# Soundness proof of type checking

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# **B1** Commentary

Since Core is a first-order language, and we require that all functions and labels are annotated with the correct type, it suffices to only use purely syntactic techniques to prove soundness. This remains true despite the addition of linear types, systems with which are normally proved using logical relations. There are three main components to this: a joint progress-and-type-preservation proof for resource term reduction, a progress theorem and a type-preservation theorem.

Let a resource be called *normalised* if it is either a *pred*, *qpred* or an under-determined conditional resource. Let a resource context  $\underline{\mathcal{R}}$  be called normalised if it contains only normalised resources. Even though the grammar of resources is richer, we can, in all the proofs relating to well-typed closed resource terms, are assume the resource context to be normalised. This is fine because of the following lemma: if a well-typed resource term is closed, then the context in which it is well-typed must normalised.

Operational semantics for resource term happens to be defined using big-step style; this makes its definition concise and modular, at the cost of making the proof of soundness of resource term reduction more complicated since it requires joint progress and type preservation. The configuration for the operational semantics is a pair of a heap and an annotated and let-normalised Core program.

Heaps only contain normalised resources. Predicates in heaps are optionally tagged with their "definition" *def* (a resource value of the type of the predicate body) and a sub-heap (of the resources used by the definition). This is to support folding and unfolding predicates in the operational semantics, and to capture the

$$pred \equiv pred\_term(oarg)$$

$$\langle h + h'; res\_pat = def \rangle \rightsquigarrow \langle h''; \sigma \rangle$$

$$\langle h + \{pred \& def \& h'\}; \texttt{fold}(res\_pat) = pred\_term \rangle \rightsquigarrow \langle h''; \sigma \rangle$$

$$pred \equiv pred\_term(oarg) \quad \langle h; res\_term \rangle \Downarrow \langle h_1; def \rangle$$

$$\texttt{footprint\_of} def \texttt{in} h_1 \rightsquigarrow h_2 \texttt{rem} h_3$$

$$\langle h; \texttt{fold} res\_term: pred \rangle \Downarrow \langle h_3 + \{pred \& def \& h_2\}; pred\_term \rangle$$

idea that predicate encapsulate their contents until opened.

The types of heaps are normalised contexts; the rules for these are straightforward, except the fact a heap with a folded predicate requires there exists a context for which the resource value *def* and *heap* is well-typed. This becomes necessary for proving the progress of pattern-matching for the whole of the annotated and let-normalised Core.

**Theorem 1 (Progress and type preservation for resource terms)** For all closed resource terms (res\_term) which type check or synthesise  $(\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash res\_term \leftarrow res)$  and all well-typed heaps  $(\Phi \vdash h \leftarrow \underline{\mathcal{R}})$  there exists a resource value (res\_val), context ( $\underline{\mathcal{R}}'$ ) and heap (h'), such that: the value is well-typed ( $\cdot; \cdot; \Phi; \underline{\mathcal{R}}' \vdash res\_val \leftarrow res$ ); the heap is well-typed ( $\Phi \vdash h' \leftarrow \underline{\mathcal{R}}'$ ); for all frame-heaps (f), the resource term reduces to the resource value without affecting the frame-heap ( $(h + f; res\_term) \Downarrow (h' + f; res\_val)$ ).

The interesting case in the proof of this is folding a predicate; proving this case requires a notion of *footprint* of a resource value: the subheap containing the resources referred to by the value.

**Theorem 2 (Progress for the annotated and let-normalised Core)** If a top-level expression (texpr) is well-typed  $(\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash texpr \leftarrow ret)$  and all computational patterns in it are exhaustive, then either it is a value (tval), or it is unreachable, or for all heaps (h), if the heap is well-typed  $(\Phi \vdash h \leftarrow \underline{\mathcal{R}})$  then there exists another heap (h') and expression (texpr') which is stepped to  $(\langle h; texpr \rangle \longrightarrow \langle h'; texpr' \rangle)$  in the operational semantics.

The assumption that all computational patterns are exhaustive is justified because they are generated by Cerberus. As one might expect, proving progress requires well-typed patterns successfully produce substitutions. However, this complicated by two things, the solution to which requires the introduction of a relation on SMT terms and resource types,  $\Phi \vdash res \sim res'$  (to be read "under constraints  $\Phi$ , res is related to res'").

The first is that the constraint term generated when typing a computational pattern (this is required to record, in the constraint context, which branch the type system is assuming it is in) is not exactly equal to the values it can match in the operational semantics (nor would we want it to be: the pattern  $Cons(x_1, x_2)$  should match the value  $Cons(pval_1, Cons(pval_{21}, Nil \beta()))$ ). Hence, we must weaken the notion of equality on types to ~ relatedness, which links the two, so that during the proof can substitute the constraint term  $x_1 :: x_2$  at the type-level, and maintain a link to the corresponding value. The second is that the conditions of related conditional resource must remain SMT-equivalent (with reference to a constraint context), so that pattern-match typing and resource term typing are consistent.

**Theorem 3 (Type preservation for the annotated and let-normalised Core)** For all closed and well-typed top-level expressions  $(\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash texpr \leftarrow ret)$ , well typed heaps  $(\Phi \vdash h \leftarrow \underline{\mathcal{R}})$ , frameheaps (f), new heaps (heap), and new top-level expressions (texpr'), which are connected by a step in the operational semantics  $(\langle h + f; texpr \rangle \longrightarrow \langle heap; texpr' \rangle)$ , if all top-level functions are annotated correctly, there exists a constraint context  $(\Phi')$ , sub-heap (h'), and resource context  $(\underline{\mathcal{R}}')$ , such that the constraint context is  $\Phi$  extended, the frame is unaffected (heap = h' + f), the sub-heap is well-typed  $(\Phi' \vdash h' \leftarrow \underline{\mathcal{R}}')$ , and the top-level expression too  $(\cdot; \cdot; \Phi'; \underline{\mathcal{R}}' \vdash texpr' \leftarrow ret)$ .

A few things are noteworthy about the proof. First is that a frame-heap has to be explicitly passed around. Whilst this is inconvenient, it becomes necessary in the EXPL\_TOP\_SEQ\_LETT case. The next is that proof that well-typed spines produce well-typed substitutions require quantifying over the substitutions done so far, so that the inductive case matches up and the substitution so far  $\psi$  shows up in the conclusion, 'closing' otherwise 'open' substitutions: unfortunately quantifying over the substitutions done so far is not helpful because even the substitution itself can be 'open' (refer to free variables). Hence the peculiar typing of pattern-matching, so that all terms are well-scoped. This allows us to induct usefully, and get the required substitution in the output substitution and its type, making way to apply the substitution lemma afterwards. Lastly, we gather constraints throughout the proof, since these are accumulated by the typing rules, during pattern-matching, case and if. Given the constraint context is always well-formed (w.r.t. to the empty contexts), this means that all the constraints must be trivial (though extra effort would be required to show that they are trivially true, for example, showing that default bool cannot occur.

