

# Computer Algebra and the Formalisation of New Mathematics

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# Computer Algebra and Formal Proof

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# $A$ and $B$ are both nice: why not $A \& B$ ?

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*Three decades* of trying to combine CA and ATP

“Computer algebra is unsound”

“CA tools can’t reason logically”

*Approaches:* certificates, tightly constrained oracles, reflection

Often missing: a compelling *application*



# Computer algebra techniques within Isabelle/HOL

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- ❖ Differentiation and integration
- ❖ Automatic asymptotic / limit proofs
- ❖ Arbitrary precision calculations by interval arithmetic
- ❖ Real & complex root-finding, counting winding numbers, and other specialised proof methods



# Symbolic differentiation

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Let's differentiate  $e^{-t} \cos(2\pi t)$  by proof alone

```
lemma "∃f'. ((λx. exp(-x)*cos(2*pi*x)) has_real_derivative f' t) (at t) ∧ P(f' t)" for t
  apply (rule exI conjI derivative_eq_intros)+
```

(just a partial step to reveal what's going on:)

```
goal (6 subgoals):
  1. 1 = ?f'15
  2. - ?f'15 = ?Db11
  3. exp (- t) * ?Db11 = ?Da6
  4. ((λx. cos (2 * pi * x)) has_real_derivative ?Db6) (at t)
  5. ?Da6 * cos (2 * pi * t) + ?Db6 * exp (- t) = ?f' t
  6. P (?f' t)
```



To do it properly, we must supply a *tactic* to prove the equality subgoals

```
lemma "∃f'. ((λx. exp(-x)*cos(2*pi*x)) has_real_derivative f' t) (at t) ∧ P(f' t)" for t
_ apply (rule exI conjI derivative_eq_intros | force)+
```

The result is (sometimes) even simplified!

```
goal (1 subgoal):
1. P (- (exp (- t) * cos (2 * pi * t)) -
      sin (2 * pi * t) * (2 * pi) * exp (- t))
```

$$-e^{-t} \cos(2\pi t) - \sin(2\pi t) \cdot 2\pi e^{-t}$$



# Symbolic integration (cheating with Maple)

---

$$x^2 \cdot \cos(4 \cdot x) \xrightarrow{\text{integrate w.r.t. } x} \frac{x^2 \sin(4x)}{4} - \frac{\sin(4x)}{32} + \frac{x \cos(4x)}{8}$$

Just ask Isabelle to *check* Maple by taking the derivative:

```
Lemma "((λx. x^2 * sin(4*x)/4 - sin(4*x)/32 + x * cos(4*x)/8)
         has_real_derivative x^2 * cos(4*x)) (at x)" for x
apply (rule exI conjI derivative_eq_intros refl | force)+
```



This time, the output is ugly

```
goal (1 subgoal):
1. ((real 2 * (1 * x ^ (2 - Suc 0)) * sin (4 * x) +
    cos (4 * x) * (0 * x + 1 * 4) * x^2) *
    4 -
    x^2 * sin (4 * x) * 0) /
    (4 * 4) -
    (cos (4 * x) * (0 * x + 1 * 4) * 32 - sin (4 * x) * 0) /
    (32 * 32) +
    ((1 * cos (4 * x) + - sin (4 * x) * (0 * x + 1 * 4) * x) * 8 -
    x * cos (4 * x) * 0) /
    (8 * 8) =
    x^2 * cos (4 * x)
```

... but easy to fix:

```
apply (simp add: field_simps)
done
```

We can even evaluate *definite integrals*  
via the fundamental theorem of calculus



# Eberl's real asymptotics package

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- ❖ Proves claims about **limits**, properties holding **in the limit**, claims involving **Landau symbols**
- ❖ ... by computing *multiseries expansions* for a variety of real-valued functions (cf Richardson et al., 1996).
- ❖ All by inference alone!



$$\lim_{x \rightarrow 0} \frac{1 - \frac{1}{2}x^2 - \cos\left(\frac{x}{1-x^2}\right)}{x^4} = \frac{23}{24}$$

```
lemma "(λx::real. (1 - 1/2 * x^2 - cos (x / (1 - x^2))) / x^4) -> 23/24"
  by real_asymp
```

