

Distributed Games and Strategies

Glynn Winskel

The notion of *deterministic/nondeterministic strategy* is potentially as fundamental as the notion of *function/relation*.

A broad enough notion of strategy must be planted firmly within a general model of concurrent/distributed/interactive computation.

The two ingredients of this course

A model for distributed computation: *Event structures*, central within models for concurrency, Petri nets, Mazurkiewicz trace languages, transition systems, ...

Games: 2-party nondeterministic distributed games between Player (team of players) and Opponent (team of opponents)

Lecture 1. Background, event structures and how to work with them.

Lecture 2. Games and distributed strategies. Winning ways, games with winning conditions, determinacy.

Lecture 3. Probabilistic distributed games, how to make distributed strategies probabilistic.

We won't cover in any detail: imperfect information in games; an operational semantics for a language for strategies; symmetry and back-tracking in games; quantum games; "parallel causes."

However, **on my homepage** I've collected material for this ACS course:

an overview '*Distributed Games and Strategies*;

detailed notes '*Event Structures, Stable Families and Games*;

an interesting use of probabilistic games

'*The Locker Puzzle*' by Curtin and Warshauer.

+ Many recent papers available.

This first lecture should give an idea of

- **partial-order models**, a form of model becoming important in a range of areas from security, systems, model checking, systems biology, to proof theory
- why I believe such models will become central in **semantics** of computation and can combine the two approaches, **operational** and **denotational** semantics through the medium of games

More distantly,

- there is a hope that the generality of distributed games can help bridge the **big divide** in CS between Algorithmics and Semantics. At the very least they go some way to providing a common vocabulary.

Games informally

A **game** G provides constraints on the moves Opponent and Player can make, and often specifies winning conditions. *E.g.* simultaneous chess.

A **strategy** for Player prescribes moves for Player in answer to moves of Opponent.

Two important operations on games: **parallel composition** of games $G \parallel H$; **dual** of a game G^\perp (reversing the roles of Player and Opponent)

Joyal after Conway: A strategy **from** a game G **to** a game H , $G \dashrightarrow H$, is a strategy in $G^\perp \parallel H$; strategies compose with identities given by copy-cat. A strategy in H corresponds to a strategy from the empty game \emptyset to H . So

$$\emptyset \dashrightarrow G \dashrightarrow H \text{ composes to give } \emptyset \dashrightarrow H ,$$

so a strategy in G gives rise to a strategy in H when $G \dashrightarrow H$.

Games in a model for concurrency

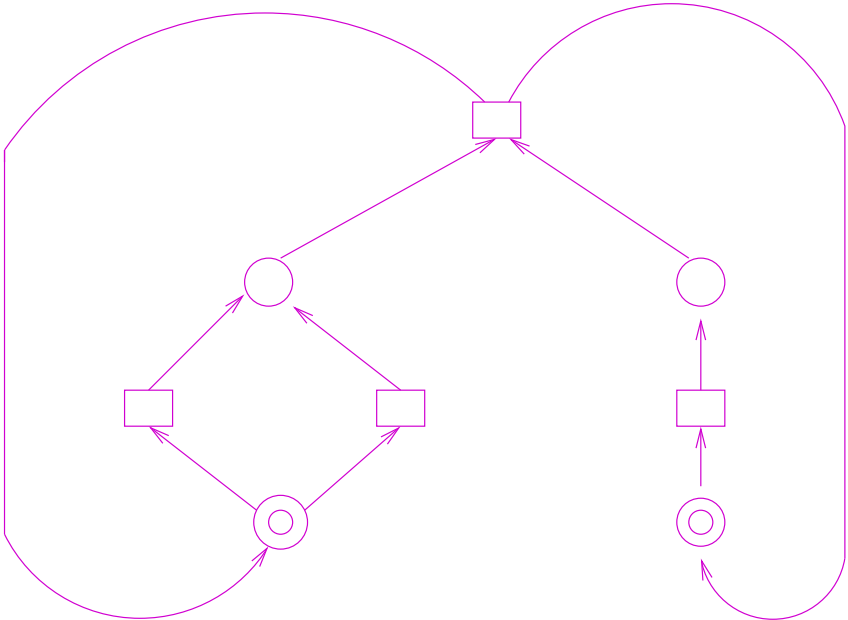
Lead to

- Generalised domain theory (via Joyal-Conway)
- Operations, including higher-order operations, on models for concurrency
- Operations, including higher-order operations, on games and strategies
 \rightsquigarrow language for programming strategies
- Techniques for Logic (via proofs as concurrent strategies) and (possibly) verification and algorithmics

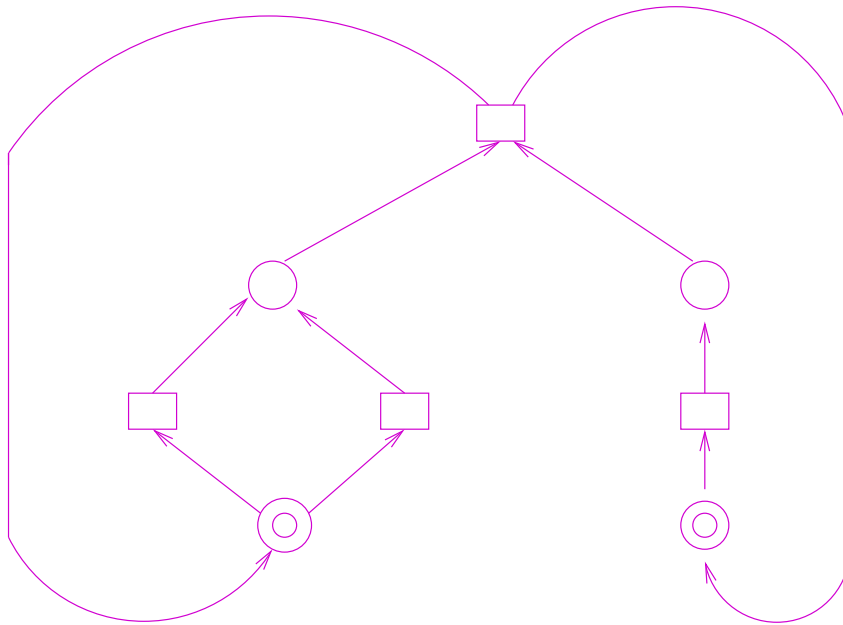
Causal/partial-order models

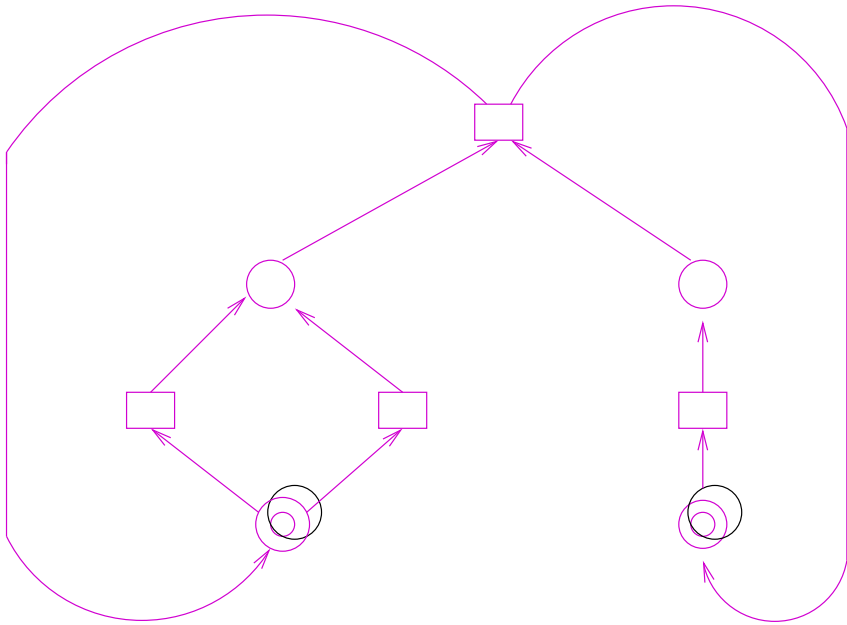
their range and applications ...

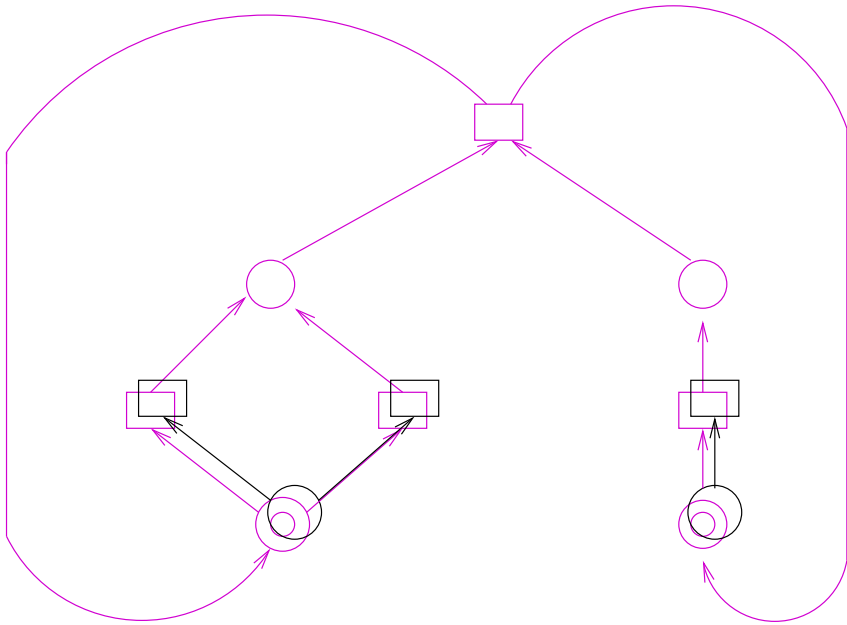
A (safe) Petri net

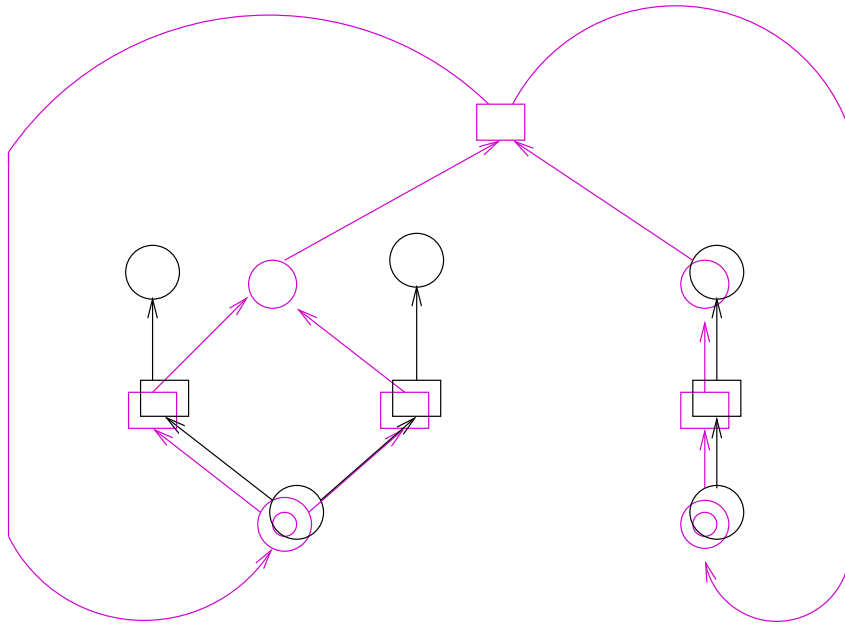


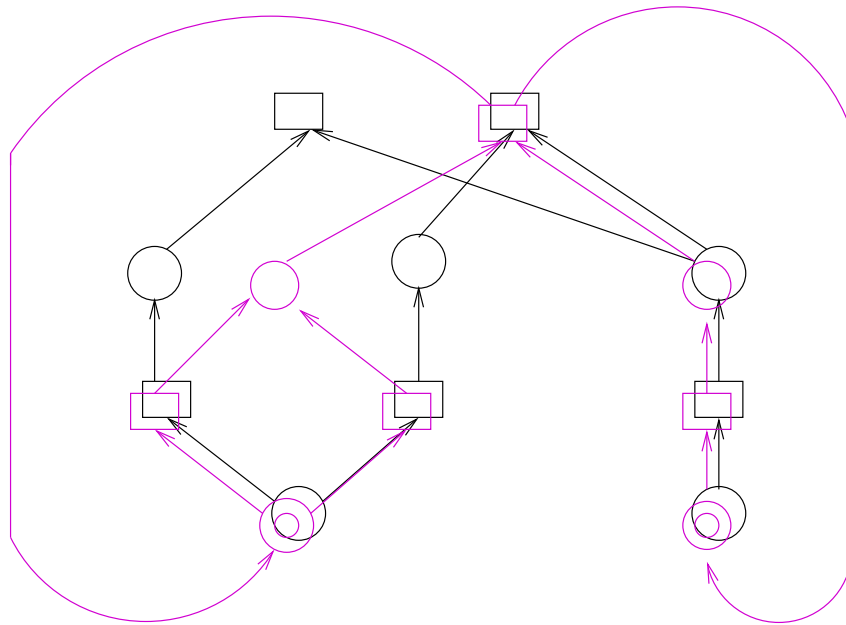
Unfolding a (safe) Petri net:

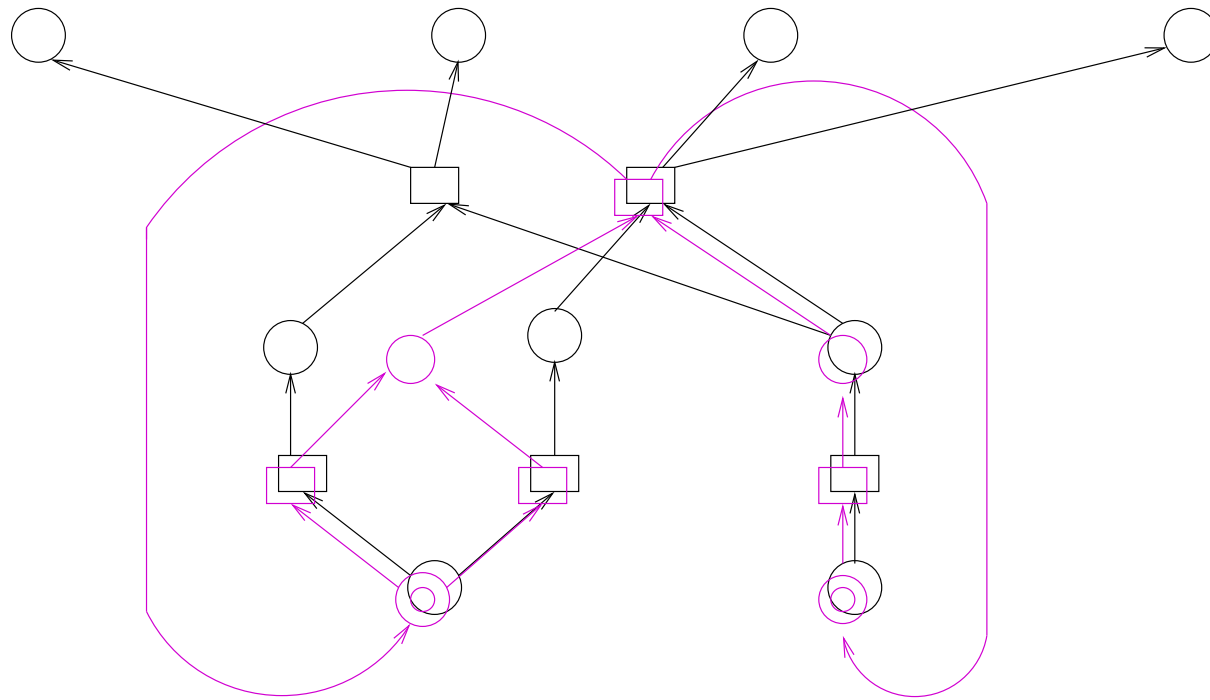


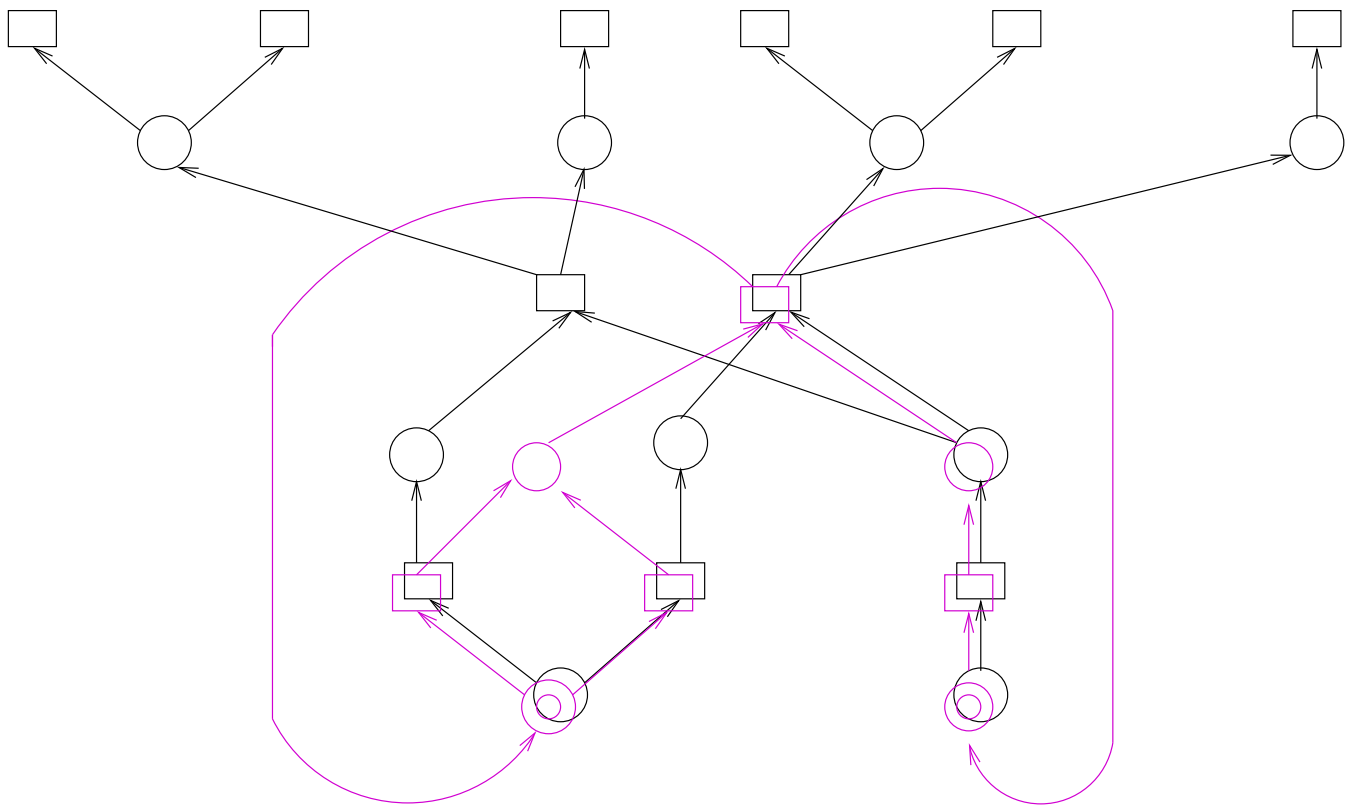


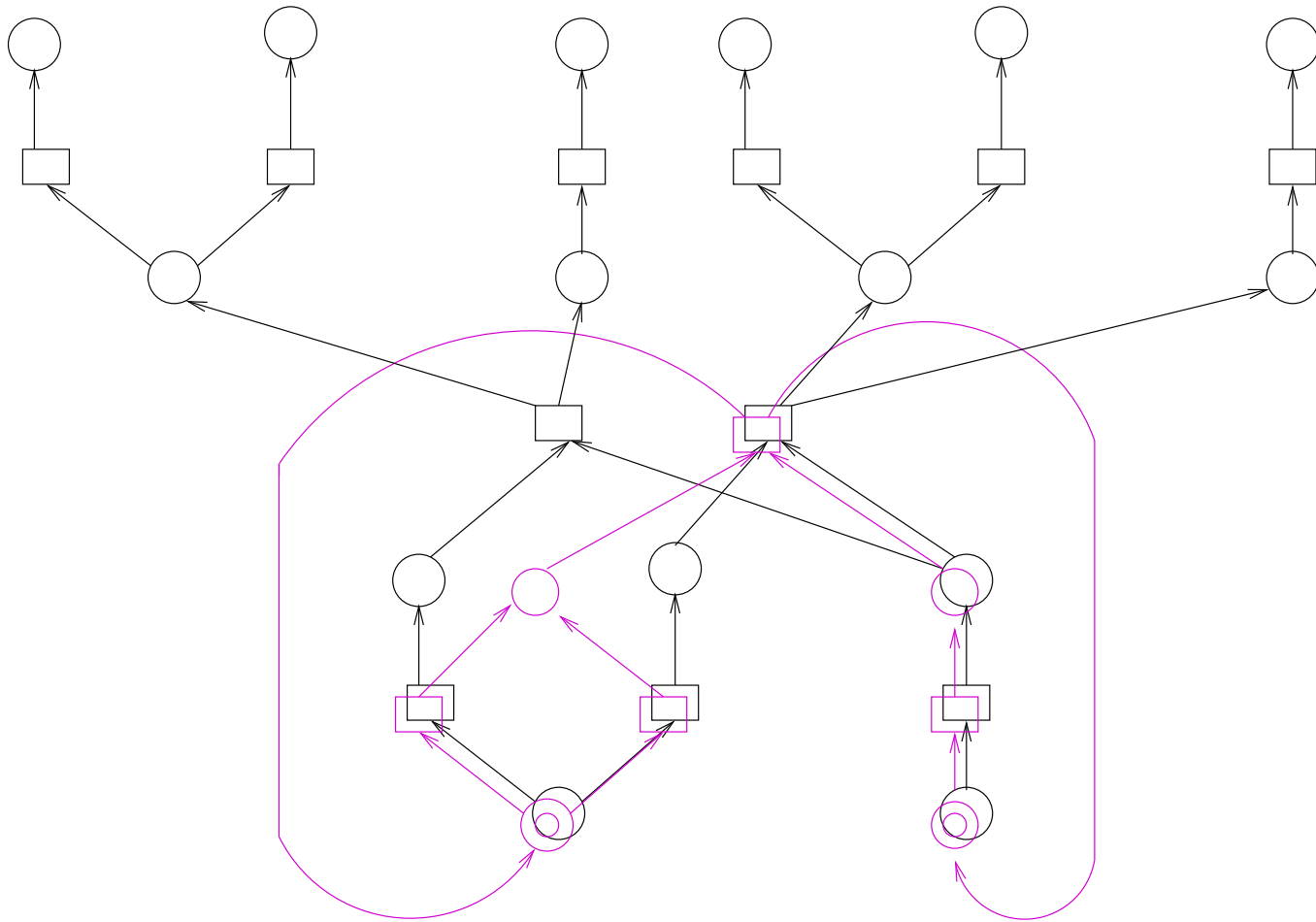


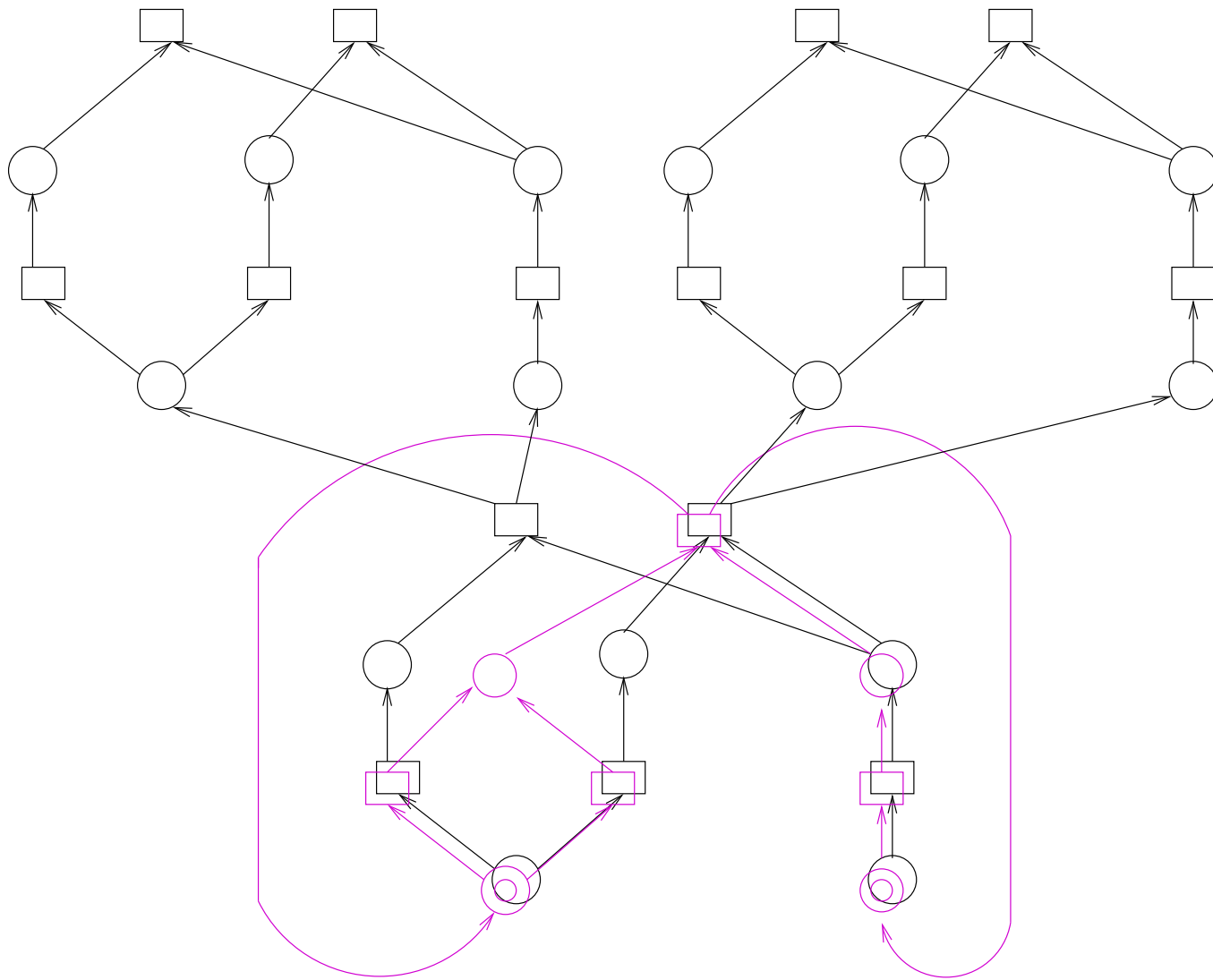


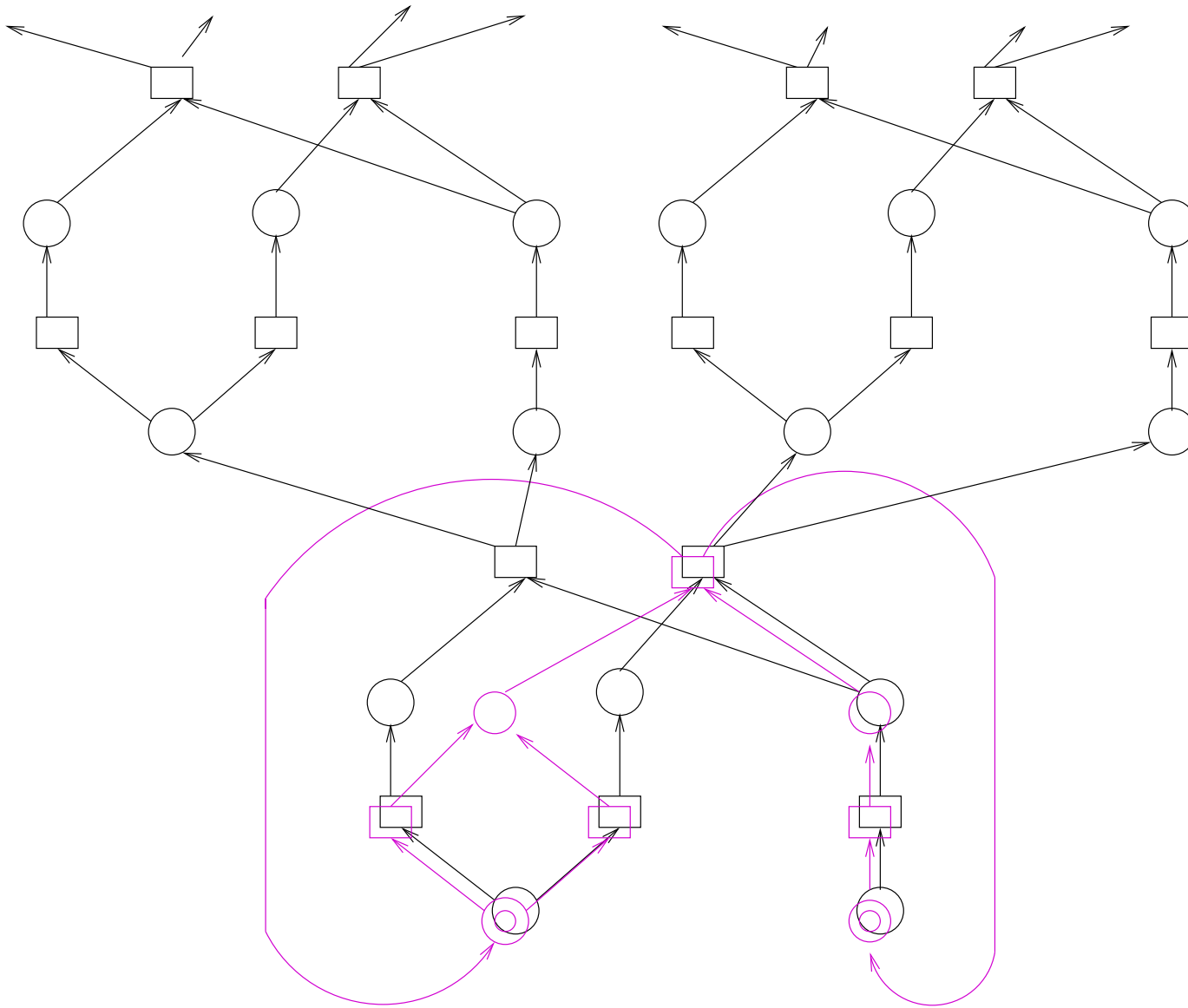


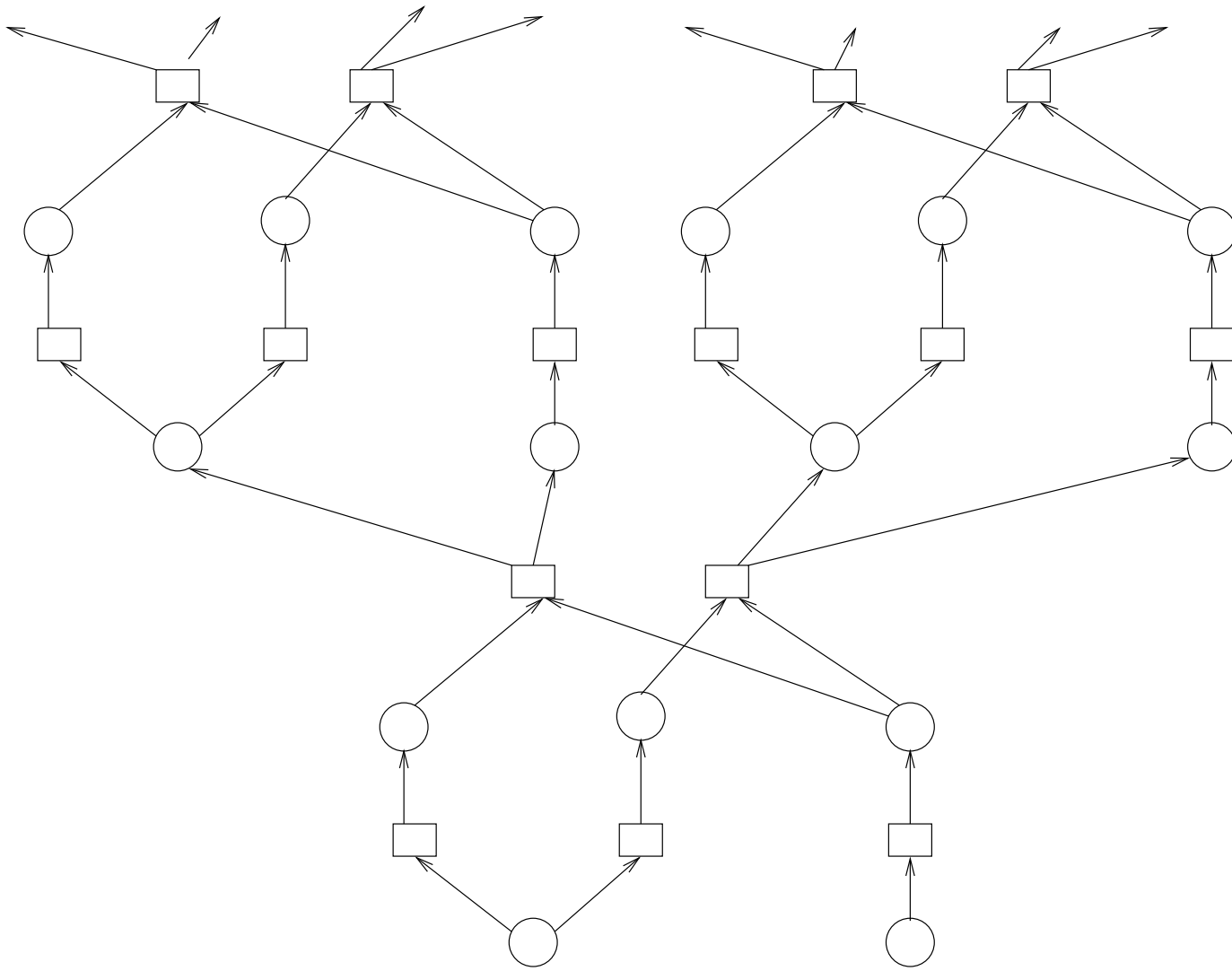


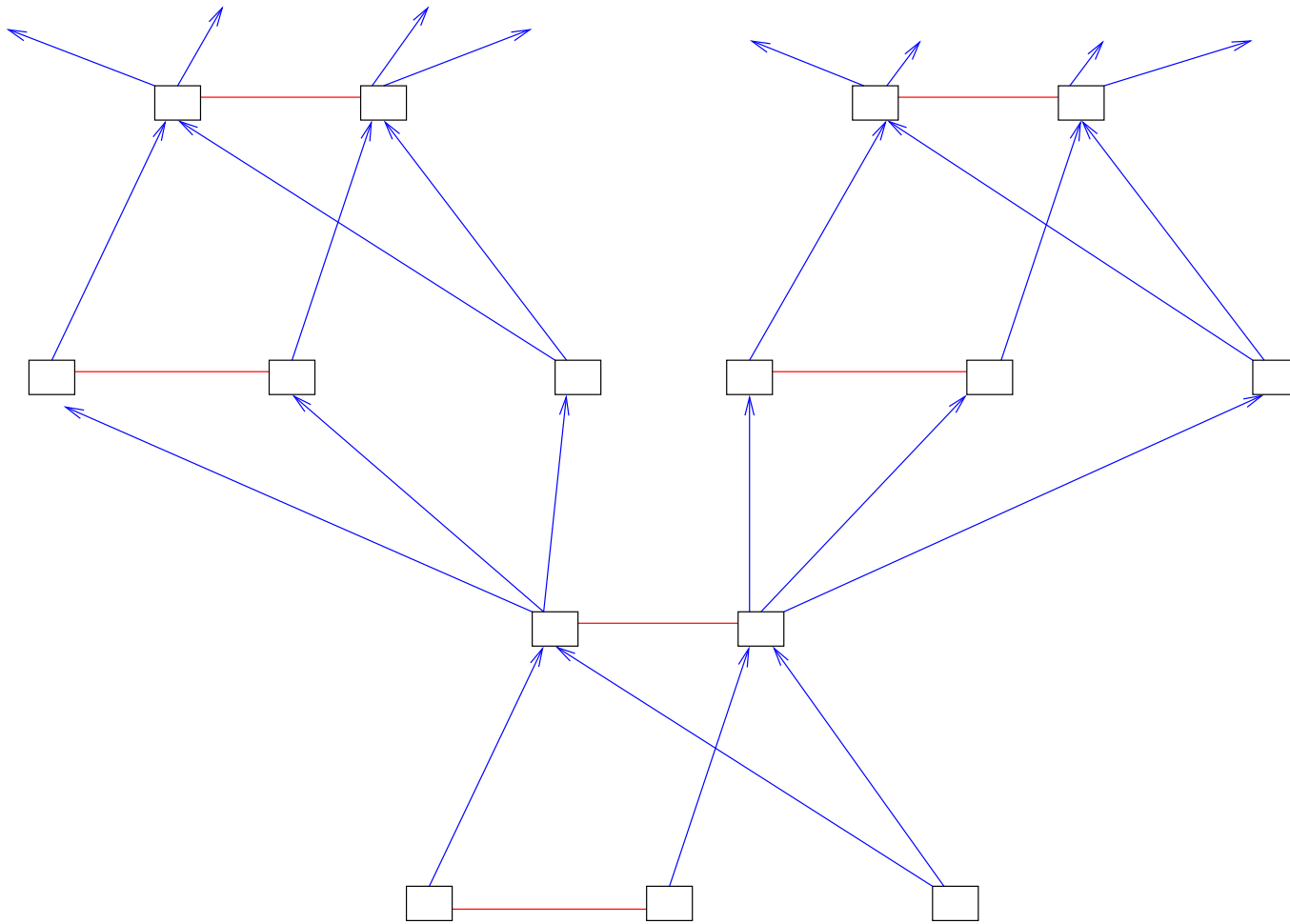












An event structure

Applications of partial-order models

Security protocols, as strand spaces [Guttman et al];

Systems biology, analysis of chemical pathways [Danos-Feret-Fontana-Krivine];

Hardware, in the design of asynchronous circuits [Yakovlev];

Relaxed memory, modelled with event structures [Jeffrey];

Types and proof, domain theory [Berry, Curien-Faggian, Girard];

Nondeterministic dataflow [Jonsson];

Network diagnostics [Benveniste et al];

Logic of programs, in concurrent separation logic;

Partial order model checking [McMillan];

Distributed computation, classically [Lamport] and recently in *e.g.* analysis of trust [Nielsen-Krukow-Sassone].

Domain theory and denotational semantics

Its history and limitations ...

What is a computational process?

Pre 1930's: An algorithm (*informal*)

Post 1930's: An effective partial function $f : \mathbb{N} \rightarrow \mathbb{N}$ (*mathematical*)

Mid 1960's : Christopher Strachey founded denotational semantics to understand *stored programs, loops, recursive programs on advanced datatypes*, often with *infinite objects* (at least conceptually): infinite lists, infinite sets, functions, functions on functions on functions, ...

A program denotes a term within the λ -calculus, a calculus of functions (but is it?): $t ::= x \mid \lambda x.t \mid (t t')$

Late 1960's: Dana Scott: Computable functions acting on infinite objects can only do so via approximations (topology!). **A computational process is an (effective) continuous function $f : D \rightarrow E$ between special topological spaces, 'domains.'** Recursive definitions as least fixed points.

Basic domain theory

A *domain* is a complete partial order (D, \sqsubseteq) : any infinite chain

$$d_0 \sqsubseteq d_1 \sqsubseteq \cdots \sqsubseteq d_n \sqsubseteq \cdots$$

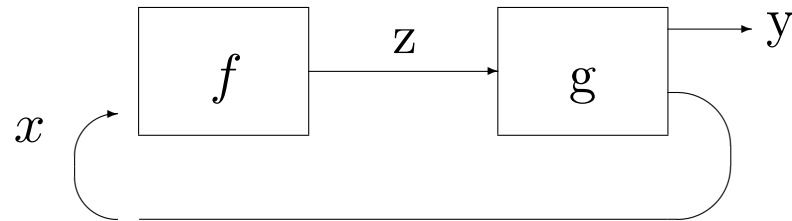
