

# HOL Light — from foundations to applications

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18th May 2015 (08:30–10:00)

## Summary of talk

- ▶ The world of interactive theorem provers
- ▶ HOL Light and the LCF approach
- ▶ HOL Light in formal verification and pure mathematics
- ▶ Installation and OCaml basics
- ▶ The HOL Logic in OCaml

# The world of interactive theorem provers

## A few notable general-purpose theorem provers

There is a diverse (perhaps too diverse?) world of proof assistants, with these being just a few:

- ▶ ACL2
- ▶ Agda
- ▶ Coq
- ▶ HOL (HOL Light, HOL4, ProofPower, HOL Zero)
- ▶ IMPS
- ▶ Isabelle
- ▶ Metamath
- ▶ Mizar
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See Freek Wiedijk's book *The Seventeen Provers of the World* (Springer-Verlag lecture notes in computer science volume 3600) for descriptions of many systems and proofs that  $\sqrt{2}$  is irrational.

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  - ▶ HOL family and Isabelle/HOL (simple type theory)
  - ▶ Martin-Löf type theory (Agda, Nuprl)
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  - ▶ Other typed formalisms (IMPS, PVS)
- ▶ Some are even based on very simple foundations analogous to primitive recursive arithmetic, without explicit quantifiers (ACL2, NQTHM)
- ▶ There is now interest in a new foundational approach, homotopy type theory, with experimental implementations.

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There have even recently been papers about versions of Milawa (a simplified ACL2) and HOL Light verified right down to machine code.



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Mizar pioneered the declarative style of proof. Recently, several other declarative proof languages have been developed, as well as declarative shells round existing systems like HOL and Isabelle.

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- ▶ Reimplement algorithms to perform proofs as they proceed
- ▶ Have suitable ‘certificates’ produced by an external tool checked in the inference kernel.
- ▶ Extend kernel with verified implementation (*reflection*).

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- ▶ Large formalizations (Odd Order Theorem, Flyspeck) have motivated formalization of 'foundational' material as a by-product, making similar efforts easier in future.
- ▶ The earliest large mathematical library, still perhaps the largest is the Mizar Mathematical Library (MML), following the style of mathematical papers with extracted text and references.
- ▶ Many theorem provers including Coq, HOL Light and Isabelle/HOL (including the 'archive of formal proofs') also have large and every-expanding mathematical libraries.

# HOL Light and the LCF approach

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- ▶ HOL Light is designed to have a particularly simple and clean logical foundation.



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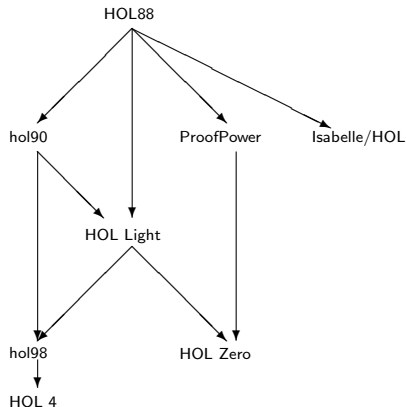
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- ▶ HOL Light is designed to have a particularly simple and clean logical foundation.
- ▶ Written in Objective CAML (OCaml), a somewhat popular variant of the ML family of languages.
- ▶ Has been used for floating-point algorithm verifications at Intel and the verification of Hales's proof of the Kepler conjecture (Flyspeck).

# The HOL family DAG

There are many HOL provers, of which HOL Light is just one, all descended from Mike Gordon's original HOL system in the late 1980s.



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- ▶ LCF gives a very attractive mix of *security* and *extensibility/programmability*.
- ▶ There have been quite a few LCF-style provers for various logics, e.g. HOL, Nuprl, LAMBDA, Isabelle/HOL (and to some extent Coq used the LCF approach).

## How an LCF-style prover works

A logical inference rule such as  $\Rightarrow$ -elimination (*modus ponens*)

$$\frac{\Gamma \vdash p \Rightarrow q \quad \Delta \vdash p}{\Gamma \cup \Delta \vdash q}$$



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- ▶ An abstract type of *theorems* can restrict the user to an approved selection of *primitive inference rules* — all theorems must be created with those.
- ▶ By layers of programming, much more high-level and convenient *derived inference rules* can be programmed on top.

## HOL Light

HOL Light is an extreme case of the LCF approach. The entire logical kernel is 430 lines of code:

- ▶ 10 rather simple primitive inference rules
- ▶ 2 conservative definitional extension principles
- ▶ 3 mathematical axioms (infinity, extensionality, choice)

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Arguably, HOL Light is the computer-age descendant of Whitehead and Russell's *Principia Mathematica*:

- ▶ The logical basis is simple type theory, which was distilled (Ramsey, Chwistek, Church) from PM's original logic.
- ▶ Everything, even arithmetic on numbers, is done from first principles by reduction to the primitive logical basis.

# A formal proof from 1910

SECTION A) CARDINAL COUPLES 379

**\*54-42.**  $\vdash :: \alpha \in 2, \supset \vdash \beta \subset \alpha, \supset \exists ! \beta, \beta \neq \alpha, \equiv \cdot \beta \varepsilon t^{\alpha}$   
*Dem.*  
 $\vdash$ . \*54-4.  $\supset \vdash :: \alpha = t^{\alpha} \cup t^{\beta}, \supset \vdash$   
 $\beta \subset \alpha, \supset \exists ! \beta, \equiv \vdash \beta = \Lambda, \vee, \beta = t^{\alpha}, \vee, \beta = t^{\beta}, \vee, \beta = \alpha; \supset \exists ! \beta :$   
[\*24:33-56, \*51-161]  $\equiv \vdash \beta = t^{\alpha}, \vee, \beta = t^{\beta}, \vee, \beta = \alpha$  (1)  
 $\vdash$ . \*54-25, Transp. \*52-22,  $\supset \vdash : x \neq y, \supset \cdot t^{\alpha} \cup t^{\beta} \neq t^{\alpha}, t^{\alpha} \cup t^{\beta} \neq t^{\beta} :$   
[\*13-12]  $\supset \vdash : \alpha = t^{\alpha} \cup t^{\beta}, x \neq y, \supset \cdot \alpha \neq t^{\alpha}, \alpha \neq t^{\beta} :$  (2)  
 $\vdash$ . (1), (2),  $\supset \vdash :: \alpha = t^{\alpha} \cup t^{\beta}, x \neq y, \supset \vdash$   
 $\beta \subset \alpha, \supset \exists ! \beta, \beta \neq \alpha, \equiv \vdash \beta = t^{\alpha}, \vee, \beta = t^{\beta} :$   
[\*51-205]  $\equiv \vdash (\exists x), x \varepsilon \alpha, \beta = t^{\alpha} :$   
[\*37-6]  $\equiv \vdash \beta \varepsilon t^{\alpha}$  (3)  
 $\vdash$ . (3), \*11-11-35, \*54-101,  $\supset \vdash$ . Prop

**\*54-43.**  $\vdash \vdash, \alpha, \beta \varepsilon 1, \supset \vdash : \alpha \cap \beta = \Lambda, \equiv \cdot \alpha \vee \beta \varepsilon 2$   
*Dem.*  
 $\vdash$ . \*54-26,  $\supset \vdash \vdash, \alpha = t^{\alpha}, \beta = t^{\beta}, \supset \vdash : \alpha \cup \beta \varepsilon 2, \equiv \cdot \alpha \neq \beta,$   
[\*51-231]  $\equiv \cdot t^{\alpha} \cap t^{\beta} = \Lambda,$   
[\*13-12]  $\equiv \cdot \alpha \cap \beta = \Lambda$  (1)  
 $\vdash$ . (1), \*11-11-35,  $\supset$   
 $\vdash \vdash, (\exists x, y), \alpha = t^{\alpha}, \beta = t^{\beta}, \supset \vdash : \alpha \cup \beta \varepsilon 2, \equiv \cdot \alpha \cap \beta = \Lambda$  (2)  
 $\vdash$ . (2), \*11-34, \*52-1,  $\supset \vdash$ . Prop

From this proposition it will follow, when arithmetical addition has been defined, that  $1 + 1 = 2$ .