$$n^k = o(c^n)$$

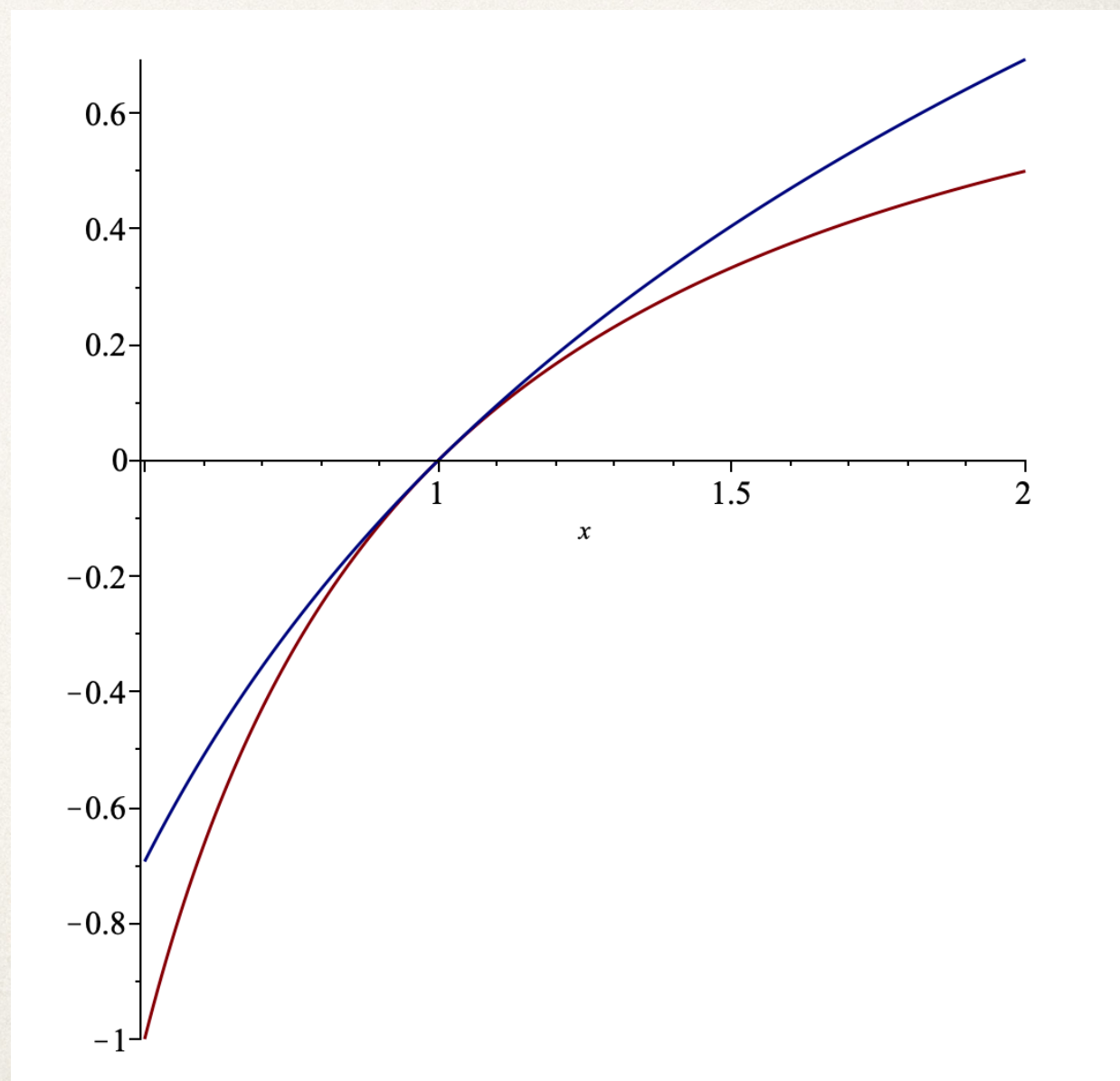
```
lemma "c > 1 ==> (λn. real n ^ k) ∈ o(λn. c^n)"
  by real_asymp
```



# Can even do one-sided limits

**lemma** "eventually ( $\lambda x::\text{real}. 1 - 1/x \leq \ln(x)$ ) (at\_right 0)"  
**by** real\_asymp

$$1 - \frac{1}{x} \leq \ln(x) \quad \text{as } x \rightarrow 0^+$$





# Exact numeric calculations

---

## Simple inequalities:

```
lemma "| sin 100 + 0.50636564110975879 | < (inverse 10 ^ 17 :: real)"  
  by (approximation 70)
```

## Inequalities over a range of inputs:

```
lemma "0.5 ≤ x ∧ x ≤ 4.5 ⇒ | arctan x - 0.91 | < 0.455"  
  by (approximation 10)
```

## Going beyond interval arithmetic:

```
lemma "x ∈ { 0 .. 1 :: real } → x2 ≤ x"  
  by (approximation 30 splitting: x=1 taylor: x = 3)
```



But is there an application?