has a least upper bound $\bigsqcup_{n \in \omega} d_n$.

A function $f : D \rightarrow E$ is *continuous* if f preserves \sqsubseteq and for all chains $f(\bigsqcup_{n \in \omega} d_n) = \bigsqcup_{n \in \omega} f(d_n)$.

If D has a least element \perp and $f : D \rightarrow D$ is continuous, then f has a least fixed point $\bigsqcup_{n \in \omega} f^n(\perp)$. *(Recursive definitions)*

Scott (1969): A nontrivial solution to $D \cong [D \rightarrow D]$ (*a recursively defined domain*), so providing a model of the λ -calculus, and, by the same techniques, the semantics of recursive types.

Deterministic dataflow—Kahn networks



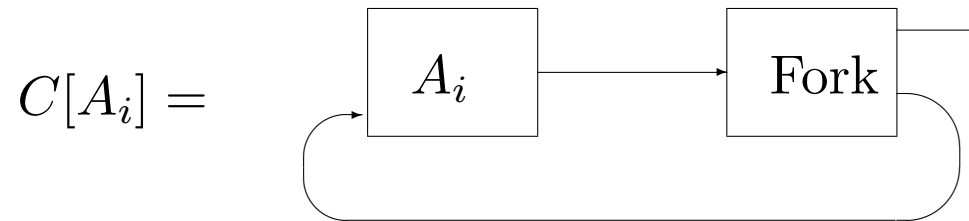
A process built from basic processes connected by channels at which they input and output.

Simple semantics: Associate channels with streams x, y, z .

Provided f and g are continuous functions on streams there is a least fixed point

$$(x, y, z) = (g(z)_2, g(z)_1, f(x)) .$$

Nondeterministic dataflow—the Brock-Ackerman anomaly



Both nondeterministic processes

$$A_1 = O + OIO \quad \text{and} \quad A_2 = O + IOO$$

have the same I/O relation, comprising

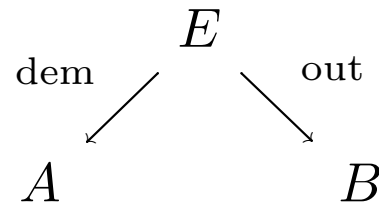
$$(\varepsilon, O), (I, O), (I, OO) .$$

But

$$C[A_1] = O + OO \quad \text{and} \quad C[A_2] = O .$$

A solution: generalize relations

A process with input A and output B :



where A , B and E are event structures,

$\text{out} : E \rightarrow B$ is a map expressing the different ways output is produced,

$\text{dem} : E \rightarrow A$ is a map expressing the requirement on input for events to occur.

Such ‘stable spans’ will reappear as a special kinds of distributed strategies.

Game semantics

Traditional game semantics of programming languages, starting with the seminal work of Abramsky-Jagadeesan-Malacaria and Hyland-Ong, showed for sequential programs it was very fruitful to regard types as games and programs as strategies. AJM games and HO games are different though both sequential with Player and Opponent moves alternating.

In particular they both achieved *intensional full-abstraction* for the language PCF (the “intensional” is important and often forgotten).

Many subsequent successes ...

