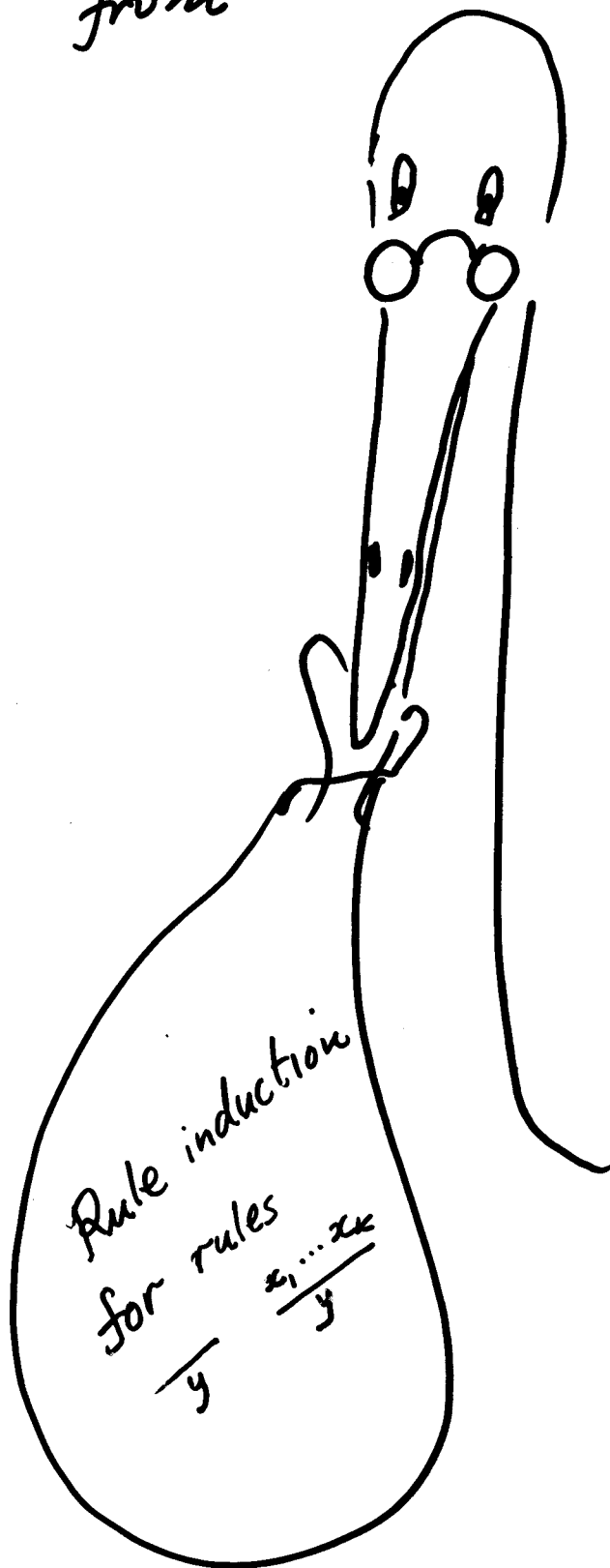


# Ch. 4 Inductive definitions

Where induction principles come from



# Boolean propositions from rules

$A, B, \dots ::= a, b, c, \dots \mid \text{true} \mid \text{false} \mid A \wedge B \mid A \vee B \mid \neg A$   
 $a, b, c, \dots \in \text{Var}$

$$\frac{}{a} \quad a \in \text{Var}$$

$$\frac{}{\text{true}}$$

$$\frac{}{\text{false}}$$

$$\frac{A \quad B}{A \wedge B}$$

$$\frac{A \quad B}{A \vee B}$$

$$\frac{A}{\neg A}$$

A derivation:

$$\frac{\frac{\frac{}{a}}{\neg a}}{\neg a \wedge (b \vee \text{true})} \quad \frac{\frac{\frac{}{b}}{b} \quad \frac{}{\text{true}}}{b \vee \text{true}}}{\neg a \wedge (b \vee \text{true})}$$

The set of Boolean propositions is the

- set of elements for which there is a derivation [induction on derivations § 4.5]
- set built up by repeatedly applying the rules [least fixed points § 4.4]
- least set closed under the rules. [rule induction § 4.3]

Non-negative integers  $\mathbb{N}_0$  from rules

- $0 \in \mathbb{N}_0$
- If  $n \in \mathbb{N}_0$ , then  $n+1 \in \mathbb{N}_0$

$$\frac{\quad}{0} \quad \dots \quad \frac{n}{n+1}$$

$\mathbb{N}_0$  is the least set closed under the rules.