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# Ramsey's Theorem

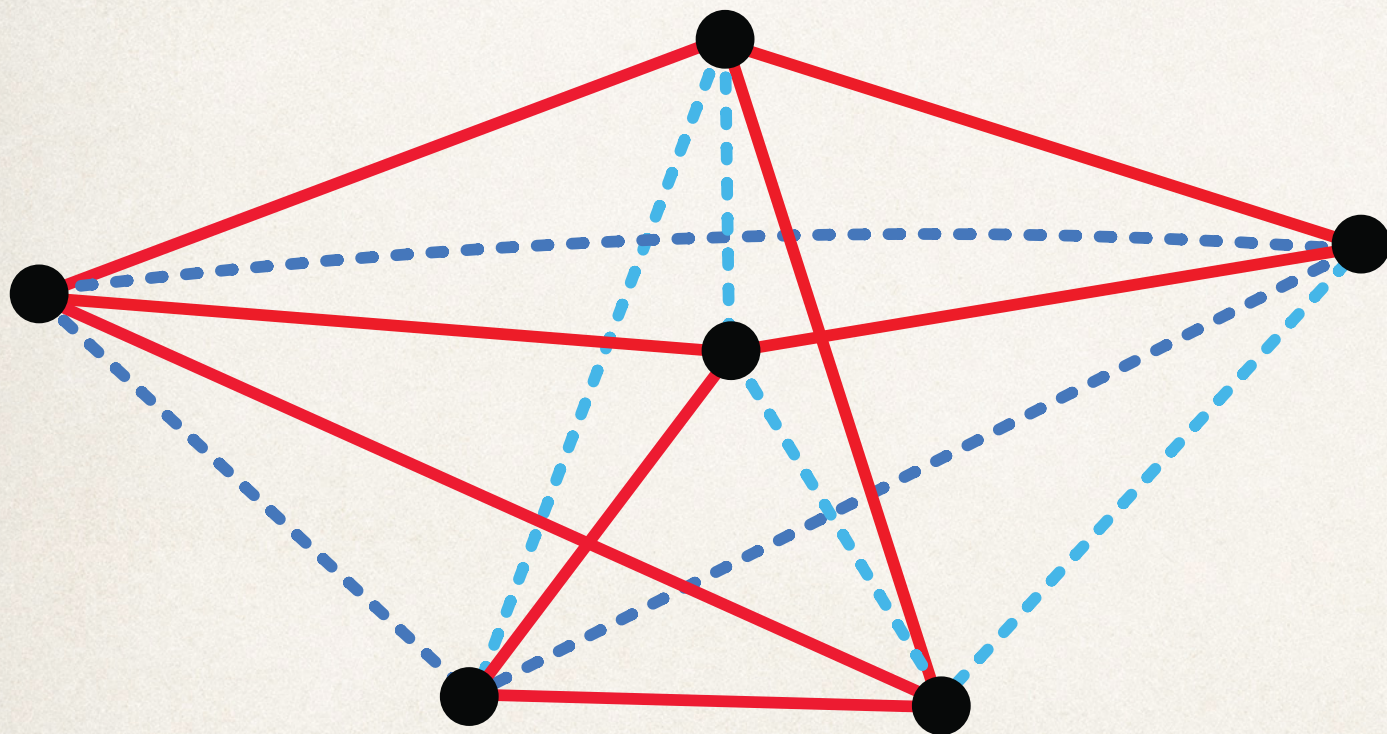
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# “Party Problem” version (2-sets)

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For all  $m$  and  $n$  there exists a number  $R(m, n)$  such that every graph with at least  $R(m, n)$  vertices contains a *clique* of size  $m$  or an *anti-clique* of size  $n$



Or: a **complete** graph with edges coloured red/blue contains a *red clique* of size  $m$  or a *blue clique* of size  $n$

$$R(3,3) = 6$$



# Other forms (for $r$ -sets, $r \neq 2$ )

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$r = 1$ : Ramsey's theorem is just the  
*pigeonhole principle*

$r > 2$ : hypergraph form, with  
unimaginably large *Ramsey numbers*

The  $r = 2$  case can also be generalised  
with *transfinite ordinals or cardinals*



# Ramsey numbers

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$$R(3,3) = 6$$

$$R(4,4) = 18$$

$$43 \leq R(5,5) \leq 46$$

Erdős (with Szekeres for the upper bound) proved

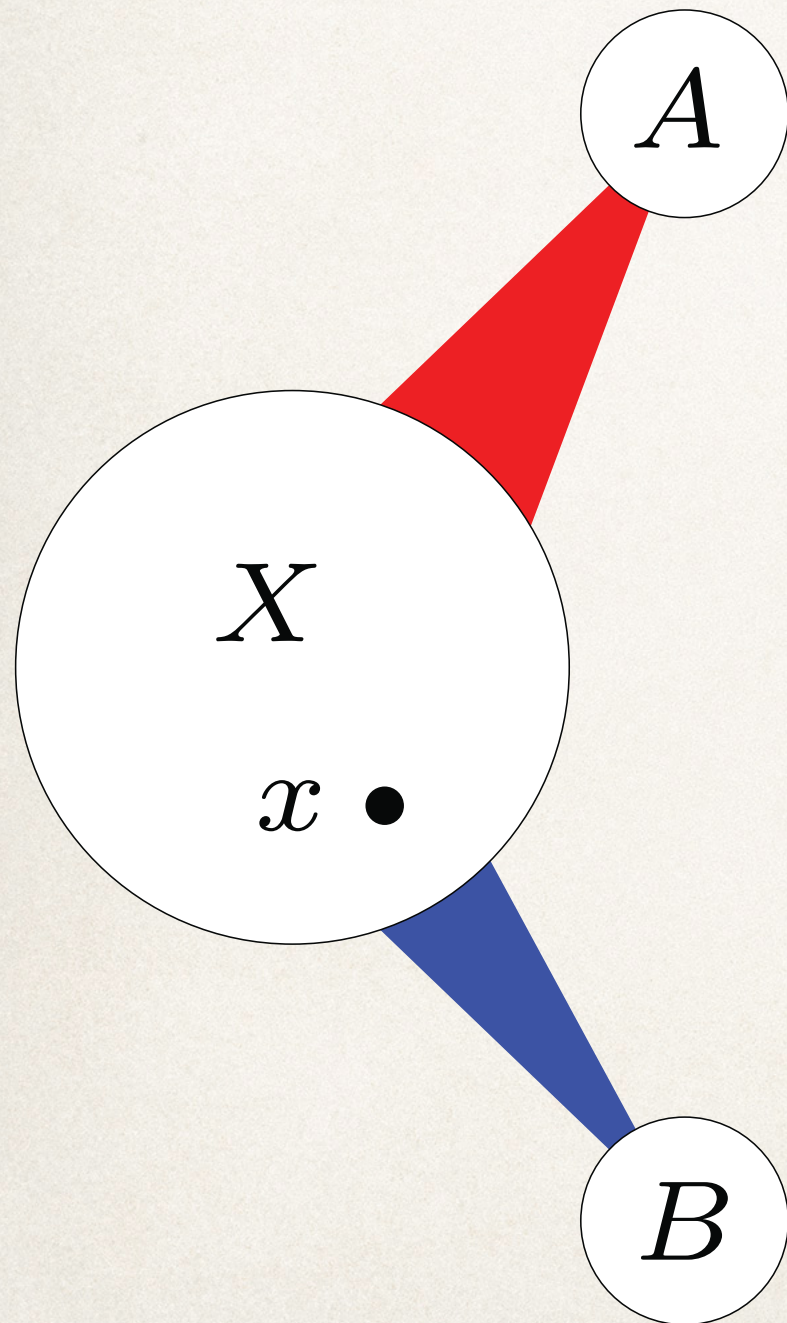
$$\sqrt{2}^k \leq R(k, k) \leq \binom{2k-2}{k-1} < 4^k$$