# **B2** Typing Judgements

In this document,  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash J$  stands for all *defined* judgements, listed in the remainder of this section after this paragraph. In particular, it does not stand for  $\mathcal{C} \vdash mem\_val \Rightarrow \beta$  or  $\mathcal{C}; \mathcal{L} \vdash term \Rightarrow \beta$ . Furthermore, I assume that lemma B6 (Weakening) and lemma B7.3 (Substitution) (proven for the *defined* judgements in the referenced sections) hold for these ( $\mathcal{C} \vdash mem\_val \Rightarrow \beta$ , and  $\mathcal{C}; \mathcal{L} \vdash term \Rightarrow \beta$ ) judgements.

$res_judge$	::=	
res_juuge	—	$\Phi \vdash \text{cmp_min}(iguard, iguard') \rightsquigarrow opt_cmp_term$
	I	given constraints $\Phi$ , <i>iguard</i> is potentially in-
		cluded in <i>iquard'</i> (or vice-versa) with ordering and
		minimum opt_cmp_term
		$\Phi \vdash qpred\_term \sqsubseteq? qpred\_term' \rightsquigarrow opt\_cmp$
		given constraints $\Phi$ , <i>qpred_term</i> is potentially in-
		cluded in $qpred\_term'$ (or vice-versa) with order-
		ing opt_cmp
		$\Phi \vdash res\_req \equiv res\_req' \rightsquigarrow bool$
		resource equality: given constraints $\Phi$ , res_req
		and $res\_req'$ are equal according to bool
		$\Phi \vdash res \equiv res'$
		resource equality: given constraints $\Phi$ , res is equal
		to res'
		$\Phi \vdash \mathtt{simp\_rec}(res) \rightsquigarrow \underline{res'}, \underline{bool}$
		partial-simplification of resources: given con- straints $\Phi$ me partially simplifies (string ifs) to
		straints $\Phi$ , res partially simplifies (strips ifs) to res'
	I	$\Phi \vdash \operatorname{simp}(res) \rightsquigarrow opt_res$
	I	partial-simplification of resources: given con-
		straints $\Phi$ , res attempts a partial simplification
		(strips ifs) to <i>opt_res</i>
$ret_judge$	::=	
0 0		$\Phi \vdash ret \equiv ret'$
		return type equality: given constraints $\Phi$ , ret is
		equal to $ret'$
$pat\_judge$	::=	
		$pat: \beta \rightsquigarrow \ \mathcal{C} \text{ with } term$
		computational pattern to context: <i>pat</i> and type
		$\beta$ produces context $C$ and constraint <i>term</i>
		$ident\_or\_pat:\beta \rightsquigarrow \mathcal{C}$ with $term$
		identifier-or-pattern to context: <i>ident_or_pat</i> and
		type $\beta$ produces context $\mathcal{C}$ and constraint <i>term</i>

		$\mathcal{L}; \Phi \vdash res\_pat:res \rightsquigarrow \mathcal{L}'; \Phi'; \mathcal{R}'$ resources pattern to context: given constraints $\Phi$ , $res\_pat$ of type $res$ produces contexts $\mathcal{L}'; \Phi'; \mathcal{R}'$
		$C; \mathcal{L}; \Phi \vdash ret\_pat:ret \rightsquigarrow \mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}'$ return pattern to context: given context $C; \mathcal{L}; \Phi$ , $ret\_pat$ and return type $ret$ produces contexts
		$\begin{array}{l} \mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}' \\ \Phi \vdash ret\_pat:ret \rightsquigarrow \mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}' \\ return pattern to context: given constraints \Phi, \\ ret\_pat \text{ and return type } ret \text{ produces contexts} \end{array}$
		$\mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}'$
$expl\_pure$	::=	
	I	$\mathcal{C} \vdash object\_value \Rightarrow \beta$ object value synthesises: given $\mathcal{C}$ , object\_value synthesises type $\beta$
	I	synthesises type $\beta$ $\mathcal{C} \vdash pval \Rightarrow \beta$
	I	pure value synthesises: given $C$ , <i>pval</i> synthesises type $\beta$
		$\mathcal{C}; \mathcal{L}; \Phi \vdash pexpr \Rightarrow pure\_ret$
	1	pure expression synthesises: given $C; \mathcal{L}; \Phi, pexpr$
		synthesises a pure (non-resourceful) return type <u>pure_ret</u>
		$\mathcal{C}; \mathcal{L}; \Phi \vdash tpval \Leftarrow pure\_ret$
		pure top-level value checks: given $C; \mathcal{L}; \Phi, tpval$
		checks against $pure\_ret$
		$\mathcal{C}; \mathcal{L}; \Phi \vdash tpexpr \Leftarrow pure\_ret$
		pure top-level expression checks: given $C; \mathcal{L}; \Phi$ , tpexpr checks against pure_ret
$expl\_res$	::=	
		$C; \mathcal{L}; \Phi; \mathcal{R} \vdash pred\_ops \Rightarrow res$ resource (q)predicate operation term synthesis: given $C; \mathcal{L}; \Phi; \mathcal{R}, pred\_ops$ synthesises resource
		res
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash res\_term \Rightarrow res$
		resource term synthesises: given $C; \mathcal{L}; \Phi; \mathcal{R},$
	I	$res\_term$ synthesises resource $res$
	I	$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash res\_term \Leftarrow res$ resource term checks: given $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}, res\_term$
		checks against resource $res$
$expl\_spine$	::=	$\mathcal{L} \left( \Delta, \mathcal{D} \right)$ with a set from $\Sigma = 1$
		$C; \mathcal{L}; \Phi; \mathcal{R} \vdash spine :: fun \gg ret$ function call spine checks: given $C; \mathcal{L}; \Phi; \mathcal{R}$ , com-
		patible <i>spine</i> , <i>fun</i> produces an <i>ret</i>
		parisis spond, june produces dir ree