**\*54-44.**  $\vdash \vdash, x, w \varepsilon t^{\alpha} \cup t^{\beta}, \supset_{2, w}, \phi(x, w) \equiv \cdot \phi(x, x) \cdot \phi(x, y) \cdot \phi(y, x) \cdot \phi(y, y)$   
*Dem.*  
 $\vdash$ . \*51-234, \*11-02,  $\supset \vdash \vdash, x, w \varepsilon t^{\alpha} \cup t^{\beta}, \supset_{2, w}, \phi(x, w) \equiv \vdash$   
 $x \varepsilon t^{\alpha} \cup t^{\beta}, \supset \cdot \phi(x, x) \cdot \phi(x, y) :$   
[\*51-234, \*10-29]  $\equiv \vdash \phi(x, x) \cdot \phi(x, y) \cdot \phi(y, x) \cdot \phi(y, y) :$   $\supset \vdash$ . Prop

**\*54-441.**  $\vdash \vdash, x, w \varepsilon t^{\alpha} \cup t^{\beta}, z \neq w, \supset_{2, w}, \phi(x, w) \equiv \vdash : x = y \vee z \vee \vdash \phi(x, y) \cdot \phi(y, x)$   
*Dem.*  
 $\vdash$ . \*50-6,  $\supset \vdash \vdash, x, w \varepsilon t^{\alpha} \cup t^{\beta}, z \neq w, \supset_{2, w}, \phi(x, w) \equiv \vdash$   
 $x, w \varepsilon t^{\alpha} \cup t^{\beta}, \supset_{2, w}, z = w, \vee, \phi(x, w) :$   
[\*54-44]  $\equiv \vdash : x = x, \vee, \phi(x, x) : x = y, \vee, \phi(x, y) :$   
 $y = x, \vee, \phi(y, x) : y = y, \vee, \phi(y, y) :$   
[\*13-10]  $\equiv \vdash : x = y, \vee, \phi(x, y) : y = x, \vee, \phi(y, x) :$   
[\*13-16, \*4-41]  $\equiv \vdash : x = y, \vee, \phi(x, y) \cdot \phi(y, x)$

This proposition is used in \*163-42, in the theory of relations of mutually exclusive relations.

This is p379 of Whitehead and Russell's *Principia Mathematica*.

## Zooming in ...

**\*54·43.**  $\vdash :: \alpha, \beta \in 1 . \supset : \alpha \cap \beta = \Lambda . \equiv . \alpha \cup \beta \in 2$

*Dem.*

$\vdash . *54·26 . \supset \vdash :: \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . x \neq y .$

[\*51·231]  $\equiv . \iota'x \cap \iota'y = \Lambda .$

[\*13·12]  $\equiv . \alpha \cap \beta = \Lambda \quad (1)$

$\vdash . (1) . *11·11·35 . \supset$

$\vdash :: (\exists x, y) . \alpha = \iota'x . \beta = \iota'y . \supset : \alpha \cup \beta \in 2 . \equiv . \alpha \cap \beta = \Lambda \quad (2)$

$\vdash . (2) . *11·54 . *52·1 . \supset \vdash . \text{Prop}$

From this proposition it will follow, when arithmetical addition has been defined, that  $1 + 1 = 2$ .



# A formal proof from 2010

```
let PNT = prove
  ('(\n. &(CARD {p | prime p /\ p <= n}) / (&n / log(&n)))
   ---> &1) sequentially',
  REWRITE_TAC[PNT_PARTIAL_SUMMATION] THEN
  REWRITE_TAC[SUM_PARTIAL_PRE] THEN
  REWRITE_TAC[GSYM REAL_OF_NUM_ADD; SUB_REFL; CONJUNCT1 LE] THEN
  SUBGOAL_THEN '{p | prime p /\ p = 0} = {}' SUBST1_TAC THENL
    [REWRITE_TAC[EXTENSION; IN_ELIM_THM; NOT_IN_EMPTY] THEN
     MESON_TAC[PRIME_IMP_NZ];
     ALL_TAC] THEN
  REWRITE_TAC[SUM_CLAUSES; REAL_MUL_RZERO; REAL_SUB_RZERO] THEN
  MATCH_MP_TAC REALLIM_TRANSFORM_EVENTUALLY THEN
  EXISTS_TAC
    '\n. ((&n + &1) / log(&n + &1) *
      sum {p | prime p /\ p <= n} (\p. log(&p) / &p) -
      sum (1..n)
        (\k. sum {p | prime p /\ p <= k} (\p. log(&p) / &p) *
          ((&k + &1) / log(&k + &1) - &k / log(&k)))) / (&n / log(&n))' THEN
  CONJ_TAC THENL
    [REWRITE_TAC[EVENTUALLY_SEQUENTIALLY] THEN EXISTS_TAC '1' THEN SIMP_TAC[];
     ALL_TAC] THEN
  MATCH_MP_TAC REALLIM_TRANSFORM THEN
  EXISTS_TAC
    '\n. ((&n + &1) / log(&n + &1) * log(&n) -
      sum (1..n)
        (\k. log(&k) * ((&k + &1) / log(&k + &1) - &k / log(&k)))) /
      (&n / log(&n))' THEN
  REWRITE_TAC[] THEN CONJ_TAC THENL
    [REWRITE_TAC[REAL_ARITH
      ' (a * x - s) / b - (a * x' - s') / b:real =
        ((s' - s) - (x' - x) * a) / b' ] THEN
     REWRITE_TAC[GSYM SUM_SUB_NUMSEG; GSYM REAL_SUB_RDISTRIB] THEN
     REWRITE_TAC[REAL_OF_NUM_ADD] THEN
     MATCH_MP_TAC SUM_PARTIAL_LIMIT_ALT THEN
```