Game semantics—a simple example

Type with a single value, the game:

$$\begin{array}{c} \oplus \\ \uparrow \\ \ominus \end{array}$$

Type with a pair of values, the game:

$$\begin{array}{cc} \oplus & \oplus \\ \uparrow & \uparrow \\ \ominus & \ominus \end{array}$$

Type of 'algorithms' from pairs to value, the game:

$$\begin{array}{ccc} \ominus & \ominus & \oplus \\ \uparrow & \uparrow & \uparrow \\ \oplus & \oplus & \ominus \end{array}$$

Game semantics—a simple example

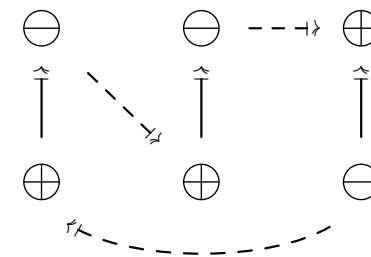
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Type with a pair of values, the game:

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Type of ‘algorithms’ from pairs to value, the game:



E.g. “after left then right input yield output”

Logic

The well-known **Curry-Howard correspondence**:

Propositions as types, proofs as programs

Through the denotation of types as games and programs/processes as strategies we obtain the correspondence:

Propositions as games, proofs as strategies

Games and strategies are becoming the denotational semantics of proof. But there are big gaps. Partly because games and strategies as known are not general enough. And there are still conceptual problems in giving a process reading to classical proof.

Other strands: games as a technique in logic, and in the definition of equivalences

Ch 2. EVENT STRUCTURES

Representations of domains

What is the information order? What are the 'units' of information?

(*'Topological'*) [Scott]: *Propositions* about finite properties;
more information corresponds to more propositions being true.
Functions are ordered pointwise.

Can represent domains via logical theories. ('Logic of domains')

(*'Temporal'*) [Berry]: *Events* (atomic actions);
more information corresponds to more events having occurred.
Intensional 'stable order' on 'stable' functions. ('Stable domain theory')
Can represent Berry's domains as event structures.

Event structures

An *event structure* comprises (E, \leq, Con) , consisting of

- a set E , of *events*
- partially ordered by \leq , the *causal dependency relation*, and
- a nonempty family Con of finite subsets of E , the *consistency relation*,

which satisfy

$$\{e' \mid e' \leq e\} \text{ is finite for all } e \in E,$$

$$\{e\} \in \text{Con for all } e \in E,$$

$$Y \subseteq X \in \text{Con} \Rightarrow Y \in \text{Con}, \text{ and}$$

$$X \in \text{Con} \ \& \ e \leq e' \in X \Rightarrow X \cup \{e\} \in \text{Con}.$$

Say e, e' are *concurrent* if $\{e, e'\} \in \text{Con}$ & $e \not\leq e'$ & $e' \not\leq e$.

Configurations of an event structure

The *configurations*, $\mathcal{C}^\infty(E)$, of an event structure E consist of those subsets $x \subseteq E$ which are

Consistent: $\forall X \subseteq_{\text{fin}} x. X \in \text{Con}$ and

Down-closed: $\forall e, e'. e' \leq e \in x \Rightarrow e' \in x$.

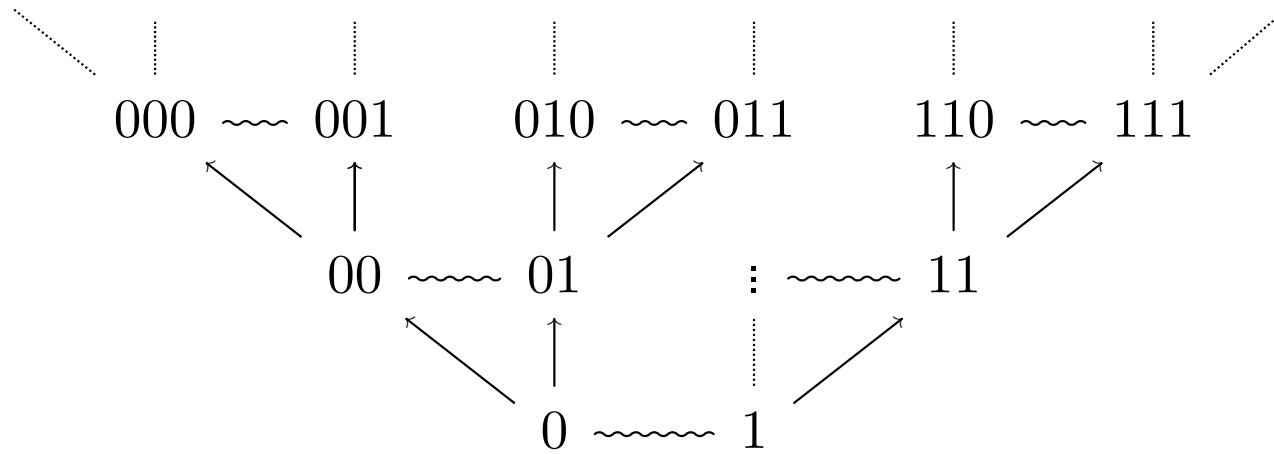
For an event e the set $[e] =_{\text{def}} \{e' \in E \mid e' \leq e\}$ is a configuration describing the whole causal history of the event e .

$x \subseteq x'$, *i.e.* x is a sub-configuration of x' , means that x is a sub-history of x' .

If E is countable, $(\mathcal{C}^\infty(E), \subseteq)$ is a Berry domain (and all such so obtained).

Finite configurations: $\mathcal{C}(E)$.