$expl\_is\_expr$	::=	
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash action \Rightarrow \underline{ret}$
		memory action synthesises: given $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R},$
		action synthesises return type ret
	1	$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash memop \Rightarrow ret$
	1	memory operation synthesises: given $C; \mathcal{L}; \Phi; \mathcal{R}$ ,
		<i>memory</i> synthesises return type <i>ret</i>
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash is\_expr \Rightarrow \underline{ret}$
		indet. seq. expression synthesises: given
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}, is\_expr$ synthesises return type $ret$
$expl\_seq\_expr$	::=	
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash seq\_expr \Rightarrow $ <b>ret</b>
		seq. expression synthesises: given $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R},$
		$seq_{-}expr$ synthesises return type $ret$
$expl\_top$	::=	
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash tval \Leftarrow ret$
	I	top-level value checks: given $C; \mathcal{L}; \Phi; \mathcal{R}, tval$
		checks against return type $ret$
	1	
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash seq\_texpr \Leftarrow ret$
		top-level seq. expression checks: given $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R},$
		$seq\_texpr$ checks against return type $ret$
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash is\_texpr \Leftarrow ret$
		top-level indet. seq. expression checks: given
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}, is\_texpr$ checks against return type ret
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash texpr \Leftarrow ret$
	I	top-level expression checks: given $C; \mathcal{L}; \Phi; \mathcal{R},$
		texpr checks against return type $ret$
		toop, cheens against retain type for

# **B3** Operational Semantics Judgements

$subs\_judge$	::=	
		$pat = pval \rightsquigarrow \sigma$
		computational value deconstruction: pat decon-
		structs <i>pval</i> to produce substitution $\sigma$
		$ident\_or\_pat = pval \rightsquigarrow \sigma$
		computational value deconstruction: ident_or_pat
		deconstructs $pval$ to produce substitution $\sigma$
		$\langle h; res_pat = res_val \rangle \rightsquigarrow \langle h'; \sigma \rangle$
		resource term deconstruction: res_pat decon-
		structs $res_val$ to produce substitution $\sigma$
		$\langle h; \overline{ret\_pat_i = ret\_term_i}^i \rangle \rightsquigarrow \langle h'; \sigma \rangle$
	I	return value deconstruction: $ret_pat_i$ decon-
		structs $ret_val_i$ to produce substitution $\sigma$
	I	$\langle h; \overline{x_i = spine\_elem_i}^i \rangle :: fun \gg \langle h'; \sigma; ret \rangle$
	I	function call spine: heap $h$ and formal param-
		eters $x_i$ assigned to $spine\_elem_i$ for function of
		type fun, produce new heap $h'$ substitution $\sigma$ and
		result type $ret$
pure_opsem_defns		result type <b>ret</b>
pure_opsem_acjns		$ nernr\rangle \longrightarrow  tnernr:nure ret\rangle$
		$ \langle pexpr \rangle \longrightarrow \langle tpexpr:pure\_ret \rangle \\ \langle tpexpr \rangle \longrightarrow \langle tpexpr' \rangle $
	I	(ipexpi /> \ipexpi /
$opsem\_defns$	::=	
- <u>1</u>		$\langle h; pred\_ops \rangle \Downarrow \langle h'; res\_val \rangle$
	I	big-step resource (q)points-to operation reduc-
		tion: $\langle h; pred_ops \rangle$ reduces to $\langle h'; res_val \rangle$
		footprint_of $res_val$ in $h \rightsquigarrow h_1$ rem $h_2$
	I	footprint of $res_val$ in heap $h$ is $h_1$ with $h_2$ re-
		mainder/frame
		$\langle h; res\_term \rangle \Downarrow \langle h'; res\_val \rangle$
	I	big-step resource term reduction: $\langle h; res\_term \rangle$
		reduces to $\langle h'; res_val \rangle$
		$\langle h; action \rangle \longrightarrow \langle h'; is\_expr \rangle$
	İ	$\langle h; memop \rangle \longrightarrow \langle h'; is\_expr \rangle$
		$\langle h; is\_expr \rangle \longrightarrow \langle h'; is\_expr' \rangle$
		$\langle h; seq\_expr \rangle \longrightarrow \langle h'; texpr:ret \rangle$
		$\langle h; seq\_texpr \rangle \longrightarrow \langle h'; texpr \rangle$
	ĺ	$\langle h; is\_texpr \rangle \longrightarrow \langle h'; texpr \rangle$
		$\langle h; texpr \rangle \longrightarrow \langle h'; texpr' \rangle$

# **B4 Proof Judgements**

Note that the definition of  $term \sim term'$  is omitted/assumed. It simply means that term and term' can be unified. Informally,  $term \sim term'$  are defined recursively over the structure of SMT terms, using the standard definition of unification: variables unify with anything (modulo an occurs check), atoms unify if they are identical, compound terms unify if their constructors (except for Specified) and arity are identical, and their arguments unify recursively.

To clarify the Specified exception:  $term \sim \text{Specified}(pval)$  (and  $\text{Specified}(pval) \sim term$ ) iff  $term \sim pval$ .

~ is additionally assumed to be an equivalence relation and preserved by substitution: if  $term \sim term'$  and  $x \sim y$  in  $term_1 \sim term'_1$  then  $term/x(term_1) \sim term'/y(term'_1)$ .

Note:  $\sim$  is only used in the proof of soundness, and not in the explicit CN type system. There is no unification required in the type system, but the notion of related terms is required to argue for the soundness of pattern-matching (Section B9.4 Well-typed values pattern-match successfully).

$misc\_extra$	::=	extra judgements for proof-related definitions
		$\forall x. iguard \Rightarrow \mathcal{C}; \mathcal{L}; \Phi \vdash h \Leftarrow \mathcal{R}$
		meta-logical quantification over heap-typing
		$\forall term \sim term'. \Phi \vdash fun \sim ret$
		meta-logical quantification over related fun and
		ret
		$\forall term \sim term'. \ \Phi \vdash res \sim res'$
		meta-logical quantification over related res and
		res'
		$term \sim term'$
		omitted/assumed definiton: SMT terms $term$ and
		term' are related
$proof\_defns$	::=	
		$\overline{x_i}^i :: fun \rightsquigarrow \frac{\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}}{\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}} \mid ret$
		matching $\overline{x_i}^i$ and fun produces contexts
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}$ and return type <i>ret</i>
		$\mathcal{C};\mathcal{L};\Phi;\mathcal{R}\sqsubseteq\mathcal{C}';\mathcal{L}';\Phi';\mathcal{R}'$
		context weakening: $C; \mathcal{L}; \Phi; \mathcal{R}$ is stronger than
		$\mathcal{C}';\mathcal{L}';\Phi';\mathcal{R}'$
		$\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash \sigma \Leftarrow (\mathcal{C}; \mathcal{L}; \mathcal{R})$
		well-typed substitution: given $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}, \sigma$ checks
		against type $(\mathcal{C}; \mathcal{L}; \mathcal{R})$ . It is complicated by the
		fact that substitutions are assumed to be sequen-
		tial/telescoping.
		$\mathcal{C}; \mathcal{L}; \Phi \vdash h \Leftarrow \underline{\mathcal{R}}$
		heap typing: under context $C; \mathcal{L}; \Phi$ , heap h checks
	1	against context/type $\underline{\mathcal{R}}$
		$\Phi \vdash h \Leftarrow \underline{\mathcal{R}}$
		heap typing: under context $\Phi$ , heap h checks
	1	against context/type $\underline{\mathcal{R}}$ $\Phi \vdash res \sim res'$
	I	$\Psi \vdash res \sim res$ res is related to res'
	1	$\Phi \vdash fun \sim ret$
	I	fun is related to $ret$
		Jun 15 related to rec