## Zooming in ...

At least the theorems are more substantial:

```
let PNT = prove
  (('((\n. &(CARD {p | prime p /\ p <= n}) / (&n / log(&n)))
    ---> &1) sequentially',
  REWRITE_TAC[PNT_PARTIAL_SUMMATION] THEN
  REWRITE_TAC[SUM_PARTIAL_PRE] THEN
  REWRITE_TAC[GSYM REAL_OF_NUM_ADD; SUB_REFL; CONJUNCT1 LE] THEN
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Though whether formal proofs have become more digestible to the non-expert is perhaps questionable ...

# HOL Light in formal verification and mathematics

## Intel's diverse activities

Intel is best known as a hardware company, and hardware is still the core of the company's business. However this entails much more:

- ▶ Microcode
- ▶ Firmware
- ▶ Protocols
- ▶ Software

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- ▶ Microcode
- ▶ Firmware
- ▶ Protocols
- ▶ Software

If the Intel® Software and Services Group (SSG) were split off as a separate company, it would be in the top 10 software companies worldwide.

## A diversity of verification problems

This gives rise to a corresponding diversity of verification problems, and of verification solutions.

- ▶ Propositional tautology/equivalence checking (FEV)
- ▶ Symbolic simulation
- ▶ Symbolic trajectory evaluation (STE)
- ▶ Temporal logic model checking
- ▶ Combined decision procedures (SMT)
- ▶ First order automated theorem proving
- ▶ Interactive theorem proving

Most of these techniques (trading automation for generality / efficiency) are in active use at Intel.

## A spectrum of formal techniques

Traditionally, formal verification has been focused on complete proofs of functional correctness.

But recently there have been notable successes elsewhere for 'semi-formal' methods involving abstraction or more limited property checking.

- ▶ Airbus A380 avionics
- ▶ Microsoft SLAM/SDV

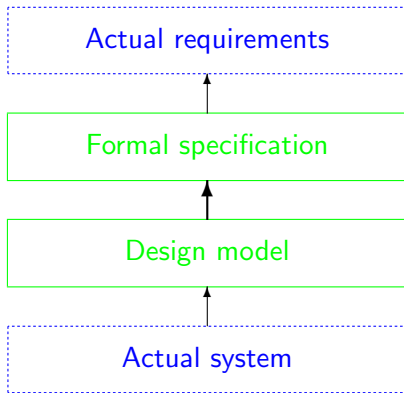
One can also consider applying theorem proving technology to support testing or other traditional validation methods like path coverage.

These are all areas of interest at Intel.



## Models and their validation

We have the usual concerns about validating our specs, but also need to pay attention to the correspondence between our models and physical reality.



## Physical problems

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However, these are rare and apparently well controlled by existing engineering best practice.

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- ▶ Intel eventually set aside US \$475 million to cover the costs.

This did at least considerably improve investment in formal verification.

## Some HOL Light verifications

We have formally verified correctness of various floating-point algorithms using HOL Light:

- ▶ Division and square root (Marstein-style, using fused multiply-add to do Newton-Raphson or power series approximation with delicate final rounding).
- ▶ Transcendental functions like *log* and *sin* (table-driven algorithms using range reduction and a core polynomial approximations).

# The Kepler conjecture

The *Kepler conjecture* states that no arrangement of identical balls in ordinary 3-dimensional space has a higher packing density than the obvious ‘cannonball’ arrangement.

Hales, working with Ferguson, arrived at a proof in 1998:

- ▶ 300 pages of mathematics: geometry, measure, graph theory and related combinatorics, . . .
- ▶ 40,000 lines of supporting computer code: graph enumeration, nonlinear optimization and linear programming.

Hales submitted his proof to *Annals of Mathematics* . . .

## The response of the reviewers

After a full four years of deliberation, the reviewers returned:

*“The news from the referees is bad, from my perspective. They have not been able to certify the correctness of the proof, and will not be able to certify it in the future, because they have run out of energy to devote to the problem. This is not what I had hoped for.*

*Fejes Toth thinks that this situation will occur more and more often in mathematics. He says it is similar to the situation in experimental science — other scientists acting as referees can't certify the correctness of an experiment, they can only subject the paper to consistency checks. He thinks that the mathematical community will have to get used to this state of affairs.”*

## The birth of Flyspeck

Hales's proof was eventually published, and no significant error has been found in it. Nevertheless, the verdict is disappointingly lacking in clarity and finality.

As a result of this experience, the journal changed its editorial policy on computer proof so that it will no longer even try to check the correctness of computer code.

Dissatisfied with this state of affairs, Hales initiated a project called *Flyspeck* to completely formalize the proof.

# Flyspeck

Flyspeck = 'Formal Proof of the Kepler Conjecture'.

*"In truth, my motivations for the project are far more complex than a simple hope of removing residual doubt from the minds of few referees. Indeed, I see formal methods as fundamental to the long-term growth of mathematics. (Hales, The Kepler Conjecture)*

In parallel, Hales has simplified the informal proof using ideas from Marchal, significantly cutting down on the formalization work.



## Flyspeck: current status

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- ▶ A highly optimized way of formally proving the linear programming part in HOL Light has been developed by Alexey Solovyev, following earlier work by Steven Obua.
- ▶ A method has been developed by Alexey Solovyev to prove all the nonlinear optimization results, running in many parallel sessions of HOL Light.

# OCaml basics

## HOL Light and OCaml

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# HOL Light and OCaml

- ▶ HOL Light is just an OCaml program, so installing HOL Light means installing OCaml and loading HOL Light files into an interactive session
- ▶ HOL Light uses `camlp5` to make a few modifications to OCaml's usual concrete syntax, which makes things slightly more complicated.
- ▶ There are also many similarities between OCaml (the 'metallogic') and the higher-order logic of HOL (the 'object logic'), which can be both illuminating and confusing.

## Installation basics

The difficulty of installation varies with operating system. This page is the main guide:

<https://code.google.com/p/hol-light/source/browse/trunk/README>

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There is a debian package for HOL Light (thanks to Hendrik Tews), so for debian and derivatives like Ubuntu you can simply do

```
sudo apt-get install hol-light
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then start it up with the following (it takes a minute or so to load everything in)

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hol-light
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then start it up with the following (it takes a minute or so to load everything in)

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hol-light
```

For other OSs you will probably need to install OCaml, camlp5 and then HOL Light itself separately.

## The OCaml toplevel

When using HOL Light, you are in the top-level read-eval-print loop of OCaml, a strongly typed functional programming language.

- ▶ OCaml presents the prompt '#'
- ▶ Enter phrases terminated by *double* semicolon ';;' for evaluation

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- ▶ OCaml presents the prompt `'#'`
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The user enters

```
# 2 + 2;;
```

and OCaml responds with

```
val it : int = 4  
#
```

It not only returns the *value* (4) but also infers the type (int) and binds it to a name (it).

## OCaml bindings

We can now use the name 'it' to stand for that expression:

```
# it * it;;  
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```
# let a = 2 and b = 3;;  
val a : int = 2  
val b : int = 3  
# let c = a - b;;  
val c : int = -1
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```

or make bindings *local* to an expression using 'in':

```
# let d = a / 2 in d + 6;;  
val it : int = 7  
# d;;  
Error: Unbound value d
```

## Basic OCaml datatypes

A few basic built-in datatypes:

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- ▶ Booleans (`bool`), with elements `false` and `true` and operations like infix `'&&'` and `'||'`
- ▶ Strings (`string`) written in "Double quotes" with `'^'` as infix concatenation.

## Pairs and lists

OCaml has two especially important structured datatypes, though the user can define more (and HOL Light defines its own for logical concepts);

- ▶ Pairs, written with an infix `' , '` (the parentheses are only needed to establish precedence)

```
# 1,2;;
```

```
val it : int * int = (1, 2)
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- ▶ Lists, written with *semicolon* as separator, and `::` as 'cons':

```
# 1::2::[3;4];;  
val it : int list = [1; 2; 3; 4]
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# 1::2::[3;4];;  
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```

Structured types can be nested in arbitrary ways (lists of pairs of lists etc.) and OCaml automatically keeps track of the types.