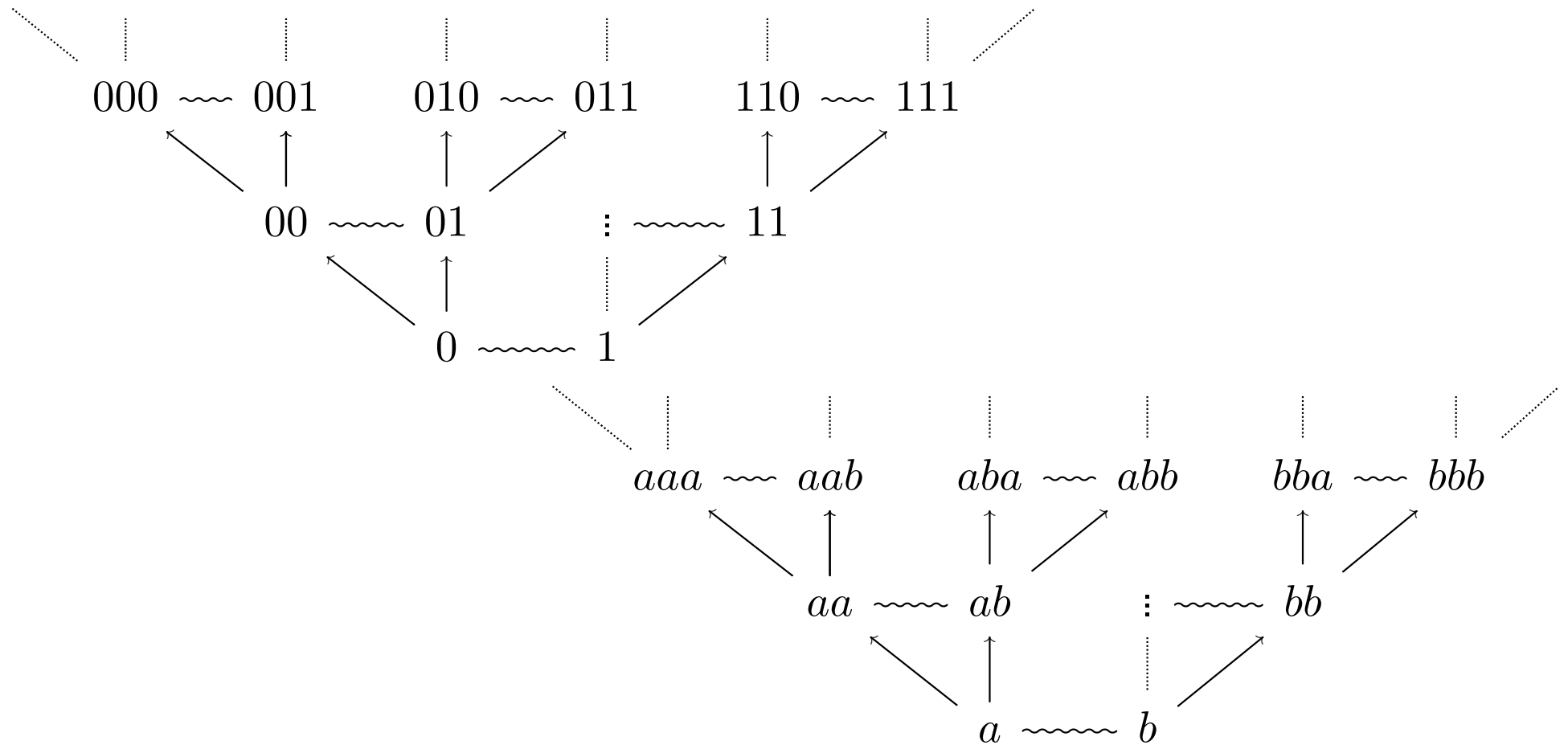
Example: Streams as event structures



~~~~~ conflict (inconsistency)

→ causal dependency  $\leq$

# Simple parallel composition



## Maps of event structures

- Semantics of synchronising processes [Hoare, Milner] can be expressed in terms of universal constructions on event structures, and other models.
- Relations between models via adjunctions.

In this context, a *simulation map* of event structures  $f : E \rightarrow E'$  is a partial function on events  $f : E \rightarrow E'$  such that for all  $x \in \mathcal{C}(E)$

$fx \in \mathcal{C}(E')$  and

if  $e_1, e_2 \in x$  and  $f(e_1) = f(e_2)$ , then  $e_1 = e_2$ .      (*local injectivity*)

Maps *preserve concurrency*, and *locally reflect causal dependency* i.e.

$e_1, e_2 \in x$  &  $f(e_1) \leq f(e_2) \Rightarrow e_1 \leq e_2$ .

## Process constructions on event structures

**“Partial synchronous” product:**  $A \times B$  with projections  $\Pi_1$  and  $\Pi_2$ ,  
*cf.* CCS synchronized composition where all events of  $A$  can synchronize with all events of  $B$ . (*Hard to construct directly so use e.g. stable families.*)

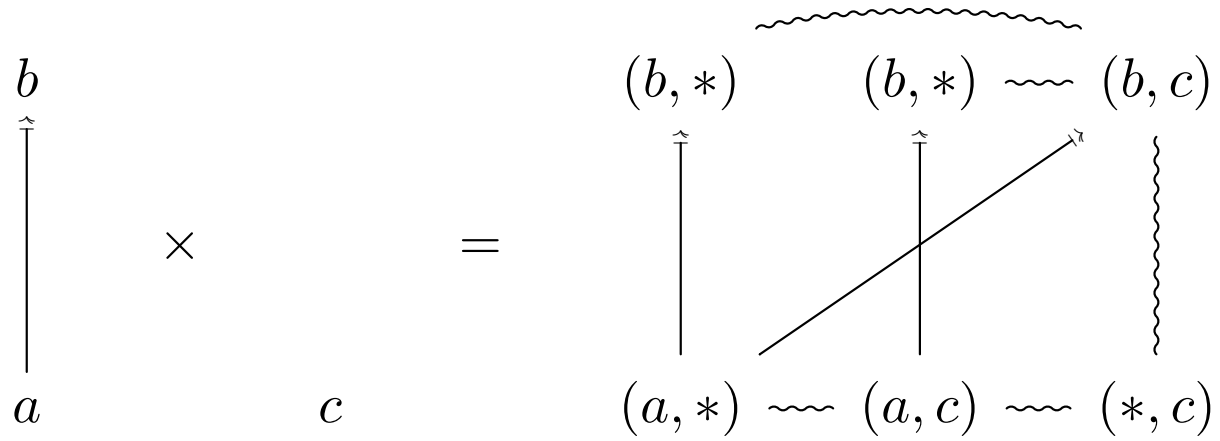
**Restriction:**  $E \upharpoonright R$ , the restriction of an event structure  $E$  to a subset of events  $R$ , has events  $E' = \{e \in E \mid [e] \subseteq R\}$  with causal dependency and consistency restricted from  $E$ .

**Synchronized compositions:** restrictions of products  $A \times B \upharpoonright R$ , where  $R$  specifies the allowed synchronized and unsynchronized events.

**Pullback:** Given  $f : A \rightarrow C$  and  $g : B \rightarrow C$  their pullback is obtained as the restriction of the product  $A \times B$  to events

$$\{e \mid \text{if } f\Pi_1(e) \ \& \ g\Pi_2(e) \text{ defined, } f\Pi_1(e) = g\Pi_2(e)\}.$$

# Product—an example



## Ch 3. STABLE FAMILIES

*A technique for working with event structures.*



## Stable families

A *stable family* comprises  $\mathcal{F}$ , a nonempty family of finite subsets, called *configurations*, satisfying:

*Completeness:*  $\forall Z \subseteq \mathcal{F}. Z \uparrow \Rightarrow \bigcup Z \in \mathcal{F}$ ;

*Stability:*  $\forall Z \subseteq \mathcal{F}. Z \neq \emptyset \ \& \ Z \uparrow \Rightarrow \bigcap Z \in \mathcal{F}$ ;

*Coincidence-freeness:* For all  $x \in \mathcal{F}$ ,  $e, e' \in x$  with  $e \neq e'$ ,

$$\exists y \in \mathcal{F}. y \subseteq x \ \& \ (e \in y \iff e' \notin y).$$

( $Z \uparrow$  means  $\exists x \in \mathcal{F} \forall z \in Z. z \subseteq x$ , and expresses the compatibility of  $Z$ .)

We call elements of  $\bigcup \mathcal{F}$  *events* of  $\mathcal{F}$ .

**Proposition** Let  $x$  be a configuration of a stable family  $\mathcal{F}$ . For  $e, e' \in x$  define

$$e' \leq_x e \text{ iff } \forall y \in \mathcal{F}. y \subseteq x \ \& \ e \in y \Rightarrow e' \in y.$$

When  $e \in x$  define the prime configuration

$$[e]_x = \bigcap \{y \in \mathcal{F} \mid y \subseteq x \ \& \ e \in y\}.$$

Then  $\leq_x$  is a partial order and  $[e]_x$  is a configuration such that

$$[e]_x = \{e' \in x \mid e' \leq_x e\}.$$

Moreover the configurations  $y \subseteq x$  are exactly the down-closed subsets of  $\leq_x$ .

**Proposition** Let  $\mathcal{F}$  be a stable family. Then,  $\text{Pr}(\mathcal{F}) =_{\text{def}} (P, \text{Con}, \leq)$  is an event structure where:

$$P = \{ [e]_x \mid e \in x \ \& \ x \in \mathcal{F} \} ,$$

$$Z \in \text{Con} \text{ iff } Z \subseteq P \ \& \ \bigcup Z \in \mathcal{F} \text{ and,}$$

$$p \leq p' \text{ iff } p, p' \in P \ \& \ p \subseteq p' .$$

## Categories of stable families and event structures

A (partial) map of stable families  $f : \mathcal{F} \rightarrow \mathcal{G}$  is a partial function  $f$  from the events of  $\mathcal{F}$  to the events of  $\mathcal{G}$  such that for all configurations  $x \in \mathcal{F}$ ,

$$fx \in \mathcal{G} \ \& \ (\forall e_1, e_2 \in x. f(e_1) = f(e_2) \Rightarrow e_1 = e_2).$$

$\text{Pr}$  is the right adjoint of the “inclusion” functor, taking an event structure  $E$  to the stable family  $\mathcal{C}(E)$ . The unit of the adjunction  $E \rightarrow \text{Pr}(\mathcal{C}(E))$  takes an event  $e$  to the prime configuration  $[e] =_{\text{def}} \{e' \in E \mid e' \leq e\}$  — it is an isomorphism. The counit  $\text{max} : \mathcal{C}(\text{Pr}(\mathcal{F})) \rightarrow \mathcal{F}$  takes  $[e]_x$  to  $e$ .

## Product of stable families

Let  $\mathcal{A}$  and  $\mathcal{B}$  be stable families with events  $A$  and  $B$ , respectively. Their product, the stable family  $\mathcal{A} \times \mathcal{B}$ , has events comprising pairs in

$A \times_* B =_{\text{def}} \{(a, *) \mid a \in A\} \cup \{(a, b) \mid a \in A \ \& \ b \in B\} \cup \{(*, b) \mid b \in B\}$ ,  
the product of sets with partial functions, with (partial) projections  $\pi_1$  and  $\pi_2$ —treating  $*$  as ‘undefined’—with configurations

$x \in \mathcal{A} \times \mathcal{B}$  iff

$x$  is a finite subset of  $A \times_* B$  s.t.  $\pi_1 x \in \mathcal{A}$  &  $\pi_2 x \in \mathcal{B}$ ,

$\forall e, e' \in x. \pi_1(e) = \pi_1(e')$  or  $\pi_2(e) = \pi_2(e') \Rightarrow e = e'$ , &

$\forall e, e' \in x. e \neq e' \Rightarrow \exists y \subseteq x. \pi_1 y \in \mathcal{A}$  &  $\pi_2 y \in \mathcal{B}$  &

$(e \in y \iff e' \notin y)$ .

## Product of event structures

Right adjoints preserve products. Consequently we obtain a product of event structures  $A$  and  $B$  as

$$A \times B =_{\text{def}} \text{Pr}(\mathcal{C}(A) \times \mathcal{C}(B))$$

and its projections as  $\Pi_1 =_{\text{def}} \pi_1 \text{max}$  and  $\Pi_2 =_{\text{def}} \pi_2 \text{max}$ .

Hence  $\Pi_1 x = \pi_1 \bigcup x$  and  $\Pi_2 x = \pi_2 \bigcup x$ , for  $x \in \mathcal{C}^\infty(A \times B)$ .

# Ch 4. DISTRIBUTED GAMES

## Structural maps of event structures - recap

A **map** of event structures  $f : E \rightarrow E'$  is a partial function  $f : E \rightarrow E'$  such that for all  $x \in \mathcal{C}(E)$

$$fx \in \mathcal{C}(E') \text{ and } e_1, e_2 \in x \ \& \ f(e_1) = f(e_2) \Rightarrow e_1 = e_2.$$

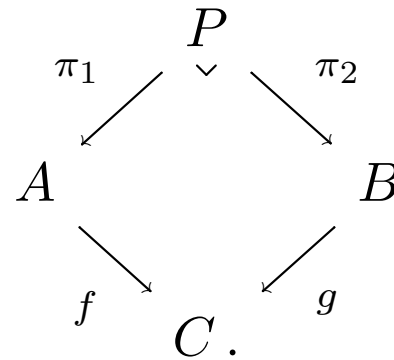
Note that when  $f$  is total it restricts to a bijection  $x \cong fx$ , for any  $x \in \mathcal{C}(E)$ .  
A total map is **rigid** when it preserves causal dependency.

Maps *preserve concurrency*, and *locally reflect causal dependency*:

$$e_1, e_2 \in x \ \& \ f(e_1) \leq f(e_2) \text{ (both defined)} \Rightarrow e_1 \leq e_2.$$



**Pullbacks of total maps of event structures** (*For composition*)  
 Total maps  $f : A \rightarrow C$  and  $g : B \rightarrow C$  have pullbacks in the category of event structures:



Finite configurations of  $P$  correspond to the composite bijections

$$\theta : x \cong fx = gy \cong y$$

between configurations  $x \in \mathcal{C}(A)$  and  $y \in \mathcal{C}(B)$  s.t.  $fx = gy$  for which the transitive relation generated on  $\theta$  by  $(a, b) \leq (a', b')$  if  $a \leq_A a'$  or  $b \leq_B b'$  is a partial order.

## Defined part of a map (*For hiding*)

A partial map

$$f : E \rightarrow E'$$

of event structures has **partial-total factorization** as a composition

$$E \xrightarrow{p} E \downarrow V \xrightarrow{t} E'$$

where  $V =_{\text{def}} \{e \in E \mid f(e) \text{ is defined}\}$  is the domain of definition of  $f$ ;

the **projection**  $E \downarrow V =_{\text{def}} (V, \leq_V, \text{Con}_V)$ , where

$v \leq_V v'$  iff  $v \leq v' \ \& \ v, v' \in V$     and     $X \in \text{Con}_V$  iff  $X \in \text{Con} \ \& \ X \subseteq V$ ;

the *partial* map  $p : E \rightarrow E \downarrow V$  acts as identity on  $V$  and is undefined otherwise;

and the *total* map  $t : E \downarrow V \rightarrow E'$ , called the **defined part** of  $f$ , acts as  $f$ .

## Distributed games

Games and strategies are represented by **event structures with polarity**, an event structure  $(E, \leq, \text{Con})$  where events  $E$  carry a polarity  $+/-$  (Player/Opponent), respected by maps.

**(Simple) Parallel composition:**  $A||B$ , by juxtaposition.

**Dual,**  $B^\perp$ , of an event structure with polarity  $B$  is a copy of the event structure  $B$  with a reversal of polarities; this switches the roles of Player and Opponent.

## Distributed plays and strategies

A **nondeterministic play** in a game  $A$  is represented by a total map

$$\begin{array}{c} S \\ \downarrow \sigma \\ A \end{array}$$

preserving polarity;  $S$  is the event structure with polarity describing the moves played.

A **strategy in** a game  $A$  is a (**special**) nondeterministic play  $\sigma : S \rightarrow A$ .

A **strategy from**  $A$  **to**  $B$  is a strategy in  $A^\perp \parallel B$ , so  $\sigma : S \rightarrow A^\perp \parallel B$ .

[Conway, Joyal]

*NB: A strategy in a game  $A$  is a strategy for Player;*

*a strategy for Opponent - a counter-strategy - is a strategy in  $A^\perp$ .*

## When are two nd plays/strategies the same?

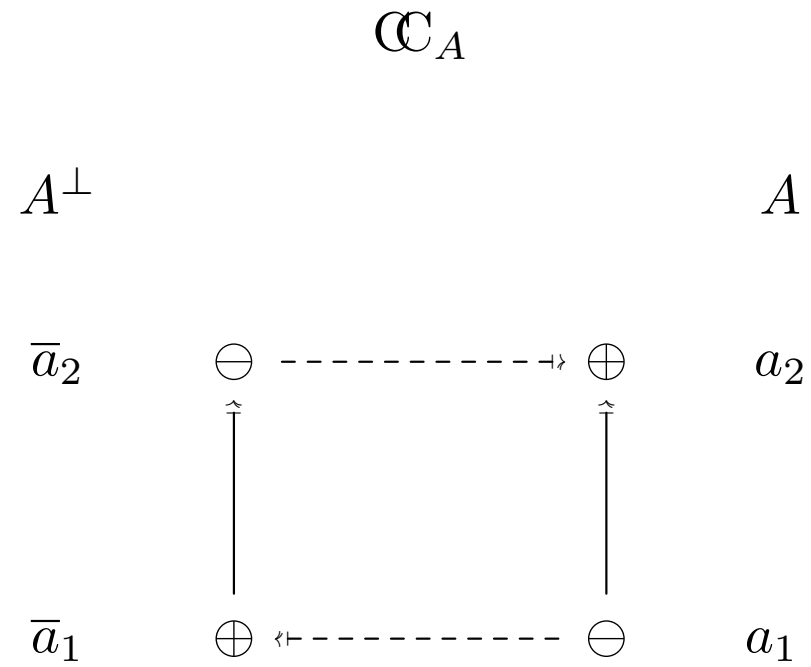
A map between nd plays:

$$\begin{array}{ccc} S & \xrightarrow{f} & S' \\ & \searrow \sigma & \downarrow \sigma' \\ & & A \end{array}$$

which commutes.

When  $f$  is an isomorphism we regard the two nd plays/strategies as essentially the same.

# Example of a strategy: copy-cat strategy from $A$ to $A$



## Copy-cat in general

Identities on games  $A$  are given by copy-cat strategies  $\gamma_A : \mathbb{C}_A \rightarrow A^\perp \parallel A$  —strategies for player based on copying the latest moves made by opponent.

$\mathbb{C}_A$  has the same events and polarity as  $A^\perp \parallel A$  but with causal dependency  $\leq_{\mathbb{C}_A}$  given as the transitive closure of the relation

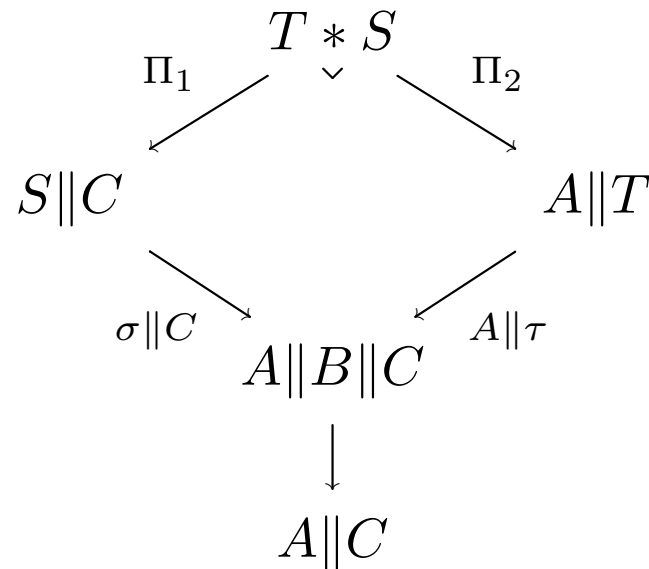
$$\leq_{A^\perp \parallel A} \cup \{(\bar{c}, c) \mid c \in A^\perp \parallel A \ \& \ pol_{A^\perp \parallel A}(c) = +\}$$

where  $\bar{c} \leftrightarrow c$  is the natural correspondence between  $A^\perp$  and  $A$ . A finite subset is consistent iff its down-closure is consistent in  $A^\perp \parallel A$ . The map  $\gamma_A$  is the identity on the common underlying set of events. Then,

$$x \in \mathcal{C}(\mathbb{C}_A) \text{ iff } x \in \mathcal{C}(A^\perp \parallel A) \ \& \ \forall c \in x. \ pol_{A^\perp \parallel A}(c) = + \Rightarrow \bar{c} \in x.$$