# B5 Groups of Rules

# B5.1 Typing rules with an smt $(\Phi \Rightarrow qterm)$ premise

IG\_CMP\_EQ, IG\_CMP\_LT, IG\_CMP\_GT, Q\_CMP\_PTRSTEP\_NEQ, Q\_CMP\_IG\_NEQ, Q\_CMP\_IARG\_NEQ, Q\_CMP\_COMPARABLE, REQ\_EQ\_PP\_IARG\_NEQ, REQ\_EQ\_PP\_EQ, RES\_EQ\_PHI, RES\_EQ\_ORDDISJ, RES\_SIMPREC\_IF\_TRUE, RES\_SIMPREC\_IF\_FALSE, RET\_EQ\_PHI, PAT\_RES\_MATCH\_IF\_TRUE, PAT\_RES\_MATCH\_IF\_FALSE, PURE\_EXPR\_ASSERT\_UNDEF, PURE\_TOP\_VAL\_DONE, PURE\_TOP\_VAL\_UNDEF, PURE\_TOP\_VAL\_ERROR, RES\_SYN\_PREDOPS\_CONGEAL, RES\_SYN\_PREDOPS\_IMPLODE, RES\_SYN\_PREDOPS\_BREAK, RES\_SYN\_PREDOPS\_GLUE, RES\_SYN\_PREDOPS\_INJ, RES\_SYN\_PREDOPS\_SPLIT, RES\_CHK\_PHI, RES\_CHK\_IF\_TRUE, RES\_CHK\_IF\_FALSE, EXPL\_IS\_ACTION\_LOAD, EXPL\_IS\_ACTION\_STORE, EXPL\_IS\_ACTION\_KILL\_STATIC, EXPL\_IS\_MEMOP\_PTRVALIDFORDEREF, EXPL\_TOP\_VAL\_UNDEF, EXPL\_TOP\_VAL\_ERROR.

# B5.2 Typing rules which change the context

# B5.2.1 Rules which add constraints

EXPL\_TOP\_SEQ\_IF.

# B5.2.2 Rules which add constraints and computational or logical variables

EXPL\_TOP\_SEQ\_LETP, EXPL\_TOP\_SEQ\_LETTP, EXPL\_TOP\_SEQ\_CASE.

### B5.2.3 Rules which restrict the resource context

No-resource / "pure" rules: IG\_CMP\_EQ, IG\_CMP\_LT, IG\_CMP\_GT, IG\_CMP\_NONE, Q\_CMP\_ NAME\_NEQ, Q\_CMP\_PTRSTEP\_NEQ, Q\_CMP\_IG\_NEQ, Q\_CMP\_IARG\_NEQ, Q\_CMP\_ COMPARABLE, REQ\_EQ\_PP\_NAME\_NEQ, REQ\_EQ\_PP\_IARG\_NEQ, REQ\_EQ\_PP\_EQ, REQ\_EQ\_ QQ\_EQ, REQ\_EQ\_QQ\_NEQ, RES\_EQ\_EMP, RES\_EQ\_PHI, RES\_EQ\_PRED, RES\_EQ\_QPRED, RES\_EQ\_SEPCONJ, RES\_EQ\_EXISTS, RES\_EQ\_ORDDISJ, RES\_SIMPREC\_IF\_TRUE, RES\_ SIMPREC\_IF\_FALSE, RES\_SIMPREC\_SEPCONJ, RES\_SIMPREC\_EXISTS, RES\_SIMPREC\_ NOCHANGE, SIMP\_NOSIMP, SIMP\_SIMP, RET\_EQ\_END, RET\_EQ\_COMP, RET\_EQ\_LOG, RET\_ EQ\_PHI, RET\_EQ\_RES, PAT\_COMP\_NO\_SYM\_ANNOT, PAT\_COMP\_SYM\_ANNOT, PAT\_COMP\_NIL, PAT\_COMP\_CONS, PAT\_COMP\_TUPLE, PAT\_COMP\_ARRAY, PAT\_COMP\_SPECIFIED, PAT\_SYM\_ OR\_PAT\_SYM, PAT\_SYM\_OR\_PAT\_PAT, PAT\_RES\_MATCH\_EMP, PAT\_RES\_MATCH\_PHI, PAT\_ RES\_MATCH\_IF\_TRUE, PAT\_RES\_MATCH\_IF\_FALSE, PAT\_RES\_MATCH\_VAR, PAT\_RES\_MATCH\_ SEPCONJ, PAT\_RES\_MATCH\_PACK, PAT\_RES\_MATCH\_FOLD, PAT\_RET\_EMPTY, PAT\_RET\_ COMP, PAT\_RET\_LOG, PAT\_RET\_RES, PAT\_RET\_PHI, PAT\_RET'AUX, PURE\_VAL\_OBJ\_INT, PURE\_VAL\_OBJ\_PTR, PURE\_VAL\_OBJ\_ARR, PURE\_VAL\_OBJ\_STRUCT, PURE\_VAL\_VAR, PURE\_ VAL\_OBJ, PURE\_VAL\_LOADED, PURE\_VAL\_UNIT, PURE\_VAL\_TRUE, PURE\_VAL\_FALSE, PURE\_ VAL\_LIST, PURE\_VAL\_TUPLE, PURE\_VAL\_CTOR\_NIL, PURE\_VAL\_CTOR\_CONS, PURE\_VAL\_ CTOR\_TUPLE, PURE\_VAL\_CTOR\_ARRAY, PURE\_VAL\_CTOR\_SPECIFIED, PURE\_VAL\_STRUCT, PURE\_EXPR\_VAL, PURE\_EXPR\_ARRAY\_SHIFT, PURE\_EXPR\_MEMBER\_SHIFT, PURE\_EXPR\_NOT, PURE\_EXPR\_ARITH\_BINOP, PURE\_EXPR\_REL\_BINOP, PURE\_EXPR\_BOOL\_BINOP, PURE\_EXPR\_ CALL, PURE\_EXPR\_ASSERT\_UNDEF, PURE\_EXPR\_BOOL\_TO\_INTEGER, PURE\_EXPR\_WRAPI, PURE\_TOP\_VAL\_UNDEF, PURE\_TOP\_VAL\_ERROR, PURE\_TOP\_VAL\_DONE.