## OCaml functions

One can define *functions* in OCaml using either of the following more or less equivalent forms:

- ▶ An explicit 'lambda' written 'fun v -> e', e.g.

```
# let square = fun x -> x * x;;  
val square : int -> int = <fun>
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- ▶ An ordinary let-binding with parameters

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val square : int -> int = <fun>
```

Functions are applied just by juxtaposition; parentheses are only needed to establish precedence

```
# square 12 + 1;;  
val it : int = 145  
# square (12 + 1);;  
val it : int = 169
```

## Recursion and pattern-matching

Function definitions can be recursive with the `rec` keyword, and since OCaml is primarily a functional language, this is a major control flow mechanism.

- ▶ The factorial function can be defined as

```
# let rec fact n = if n <= 0 then 1 else n * fact(n - 1);;
val fact : int -> int = <fun>
# fact 12;;
val it : int = 479001600
```

- ▶ The length of a list can be determined as follows; note the use of pattern-matching 'match ... with' clauses:

```
# let rec length l =
  match l with
  | [] -> 0
  | h::t -> 1 + length t;;
val length : 'a list -> int = <fun>
# length [1;2;3];;
val it : int = 3
```

## Currying

OCaml allows function types to be nested, so one can implement multiple-argument functions as functions returning functions ('currying').

```
# let add x y = x + y;;  
val add : int -> int -> int = <fun>  
# let suc = add 1;;  
val suc : int -> int = <fun>  
# suc 2;;  
val it : int = 3
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```

Alternatively one can explicitly use a paired argument:

```
# let add(x,y) = x + y;;  
val add : int * int -> int = <fun>  
# add(1,3);;  
val it : int = 4
```

# Polymorphism

OCaml infers 'most general' types for functions according to an elegant polymorphic type system, with 'type variables' used to signify generality.

```
# let identity x = x;;  
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```
# let identity x = x;;  
val identity : 'a -> 'a = <fun>
```

Such a function can be applied to any specific instance (or a more complex polymorphic type)

```
# identity 1;;  
val it : int = 1  
# identity false;;  
val it : bool = false
```

# HOL Light basics

## Basic logical entities in OCaml

There are three key OCaml datatypes used to represent logical entities in HOL:

- ▶ Higher-order logic *types*, `hol_type`. You can conveniently create them using specially parsed backquotes with colon:

```
# `:bool`;;  
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- ▶ HOL terms, `term`, which can also be conveniently created via special parsing support

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- ▶ HOL terms, `term`, which can also be conveniently created via special parsing support

```
# `1 + 2`;;  
val it : term = `1 + 2`
```

- ▶ HOL theorems, which cannot be just created arbitrarily but must be *proved*, e.g. the pre-existing theorem that addition is commutative.

```
# ADD_SYM;;  
val it : thm = |- !m n. m + n = n + m
```

## Abstract type encapsulation

All the three core logical datatypes are effectively abstract data types, so how you can form them is *restricted* to ensure logical coherence

- ▶ You can only create HOL types that have been declared

```
# `:int triple`;;
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Exception: Failure "Unparsed input following type".
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- ▶ You can only create well-typed HOL terms; here we try to add 1 and 'false' (the Booleans are written as F and T in HOL):

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- ▶ Theorems can only be created (ultimately) by applying a small number of primitive rules



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The type system is very closely analogous to that of OCaml itself, and HOL's parser even uses similar algorithms to assign most general polymorphic types.

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```
# '1';;  
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- ▶ Applications, written with juxtaposition (this is the successor function applied to 0):

```
# 'SUC 0';;  
val it : term = 'SUC 0'
```



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```
# 'p:bool';;  
val it : term = 'p'
```

- ▶ Constants, again with a specific type that HOL Light will usually infer, though it supports some degree of constant overloading

```
# '1';;  
val it : term = '1'
```

- ▶ Applications, written with juxtaposition (this is the successor function applied to 0):

```
# 'SUC 0';;  
val it : term = 'SUC 0'
```

- ▶ Abstractions or lambdas, written with a backslash

```
# '\x. x + 1';;  
val it : term = '\x. x + 1'
```

## HOL Light primitive rules (1)

$$\frac{}{\vdash t = t} \text{REFL}$$

$$\frac{\Gamma \vdash s = t \quad \Delta \vdash t = u}{\Gamma \cup \Delta \vdash s = u} \text{TRANS}$$

$$\frac{\Gamma \vdash s = t \quad \Delta \vdash u = v}{\Gamma \cup \Delta \vdash s(u) = t(v)} \text{MK\_COMB}$$

$$\frac{\Gamma \vdash s = t}{\Gamma \vdash (\lambda x. s) = (\lambda x. t)} \text{ABS}$$

$$\frac{}{\vdash (\lambda x. t)x = t} \text{BETA}$$

## HOL Light primitive rules (2)

$$\frac{}{\{p\} \vdash p} \text{ ASSUME}$$

$$\frac{\Gamma \vdash p = q \quad \Delta \vdash p}{\Gamma \cup \Delta \vdash q} \text{ EQ\_MP}$$

$$\frac{\Gamma \vdash p \quad \Delta \vdash q}{(\Gamma - \{q\}) \cup (\Delta - \{p\}) \vdash p = q} \text{ DEDUCT\_ANTISYM\_RULE}$$

$$\frac{\Gamma[x_1, \dots, x_n] \vdash p[x_1, \dots, x_n]}{\Gamma[t_1, \dots, t_n] \vdash p[t_1, \dots, t_n]} \text{ INST}$$

$$\frac{\Gamma[\alpha_1, \dots, \alpha_n] \vdash p[\alpha_1, \dots, \alpha_n]}{\Gamma[\gamma_1, \dots, \gamma_n] \vdash p[\gamma_1, \dots, \gamma_n]} \text{ INST\_TYPE}$$

## HOL's logical connectives

The usual logical connectives are given ASCII renderings:

$\perp$	F	Falsity
$\top$	T	Truth
$\neg$	~	Not
$\wedge$	/\	And
$\vee$	\	Or
$\Rightarrow$	==>	Implies ('if ... then ...')
$\Leftrightarrow$	<=>	Iff ('... if and only if ...')
$\forall$	!	For all
$\exists$	?	There exists
$\exists!$	?!	There exists a unique

## The definitions of the logical connectives

HOL Light is so foundational that even all the basic logical connectives are *defined* in terms of equality:

$$\begin{aligned}\top &= (\lambda p. p) = (\lambda p. p) \\ \wedge &= \lambda p. \lambda q. (\lambda f. f p q) = (\lambda f. f \top \top) \\ \Rightarrow &= \lambda p. \lambda q. p \wedge q = p \\ \forall &= \lambda P. P = \lambda x. \top \\ \exists &= \lambda P. \forall q. (\forall x. P(x) \Rightarrow q) \Rightarrow q \\ \vee &= \lambda p. \lambda q. \forall r. (p \Rightarrow r) \Rightarrow (q \Rightarrow r) \Rightarrow r \\ \perp &= \forall p. p \\ \neg &= \lambda p. p \Rightarrow \perp \\ \exists! &= \lambda P. \exists P \wedge \forall x. \forall y. P x \wedge P y \Rightarrow (x = y)\end{aligned}$$

The usual properties of the connectives are *derived* from the primitive rules.

## Basic syntax functions

HOL Light provides many convenient function for manipulating the basic logical entities, e.g.

