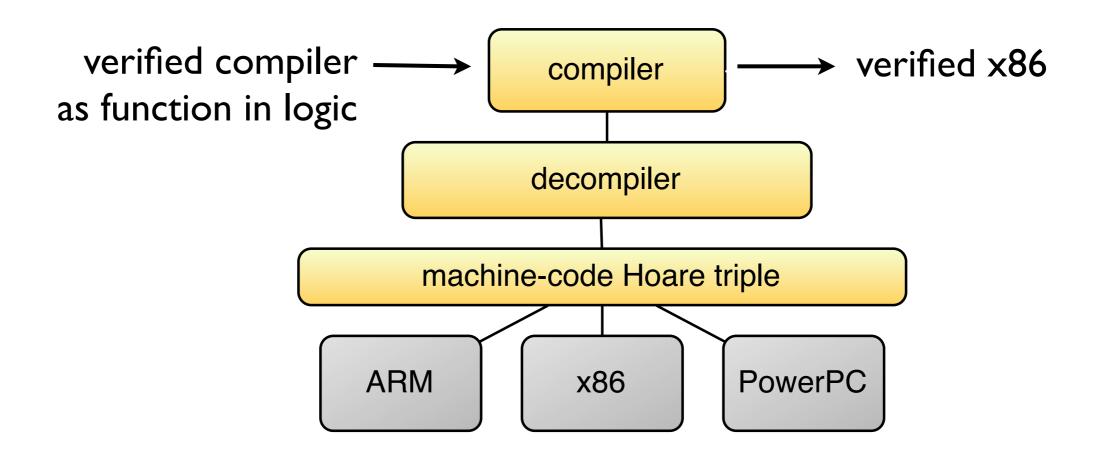
CCLI6 hoursJitawa's compiler performsSBCL22 hoursalmost no optimisations.Jitawa128 hours(8x slower than CCL)

Parsing the 4 gigabyte input:

CCL 716 seconds (9x slower than Jitawa) Jitawa 79 seconds

### Looking back...

The x86 for the compile function was produced as follows:



Very cumbersome....

...should have compiled the verified compiler using itself!

### Bootstrapping the compiler

Instead: we bootstrap the verified compile function, we evaluate the compiler on a deep embedding of itself within the logic:



#### compile COMPILE = compiler-as-machine-code

The first(?) bootstrapping of a formally verified compiler.



Ramana Kumar (Uni. Cambridge)



Magnus Myreen (Uni. Cambridge)



Michael Norrish (NICTA, ANU)



Scott Owens (Uni. Kent)

### **POPL'14**

CakeML: A Verified Implementation of ML Scott Owens<sup>3</sup> Michael Norrish<sup>2</sup> Magnus O. Myreen<sup>† 1</sup> <sup>1</sup> Computer Laboratory, University of Cambridge, UK Ramana Kumar \* 1 <sup>2</sup> Canberra Research Lab, NICTA, Australia<sup>‡</sup> <sup>3</sup> School of Computing, University of Kent, UK The last decade has seen a strong interest in verified compilation; 1. Introduction and there have been significant, high-profile results, many based on the CompCert compiler for C [1, 14, 16, 29]. This interest is easy to justify: in the context of program verification, an unverified We have developed and mechanically verified an ML system called compiler forms a large and complex part of the trusted computing CakeML, which supports a substantial subset of Standard ML. base. However, to our knowledge, none of the existing work on CakeML is implemented as an interactive read-eval-print loop the second and the se A machine code Our correctness theorem ensures any those results permitted

### This talk

Part 1: my approach (PhD work)
automation: code to spec
automation: spec to code

Part 2: verification of existing code

verification of gcc output for microkernel (7,000 lines of C)

- Part 3: construction of correct code
  - verified implementation of Lisp that can run Jared Davis' Milawa

### Summary

#### **Questions?**

#### **Techniques from my PhD**

- automation: code to spec
- automation: spec to code

#### worked for two non-trivial case studies:

- verification of gcc output for microkernel (7,000 lines of C)
- verified implementation of Lisp that can run Jared Davis' Milawa

#### **Lessons were learnt:**

- decompiler shouldn't try to be smart (stack)
- compile the verified compiler with itself!