A new result replaces 4 by  $4 - \epsilon$ ,  
an exponential improvement



# “Algorithm” to prove the $4^k$ bound

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*At start:* put all vertices in  $X$ ; set  $A = B = \{\}$

$$X \rightarrow N_R(x) \cap X \quad A \rightarrow A \cup \{x\}$$

if  $x$  has more red neighbours than blue in  $X$

$$X \rightarrow N_B(x) \cap X \quad B \rightarrow B \cup \{x\}$$

otherwise

Builds a **red** clique in  $A$ , a **blue** clique in  $B$



- ❖ At each step, choose the vertex  $x$  arbitrarily
  - ❖ ... the set  $X$  loses up to half its vertices
  - ❖ ... there are only **red edges** to  $A$ , **blue edges** to  $B$
- ❖ If  $|X| \geq 2^{k+\ell}$  then iteration finally yields a clique:  
either  $|A| \geq k$  or  $|B| \geq \ell$
- ❖ In the “diagonal” case  $k = \ell$ , the upper bound is  $4^k$

*Could a more sophisticated algorithm do better?*



# A New Paper on Ramsey's Theorem

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# AN EXPONENTIAL IMPROVEMENT FOR DIAGONAL RAMSEY

MARCELO CAMPOS, SIMON GRIFFITHS, ROBERT MORRIS, AND JULIAN SAHASRABUDHE

ABSTRACT. The Ramsey number  $R(k)$  is the minimum  $n \in \mathbb{N}$  such that every red-blue colouring of the edges of the complete graph  $K_n$  on  $n$  vertices contains a monochromatic copy of  $K_k$ . We prove that

$$R(k) \leq (4 - \varepsilon)^k$$

for some constant  $\varepsilon > 0$ . This is the first exponential improvement over the upper bound of Erdős and Szekeres, proved in 1935.

First formalised, in Lean, by Bhavik Mehta:  
before the referees had completed their reviews!



# What's the mathematics like?

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- ❖ A more complicated “book algorithm”
- ❖ A string of technical lemmas describing its behaviour
  - ❖ Numerous estimates with finite sums / products
  - ❖ Numeric parameters; high-precision calculations
  - ❖ **Lots and lots of limit arguments**