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- ▶ `type_of` to get the (HOL!) type of a term

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- ▶ Destructor functions `dest_var`, `dest_const`, `dest_comb` and `dest_abs` to break down terms of various kinds

```
# dest_comb 'SUC 0';;  
val it : term * term = ('SUC', '0')
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- ▶ Corresponding constructors `mk_var`, `mk_const`, `mk_comb` and `mk_abs`

```
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val it : term = 'p'
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# dest_comb 'SUC 0';;  
val it : term * term = ('SUC', '0')
```

- ▶ Corresponding constructors `mk_var`, `mk_const`, `mk_comb` and `mk_abs`

```
# mk_var("p", ':bool');;  
val it : term = 'p'
```

- ▶ `frees` to get the free variables in a term

```
# frees 'x + y + 1';;  
val it : term list = ['x'; 'y']
```

## Representing more complex terms

All the expressions in logic and mathematics are ultimately expressed using just those four basic terms, and one can explore how it is done using the destructor functions

- ▶ Binary logical connectives are just curried functions of the appropriate type:

```
# dest_comb 'p /\ q';;  
val it : term * term = (('(/\) p', 'q')
```

- ▶ Quantifiers are higher-order functions applied to an abstraction

```
# dest_comb '!x. x < x + 1';;  
val it : term * term = (('(!)', '\x. x < x + 1')
```

## Getting help

Note that one can also get help on any predefined HOL Light functions using the `help` function, e.g.

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# help "mk_abs";;
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There is also a full Reference manual with the same information.

# HOL Light — from foundations to applications

John Harrison

Intel Corporation

19th May 2015 (10:30–12:00)

# Summary of talk

- ▶ Basic and derived definitional principles
- ▶ Basic mathematical theories in HOL Light
- ▶ More advanced automation
- ▶ Tactic proofs
- ▶ A tour of the library

# Basic and derived definitional principles



## Basic principle of constant definition

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All other constants are introduced using `new_basic_definition`, the rule of constant definition: given a term  $t$  (closed, and with some restrictions on type variables) and an unused constant name  $c$ , we can define  $c$  and get the new theorem

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$$\vdash c = t$$

This is an object-level definitional principle, in that  $c$  is a constant, not some meta-level abbreviation. It is easy to see that this is conservative, and in particular consistency-preserving.

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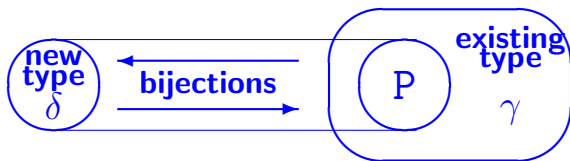
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The only primitive type constructors for the logic itself are `bool` (booleans) and `fun` (function space).

Later we add an infinite type `ind` (individuals) to assert the axiom of infinity.

All other types are introduced by `new_basic_type_definition`, the rule of type definition, to be in bijection with any nonempty subset of an existing type.



Again, this is conservative and consistency-preserving.

## HOL as a definitional framework

While Edinburgh LCF required theorems to be proved via the primitive inference rules, it was usual to assert axioms to give the definitions required, and it was quite easy to assert inconsistent axioms.



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- ▶ All proofs are done by primitive inferences
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Just using axioms was compared by Russell to theft in place of honest toil.

## Convenient higher-level definitional principles

However, part of the motivation for just axiomatizing definitions is that it's often very convenient to use much higher-level principles, e.g.

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HOL Light supports all these and more using safely *derived* definitional principles.

## Inductively defined relations

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```
# new_inductive_definition 'E(0) /\ (!n. E(n) ==> E(n + 2))';;
val it : thm * thm * thm =
  (|- E 0 /\ (!n. E n ==> E (n + 2)),
   |- !E'. E' 0 /\ (!n. E' n ==> E' (n + 2)) ==> (!a. E a ==> E' a),
   |- !a. E a <=> a = 0 \/\ (?n. a = n + 2 /\ E n))
```

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   |- !a. E a <=> a = 0 \/\ (?n. a = n + 2 /\ E n))
```

The function returns a triple of theorems:

- ▶ A 'rule' theorem (the inductively defined predicate is closed under the rules)
- ▶ An 'induction' or minimality theorem (the inductively defined predicate is the least such)
- ▶ A 'cases' theorem that each element arises by virtue of one of the rules.

## Inductive/recursive datatypes

These are analogous to the concrete datatypes of OCaml and similar languages. Examples include natural numbers, lists and trees.

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# let btree_INDUCT,btree_RECURSION = define_type
  "btree = Leaf num | Branch btree btree";;
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# let btree_INDUCT,btree_RECURSION = define_type
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```

The rule returns a pair of theorem, one justifying 'structural induction' over the type:

```
val btree_INDUCT : thm =
  |- !P. (!a. P (Leaf a)) /\ (!a0 a1. P a0 /\ P a1 ==> P (Branch a0 a1))
    ==> (!x. P x)
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and the other justifying definition by primitive recursion

```
val btree_RECURSION : thm =
  |- !f0 f1.
    ?fn. (!a. fn (Leaf a) = f0 a) /\
          (!a0 a1. fn (Branch a0 a1) = f1 a0 a1 (fn a0) (fn a1))
```

## Recursive functions

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let fib = define
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    fib 1 = 1 /\
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```

Some tail-recursive cases can be justified even without an ordering:

```
define 'collatz(n) = if n <= 1 then n
                  else if EVEN(n) then collatz(n DIV 2)
                  else collatz(3 * n + 1)';;
```

# Basic mathematical theories in HOL Light

## Cartesian products and pairs

We define a Cartesian product constructor written as infix '#' (not '\*' as in OCaml).

This takes two types  $\alpha$  and  $\beta$  and gives us the Cartesian product  $\alpha \times \beta$ .

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This takes two types  $\alpha$  and  $\beta$  and gives us the Cartesian product  $\alpha \times \beta$ .

As with OCaml, the pairing function is an infix comma, and parentheses are not needed except to establish precedence.

```
# type_of '1,2';;  
val it : hol_type = ':num#num'
```

The projections are `FST` and `SND`.

## Natural numbers

The axiom of infinity (`INFINITY_AX`) asserts that there is a function from the type of 'individuals' to itself that is *injective* but not *surjective* (Dedekind's definition of infinity)

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This gives the type of natural numbers `:num`, a function `SUC` (the image under the bijection of the function postulated by `INFINITY_AX`) and a constant zero (some value not in the range of `SUC`).

All the usual arithmetical operations are defined and the usual properties proved, making heavy use of definition by recursion and proof by recursion, e.g. the primitive recursive definition of addition:

```
val it : thm = |- (!n. 0 + n = n) /\ (!m n. SUC m + n = SUC (m + n))
```

## Natural number constants

The 'constants'  $0, 1, 2, 3, 4, \dots$  are not in fact constants, but prettyprinted forms of composite terms. We use two basic constants for the functions  $n \mapsto 2n$  and  $n \mapsto 2n + 1$ :

BIT0 = |- BIT0 n = n + n

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```

An outer identity constant `NUMERAL` is applied, which among other things avoids confusing cases where one number is a subterm of another one. So for example:

```
# dest_comb '14';;  
val it : term * term = ('NUMERAL', 'BIT0 (BIT1 (BIT1 (BIT1 _0)))')
```

## Natural number arithmetic

Most arithmetic operations in this representation can be evaluated by applying theorems as rewrite rules

ARITH\_ADD =

```
|- (!m n. NUMERAL m + NUMERAL n = NUMERAL (m + n)) /\
  _0 + _0 = _0 /\
  (!n. _0 + BIT0 n = BIT0 n) /\
  (!n. _0 + BIT1 n = BIT1 n) /\
  (!n. BIT0 n + _0 = BIT0 n) /\
  (!n. BIT1 n + _0 = BIT1 n) /\
  (!m n. BIT0 m + BIT0 n = BIT0 (m + n)) /\
  (!m n. BIT0 m + BIT1 n = BIT1 (m + n)) /\
  (!m n. BIT1 m + BIT0 n = BIT1 (m + n)) /\
  (!m n. BIT1 m + BIT1 n = BIT0 (SUC (m + n)))
```

ARITH\_SUC =

```
|- (!n. SUC (NUMERAL n) = NUMERAL (SUC n)) /\
  SUC _0 = BIT1 _0 /\
  (!n. SUC (BIT0 n) = BIT1 n) /\
  (!n. SUC (BIT1 n) = BIT0 (SUC n))
```

Optimized derived rules can do most arithmetic fairly efficiently, way slower than machine arithmetic or bignums, but fast enough for most purposes.