Resource-mentioning rules: Res\_Syn\_Emp, Res\_Syn\_Var, Res\_Syn\_VarSimp, Res\_Syn\_Pred, Res\_Syn\_QPred, Res\_Syn\_SepConj, Res\_Chk\_Phi, Res\_Chk\_SepConj, Expl\_Spine\_Ret,

EXPL\_SPINE\_RES, EXPL\_IS\_ACTION\_CREATE, EXPL\_IS\_MEMOP\_REL\_BINOP, EXPL\_IS\_MEMOP\_ INTFROMPTR, EXPL\_IS\_MEMOP\_PTRFROMINT, EXPL\_IS\_MEMOP\_PTRVALIDFORDEREF, EXPL\_ IS\_MEMOP\_PTRWELLALIGNED, EXPL\_IS\_MEMOP\_INTFROMPTR, EXPL\_TOP\_VAL\_UNDEF, EXPL\_TOP\_VAL\_ERROR, EXPL\_TOP\_SEQ\_RUN, SUBS\_CHK\_EMPTY, SUBS\_CHK\_RES.

# B5.2.4 Rules which add constraints and restrict the resource context

PURE\_TOP\_IF.

## B5.2.5 Rules which add constraints and variables, and restrict the resource context

PURE\_TOP\_LET, PURE\_TOP\_LETT, PURE\_TOP\_CASE, EXPL\_TOP\_SEQ\_LET, EXPL\_TOP\_SEQ\_LETT, EXPL\_TOP\_IS\_LETS.

## B5.3 Value typing rules

PURE\_VAL\_OBJ\_INT, PURE\_VAL\_OBJ\_PTR, PURE\_VAL\_OBJ\_ARR, PURE\_VAL\_OBJ\_STRUCT, PURE\_VAL\_VAR, PURE\_VAL\_OBJ, PURE\_VAL\_LOADED, PURE\_VAL\_UNIT, PURE\_VAL\_TRUE, PURE\_VAL\_FALSE, PURE\_VAL\_TUPLE, PURE\_VAL\_CTOR\_NIL, PURE\_VAL\_CTOR\_CONS, PURE\_ VAL\_CTOR\_TUPLE, PURE\_VAL\_CTOR\_ARRAY, PURE\_VAL\_CTOR\_SPECIFIED, PURE\_VAL\_ STRUCT, PURE\_TOP\_VAL\_DONE, RES\_SYN\_EMP, RES\_SYN\_VAR, RES\_SYN\_VARSIMP, RES\_SYN\_ PRED, RES\_SYN\_QPRED, RES\_CHK\_PHI, EXPL\_TOP\_VAL\_DONE.

# B6 Weakening

If  $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \sqsubseteq \mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}' \text{ and } \mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash J \text{ then } \mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}' \vdash J.$ 

ASSUME: 1.  $C; \mathcal{L}; \Phi; \mathcal{R} \sqsubseteq C'; \mathcal{L}'; \Phi'; \mathcal{R}'.$ 2.  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash J$ 

PROVE:  $\mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}' \vdash J.$ 

- $\langle 1 \rangle$ 1. CASE: PURE\_VAL\_VAR. PROOF: By WEAK\_CONS\_COMP, if  $x: \beta \in C$  then  $x: \beta \in C'$ .
- (1)2. CASE: Typing rules with an smt ( $\Phi \Rightarrow qterm$ ) premise (see B5.1).

ASSUME: smt ( $\Phi \Rightarrow qterm$ ). PROVE: smt ( $\Phi' \Rightarrow qterm$ ).

- $\langle 2 \rangle$ 1. For all term, if term  $\in \Phi$  then term  $\in \Phi'$ . PROOF: By WEAK\_CONS\_PHI.
- (2)2. Any extra constraints in  $\Phi'$  (by WEAK\_SKIP\_PHI) would either be irrelevant, redundant, or inconsistent.
- $\langle 2 \rangle 3$ . In all cases, smt  $(\Phi' \Rightarrow qterm)$  as required.
- $\langle 1 \rangle$ 3. CASE: All remaining rules.
  - $\langle 2 \rangle$ 1.  $\mathcal{R} = \mathcal{R}'$ . PROOF: Only WEAK\_CONS\_RES exists.
  - $\langle 2 \rangle 2$ . All remaining rules are functorial in  $C; \mathcal{L}; \Phi$ , so one can proceed by straightforward induction.
  - $\langle 2 \rangle$ 3. So  $\mathcal{C}'; \mathcal{L}'; \Phi'; \mathcal{R}' \vdash J$  as required.

# **B7** Substitution

#### B7.1 Substitutions preserve SMT results

If smt  $(\Phi \Rightarrow qterm)$  and  $\mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}')$ , then smt  $(\sigma(\Phi) \Rightarrow \sigma(qterm))$ .

**PROOF:** By the first assumption, *qterm* holds for all (well-typed, ensured by the second assumption) instantiations of its free variables.

#### B7.2 Substitutions can be split up

If  $C; \mathcal{L}; \Phi; \mathcal{R} \vdash \sigma \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}'_1, \mathcal{R}'_2)$  then  $\exists \mathcal{R}_1, \mathcal{R}_2, \sigma_1, \sigma_2. \ C; \mathcal{L}; \Phi; \mathcal{R}_1 \vdash \sigma_1 \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}'_1) \land C; \mathcal{L}; \Phi; \mathcal{R}_2 \vdash \sigma_2 \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}'_2).$ 

**PROOF SKETCH:** By induction on the substitution. If  $\sigma = [res\_term/r, \sigma']$  where r:res:

 $\langle 1 \rangle$ 1. Let  $\mathcal{R}'$  be such that  $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R}' \vdash res\_term \Leftarrow res.$ 

 $\langle 1 \rangle 2$ . Recursively split  $\sigma'$  into  $\sigma'_1$  and  $\mathcal{R}''_1$ ;  $\sigma'_2$  and  $\mathcal{R}''_2$ .