**Composition of strategies**  $\sigma : S \rightarrow A^\perp \parallel B$ ,  $\tau : T \rightarrow B^\perp \parallel C$

Via pullback. Ignoring polarities, the composite partial map



has defined part, yielding  $T \odot S \xrightarrow{\tau \odot \sigma} A^\perp \parallel C$  once reinstate polarities.



## For copy-cat to be identity w.r.t. composition

**Receptivity**  $\sigma : S \rightarrow A^\perp \parallel B$  is *receptive* when  $\sigma(x) \subseteq^- y$  implies there is a *unique*  $x' \in \mathcal{C}(S)$  such that  $x \subseteq x' \ \& \ \sigma(x') = y$ .

$$\begin{array}{ccc} x & \xrightarrow{\subseteq} & x' \\ \downarrow & & \downarrow \\ \sigma(x) & \subseteq^- & y \end{array}$$

**Innocence**  $\sigma : S \rightarrow A^\perp \parallel B$  is *innocent* when it is

*+Innocence*: If  $s \rightarrow s' \ \& \ pol(s) = +$  then  $\sigma(s) \rightarrow \sigma(s')$  and

*--Innocence*: If  $s \rightarrow s' \ \& \ pol(s') = -$  then  $\sigma(s) \rightarrow \sigma(s')$ .

[ $\rightarrow$  stands for immediate causal dependency]

**Theorem** Receptivity and innocence are necessary and sufficient for copy-cat to act as identity w.r.t. composition:  $\sigma \odot \gamma_A \cong \sigma$  and  $\gamma_B \odot \sigma \cong \sigma$  for all  $\sigma : A \dashv B$ .

## Strategies—alternative description 1

A strategy  $S$  in a game  $A$  comprises a total map of event structures with polarity  $\sigma : S \rightarrow A$  such that

(i) whenever  $\sigma x \subseteq^- y$  in  $\mathcal{C}(A)$  there is a unique  $x' \in \mathcal{C}(S)$  so that

$x \subseteq x' \ \& \ \sigma x' = y$ , *i.e.*

$$\begin{array}{ccc} x & \text{---}\subseteq\text{---} & x' \\ \sigma \downarrow & & \downarrow \sigma \\ \sigma x & \subseteq^- & y, \end{array}$$

and

(ii) whenever  $y \subseteq^+ \sigma x$  in  $\mathcal{C}(A)$  there is a (necessarily unique)  $x' \in \mathcal{C}(S)$  so that

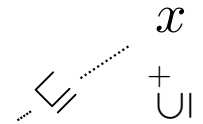
$x' \subseteq x \ \& \ \sigma x' = y$ , *i.e.*

$$\begin{array}{ccc} x' & \text{---}\subseteq\text{---} & x \\ \sigma \downarrow & & \downarrow \sigma \\ y & \subseteq^+ & \sigma x. \end{array}$$

## Strategies—alternative description 2

Defining a partial order — *the Scott order* — on configurations of  $A$

$$y \sqsubseteq_A x \text{ iff } y \supseteq^- \cdot \sqsubseteq^+ \cdot \supseteq^- \cdots \supseteq^- \cdot \sqsubseteq^+ x$$

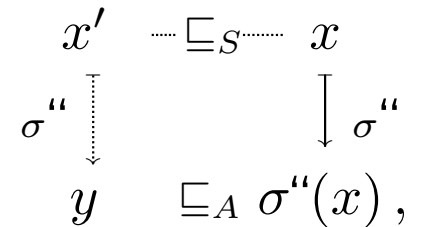


we obtain a factorization system  $((\mathcal{C}(A), \sqsubseteq_A), \supseteq^-, \sqsubseteq^+)$ , *i.e.*  $\exists! z. y \supseteq^- z$ .

**Proposition**  $z \in \mathcal{C}(\mathbb{C}_A)$  iff  $z_2 \sqsubseteq_A z_1$ .

**Theorem** Strategies  $\sigma : S \rightarrow A$  correspond to discrete fibrations

$$\sigma'' : (\mathcal{C}(S), \sqsubseteq_S) \rightarrow (\mathcal{C}(A), \sqsubseteq_A), \text{ i.e. } \exists! x'. \quad \begin{array}{ccc} x' & \dashv\sqsubseteq_S & x \\ \sigma'' \downarrow & & \downarrow \sigma'' \\ y & \sqsubseteq_A & \sigma''(x), \end{array}$$



which preserve  $\supseteq^-, \sqsubseteq^+$  and  $\emptyset$ .

$\rightsquigarrow$  *A lax functor from strategies to profunctors ...*

## Strategies—informal alternative description 3

Given a strategy  $\sigma : S \rightarrow A$  it can be shown (Lemma 8.23) that

$$\{x^+ \cup \sigma x^- \mid x \in \mathcal{C}(S)\}$$

is a stable family order-isomorphic to  $(\mathcal{C}(S), \subseteq)$  under  $x \mapsto x^+ \cup \sigma x^-$ .

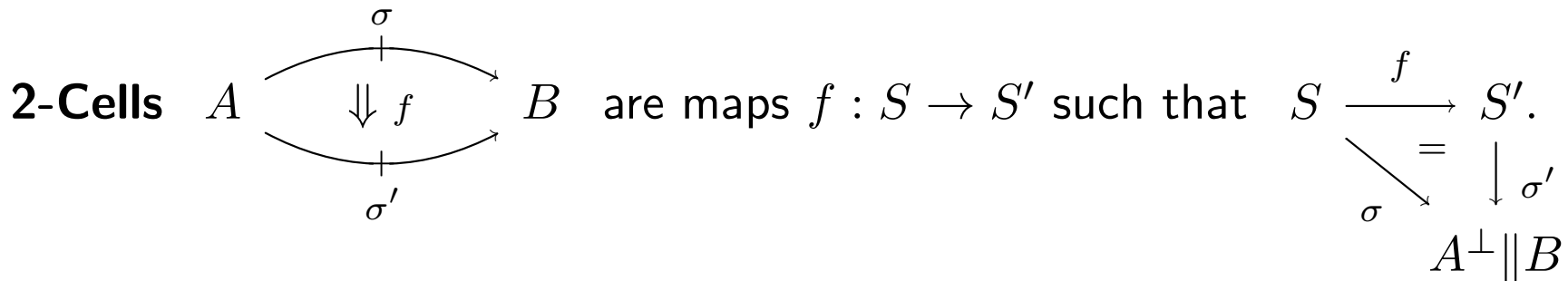
This implies a strategy  $\sigma : S \rightarrow A$  is got from the game  $A$  by adding

- conflicting copies of +-events with
- “causal wiring” required of the game and respecting receptivity and innocence.

## A bicategory of games

**Objects** are event structures with polarity—the games,  $A, B, \dots$  ;

**Arrows**  $\sigma : A \dashv\vdash B$  are strategies  $\sigma : S \rightarrow A^\perp \parallel B$ ;



The vertical composition of 2-cells is the usual composition of maps. Horizontal composition is given by  $\odot$  (which extends to a functor via the universality of pb).

*Duality:  $\sigma : A \dashv\vdash B$  corresponds to  $\sigma^\perp : B^\perp \dashv\vdash A^\perp$ , as  $A^\perp \parallel B \cong (B^\perp)^\perp \parallel A^\perp$ . The bicategory of strategies is compact-closed (so has a trace, a feedback operation extending that of nondeterministic dataflow)—though with extra features of winning conditions or pay-off, this will weaken to \*-autonomy.*

# Ch 5. DETERMINISTIC STRATEGIES

## Deterministic strategies

Say an event structures with polarity  $S$  is *deterministic* iff

$$\forall X \subseteq_{\text{fin}} S. \text{Neg}[X] \in \text{Con}_S \Rightarrow X \in \text{Con}_S,$$

where  $\text{Neg}[X] =_{\text{def}} \{s' \in S \mid \exists s \in X. \text{pol}_S(s') = - \ \& \ s' \leq s\}$ .

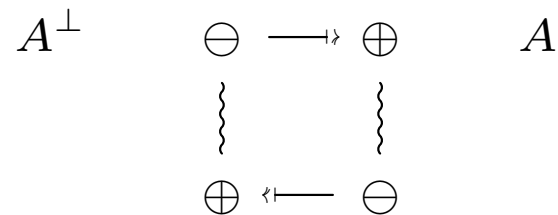
Say a strategy  $\sigma : S \rightarrow A$  is deterministic if  $S$  is deterministic.

**Proposition** An event structure with polarity  $S$  is deterministic iff  $x \xrightarrow{s} \subset \& x \xrightarrow{s'} \subset \& \text{pol}_S(s) = +$  implies  $x \cup \{s, s'\} \in \mathcal{C}(S)$ , for all  $x \in \mathcal{C}(S)$ .

**Notation**  $x \xrightarrow{e} \subset y$  iff  $x \cup \{e\} = y \ \& \ e \notin x$ , for configurations  $x, y$ , event  $e$ .  
 $x \xrightarrow{e} \subset$  iff  $\exists y. x \xrightarrow{e} \subset y$ .

## Nondeterministic copy-cats

Take  $A$  to consist of two events, one +ve and one -ve event, inconsistent with each other  $\oplus \rightsquigarrow \ominus$ . The construction  $\mathbb{C}_A$ :



To see  $\mathbb{C}_A$  is not deterministic, take  $x$  to be the singleton set consisting *e.g.* of the -ve event on the left and  $s, s'$  to be the +ve and -ve events on the right.



**Lemma** Let  $A$  be an event structure with polarity. The copy-cat strategy  $\gamma_A$  is deterministic iff  $A$  satisfies

$$\begin{aligned} \forall x \in \mathcal{C}(A). \ x \xrightarrow{a} \subset \ \& \ x \xrightarrow{a'} \subset \ \& \ pol_A(a) = + \ \& \ pol_A(a') = - \\ \Rightarrow x \cup \{a, a'\} \in \mathcal{C}(A). \quad \text{(Race-free)} \end{aligned}$$

**Lemma** The composition  $\tau \odot \sigma$  of two deterministic strategies  $\sigma$  and  $\tau$  is deterministic.

**Lemma** A deterministic strategy  $\sigma : S \rightarrow A$  is injective on configurations (so,  $\sigma : S \hookrightarrow A$ ).

$\rightsquigarrow$  sub-bicategory of race-free games and deterministic strategies, equivalent to an order-enriched category.

**Theorem** A subfamily  $F \subseteq \mathcal{C}(A)$  has the form  $\sigma\mathcal{C}(S)$  for a deterministic strategy  $\sigma : S \rightarrow A$ , iff

**reachability:**  $\emptyset \in F$  and if  $x \in F$ ,  $\emptyset \xrightarrow{a_1} \subset x_1 \xrightarrow{a_2} \subset \dots \xrightarrow{a_k} \subset x_k = x$  within  $F$ ;

**determinacy:** If  $x \xrightarrow{a} \subset$  and  $x \xrightarrow{a'} \subset$  in  $F$  with  $pol_A(a) = +$ , then  $x \cup \{a, a'\} \in F$ ;

**receptivity:** If  $x \in F$  and  $x \xrightarrow{a} \subset$  in  $\mathcal{C}(A)$  and  $pol_A(a) = -$ , then  $x \cup \{a\} \in F$ ;

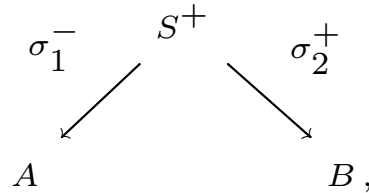
**+innocence:** If  $x \xrightarrow{a} \subset x_1 \xrightarrow{a'} \subset$  &  $pol_A(a) = +$  in  $F$  &  $x \xrightarrow{a'} \subset$  in  $\mathcal{C}(A)$ , then  $x \xrightarrow{a'} \subset$  in  $F$  (receptivity implies --innocence);

**1-stable:** If  $x_1 \xrightarrow{a} \subset x$  and  $x_2 \xrightarrow{b} \subset x$  in  $F$ , then  $x_1 \cap x_2 \in F$ .



## **Ch 6. Games people play**

**Stable spans, profunctors and stable functions** The sub-bicategory of **Games** where the events of games are purely +ve is equivalent to the bicategory of stable spans: a strategy  $\sigma : S \rightarrow A^\perp \parallel B$  corresponds to



where  $S^+$  is the projection of  $S$  to its +ve events;  $\sigma_2^+$  is the restriction of  $\sigma_2$  to  $S^+$  is rigid;  $\sigma_1^-$  is a *demand map* taking  $x \in \mathcal{C}(S^+)$  to  $\sigma_1^-(x) = \sigma_1[x]$ .

Composition of stable spans coincides with composition of their associated profunctors. The feedback operation of nondeterministic dataflow is obtained as a special case of the trace on concurrent games.

When deterministic (and event structures are countable) we obtain a sub-bicategory equivalent to Berry's **dl-domains and stable functions**.

**Ingenuous strategies** Deterministic concurrent strategies coincide with the *receptive ingenuous* strategies of and Melliès and Mimram.

**Closure operators** A deterministic strategy  $\sigma : S \rightarrow A$  determines a closure operator  $\varphi$  on  $\mathcal{C}^\infty(S)$ : for  $x \in \mathcal{C}^\infty(S)$ ,

$$\varphi(x) = x \cup \{s \in S \mid \text{pol}(s) = + \ \& \ \text{Neg}[\{s\}] \subseteq x\}.$$

The closure operator  $\varphi$  on  $\mathcal{C}^\infty(S)$  induces a *partial* closure operator  $\varphi_p$  on  $\mathcal{C}^\infty(A)$  and in turn a closure operator  $\varphi_p^\top$  on  $\mathcal{C}^\infty(A)^\top$  of Abramsky and Melliès.

**Simple games** “*Simple games*” of game semantics arise when we restrict **Games** to objects and deterministic strategies which are ‘tree-like’—alternating polarities, with conflicting branches, beginning with opponent moves.

**Conway games** tree-like, but where only strategies need alternate and begin with opponent moves.

## **Ch 8. WINNING WAYS**

## Winning conditions

A *game with winning conditions* comprises

$$G = (A, W)$$

where  $A$  is an event structure with polarity and  $W \subseteq \mathcal{C}^\infty(A)$  consists of the *winning configurations* for Player.

Define the *losing conditions* to be  $L =_{\text{def}} \mathcal{C}^\infty(A) \setminus W$ .



## Winning strategies

Let  $G = (A, W)$  be a game with winning conditions.

A strategy in  $G$  is a strategy in  $A$ .

A strategy  $\sigma : S \rightarrow A$  in  $G$  is *winning (for Player)* if  $\sigma x \in W$ , i.e.  $\sigma x \notin L$ , for all +-maximal configurations  $x \in \mathcal{C}^\infty(S)$ .

[A configuration  $x$  is +-maximal if whenever  $x \xrightarrow{s} \subset$  then the event  $s$  has -ve polarity.]

*A winning strategy prescribes moves for Player to avoid ending in a losing configuration, no matter what the activity or inactivity of Opponent.*