## Real numbers (1)

We say a function  $x : \mathbb{N} \rightarrow \mathbb{N}$  (i.e. a sequence of natural numbers) is *nearly additive* if there is a bound  $B$  with

$$\forall m, n. |x_{m+n} - (x_m + x_n)| \leq B$$

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$$\forall m, n. |mx_n - nx_m| \leq B(m + n)$$

Intuitively, it may help to think of  $x_n/n$  converging to a real number. We can turn this round and use it as a *definition* of (nonnegative) real numbers.

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Nonnegative reals are defined as equivalence classes of nearly multiplicative sequences. The operations are very easy, for two sequences  $x_n$  and  $y_n$ :

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Taking appropriate equivalence classes of pairs (thinking of  $(x, y)$  as  $x - y$ ) gives the positive and negative reals.

We prove the ‘complete ordered field’ properties and thereafter never look back inside the actual definition, so the precise definition used doesn’t really matter.

# Sets

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But for familiarity of notation we define a membership relation  $\text{IN}$

$\vdash \ !P\ x.\ x\ \text{IN}\ P\ \Leftrightarrow\ P\ x$



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But for familiarity of notation we define a membership relation `IN`

```
|- !P x. x IN P <=> P x
```

as well as a derived syntax (printed in the familiar way by the prettyprinter) for set comprehensions  $\{f(x) \mid P(x)\}$  for 'the set of  $f(x)$  such that  $P(x)$ ', and the usual set operations, e.g.

```
|- s UNION t = {x | x IN s \/\ x IN t}
```

More advanced automation

## More automated derived rules

HOL Light does have quite a few quite highly automated derived rules that can prove non-trivial properties in the right domains completely automatically (and with the usual proof generation).

- ▶ Tautology checker
- ▶ First-order automation (MESON, Holyhammer)
- ▶ Basic set theory
- ▶ Algebra via Gröbner bases
- ▶ Linear arithmetic
- ▶ ...

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To become productive at formal proof, it's worth appreciating what can and cannot be done by these automated methods.

# Tautology checker

You can prove basic propositional tautologies with TAUT

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- ▶ Convert the problem to standard format and call the SAT solver
- ▶ Use the proof trace returned to generate a HOL Light proof.

The HOL Light proof generation time is not usually much more than the existing search time for the SAT solver.



## First-order automation

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MESON[]

```
'(!x y z. P x y /\ P y z ==> P x z) /\  
  (!x y z. Q x y /\ Q y z ==> Q x z) /\  
  (!x y. P x y ==> P y x) /\  
  (!x y. P x y \\/ Q x y)  
==> (!x y. P x y) \\/ (!x y. Q x y)';;
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```

Cezary Kaliszyk and Josef Urban have created a much more powerful framework for first-order automation including many off-the-shelf first order provers and a framework for machine learning, which you can even use over a Web interface:

<http://cl-informatik.uibk.ac.at/software/hh/>

## Basic set automation

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```
SET_RULE 't SUBSET s ==> t = s INTER t';;
```

```
SET_RULE '~(s SUBSET {b}) <=> ?a. ~(a = b) /\ a IN s';;
```

```
SET_RULE '(!x y. f x = f y ==> x = y) ==> (!x s. f x IN IMAGE f s <=> x IN s)';;
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```

This is used frequently to generate such handy obvious facts that would otherwise be distracting in the middle of a real proof.

## Algebra via Gröbner bases

HOL Light includes a Gröbner basis procedure which is at the core of several convenient algebraic rules like `INT_RING`, `REAL_FIELD`, `COMPLEX_FIELD`:

```
# REAL_FIELD '!x. &0 < x ==> &1 / x - &1 / (x + &1) = &1 / (x * (x + &1))';;  
val it : thm = |- !x. &0 < x ==> &1 / x - &1 / (x + &1) = &1 / (x * (x + &1))
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```

Here is “Vieta’s substitution” for cubic equations, completely automatically:

```
REAL_RING  
'p = (&3 * a1 - a2 pow 2) / &3 /\  
q = (&9 * a1 * a2 - &27 * a0 - &2 * a2 pow 3) / &27 /\  
x = z + a2 / &3 /\  
x * w = w pow 2 - p / &3  
==> (z pow 3 + a2 * z pow 2 + a1 * z + a0 = &0 <=>  
      if p = &0 then x pow 3 = q  
      else (w pow 3) pow 2 - q * (w pow 3) - p pow 3 / &27 = &0) ';;
```

## Linear arithmetic

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```
# REAL_ARITH '!x y:real. x < y ==> x < (x + y) / &2 /\ (x + y) / &2 < y';;  
val it : thm = |- !x y. x < y ==> x < (x + y) / &2 /\ (x + y) / &2 < y
```

```
# REAL_ARITH '!x y:real. (abs(x) - abs(y)) <= abs(x - y)';;  
val it : thm = |- !x y. abs x - abs y <= abs (x - y)
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```

These can also handle non-linear terms and division by constants in easy cases, e.g.

```
REAL_ARITH '(&1 + x) * (&1 - x) * (&1 + x pow 2) < &1 ==> &0 < x pow 4';;
```

```
ARITH_RULE 'x < 2 EXP 30 ==> (429496730 * x) DIV (2 EXP 32) = x DIV 10';;
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ARITH_RULE 'x < 2 EXP 30 ==> (429496730 * x) DIV (2 EXP 32) = x DIV 10';;
```

However in general these are limited to linear problems and only (implicitly or explicitly) universal quantified formulas.

## Quantifier elimination for linear arithmetic

Examples/cooper.ml has Cooper's algorithm for integer quantifier elimination as a derived rule, which can handle arbitrary quantifier structure:

```
# COOPER_RULE ' !n. n >= 8 ==> ?a b. n = 3 * a + 5 * b ';;  
val it : thm = |- !n. n >= 8 ==> (?a b. n = 3 * a + 5 * b)
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```

Here's an example where we can prove 'covering congruence' results more or less automatically:

```
let COVERING_CONGRUENCES_1 = prove  
  (' !n. (n == 0) (mod 2) \\  
    (n == 0) (mod 3) \\  
    (n == 1) (mod 4) \\  
    (n == 3) (mod 8) \\  
    (n == 7) (mod 12) \\  
    (n == 23) (mod 24) ',  
  GEN_TAC THEN REWRITE_TAC[num_congruent; int_congruent] THEN  
  SPEC_TAC('&n:int', 'x:int') THEN CONV_TAC COOPER_CONV);;
```



## Quantifier elimination for real arithmetic

Rqe contains a derived quantifier elimination procedure for real arithmetic written by Sean McLaughlin. It is quite powerful in principle:

```
REAL_QELIM_CONV
```

```
'!a b c. (?x. a * x pow 2 + b * x + c = &0) <=>  
  a = &0 /\ (~(b = &0) \\/ c = &0) \/  
  ~(a = &0) /\ b pow 2 >= &4 * a * c';;
```

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  ~(a = &0) /\ b pow 2 >= &4 * a * c';;
```

This seems to be one of the cases where insisting on full LCF-style proof generation really slows things down, so this can be quite time-consuming on large problems.