 $\langle 1 \rangle$ 3. If  $r \in \mathcal{R}'_1$ , let  $\sigma_1 = [res\_term/r, \sigma'_1]$  and  $\mathcal{R}_1 = \mathcal{R}', \mathcal{R}''_1$ .

 $\langle 1 \rangle 4$ . If  $r \in \mathcal{R}'_2$ , let  $\sigma_2 = [res\_term/r, \sigma'_2]$ .

 $\langle 1 \rangle$ 5. For other cases, both are treated exactly the same.

#### B7.3 Substitution

If  $\mathcal{C}'; \mathcal{L}'; \Phi; \mathcal{R}' \vdash J$ , then  $\forall \mathcal{C}, \mathcal{L}, \mathcal{R}, \sigma$ .  $(\mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}')) \Rightarrow \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma(J)$ .

For types, substitutions are only defined over resource types res, and return types res, not base types  $\beta$ . Similarly, for terms, substitutions are only defined over expressions (including SMT terms term), but not (computational, resource or return) patterns.

Since  $\Phi$  is scoped to  $\mathcal{C}'; \mathcal{L}'$ , we must substitute over it as well as all the usual suspects on the right.

Substitution of contexts is defined by substituting over each constraint in  $\Phi$ . As a result,  $\sigma(\Phi_1, \Phi_2) = \sigma(\Phi_1), \sigma(\Phi_2)$ , and if  $\sigma(\Phi) = \Phi'_1, \Phi'_2$  then  $\exists \Phi_1, \Phi_2, \sigma(\Phi_1, \Phi_2) = \sigma(\Phi_1), \sigma(\Phi_2)$ .

**PROOF SKETCH:** Induction over the typing judgements.

- 1. Variable rules: Pure\_Val\_Var, Res\_Syn\_VarSimp, Res\_Syn\_Var.
- 2. EXPL\_TOP\_VAL\_DONE: prove that to\_fun commutes with substitution.
- 3. Typing rules which change the context (see B5.2).
- 4. Remaining rules by straightforward induction.

ASSUME: 1.  $\mathcal{C}'; \mathcal{L}'; \Phi; \mathcal{R}' \vdash J$ . 2. Arbitrary  $\mathcal{C}, \mathcal{L}, \mathcal{R}, \sigma$ . 3.  $\mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}')$ . PROVE:  $C; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma(J).$ 

- $\begin{array}{l} \langle 1 \rangle 1. \text{ CASE: PURE_VAL_VAR.} \\ \mathcal{C}'; \mathcal{L}' \vdash x \Rightarrow \beta \\ \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma \Leftarrow (\mathcal{C}'; \mathcal{L}'; \cdot). \end{array}$ 
  - $\langle 2 \rangle 1. \ x: \beta \in \mathcal{C}'.$ PROOF: By inversion on assumption 1.
  - $\langle 2 \rangle 2$ .  $\mathcal{R}$  is empty.

PROOF: SUBS\_CHK\_RES is the only rule which could require a non-empty resource context, and it is never used because  $\mathcal{R}'$  is empty.

- $\begin{array}{l} \langle 2 \rangle 3. \ \exists \sigma_1, pval, \sigma_2, \beta, \mathcal{C}_1, \mathcal{C}_2, \mathcal{L}_1, \mathcal{L}_2. \\ 1. \ \sigma = [\sigma_1, pval/x, \sigma_2] \\ 2. \ \mathcal{C}; \mathcal{L}; \Phi; \vdash \sigma_1 \leftarrow (\mathcal{C}_1; \mathcal{L}_1; \cdot) \\ 3. \ \mathcal{C}; \mathcal{L}; \Phi; \vdash \sigma_1(pval/x) \leftarrow (x;\beta; \cdot; \cdot) \\ 4. \ \mathcal{C} \vdash \sigma_1(pval) \Rightarrow \beta \\ 5. \ \mathcal{C}; \mathcal{L}; \Phi; \vdash \sigma_1(pval/x(\sigma_2)) \leftarrow (\mathcal{C}_2; \mathcal{L}_2; \cdot). \\ \text{PROOF: Repeated inversion on assumption 3 until the SUBS_CHK_COMP responsible for adding x (by <math>\langle 2 \rangle 1$ , there must be at least one). \end{array}
- $\langle 2 \rangle 4$ . Since  $\sigma(x) = \sigma_1(pval)$ , we are done. PROOF: By  $\mathcal{C}; \mathcal{L} \vdash \sigma(x) \Rightarrow \beta$ .
- $\begin{array}{l} \langle 1 \rangle 2. \text{ CASE: } \text{Res_Syn_VarSIMP.} \\ \mathcal{C}'; \mathcal{L}'; \Phi; r: res \vdash r \Rightarrow res' \\ \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma \leftarrow (\mathcal{C}'; \mathcal{L}'; r: res'). \end{array}$ 

  - $\langle 2 \rangle 2$ . SUFFICES: 1.  $\sigma(r) = \sigma_1(res\_term)$ 2.  $\sigma(res') = [\sigma_1, res\_term/r, \sigma_2](res') = \sigma_1(res').$
  - $\langle 2 \rangle$ 3.  $\sigma(r) = \sigma_1(res\_term)$ . PROOF:  $\sigma_2(r) = r$ , because  $\sigma_2$  does not mention any resource variables.
  - $\langle 2 \rangle 4. \ \sigma(res') = [\sigma_1, res\_term/r, \sigma_2](res') = \sigma_1(res').$ 
    - $\langle 3 \rangle$ 1.  $[\sigma_1, res\_term/r, \sigma_2](res') = [\sigma_1, \sigma_2](res')$ . PROOF: Resource types do not refer to resource variables.

- $\begin{array}{l} \langle 3 \rangle 2. \ [\sigma_1, \sigma_2](res') = \sigma_1(res). \\ \text{PROOF: By } ; ; ; \sigma(\Phi); \mathcal{R} \vdash \sigma_1(res\_term) \Leftarrow \sigma_1(res'), \text{ we know that } res' \text{ only refers} \\ \text{ to variables in } \mathcal{C}, \mathcal{C}_1; \mathcal{L}, \mathcal{L}_1. \end{array}$
- (1)3. CASE: RES\_SYN\_VAR.  $\mathcal{C}'; \mathcal{L}'; \Phi'; r:res \vdash r \Rightarrow res$ PROOF: Similar to RES\_SYN\_VARSIMP, but with res' = res.
- $\langle 1 \rangle 4$ . Case: Expl\_Top\_Val\_Done.