*And it's 57 pages*

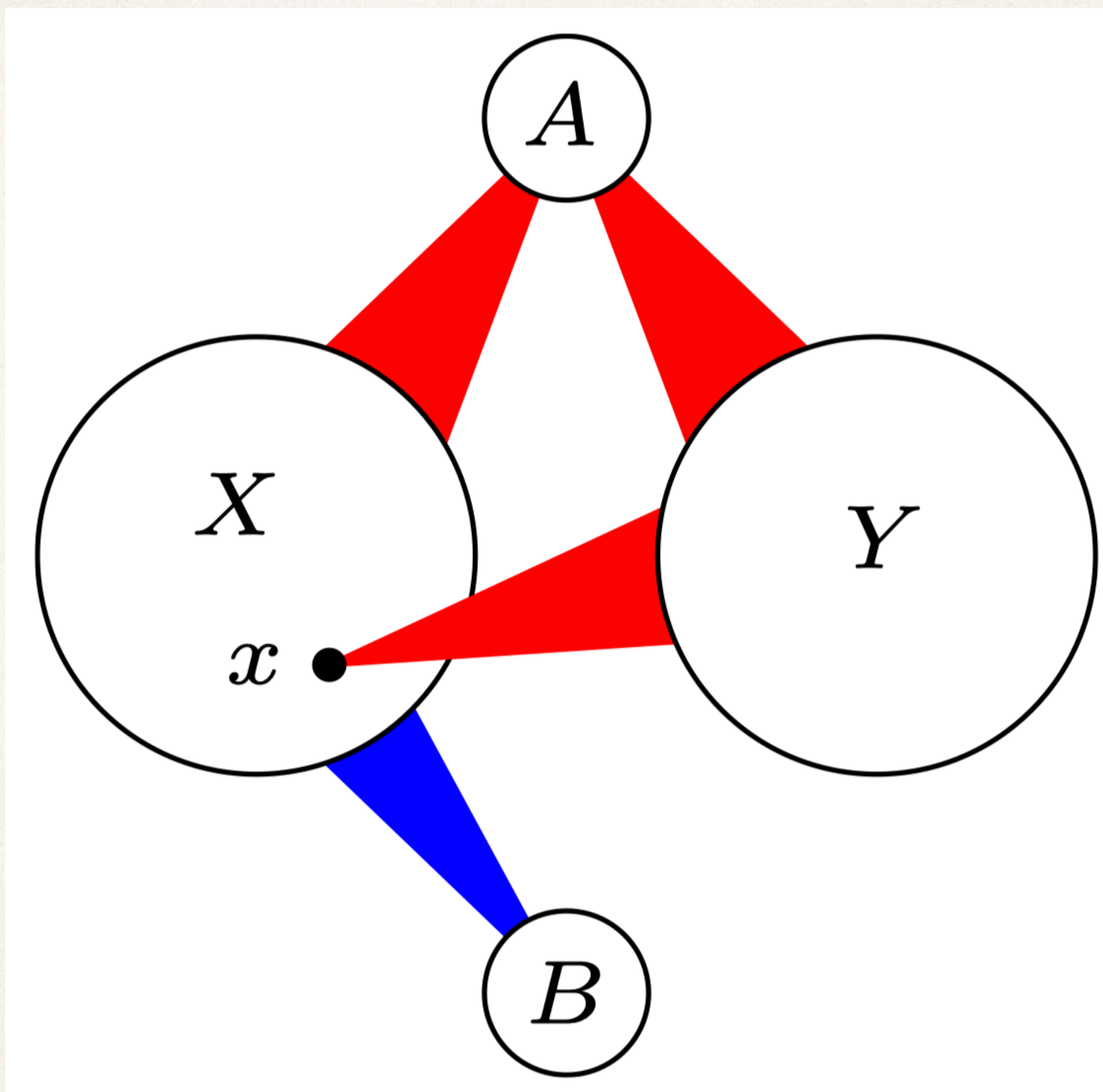


# The variables and their constraints

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- ❖ Integers  $\ell \leq k$  and a complete  $n$ -graph
- ❖ Edge colouring with no red  $k$ -clique, no blue  $\ell$ -clique
- ❖ Sets of vertices  $X, Y, A, B$ , the latter two initially empty
- ❖ All edges between  $A$  and  $A, X, Y$  are red
- ❖ All edges between  $B$  and  $B, X$  are blue







# Some mathematical preliminaries

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Standard definitions for undirected graphs

As  $X$  and  $Y$  evolve, need to maintain a sufficient *red density*

$$p = \frac{e_R(X, Y)}{|X||Y|}$$

Algorithm tries to build a **large red clique** in  $A$



# The main execution steps

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- ❖ *Degree regularisation*: remove from  $X$  all vertices with “few” red neighbours in  $Y$
- ❖ *Big blue step*: If there exist  $R(k, \lceil \ell^{2/3} \rceil)$  vertices in  $X$  with “lots” of blue neighbours in  $X$ , move a block of them into  $B$  while leaving just their blue neighbours in  $X$
- ❖ *Red and density-boost steps*: an element of  $X$  with “few” blue neighbours in  $X$  is moved into  $A$  or into  $B$ , according to the red density of the resulting  $X$  and  $Y$



# A red or density-boost step

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$$X \rightarrow N_R(x) \cap X$$

$$Y \rightarrow N_R(x) \cap Y$$

$$A \rightarrow A \cup \{x\}$$

*versus*

$$X \rightarrow N_B(x) \cap X$$

$$Y \rightarrow N_R(x) \cap Y$$

$$B \rightarrow B \cup \{x\}$$

resembles the basic algorithm,  
except that  $x$  is carefully selected



# A Glimpse at the Proofs

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# Defining the "book algorithm"

```
definition next_state :: "[real,nat,nat,'a config]  $\Rightarrow$  'a config" where
  "next_state  $\equiv$   $\lambda\mu\ l\ k\ (X,Y,A,B).$ 
    if many_bluish  $\mu\ l\ k\ X$ 
    then let (S,T) = choose_blue_book  $\mu\ (X,Y,A,B)$  in (T, Y, A, B  $\cup$  S)
    else let x = choose_central_vx  $\mu\ (X,Y,A,B)$  in
      if reddish  $k\ X\ Y$  (red_density X Y) x
      then (Neighbours Red x  $\cap$  X, Neighbours Red x  $\cap$  Y, insert x A, B)
      else (Neighbours Blue x  $\cap$  X, Neighbours Red x  $\cap$  Y, A, insert x B)"
```

```
primrec stepper :: "[real,nat,nat,nat]  $\Rightarrow$  'a config" where
  "stepper  $\mu\ l\ k\ 0 = (X0,Y0,\{\},\{\})"$ 
| "stepper  $\mu\ l\ k\ (\text{Suc } n) =$ 
  (let (X,Y,A,B) = stepper  $\mu\ l\ k\ n$  in
    if termination_condition  $l\ k\ X\ Y$  then (X,Y,A,B)
    else if even n then degree_reg  $k\ (X,Y,A,B)$  else next_state  $\mu\ l\ k\ (X,Y,A,B))"$ 
```

Many routine properties easily proved



# A proof in more detail: Lemma 4.1

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**Lemma 4.1.** Set  $b = \ell^{1/4}$ . If there are  $R(k, \ell^{2/3})$  vertices  $x \in X$  such that

$$|N_B(x) \cap X| \geq \mu|X|, \tag{9}$$

then  $X$  contains either a red  $K_k$ , or a blue book  $(S, T)$  with  $|S| \geq b$  and  $|T| \geq \mu^{|S|}|X|/2$ .

Four weeks, 354 lines and  
several buckets of sweat later...