## Nonlinear arithmetic using sum-of-squares

For purely *universal* nonlinear problems there is a procedure based on sums of squares (building on the work of Pablo Parrilo) which is often much more efficient.

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It relies on an external semidefinite programming engine like CSDP, but generates an algebraic certificate that can be verified very efficiently in HOL Light.

```
# SOS_RULE '1 <= x /\ 1 <= y ==> 1 <= x * y';;  
val it : thm = |- 1 <= x /\ 1 <= y ==> 1 <= x * y
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```
# SOS_RULE '1 <= x /\ 1 <= y ==> 1 <= x * y';;  
val it : thm = |- 1 <= x /\ 1 <= y ==> 1 <= x * y
```

Under the surface the algebraic certificate involves rearranging expressions into sums of squares.

## More SOS examples

There is also a conversion that will just explicitly rewrite expressions as sums of squares:

```
# SOS_CONV
  '&2 * x pow 4 + &2 * x pow 3 * y - x pow 2 * y pow 2 + &5 * y pow 4';;
val it : thm =
|- &2 * x pow 4 + &2 * x pow 3 * y - x pow 2 * y pow 2 + &5 * y pow 4 =
  &1 / &2 * (&2 * x pow 2 + x * y + -- &1 * y pow 2) pow 2 +
  &1 / &2 * (x * y + y pow 2) pow 2 +
  &4 * y pow 2 pow 2
```

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  &1 / &2 * (&2 * x pow 2 + x * y + -- &1 * y pow 2) pow 2 +
  &1 / &2 * (x * y + y pow 2) pow 2 +
  &4 * y pow 2 pow 2
```

SOS is quite good at the kinds of inequalities you find in math olympiad problems:

```
REAL_SOS
  '!a b c:real.
    a >= &0 /\ b >= &0 /\ c >= &0
  ==> &3 / &2 * (b + c) * (a + c) * (a + b) <=
    a * (a + c) * (a + b) +
    b * (b + c) * (a + b) +
    c * (b + c) * (a + c)';;
```



# Nonlinear inequality reasoning with formal interval arithmetic

As part of the Flyspeck project Alexey Solovyev developed a highly efficient formal implementation of interval arithmetic (Formal\_ineqs),

```
verify_ineq default_params 5
  '-- &10 <= x0 /\ x0 <= &40 /\ &40 <= x1 /\ x1 <= &100 /\
  -- &70 <= x2 /\ x2 <= -- &40 /\ -- &70 <= x3 /\ x3 <= &40 /\
  &10 <= x4 /\ x4 <= &20 /\ -- &10 <= x5 /\ x5 <= &20 /\
  -- &30 <= x6 /\ x6 <= &110 /\ -- &110 <= x7 /\ x7 <= -- &30
==> -- &1 * x0 * x5 pow 3 + &3 * x0 * x5 * x6 pow 2 - x2 * x6 pow 3 +
  &3 * x2 * x6 * x5 pow 2 - x1 * x4 pow 3 + &3 * x1 * x4 * x7 pow 2 -
  x3 * x7 pow 3 + &3 * x3 * x7 * x4 pow 2 - &9563453 / &10000000
  < &232480000';;
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  &10 <= x4 /\ x4 <= &20 /\ -- &10 <= x5 /\ x5 <= &20 /\
  -- &30 <= x6 /\ x6 <= &110 /\ -- &110 <= x7 /\ x7 <= -- &30
==> -- &1 * x0 * x5 pow 3 + &3 * x0 * x5 * x6 pow 2 - x2 * x6 pow 3 +
  &3 * x2 * x6 * x5 pow 2 - x1 * x4 pow 3 + &3 * x1 * x4 * x7 pow 2 -
  x3 * x7 pow 3 + &3 * x3 * x7 * x4 pow 2 - &9563453 / &10000000
  < &232480000';;
```

Besides being amazingly efficient, it can also handle several transcendental functions, e.g.

```
verify_ineq default_params 5
  '&0 <= x /\ x <= &1 ==> atn x - x / (&1 + #0.28 * x * x) < #0.005';;
```

## Divisibility properties

HOL Light has a convenient rule for proving a class of basic divisibility properties over natural numbers

NUMBER\_RULE

```
'~(gcd(a,b) = 0) /\ a = a' * gcd(a,b) /\ b = b' * gcd(a,b)
==> coprime(a',b')';;
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  ==> coprime(a',b')';;
```

or integers

```
INTEGER_RULE '!x y. coprime(x * y,x pow 2 + y pow 2) <=> coprime(x,y)';;
```

```
INTEGER_RULE 'coprime(a,b) ==> ?x. (x == u) (mod a) /\ (x == v) (mod b)';;
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INTEGER_RULE '!x y. coprime(x * y,x pow 2 + y pow 2) <=> coprime(x,y)';;
```

```
INTEGER_RULE 'coprime(a,b) ==> ?x. (x == u) (mod a) /\ (x == v) (mod b)';;
```

Internally this is using Gröbner bases once again (see Harrison “Automating Elementary Number-Theoretic Proofs using Gröbner bases”).

# Tactic proofs

## Goal-directed proofs

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- ▶ Internally, HOL Light remembers the corresponding proof and applies the forward rules once the proof is complete.

Even with the use of powerful forward rules, most people find this goal-directed style more convenient. It is the usual way of proving results in HOL Light.

## Setting up goals

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A new goal can be established using `g`:

```
g 'x >= x - 3 /\ (f(x + 1) + 3 < f(y + 1) + 3 ==> ~(x = y))';;
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```

Apply tactics using `e` (“expand”), e.g. `CONJ_TAC` that breaks a conjunctive goal into two conjuncts:

```
# e CONJ_TAC;;  
val it : goalstack = 2 subgoals (2 total)  
  
'f (x + 1) + 3 < f (y + 1) + 3 ==> ~(x = y)'  
  
'x >= x - 3'
```

## Solving subgoals

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We can solve the first subgoal with ARITH\_TAC (a tactic variant of ARITH\_RULE)

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and the other with first-order logic noting the fact that < is irreflexive

```
# e(MESON_TAC[LT_REFL]);;  
0..0..solved at 2  
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```
# e(MESON_TAC[LT_REFL]);;  
0..0..solved at 2  
val it : goalstack = No subgoals
```

We can get at the final theorem now all goals are solved with top\_thm()

```
# top_thm();;  
val it : thm = |- x >= x - 3 /\ (f (x + 1) + 3 < f (y + 1) + 3 ==> ~(x = y))
```

## Converting rules to tactics

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and applies it in a tactic framework, e.g. `CONV_TAC REAL_ARITH.`

# The duality between rules and tactics

Most of the (primitive or derived) logical inference that work forward on theorems like CONJ:

$$\frac{\Gamma \vdash p \quad \Delta \vdash q}{\Gamma \cup \Delta \vdash p \wedge q}$$

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have natural tactic variants (here CONJ\_TAC) that apply the rule 'backwards'.