PROOF SKETCH: to\_fun recursively maps  $\Sigma$  to  $\Pi$ ,  $\exists$  to  $\forall$ ,  $\land$  to  $\supset$  and \* to  $\neg*$ , and otherwise keeps any *term* and *res* the same. Hence,  $\sigma(\texttt{to}_fun ret) = \texttt{to}_fun \sigma(ret)$ , and the case proceeds by induction straightforwardly.

(1)5. CASE: Typing rules which change the context (see B5.2), except for PURE\_VAL\_VAR, RES\_SYN\_VAR, and RES\_SYN\_VARSIMP.

For brevity, I shall only go over EXPL\_TOP\_SEQ\_LET, as it is one of the most general rules; one which adds constraints and variables, and restricts the resource context.

PROOF SKETCH: The key idea is to apply lemma B7.2 (Substitutions can be split up) as required by the restrictions on the resource context. If a rule has a smt ( $\Phi \Rightarrow qterm$ ) premise, then apply lemma B7.1 (Substitutions preserve SMT results).

 $\begin{array}{l} \mathcal{C}'; \mathcal{L}'; \Phi; \mathcal{R}'_1, \mathcal{R}'_2 \vdash \texttt{let} \ ret\_pat = seq\_expr \ \texttt{in} \ texpr \Leftarrow ret_2 \\ \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \sigma \Leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}'_1, \mathcal{R}'_2). \\ \text{PROVE:} \quad \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R} \vdash \texttt{let} \ ret\_pat = \sigma(seq\_expr) \ \texttt{in} \ \sigma(texpr) \Leftarrow \sigma(ret_2). \end{array}$ 

- $\begin{array}{l} \langle 2 \rangle 1. \ \exists ret_1, \mathcal{C}_3, \mathcal{L}_3, \Phi_3, \mathcal{R}_3. \\ 1. \ \mathcal{C}'; \mathcal{L}'; \Phi; \mathcal{R}'_1 \vdash seq\_expr \Rightarrow ret_1 \\ 2. \ \Phi \vdash ret\_pat: ret_1 \rightsquigarrow \mathcal{C}_3; \mathcal{L}_3; \Phi_3; \mathcal{R}_3 \\ 3. \ \mathcal{C}', \mathcal{C}_3; \mathcal{L}', \mathcal{L}_3; \Phi, \Phi_3; \mathcal{R}'_2, \mathcal{R}_3 \vdash texpr \Leftarrow ret_2. \\ \text{PROOF: Inversion on assumption } 1. \end{array}$
- $\begin{array}{l} \langle 2 \rangle 2. \ 1. \ \forall \mathcal{C}, \mathcal{L}, \mathcal{R}_{1}, \sigma_{1}. \\ & (\mathcal{C}; \mathcal{L}; \sigma_{1}(\Phi); \mathcal{R}_{1} \vdash \sigma_{1} \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}'_{1})) \Rightarrow \\ & \mathcal{C}; \mathcal{L}; \sigma_{1}(\Phi); \mathcal{R}_{1} \vdash \sigma_{1}(seq\_expr) \Rightarrow \sigma_{1}(ret_{1}). \\ 2. \ \forall \mathcal{C}, \mathcal{L}, \mathcal{R}_{4}, \sigma_{2}. \\ & (\mathcal{C}; \mathcal{L}; \sigma_{2}(\Phi); \mathcal{R}_{4} \vdash \sigma_{2} \leftarrow (\mathcal{C}'; \mathcal{L}'; \cdot)) \Rightarrow \\ & \sigma_{2}(\Phi) \vdash ret\_pat: \sigma_{2}(ret_{1}) \rightsquigarrow \mathcal{C}_{3}; \mathcal{L}_{3}; \sigma_{2}(\Phi_{3}); \sigma_{2}(\mathcal{R}_{3}). \\ 3. \ \forall \mathcal{C}, \mathcal{L}, \mathcal{R}_{2}, \sigma_{3}. \\ & (\mathcal{C}; \mathcal{L}; \sigma_{3}(\Phi, \Phi_{3}); \mathcal{R}_{2} \vdash \sigma_{3} \leftarrow (\mathcal{C}', \mathcal{C}_{3}; \mathcal{L}', \mathcal{L}_{3}; \mathcal{R}'_{2}, \mathcal{R}_{3})) \Rightarrow \\ & \mathcal{C}; \mathcal{L}; \sigma(\Phi, \Phi_{3}); \mathcal{R}_{2} \vdash \sigma(texpr) \leftarrow \sigma(ret_{2}). \\ \end{array} \right.$
- $\begin{array}{l} \langle 2 \rangle 3. \ \sigma \ \text{and} \ \mathcal{R} \ \text{can be split up into} \ \sigma_1 \ \text{and} \ \mathcal{R}_1; \ \sigma_2; \ \text{and} \ \sigma_3 \ \text{and} \ \mathcal{R}_2 \ \text{such that:} \\ 1. \ \mathcal{R} = \mathcal{R}_1, \mathcal{R}_2 \\ 2. \ \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R}_1 \vdash \sigma_1 \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}_1') \\ 3. \ \mathcal{C}; \mathcal{L}; \sigma(\Phi); \cdot \vdash \sigma_2 \leftarrow (\mathcal{C}'; \mathcal{L}'; \cdot) \\ 4. \ \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R}_2 \vdash \sigma_3 \leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}_2'). \end{array}$

**PROOF:** By lemma B7.2 (Substitutions can be split up).

- $\langle 2 \rangle$ 4. 1.  $\sigma(\Phi) = \sigma_1(\Phi) = \sigma_2(\Phi) = \sigma_3(\Phi)$ 2.  $\sigma(\Phi_3) = \sigma_2(\Phi_3) = \sigma_3(\Phi_3)$ 3.  $\sigma(\mathcal{R}_3) = \sigma_2(\mathcal{R}_3) = \sigma_3(\mathcal{R}_3)$ . PROOF: All the substitutions differ only the resource-variable substitutions, but *term* and *res* (and so *ret* and  $\Phi$ ) do not mention resource variables.
- $\begin{array}{l} \langle 2 \rangle 5. \text{ SUFFICES: } \exists \mathcal{R}_1, \mathcal{R}_2, ret_1, \mathcal{C}_3, \mathcal{L}_3, \Phi_3, \mathcal{R}_3. \\ 1. \mathcal{R} = \mathcal{R}_1, \mathcal{R}_2 \\ 2. \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R}_1 \vdash \sigma(seq\_expr) \Rightarrow ret_1 \\ 3. \sigma(\Phi) \vdash ret\_pat: \sigma(ret_1) \rightsquigarrow \mathcal{C}_3; \mathcal{L}_3; \Phi_3; \mathcal{R}_3 \\ 4. \mathcal{C}, \mathcal{C}_3; \mathcal{L}, \mathcal{L}_3; \sigma(\Phi), \Phi_3; \mathcal{R}_2, \mathcal{R}_3 \vdash \sigma(texpr) \Leftarrow \sigma(ret_2). \end{array}$ PROOF: By EXPL\_TOP\_SEQ\_LET.