**Lemma Blue\_4\_1:**

**assumes** " $X \subseteq V$ " **and** manyb: "many\_bluish  $X$ " **and** big: "Big\_Blue\_4\_1  $\mu$   $\ell$ "  
**shows** " $\exists S \ T. \text{ good\_blue\_book } X \ (S, T) \wedge \text{card } S \geq \ell^{\text{powr } (1/4)}$ "

[The claim holds for sufficiently large  $\ell$  and  $k$ ]



# What did I do in those three weeks?

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Proved the Erdős lower bound for  
Ramsey numbers,  $2^{k/2} \leq R(k, k)$

Got to grips with neighbours,  
edge densities, convexity

figured out that most claims  
only hold in the limit

Formalised a second  
probabilistic proof



# First half of the proof

*Proof of Lemma 4.1.* Let  $W \subset X$  be the set of vertices with blue degree at least  $\mu|X|$ , set  $m = \ell^{2/3}$ , and note that  $|W| \geq R(k, m)$ , so  $W$  contains either a red  $K_k$  or a blue  $K_m$ . In the former case we are done, so assume that  $U \subset W$  is the vertex set of a blue  $K_m$ . Let  $\sigma$  be the density of blue edges between  $U$  and  $X \setminus U$ , and observe that

$$\sigma = \frac{e_B(U, X \setminus U)}{|U| \cdot |X \setminus U|} \geq \frac{\mu|X| - |U|}{|X| - |U|} \geq \mu - \frac{1}{k} \quad (10)$$

since  $|U| = m$  and  $|X| \geq R(k, m)$ , and each vertex of  $U$  has at least  $\mu|X|$  blue neighbours in  $X$ . Since  $\mu > 0$  is constant,  $b = \ell^{1/4}$  and  $m = \ell^{2/3}$ , it follows that  $b \leq \sigma m/2$ .

Inequalities  
frequently hold  
only in the limit

Bhavik changed  
this to 2



```

have "μ * (card X - card U) ≤ card (Blue ∩ all_edges_betw_un {u} (X-U)) + (1-μ) * m"
  if "u ∈ U" for u
proof -
  have NBU: "Neighbours Blue u ∩ U = U - {u}"
    using <clique U Blue> Red_Blue_all singleton_not_edge that
    by (force simp: Neighbours_def clique_def)
  then have NBX_split: "(Neighbours Blue u ∩ X) = (Neighbours Blue u ∩ (X-U)) ∪ (U - {u})"
    using <U ⊆ X> by blast
  moreover have "Neighbours Blue u ∩ (X-U) ∩ (U - {u}) = {}"
    by blast
  ultimately have "card(Neighbours Blue u ∩ X) = card(Neighbours Blue u ∩ (X-U)) + (m - Suc 0)"
    by (simp add: card_Un_disjoint finite_Neighbours <finite U> <card U = m> that)
  then have "μ * (card X) ≤ real (card (Neighbours Blue u ∩ (X-U))) + real (m - Suc 0)"
    using W_def <U ⊆ W> bluish_def that by force
  then have "μ * (card X - card U)
    ≤ card (Neighbours Blue u ∩ (X-U)) + real (m - Suc 0) - μ * card U"
    by (smt (verit) cardU_less_X nless_le of_nat_diff right_diff_distrib')
  then have *: "μ * (card X - card U) ≤ real (card (Neighbours Blue u ∩ (X-U))) + (1-μ)*m"
    using assms by (simp add: <card U = m> left_diff_distrib)
  have "inj_on (λx. {u,x}) (Neighbours Blue u ∩ X)"
    by (simp add: doubleton_eq_iff inj_on_def)
  moreover have "(λx. {u,x}) ` (Neighbours Blue u ∩ (X-U)) ⊆ Blue ∩ all_edges_betw_un {u} (X-U)"
    using Blue_E by (auto simp: Neighbours_def all_edges_betw_un_def)
  ultimately have "card (Neighbours Blue u ∩ (X-U)) ≤ card (Blue ∩ all_edges_betw_un {u} (X-U))"
    by (metis NBX_split Blue_eq card_image card_mono complete fin_edges finite_Diff finite_Int inj_o)
  with * show ?thesis
    by auto
qed

```



# Second half of the proof

Let  $S \subset U$  be a uniformly-chosen random subset of size  $b$ , and let  $Z = |N_B(S) \cap (X \setminus U)|$  be the number of common blue neighbours of  $S$  in  $X \setminus U$ . By convexity, we have

$$\mathbb{E}[Z] = \binom{m}{b}^{-1} \sum_{v \in X \setminus U} \binom{|N_B(v) \cap U|}{b} \geq \binom{m}{b}^{-1} \binom{\sigma m}{b} \cdot |X \setminus U|.$$

probabilistic argument

Now, by Fact 4.2, and recalling (10), and that  $b = \ell^{1/4}$  and  $m = \ell^{2/3}$ , it follows that

$$\mathbb{E}[Z] \geq \sigma^b \exp\left(-\frac{b^2}{\sigma m}\right) \cdot |X \setminus U| \geq \frac{\mu^b}{2} \cdot |X|, \quad (11)$$

and hence there exists a blue clique  $S \subset U$  of size  $b$  with at least this many common blue neighbours in  $X \setminus U$ , as required.  $\square$