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- ▶ `INDUCT_TAC` — apply induction on natural numbers

## Some useful tactics

- ▶ `REWRITE_TAC` and `ASM_REWRITE_TAC` — rewrite the goal with a list of theorems (including the assumptions).
- ▶ `SIMP_TAC` and `ASM_SIMP_TAC` — more powerful versions of rewriting using context
- ▶ `MATCH_MP_TAC` — use a theorem of the form  $\vdash p \Rightarrow q$  with matching to reduce goal  $q'$  to  $p'$
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- ▶ `ASSUME_TAC` and `MP_TAC` — introduce an existing theorem as a hypothesis

There are also 'tacticals' for combining tactics in various ways, e.g. `THEN` to apply them one after the other, `REPEAT` to apply them repeatedly.

## A simple example (1)

Let's prove the formula for the sum of the first  $n$  natural numbers:

```
# g '!n. nsum(1..n) (\i. i) = (n * (n + 1)) DIV 2';;  
val it : goalstack = 1 subgoal (1 total)
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We apply induction and rewrite both goals with the recursive definition of sums:

```
# e(INDUCT_TAC THEN REWRITE_TAC[NSUM_CLAUSES_NUMSEG]);;  
val it : goalstack = 2 subgoals (2 total)
```

```
0 ['nsum (1..n) (\i. i) = (n * (n + 1)) DIV 2']
```

```
'(if 1 <= SUC n then nsum (1..n) (\i. i) + SUC n else nsum (1..n) (\i. i)) =  
(SUC n * (SUC n + 1)) DIV 2'
```

```
'(if 1 = 0 then 0 else 0) = (0 * (0 + 1)) DIV 2'
```



## A simple example (2)

The first goal is trivial

```
# e ARITH_TAC;;
```

```
val it : goalstack = 1 subgoal (1 total)
```

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The other one can be solved by `ASM_ARITH_TAC`, or we can first rewrite with the assumptions via `ASM_REWRITE_TAC` then use `ARITH_TAC` again:

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# e(ASM_REWRITE_TAC[] THEN ARITH_TAC);;

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```

and so

```
# top_thm();;
val it : thm = |- !n. nsum (1..n) (\i. i) = (n * (n + 1)) DIV 2
```

## Packaging tactic proofs

Even if they are developed interactively via 'g' and 'e' steps, it's common to package up the tactics into blocks using a prove function.

```
let OUR_LEMMA = prove
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For a video of me proving a slightly larger theorem interactively in a competition, see

<http://www.math.kobe-u.ac.jp/icms2006/icms2006-video/video/v103.html>

# A tour of the library

## Some of the basic library files

HOL Light has quite a few library files developing some branches of mathematics in more detail, e.g.

- ▶ `Library/prime.ml` and `Library/pocklington.ml` — divisibility properties, prime numbers, certifying the primality of particular numbers



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- ▶ `Library/wo.ml` — Common Axiom of Choice equivalents like the wellordering principle and Zorn's lemma
- ▶ `Library/rstc.ml` — Reflexive, symmetric and transitive closures of binary relations.

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## Some “great 100 theorems”

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- ▶ 100/polyhedron.ml — Euler’s polyhedron formula  
 $V + F - E = 2$

## The Multivariate library

Partly as a result of Flyspeck, HOL Light is particularly strong in the area of topology, analysis and geometry in Euclidean space  $\mathbb{R}^n$ .



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File	Lines	Contents
misc.ml	756	Background stuff
metric .ml	2566	Metric spaces and general topology
vectors.ml	9789	Basic vectors, linear algebra
determinants.ml	3797	Determinant and trace
topology.ml	25105	Topology of euclidean space
convex.ml	15509	Convex sets and functions
paths.ml	19900	Paths, simple connectedness etc.
polytope.ml	8890	Faces, polytopes, polyhedra etc.
degree.ml	9066	Degree theory, retracts etc.
derivatives.ml	2885	Derivatives
clifford.ml	979	Geometric (Clifford) algebra
integration.ml	22362	Integration
measure.ml	20264	Lebesgue measure

## Multivariate theories continued

From this foundation complex analysis is developed and used to derive convenient theorems for  $\mathbb{R}$  as well as more topological results.

File	Lines	Contents
complexes.ml	2051	Complex numbers
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It would be desirable to generalize more of the material to general topological spaces, metric spaces, measure spaces etc.

## Some examples from topology

The Brouwer fixed point theorem:

```
|- !f:real^N->real^N s.  
    compact s /\ convex s /\ ~(s = {}) /\  
    f continuous_on s /\ IMAGE f s SUBSET s  
    ==> ?x. x IN s /\ f x = x
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The Borsuk homotopy extension theorem:

```
|- !f:real^M->real^N g s t u.  
    closed_in (subtopology euclidean t) s /\  
    (ANR s /\ ANR t \\/ ANR u) /\  
    f continuous_on t /\ IMAGE f t SUBSET u /\  
    homotopic_with (\x. T) (s,u) f g  
    ==> ?g'. homotopic_with (\x. T) (t,u) f g' /\  
            g' continuous_on t /\  
            IMAGE g' t SUBSET u /\  
            !x. x IN s ==> g'(x) = g(x)
```

## Some examples from convexity

The Krein-Milman (Minkowski) theorem

```
|- !s:real^N->bool.  
    convex s /\ compact s  
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Approximation of convex sets by polytopes w.r.t. Hausdorff distance:

```
|- !s:real^N->bool e.  
    bounded s /\ convex s /\ &0 < e  
    ==> ?p. polytope p /\ s SUBSET p /\ hausdist(p,s) < e
```



## Some Lipschitz/derivative examples

Kirschbraun's theorem on extension of Lipschitz functions:

```
|- !f:real^M->real^N s B.  
    &0 <= B /\  
    (!x y. x IN s /\ y IN s ==> norm(f x - f y) <= B * norm(x - y))  
    ==> (?g. (!x y. norm(g x - g y) <= B * norm(x - y)) /\  
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The Lebesgue differentiation theorem

```
|- !f:real^1->real^N s.  
    is_interval s /\ f has_bounded_variation_on s  
    ==> negligible {x | x IN s /\ ~(f differentiable at x)}
```

# Some examples from measure theory

Steinhaus's theorem:

```
|- !s:real^N->bool.  
    lebesgue_measurable s /\ ~negligible s  
    ==> ?d. &0 < d /\ ball(vec 0,d) SUBSET {x - y | x IN s /\ y IN s}
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Luzin's theorem:

```
|- !f:real^M->real^N s e.  
    measurable s /\ f measurable_on s /\ &0 < e  
    ==> ?k. compact k /\ k SUBSET s /\ measure(s DIFF k) < e /\  
        f continuous_on k
```

# Some examples from complex analysis

The Little Picard theorem:

```
|- !f:complex->complex a b.  
    f holomorphic_on (:complex) /\  
    ~(a = b) /\ IMAGE f (:complex) INTER {a,b} = {}  
    ==> ?c. f = \x. c
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The Riemann mapping theorem:

```
|- !s:complex->bool.  
    open s /\ simply_connected s <=>  
    s = {} \/\ s = (:complex) \/  
    ?f g. f holomorphic_on s /\  
        g holomorphic_on ball(Cx(&0),&1) /\  
        (!z. z IN s ==> f z IN ball(Cx(&0),&1) /\ g(f z) = z) /\  
        (!z. z IN ball(Cx(&0),&1) ==> g z IN s /\ f(g z) = z)
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Thank you!