# Alternative rules for $\mathbb{N}_0$

If  $0, 1, \dots, n-1$  are in  $\mathbb{N}_0$ ,  
then  $n \in \mathbb{N}_0$ .

$$\frac{0, 1, \dots, n-1}{n}$$

Strings  $\Sigma^*$

$\Sigma$  is a set of symbols, the alphabet

empty string  $\varepsilon \in \Sigma^*$

concatenation  
If  $x \in \Sigma^*$  and  $a \in \Sigma$ ,  
then  $ax \in \Sigma^*$

$$\frac{\quad}{\varepsilon}$$

$$\frac{x}{ax} \quad a \in \Sigma$$

An instance of a rule :

$$\frac{x_1, x_2, \dots, x_i, \dots}{y}$$

↙ Premise

↙ Conclusion

a pair  $(X/y)$  where

$$X = \{x_1, x_2, \dots, x_i, \dots\}.$$

When  $X$  is finite, the rule is finitary.

NB. Can have  $X = \emptyset$ .

Alphabet  $a, b, c, d, \dots \in \Sigma$ .

The subset of  $\Sigma^*$  of 'words' is given by the rules:

(1)  $ab$  is a word;

$\overline{ab}$

(2) if  $ax$  is a word, then  
 $axx$  is a word;

$\frac{ax}{axx}$

(3) if  $abbbx$  is a word, then  
 $ax$  is a word.

$\frac{abbbx}{ax}$

\* The set of words consists of those string for which there is a derivation.

\* The set of words is the least subset of  $\Sigma^*$  for which (1), (2) & (3), is closed under the rules.



Set of rules (rule instances):

$$R = \{ (\emptyset/ab) \} \cup$$

$$\{ (\{ax\}/axx) \mid x \in \Sigma^* \} \cup$$

$$\{ (\{abbbx\}/ax) \mid x \in \Sigma^* \}.$$

$R_0$  a set of rules

A set  $Q$  is  $R_0$ -closed iff

$$\forall (X/y) \in R_0. X \subseteq Q \Rightarrow y \in Q$$

Define  $\checkmark$  set inductively defined by  $R_0$ .

$$I_{R_0} = \bigcap \{ Q \mid Q \text{ is } R_0\text{-closed} \}$$

need non-empty, is.  $\because R_0$  is a set.

Proposition 4.3

(i)  $I_{R_0}$  is  $R_0$ -closed

(ii)  $Q$  is  $R_0$ -closed  $\Rightarrow I_{R_0} \subseteq Q$ .

Rule induction:

$\forall x \in I_R. P(x)$  if

for all rules  $(X/y) \in R$  s.t.  $X \subseteq I_R$

$(\forall x \in X. P(x)) \Rightarrow P(y).$

Transitive closure of a relation

Let  $R \subseteq U \times U$ .

Its transitive closure  $R^+ \subseteq U \times U$   
is given by:

$$\frac{(a,b) \in R}{(a,b)}$$

$$\frac{(a,b) \quad (b,c)}{(a,c)}$$

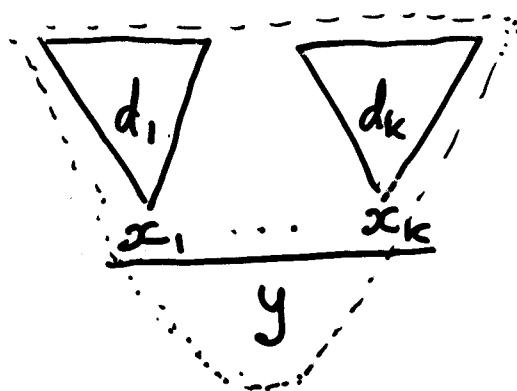
$R^+ = \{ (a,b) \in U \times U \mid \text{there is an } R\text{-chain from } a \text{ to } b. \}$

$$\{ a = a_1 R a_2 R a_3 \dots R a_n = b \}$$

$R^* = R^+ \cup id_U$  reflexive,  
transitive closure.

Rule instances  $R$

$R$ -derivations:



- rules for building derivations!

↳ Induction on derivations:

$P(d)$  for all  $R$ -derivations  $d$   
if for all rule instances  $\{x_1, \dots, x_k\}/y$  in  $R$   
and  $R$ -derivations  $d_1$  of  $x_1, \dots, d_k$  of  $x_k$

$$P(d_1) \& \dots \& P(d_k) \Rightarrow P(\{d_1, \dots, d_k\}/y).$$

Important ~~then~~ property  $P(d)$  depends on  
whole derivation  $d$ .

Theorem 4.19  $I_R \stackrel{\vee}{=} \{y \mid \exists R\text{-derivation } d \text{ of } y\}$ .