Probabilistic proofs – commonplace in combinatorics –  
were introduced by Erdős



```

define  $\Omega$  where " $\Omega \equiv \text{nsets } U \text{ } b$ " —<Choose a random subset of size @{term  $b$ }>
have card $\Omega$ : "card  $\Omega = m \text{ choose } b$ "
  by (simp add:  $\Omega$ _def <card  $U = m$ >)
then have fin $\Omega$ : "finite  $\Omega$ " and " $\Omega \neq \{\}$ " and "card  $\Omega > 0$ "
  using < $b \leq m$ > not_less by fastforce+
define  $M$  where " $M \equiv \text{uniform\_count\_measure } \Omega$ "
interpret  $P$ : prob_space  $M$ 
  using  $M$ _def < $b \leq m$ > card $\Omega$  fin $\Omega$  prob_space_uniform_count_measure by force
have measure_eq: "measure  $M$   $C = (\text{if } C \subseteq \Omega \text{ then card } C / \text{card } \Omega \text{ else } 0)"$  for  $C$ 
  by (simp add:  $M$ _def fin $\Omega$  measure_uniform_count_measure_if)

define Int_NB where " $\text{Int\_NB} \equiv \lambda S. \bigcap_{v \in S}. \text{Neighbours Blue } v \cap (X - U)$ "
have sum_card_NB: " $(\sum_{A \in \Omega}. \text{card } (\bigcap (\text{Neighbours Blue } \setminus A) \cap Y))$ 
  =  $(\sum_{v \in Y}. \text{card } (\text{Neighbours Blue } v \cap U) \text{ choose } b)$ "
  if "finite  $Y$ " " $Y \subseteq X - U$ " for  $Y$ 
  using that
proof (induction  $Y$ )
  case (insert  $y$   $Y$ )
  have *: " $\Omega \cap \{A. \forall x \in A. y \in \text{Neighbours Blue } x\} = \text{nsets } (\text{Neighbours Blue } y \cap U) \text{ } b$ "
    " $\Omega \cap - \{A. \forall x \in A. y \in \text{Neighbours Blue } x\} = \Omega - \text{nsets } (\text{Neighbours Blue } y \cap U) \text{ } b$ "
    "[Neighbours Blue  $y \cap U$ ]  $\nearrow b \nwarrow \subseteq \Omega$ "
  using insert.prem by (auto simp:  $\Omega$ _def nsets_def in_Neighbours_iff insert_commute)
  then show ?case
  using insert fin $\Omega$ 
  by (simp add: Int_insert_right sum_Suc sum.If_cases if_distrib [of card]
    sum.subset_diff flip: insert.IH)
qed auto

```



# Further stages of the proof

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- ❖ Ensuring the red density between  $X, Y$  is high enough
- ❖ Ensuring that  $X$  and  $Y$  aren't "used up" too quickly
- ❖ Exponential improvements away from the diagonal
- ❖ The main result, on the diagonal ( $k = \ell$ )



# Computer Algebra Aspects

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# Formalising claims about limits

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- ❖ Accumulate equalities required by each theorem, e.g.  
$$\ell \geq (6/\mu)^{12/5} \text{ or } \frac{2}{\ell} \leq (\mu - 2/\ell)((5/4)^{1/\lceil \ell^{1/4} \rceil} - 1)$$
- ❖ Check them out by plotting in Maple
- ❖ ... then prove that they actually hold in the limit
- ❖ For the base cases, use the proof method **real\_asymp**



Limit claims either **local** to the theorem

```
let ?Big = "λl. m_of l ≥ 12 ∧ l ≥ (6/μ) powr (12/5) ∧ l ≥ 15  
            ∧ 1 ≤ 5/4 * exp (- ((b_of l)^2) / ((μ - 2/l) * m_of l)) ∧ μ > 2/l  
            ∧ 2/l ≤ (μ - 2/l) * ((5/4) powr (1/b_of l) - 1)"  
have big_enough_l: "∀∞l. ?Big l"  
  unfolding m_of_def b_of_def using assms by (intro eventually_conj; real_asymp)
```

Or **separate** from the theorem

```
definition "Big_X_7_6 ≡  
  λμ l. Lemma_bblue_dboost_step_limit μ l ∧ Lemma_bblue_step_limit μ l ∧ Big_X_7_12 μ l  
  ∧ (∀k. k ≥ l → Big_X_7_8 k ∧ 1 - 2 * eps k powr (1/4) > 0)"  
  
lemma Big_X_7_6:  
  assumes "0 < μ" "μ < 1"  
  shows "∀∞l. Big_X_7_6 μ l"  
  unfolding Big_X_7_6_def eventually_conj_iff all_imp_conj_distrib eps_def  
  apply (simp add: bblue_dboost_step_limit Big_X_7_8 Big_X_7_12  
    bblue_step_limit eventually_all_ge_at_top assms)  
  by (intro eventually_all_ge_at_top; real_asymp)
```



# Landau symbols in the proofs

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Many formulas such as  $|Y| \geq 2^{o(k)} p_0^{s+t} \cdot |Y_0|$

Quite a few different Landau  
symbol occurrences, but mostly  $o(k)$

I preferred making these functions explicit



Proving 
$$\prod_{i \in \mathcal{D}} \frac{|X_i|}{|X_{i-1}|} = 2^{o(k)}$$

---

```
definition "ok_fun_X_7_6  $\equiv$ 
   $\lambda l\ k.$  ((1 + (real  $k$  + real  $l$ )) * ln (1 - 2 * eps  $k$  powr (1/4)))
    - ( $k$  powr (3/4) + 7 * eps  $k$  powr (1/4) *  $k$  + 1) * (2 * ln  $k$ )) / ln 2"
```

```
lemma ok_fun_X_7_6: "ok_fun_X_7_6  $l \in o(\text{real})$ " for  $l$ 
  unfolding eps_def ok_fun_X_7_6_def by real_asymp
```

```
lemma X_7_6:
  fixes  $l\ k$ 
  assumes  $\mu$ : "0 <  $\mu$ " " $\mu < 1$ " and "Colours  $l\ k$ "
  assumes big: "Big_X_7_6  $\mu\ l$ "
  defines " $X \equiv Xseq\ \mu\ l\ k$ " and " $\mathcal{D} \equiv \text{Step\_class}\ \mu\ l\ k\ \{\text{dreg\_step}\}$ "
  shows " $(\prod_{i \in \mathcal{D}} \text{card}(X(\text{Suc}\ i)) / \text{card}(X\ i)) \geq 2^{\text{ok\_fun\_X\_7\_6}\ l\ k}$ "
```