## Characterization via counter-strategies

*Informally, a strategy is winning for Player if any play against a counter-strategy of Opponent results in a win for Player.*

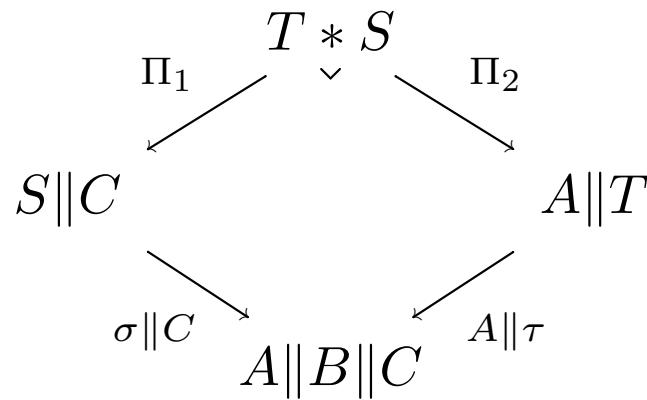
A *counter-strategy*, i.e. a strategy of Opponent, in a game  $A$  is a strategy in the dual game, so  $\tau : T \rightarrow A^\perp$ .

What are the *results*  $\langle \sigma, \tau \rangle$  of playing strategy  $\sigma$  against counter-strategy  $\tau$ ?

Note  $\sigma : \emptyset \dashrightarrow A$  and  $\tau : A \dashrightarrow \emptyset \dots$

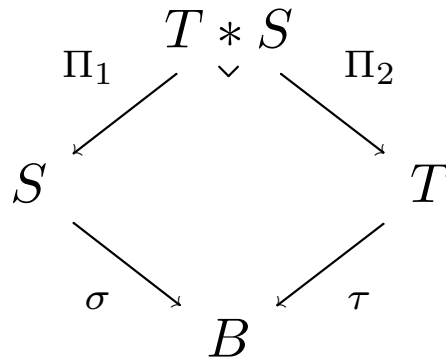
## Composition of strategies without hiding

Let  $\sigma : S \rightarrow A^\perp \parallel B$  and  $\tau : T \rightarrow B^\perp \parallel C$  be strategies. Their composition before hiding:



## Special case

Let  $\sigma$  be a strategy in  $B$  and  $\tau$  a counterstrategy, a strategy in  $B^\perp$ . Their composition before hiding:



Define **results**,  $\langle \sigma, \tau \rangle =_{\text{def}} \{ \sigma \Pi_1 z \mid z \text{ is maximal in } \mathcal{C}^\infty(T * S) \}$ .

## Characterization of winning strategies

**Lemma** Let  $\sigma : S \rightarrow A$  be a strategy in a game  $(A, W)$ . The strategy  $\sigma$  is winning for Player iff  $\langle \sigma, \tau \rangle \subseteq W$  for all (deterministic) strategies  $\tau : T \rightarrow A^\perp$ .

Its proof uses a key lemma:

**Lemma** Let  $\sigma : S \rightarrow A^\perp \parallel B$  and  $\tau : B^\perp \parallel C$  be strategies. Then,

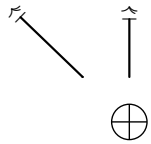
$z \in \mathcal{C}^\infty(T * S)$  is +-maximal iff

$\Pi_1 z \in \mathcal{C}^\infty(S)$  is +-maximal &  $\Pi_2 z \in \mathcal{C}^\infty(T)$  is +-maximal.

[Also holds for receptive pre-strategies.]

**Ex.1.**  $\ominus \rightsquigarrow \oplus$  has a winning strategy only if  $\{\ominus\} \in W$ .

**Ex.2.**  $\ominus \rightsquigarrow \oplus$  the empty strategy is winning if  $\emptyset \in W$ .



**Ex.3.**  $\ominus \quad \ominus \quad \oplus$ , with  $x \in W$  iff  $pol\ x \cap \{-\} \neq \emptyset \Rightarrow pol\ x \cap \{+\} \neq \emptyset$ , has a winning nondeterministic strategy, but no winning deterministic strategy.

**Ex.4.**  $\ominus \quad \oplus \longrightarrow \oplus \longrightarrow \dots \longrightarrow \oplus \longrightarrow \dots$  with  $x \in W$  iff  $(\ominus \in x \Leftrightarrow x \text{ finite})$  has no winning strategy or counterstrategy.

**Ex.5.**

$$\begin{array}{ccccccc}
 & \oplus & & \oplus & & \dots & & \oplus & & \dots \\
 & \{ & & \{ & & & & \{ & & \\
 \ominus & \oplus & \longrightarrow & \oplus & \longrightarrow & \dots & \longrightarrow & \oplus & \longrightarrow & \dots
 \end{array}$$

with  $x \in W$  iff  $(\ominus \in x \Leftrightarrow x \text{ finite})$  has a winning strategy.

## Operations on games with winning conditions

**Dual**  $G^\perp = (A^\perp, W_{G^\perp})$  where, for  $x \in \mathcal{C}^\infty(A)$ ,

$$x \in W_{G^\perp} \text{ iff } \bar{x} \notin W_G.$$

**Parallel composition** For  $G = (A, W_G)$ ,  $H = (B, W_H)$ ,

$$G \parallel H =_{\text{def}} (A \parallel B, W_G \parallel \mathcal{C}^\infty(B) \cup \mathcal{C}^\infty(A) \parallel W_H)$$

where  $X \parallel Y = \{\{1\} \times x \cup \{2\} \times y \mid x \in X \ \& \ y \in Y\}$  when  $X$  and  $Y$  are subsets of configurations. To win is to win in either game. Unit of  $\parallel$  is  $(\emptyset, \emptyset)$ .

## Derived operations

**Tensor** Defining  $G \otimes H =_{\text{def}} (G^\perp \parallel H^\perp)^\perp$  we obtain a game where to win is to win in both games  $G$  and  $H$ —so to lose is to lose in either game. More explicitly,

$$(A, W_A) \otimes (B, W_B) =_{\text{def}} (A \parallel B, W_A \parallel W_B).$$

The unit of  $\otimes$  is  $(\emptyset, \{\emptyset\})$ .

**Function space** With  $G \multimap H =_{\text{def}} G^\perp \parallel H$  a win in  $G \multimap H$  is a win in  $H$  conditional on a win in  $G$ :

**Proposition** Let  $G = (A, W_G)$  and  $H = (B, W_H)$  be games with winning conditions. Write  $W_{G \multimap H}$  for the winning conditions of  $G \multimap H$ . For  $x \in \mathcal{C}^\infty(A^\perp \parallel B)$ ,

$$x \in W_{G \multimap H} \text{ iff } \overline{x_1} \in W_G \Rightarrow x_2 \in W_H.$$



## The bicategory of winning strategies

**Lemma** Let  $\sigma$  be a winning strategy in  $G^\perp \parallel H$  and  $\tau$  be a winning strategy in  $H^\perp \parallel K$ . Their composition  $\tau \odot \sigma$  is a winning strategy in  $G^\perp \parallel K$ .

But copy-cat need not be winning: Let  $A$  consist of  $\oplus \rightsquigarrow \ominus$ . The event structure  $\mathbb{C}_A$ :

$$\begin{array}{ccc}
 A^\perp & \ominus \longrightarrow \oplus & A \\
 & \{ \} & \{ \} \\
 & \oplus \longleftarrow \ominus & 
 \end{array}$$

With  $W = \{\{\oplus\}\}$ . Taking  $x = \{\ominus, \ominus\}$ ,  $\bar{x}_1 \in W$  while  $x_2 \notin W$ .

A robust sufficient condition for copy-cat to be winning: the game is race-free. The notes give a necessary and sufficient condition.

$\rightsquigarrow$  bicategory of games with winning strategies.

## Applications, extensions

**Total strategies:** To pick out a subcategory of *total* strategies (where Player can always answer Opponent) within simple games.

**Determinacy:** A necessary and sufficient condition on a well-founded game  $A$  for  $(A, W)$  to be determined for all winning conditions: that  $A$  is race-free. (A game  $A$  is well-founded if all its configurations are finite). A necessary and sufficient condition on a game for it to be determined w.r.t. Borel winning conditions is that it is race-free and bounded concurrent (in no configuration is an event concurrent with infinitely many events of opposing polarity).

**A game semantics for PC:** W.r.t. a model, a closed formula of Predicate Calculus denotes a concurrent game which has a winning strategy iff the formula is true. Via games with imperfect information, semantics of Hintikka's IF logic.

**Strategies as concurrent processes:** their 'may-and-must' behaviour via "stopping configurations" (to refine +-maximal configurations) gives an accurate analysis of 'must win' and 'may win.'

## Ch 12. From strategies to probabilistic strategies

$$\begin{array}{c} S \\ \downarrow \sigma \\ A \end{array}$$

### Aim

- (1) To endow  $S$  with probability, while
- (2) taking account of the fact that in a strategy Player can't be aware of the probabilities assigned by Opponent. (*E.g.* in 'Matching pennies')

*Causal independence between Player and Opponent moves will entail their probabilistic independence. Equivalently, probabilistic dependence of Player on Opponent moves will presuppose their causal dependence.*

## Probabilistic event structures

A **probabilistic event structure** comprises an event structure  $E = (E, \leq, \text{Con})$  together with a (normalized) **continuous valuation**, *i.e.* a function  $w$  from the Scott open subsets of configurations  $\mathcal{C}^\infty(E)$  to  $[0, 1]$  which is

$$\text{(normalized)} \quad w(\mathcal{C}^\infty(E)) = 1 \qquad \text{(strict)} \quad w(\emptyset) = 0$$

$$\text{(monotone)} \quad U \subseteq V \Rightarrow w(U) \leq w(V)$$

$$\text{(modular)} \quad w(U \cup V) + w(U \cap V) = w(U) + w(V)$$

$$\text{(continuous)} \quad w(\bigcup_{i \in I} U_i) = \sup_{i \in I} w(U_i) \quad \text{for directed unions } \bigcup_{i \in I} U_i.$$

*Intuition:*  $w(U)$  is the probability of the result being in  $U$ .

*A cts valuation extends to a probability measure on Borel sets of configurations.*

**A workable characterization:** A probabilistic event structure comprises an event str.  $E$  with a **configuration-valuation**  $v : \mathcal{C}(E) \rightarrow [0, 1]$  which satisfies

**(normalized)**  $v(\emptyset) = 1$  and

**(non –ve drop)**  $d_v^{(n)}[y; x_1, \dots, x_n] \geq 0$ , for all  $n \in \omega$ , and  $y \subseteq x_1, \dots, x_n$  in  $\mathcal{C}(E)$ .

For  $y \subseteq x_1, \dots, x_n$  in  $\mathcal{C}(E)$ ,

$$d_v^{(n)}[y; x_1, \dots, x_n] =_{\text{def}} v(y) - \sum_I (-1)^{|I|+1} v\left(\bigcup_{i \in I} x_i\right)$$

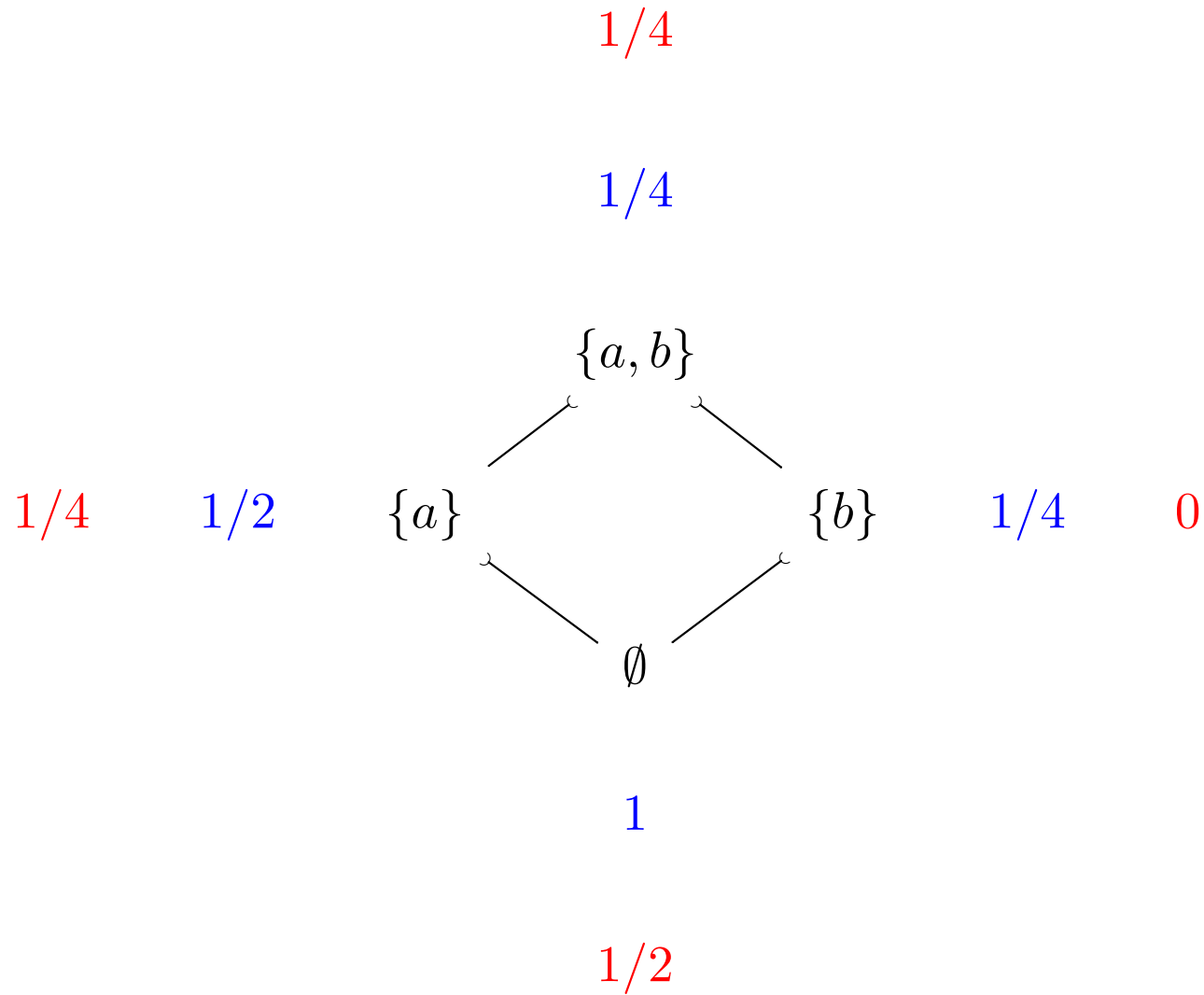
—the index  $I$  ranges over  $\emptyset \neq I \subseteq \{1, \dots, n\}$  s.t.  $\{x_i \mid i \in I\}$  is compatible.  
*(Sufficient to check the ‘drop condition’ for  $y \subseteq x_1, \dots, x_n$ )*

**Theorem.** Continuous valuations restrict to configuration-valuations.

A configuration-valuation extends to a unique continuous valuation on open sets, and that to a unique probabilistic measure on Borel subsets of configurations.

*(The result holds in greater generality, for Scott domains)*

**Example** Two concurrent events  $a$  and  $b$ , with configuration-valn and probability:



## Probabilistic event structure with polarities

Let  $E$  be an event structure in which (not necessarily all) events carry  $+/-$ . Write  $x \subseteq^p y$  if  $x \subseteq y$  and no event in  $y \setminus x$  has polarity  $-$ .

Now, a **configuration-valuation** is a function  $v : \mathcal{C}(E) \rightarrow [0, 1]$  for which

$$v(\emptyset) = 1, \quad x \subseteq^- y \Rightarrow v(x) = v(y), \text{ for all } x, y \in \mathcal{C}(E),$$

and the “drop condition”

$$d_v^{(n)}[y; x_1, \dots, x_n] \geq 0$$

for all  $n \in \omega$  and  $y \subseteq^p x_1, \dots, x_n$  in  $\mathcal{C}(E)$ .

*(Sufficient to check the ‘drop condition’ for  $y \subseteq^p x_1, \dots, x_n$ )*

A **probabilistic event structure with polarity** comprises  $E$  an event structure with polarity together with a configuration-valuation  $v_E : \mathcal{C}(E) \rightarrow [0, 1]$ .

## Probabilistic strategies

Assume games are *race-free*, *i.e.* there is no immediate conflict between events of opposite polarity.

A **probabilistic strategy** in  $A$  comprises  $S, v_S$ , a probabilistic event structure with polarity, and a strategy  $\sigma : S \rightarrow A$ .

A race-free game  $A$  has a **probabilistic copy-cat** by taking  $v_{\mathbb{C}_A}$  constantly 1—this is a configuration-valuation as  $\mathbb{C}_A$  is deterministic for race-free  $A$ .