# A proof using exact calculations

Since  $\delta = \min \{1/200, \gamma/20\}$ , to deduce that  $t \geq 2k/3$  it now suffices to check that<sup>11</sup>

$$\left(1 - \frac{1}{200\gamma}\right) \left(1 + \frac{1}{e(1-\gamma)}\right)^{-1} \geq \left(1 - \frac{1}{40}\right) \left(1 + \frac{5}{4e}\right)^{-1} > 0.667 > \frac{2}{3} \quad (47)$$

for all  $1/10 \leq \gamma \leq 1/5$ , and that

```
define c where "c ≡ λx::real. 1 + 1 / (exp 1 * (1-x))"
define f47 where "f47 ≡ λx. (1 - 1/(200*x)) * inverse (c x)"
have "concave_on {1/10..1/5} f47" [46 lines]
moreover have "f47(1/10) > 0.667"
  unfolding f47_def c_def by (approximation 15)
moreover have "f47(1/5) > 0.667"
  unfolding f47_def c_def by (approximation 15)
ultimately have 47: "f47 x > 0.667" if "x ∈ {1/10..1/5}" for x
  using concave_on_ge_min that by fastforce
```



# Proving Lemma A.4

**Lemma A4:**

**assumes** " $y \in \{0.341..3/4\}$ "

**shows** " $f2(x\_of\ y)\ y \leq 2 - 1/2^{11}$ "

**unfolding** f2\_def f1\_def x\_of\_def H\_def

**using** assms **by** (approximation 18 **splitting**:  $y = 13$ )

**goal** (1 subgoal):

$$\begin{aligned} &1. \quad 3 * y / 5 + 5454 / 10^4 + y + \\ &\quad (2 - (3 * y / 5 + 5454 / 10^4)) * \\ &\quad (- (1 / (2 - (3 * y / 5 + 5454 / 10^4)))) * \\ &\quad \log 2 (1 / (2 - (3 * y / 5 + 5454 / 10^4))) - \\ &\quad (1 - 1 / (2 - (3 * y / 5 + 5454 / 10^4))) * \\ &\quad \log 2 (1 - 1 / (2 - (3 * y / 5 + 5454 / 10^4)))) - \\ &\quad 1 / (40 * \ln 2) * \\ &\quad ((1 - (3 * y / 5 + 5454 / 10^4)) / (2 - (3 * y / 5 + 5454 / 10^4))) \\ &\leq 2 - 1 / 2^{11} \end{aligned}$$



# Conclusions

---

- ❖ Some proofs really do need computer algebra or exact arithmetic
- ❖ The **approximation** and **real\_asymp** proof methods are fast and powerful
- ❖ Differentiation by pure inference is easy, if a hack
- ❖ This proof is incredibly difficult



```

text <Main theorem 1.1: the exponent is approximately 3.9987>
theorem Main_1_1:
  obtains  $\varepsilon :: \text{real}$  where " $\varepsilon > 0$ " " $\forall^\infty k. \text{RN } k \ k \leq (4 - \varepsilon)^k$ "
proof
  let ? $\varepsilon$  = "0.00134 :: real"
  have " $\forall^\infty k. k > 0 \wedge \log 2 (\text{RN } k \ k) / k \leq 2 - \text{delta}'$ "
    unfolding eventually_conj_iff using Aux_1_1 eventually_gt_at_top by blast
  then have " $\forall^\infty k. \text{RN } k \ k \leq (2^{\text{power } (2 - \text{delta}')})^k$ "
  proof (eventually_elim)
    case (elim k)
    then have " $\log 2 (\text{RN } k \ k) \leq (2 - \text{delta}') * k$ "
      by (meson of_nat_0_less_iff pos_divide_le_eq)
    then have " $\text{RN } k \ k \leq 2^{\text{power } ((2 - \text{delta}') * k)}$ "
      by (smt (verit, best) Transcendental.log_le_iff powr_ge_zero)
    then show " $\text{RN } k \ k \leq (2^{\text{power } (2 - \text{delta}')})^k$ "
      by (simp add: mult.commute powr_power)
  qed
  moreover have " $2^{\text{power } (2 - \text{delta}')} \leq 4 - ?\varepsilon$ "
    unfolding delta'_def by (approximation 25)
  ultimately show " $\forall^\infty k. \text{real } (\text{RN } k \ k) \leq (4 - ?\varepsilon)^k$ "
    by (smt (verit) power_mono powr_ge_zero eventually_mono)
qed auto

```

The whole development is 11 K lines and runs in under 9 minutes. Formalisation took 251 days.



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Andrew Thomason

(If you want to understand the actual proof,  
please see Bhavik's *Lean Together* talk on the  
**leanprover community** YouTube channel)