For the **composition**  $\tau \odot \sigma$  endow the pb  $T * S$  with configuration-valuation  $v(x) = v_S(\Pi_1^S x) \times v_T(\Pi_2^T x)$ . This forms a configuration-valuation because assuming  $\Pi_1^S y \text{---} \text{C}^+ \Pi_1^S x_i$  for  $1 \leq i \leq m$  and  $\Pi_2^T y \text{---} \text{C}^+ \Pi_2^T x_i$  for  $m + 1 \leq i \leq n$ ,

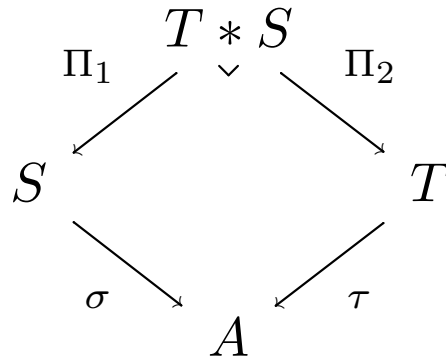
$$d_v^{(n)}[y; x_1, \dots, x_n] = d_v^{(m)}[\Pi_1^S y; \Pi_1^S x_1, \dots, \Pi_1^S x_m] \times d_v^{(n-m)}[\Pi_2^T y; \Pi_2^T x_{m+1}, \dots, \Pi_2^T x_n].$$

$\rightsquigarrow$  a bicategory of probabilistic strategies on race-free games—2-cells?



## A special case of composition without hiding: play-off

Given a probabilistic strategy  $v_S, \sigma : S \rightarrow A$  and counter-strategy  $v_T, \tau : T \rightarrow A^\perp$  we obtain



with valuation  $v_S \Pi_1 \times v_T \Pi_2$  on the pullback  $T * S$  — a probabilistic event structure, making  $A$  a probabilistic event str. too, with probability measure  $\mu$ .

Adding **pay-off** as a random variable  $X$  from  $C^\infty(A)$  get **expected pay-off** as the Lebesgue integral

$$\int X(x) d\mu(x).$$

## Maps between probabilistic strategies (2-cells?)

**The push-forward of a configuration-valuation across a map:**

Given a map of strategies  $S \xrightarrow{f} S'$  and a configuration-valuation  $v$  of  $S$ ,

$$\begin{array}{ccc} S & \xrightarrow{f} & S' \\ & \searrow \sigma & \downarrow \sigma' \\ & & A \end{array}$$

cannot in general push it forwards to a configuration-valuation  $fv$  of  $S'$ .

However, **if  $f$  is rigid**, defining

$$(fv)(y) =_{\text{def}} \sum \{v(x) \mid fx = y\},$$

for  $y \in \mathcal{C}(S')$ , yields a configuration-valuation  $fv$  of  $S'$  —the **push-forward** of  $v$ .

## The rigid image of a probabilistic strategy

A strategy  $\sigma : S \rightarrow A$  has a **rigid image** comprising  $S \xrightarrow{f_0} S_0$  where

$$\begin{array}{ccc} S & \xrightarrow{f_0} & S_0 \\ \searrow \sigma & & \downarrow \sigma_0 \\ & & A \end{array}$$

$f_0$  is rigid epi and  $\sigma_0$  is a strategy with universal property:

$$\begin{array}{ccccc} & & f_0 & & \\ & & \frown & & \\ & & \text{---} & & \\ S & \xrightarrow{f} & S' & \dashrightarrow & S_0 \\ \searrow \sigma & & \downarrow \sigma' & & \swarrow \sigma_0 \\ & & A & & \end{array}$$

A probabilistic strategy  $\sigma : S \rightarrow A$  with configuration-valuation  $v$  of  $S$  has rigid image the probabilistic strategy  $\sigma_0 : S_0 \rightarrow A$  with configuration-valuation the push-forward  $f_0v$ .

*Remark: Rigid images are not preserved by composition of strategies.*

## A bicategory of games and probabilistic strategies

**Objects** are race-free games  $A, B, C, \dots$  ;

**Arrows**  $\sigma : A \dashv\dashv B$  are probabilistic strategies  $\sigma : S \rightarrow A^\perp \parallel B$  with configuration valuation  $v : \mathcal{C}(S) \rightarrow [0, 1]$ ;

**2-Cells**  $A \begin{array}{c} \xrightarrow{\sigma, v} \\ \Downarrow f \\ \xrightarrow{\sigma', v'} \end{array} B$  are rigid maps  $f : S \rightarrow S'$  making  $\begin{array}{ccc} S & \xrightarrow{f} & S' \\ & \searrow \sigma & \downarrow \sigma' \\ & & A^\perp \parallel B \end{array}$

commute and  $fv \leq v'$ .

*2-cells include rigid embeddings preserving the value assigned by configuration valuations and the approximation order  $\sqsubseteq$  on event structures. Taking rigid images (they're 2-cells) yields a functor to an order-enriched category.*

## Extensions

- **Payoff and value theorems:** endow games with a measurable payoff function on configurations. Optimal strategies, Nash equilibria and value theorems.
- **Imperfect information:** where games also carry a preorder of access levels to restrict the causal dependencies of strategies. Optimal strategies and value theorems?
- **Quantum games:** Interpret moves of a game as projection and unitary operators on a Hilbert space s.t. concurrent moves are associated with commuting operators. The play-off of a probabilistic strategy against a probabilistic counterstrategy results in a probabilistic quantum experiment, where, assuming the game has an initial quantum state, each particular experiment determines a probability distribution over end positions of the game.

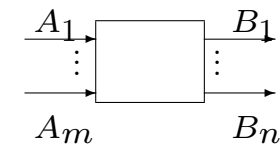
## Constructions on (probabilistic) strategies

**Types:** (Race-free) Games  $A, B, C, \dots$  with operations  $A^\perp, A\|B$ , sums  $\sum_{i \in I} A_i$ , recursively-defined types,  $\dots$

**A term**

$$x_1 : A_1, \dots, x_m : A_m \vdash t \dashv y_1 : B_1, \dots, y_n : B_n,$$

denotes a strategy  $A_1\|\dots\|A_m \dashv\vdash B_1\|\dots\|B_n$ .



**Idea:**  $t$  denotes a strategy  $S \rightarrow \vec{A}^\perp\|\vec{B}$ .

The term  $t$  describes witnesses, finite configurations of  $S$ , to a relation between finite configurations  $\vec{x}$  of  $\vec{A}$  and  $\vec{y}$  of  $\vec{B}$ . Cf. profunctors.

*Probabilistic strategies: restrict to race-free games; witnesses will also carry probabilistic values determining a configuration-valuation.*

## Duality and Composition

**Duality** of input and output:

$$\frac{\Gamma, x : A \vdash t \dashv \Delta}{\Gamma \vdash t \dashv x : A^\perp, \Delta}$$

because  $t$  denotes a strategy in  $(\Gamma^\perp \parallel A^\perp) \parallel \Delta \cong \Gamma^\perp \parallel (A^\perp \parallel \Delta)$ .

**Composition** of strategies:

$$\frac{\Gamma \vdash t \dashv \Delta \quad \Delta \vdash u \dashv H}{\Gamma \vdash \exists \Delta. [t \parallel u] \dashv H}$$

When  $\Delta$  is empty, this yields simple parallel composition  $t \parallel u$ .

## Copy-cat terms

Copy-cat on  $A$ ,  $x : A \vdash y \sqsubseteq_A x \dashv y : A$  or  $\vdash y \sqsubseteq_A x \dashv x : A^\perp, y : A$ .

$$\oplus \leftarrow \ominus$$

$$\ominus \rightarrow \oplus$$

Generally, when  $f : A \rightarrow_a C$  and  $g : B \rightarrow_a C$  are affine maps s.t.  $g\emptyset \sqsubseteq_C f\emptyset$

$$x : A \vdash gy \sqsubseteq_C fx \dashv y : B.$$

This denotes a deterministic strategy—with configuration valuation constantly 1—provided  $f$  reflects  $--$ compatibility and  $g$  reflects  $+-$ compatibility.



## Lifting maps and shifting strategies

An affine map  $f : A \rightarrow B$  of games (which reflects  $--$ -compatibility) lifts to a (deterministic) strategy  $f! : A \rightarrow B$ :

$$x : A \vdash y \sqsubseteq_B fx \dashv y : B.$$

An affine map  $f : A \rightarrow B$  of games (which reflects  $+-$ -compatibility) lifts to a (deterministic) strategy  $f^* : B \rightarrow A$ :

$$y : B \vdash fx \sqsubseteq_B y \dashv x : A.$$

Have

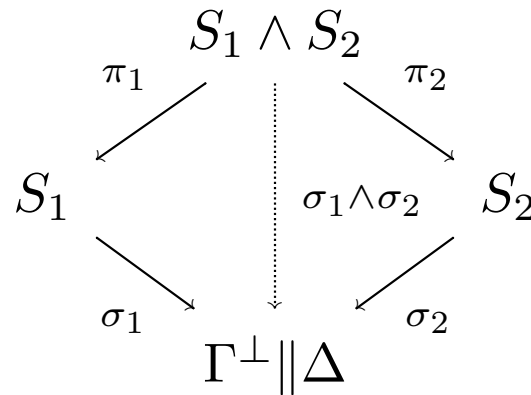
$$f! \dashv f^*.$$

$f^* \odot t$  denotes the **pullback** of a strategy  $t$  in  $B$  across the map  $f : A \rightarrow B$ . It can introduce extra events and dependencies in the strategy. It subsumes prefixing.

## Pullback of strategies

$$\frac{\Gamma \vdash t_1 \dashv \Delta \quad \Gamma \vdash t_2 \dashv \Delta}{\Gamma \vdash t_1 \wedge t_2 \dashv \Delta}$$

In the case where  $t_1$  and  $t_2$  denote the (probabilistic) strategies  $\sigma_1 : S_1 \rightarrow \Gamma^\perp \parallel \Delta$  (with  $v_1$ ) and  $\sigma_2 : S_2 \rightarrow \Gamma^\perp \parallel \Delta$  (with  $v_2$ ) the strategy  $t_1 \wedge t_2$  denotes the pullback



(with configuration valuation  $x \mapsto v_1(\pi_1 x) \times v_2(\pi_2 x)$  for  $x \in \mathcal{C}(S_1 \wedge S_2)$ ).

## Probabilistic sum of strategies

In the **probabilistic sum of strategies**, in the same game, the strategies are glued together on their initial Opponent moves (to maintain receptivity) and only commit to a component with the occurrence of a Player move. For  $I$  countable and a sub-probability distribution  $p_i, i \in I$ ,

$$\frac{\Gamma \vdash t_i \dashv \Delta \quad i \in I}{\Gamma \vdash \sum_{i \in I} p_i t_i \dashv \Delta .}$$

We use  $\perp$  for the **empty probabilistic sum**, when the rule above specialises to

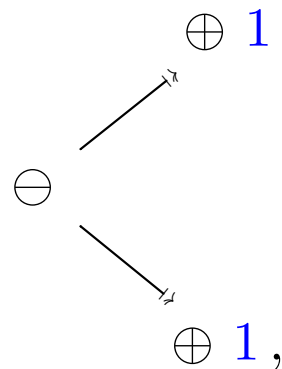
$$\Gamma \vdash \perp \dashv \Delta ,$$

which denotes the minimum strategy in the game  $\Gamma^\perp \parallel \Delta$ —it comprises the initial segment of the game  $\Gamma^\perp \parallel \Delta$  consisting of all the initial Opponent events of  $A$ .

## Duplication

We duplicate arguments through a probabilistic strategy  $\delta_A : A \multimap A \parallel A$ .  
*In the absence of probability  $\delta_A$  forms a comonoid with counit  $\perp : A \multimap \emptyset$ .*  
 The general defn is involved, but *e.g.*,

if  $A = \oplus$ ,  $\delta_A$  is



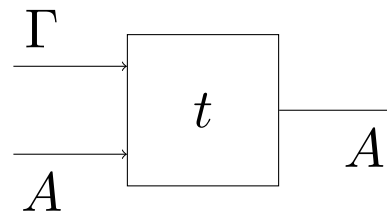
if  $A = \ominus$ ,  $\delta_A$  is  $\frac{1}{2} \oplus \multimap \ominus$   
 $\left. \begin{array}{c} \vdots \\ \vdots \end{array} \right\}$   
 $\frac{1}{2} \oplus \multimap \ominus$ .

$\rightsquigarrow$  **duplication terms** such as  $x : A \vdash \delta(x, y_1, y_2) \dashv y_1 : A, y_2 : A$ .

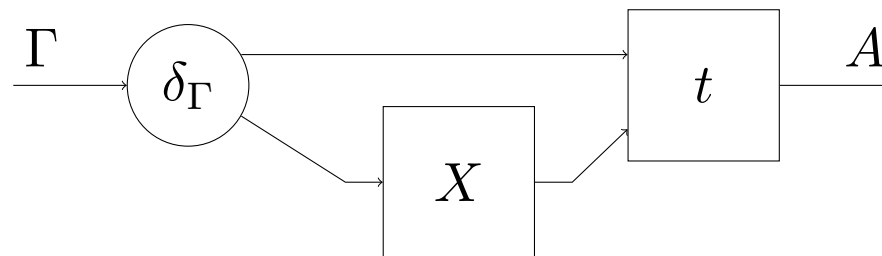
As a probabilistic strategy  $\delta_A$  is no longer a comonoid—it fails associativity.

## Recursion

Given  $x : A, \Gamma \vdash t \dashv y : A,$



the term  $\Gamma \vdash \mu x:A. t \dashv y : A$  denotes the  $\trianglelefteq$ -least fixed point amongst strategies  $X : \Gamma \dashv A$  of  $F(X) = t \odot (\text{id}_\Gamma \parallel X) \odot \delta_\Gamma$ :



With probability, as  $\delta_\Gamma$  is no longer a comonoid, not all the “usual” laws of recursion will hold.

## Limitations of strategies based on prime event structures

Strategies here don't cope with stochastic behaviour, *e.g.* races as in  $\ominus \rightsquigarrow \oplus$ .

**Don't cope with benign Player-Player races either!** Consider the game

$$\oplus \ominus \ominus$$

where Player wins if any  $\ominus$  is accompanied by  $\oplus$ . *A winning strategy: assign watchers for each  $\ominus$  who on seeing their  $\ominus$  race to play  $\oplus$ .* But we cannot express this with prime event structures. The best we can do is

$$\begin{array}{c} 1/2 \oplus \leftarrow \ominus \\ \} \\ 1/2 \oplus \leftarrow \ominus . \end{array}$$

which against an Opponent playing one of their two moves with probability  $1/2$  only wins half the time. *We need to develop distributed probabilistic strategies to allow 'disjunctive' causal dependence as in  $(E, \vdash, \text{Con})$  which allows *e.g.* two distinct compatible causes  $X \vdash e$  and  $Y \vdash e$ . But, is no hiding  $(E, \vdash, \text{Con}) \downarrow V!$*