 $\begin{array}{l} \langle 2 \rangle 6. \text{ LET: } \mathcal{R}_1; \mathcal{R}_2; \sigma(\mathit{ret}_1); \mathcal{C}_3; \mathcal{L}_3; \sigma(\Phi_3); \sigma(\mathcal{R}_3) \text{ be the witnesses for } \langle 2 \rangle 5. \\ \text{ SUFFICES: } 1. \mathcal{R} = \mathcal{R}_1, \mathcal{R}_2 \\ 2. \mathcal{C}; \mathcal{L}; \sigma(\Phi); \mathcal{R}_1 \vdash \sigma(\mathit{seq\_expr}) \Rightarrow \sigma(\mathit{ret}_1) \\ 3. \sigma(\Phi) \vdash \mathit{ret\_pat:} \sigma(\mathit{ret}_1) \rightsquigarrow \mathcal{C}_3; \mathcal{L}_3; \sigma(\Phi_3); \sigma(\mathcal{R}_3) \\ 4. \mathcal{C}, \mathcal{C}_3; \mathcal{L}, \mathcal{L}_3; \sigma(\Phi), \sigma(\Phi_3); \mathcal{R}_2, \sigma(\mathcal{R}_3) \vdash \sigma(\mathit{texpr}) \Leftarrow \sigma(\mathit{ret}_2). \end{array}$ 

 $\langle 2 \rangle$ 7. We are done. PROOF: Apply  $\langle 2 \rangle$ 2 with  $\langle 2 \rangle$ 3 and  $\langle 2 \rangle$ 4.

#### $\langle 1 \rangle 6$ . CASE: All remaining rules.

PROOF SKETCH: By straightforward induction. If the rule has a smt ( $\Phi \Rightarrow qterm$ ) premise, apply lemma B7.1 (Substitutions preserve SMT results).

# **B8** Resource Term Lemmas

#### **B8.1** Definition: Normalised contexts

A resource context is *normalised* is it contains only predicates, quantified predicates and under-determined conditional resources.

#### B8.2 Resource contexts typing closed terms must be normalised

ASSUME: 1. Arbitrary res 2. Closed (no free-variables) res\_term 3.  $:; :; \Phi; \mathcal{R} \vdash res_term \Leftarrow res$  (or synthesising)

PROVE:  $\exists \underline{\mathcal{R}}. \ \mathcal{R} = \underline{\mathcal{R}}.$ 

**PROOF SKETCH:** By induction on the typing judgement.

- $\langle 1 \rangle$ 1. CASE: RES\_SYN\_EMP, RES\_SYN\_PRED, RES\_SYN\_QPRED, RES\_CHK\_PHI. PROOF:  $\underline{\mathcal{R}} = \mathcal{R}$  (the context is already normalised).
- (1)2. CASE: RES\_SYN\_VAR, RES\_SYN\_VARSIMP PROOF: Impossible cases (*res\_terms* are not closed).
- (1)3. CASE: All remaining cases (RES\_SYN\_PREDOPS\_ITERATE, RES\_SYN\_PREDOPS\_CONGEAL, RES\_SYN\_PREDOPS\_EXPLODE, RES\_SYN\_PREDOPS\_IMPLODE, RES\_SYN\_PREDOPS\_ BREAK, RES\_SYN\_PREDOPS\_GLUE, RES\_SYN\_PREDOPS\_INJ, RES\_SYN\_PREDOPS\_ SPLIT, RES\_SYN\_PREDOPS, RES\_SYN\_FOLD, RES\_SYN\_SEPCONJ, RES\_CHK\_PACK, RES\_CHK\_SEPCONJ, RES\_CHK\_IF\_TRUE, RES\_CHK\_IF\_FALSE, RES\_CHK\_SWITCH). PROOF: By induction.

#### **B8.3** Non-conditional resources determine context and values

This is a simple inversion lemma.

- ASSUME: 1. Arbitrary res\_val
  - 2.  $res \neq if term then res_1 else res_2$ .
  - 3.  $:;:; \Phi; \underline{\mathcal{R}} \vdash res\_val \Leftarrow res$  (or synthesising)
- (1)1. The typing assumption cannot be any of: RES\_SYN\_VAR, RES\_SYN\_VARSIMP, RES\_SYN\_ FOLD, RES\_SYN\_PREDOPS\_ITERATE, RES\_SYN\_PREDOPS\_CONGEAL, RES\_SYN\_PREDOPS\_ EXPLODE, RES\_SYN\_PREDOPS\_IMPLODE, RES\_SYN\_PREDOPS\_BREAK, RES\_SYN\_ PREDOPS\_GLUE, RES\_SYN\_PREDOPS\_INJ, RES\_SYN\_PREDOPS\_SPLIT, RES\_SYN\_ PREDOPS.

**PROOF:**  $res\_terms$  in these rules are not values.

(1)2. If res = emp, then  $\underline{\mathcal{R}} = \cdot$  and  $res\_val = emp$ . PROOF: By inversion, the assumption must be RES\_SYN\_EMP (and optionally RES\_CHK\_ SWITCH).

- (1)3. If res = term, then  $\underline{\mathcal{R}} = \cdot$  and  $res\_val = \texttt{term}$ . PROOF: By inversion, the assumption must be RES\_CHK\_PHI.
- (1)4. If  $res = pred\_term(oarg)$ , then  $\underline{\mathcal{R}} = \_:pred\_term(oarg)$  and  $res\_val = pred\_term$ . PROOF: By inversion, the assumption must be RES\_SYN\_PRED (and optionally RES\_CHK\_SWITCH).
- (1)5. If  $res = qpred\_term(oarg)$ , then  $\underline{\mathcal{R}} = \_:qpred\_term(oarg)$  and  $res\_val = qpred\_term$ . PROOF: By inversion, the assumption must be RES\_SYN\_QPRED (and optionally RES\_CHK\_SWITCH).
- (1)6. If  $res = res_1 * res_2$ , then  $\underline{\mathcal{R}} = \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2$  and  $res_val = \langle res_val_1, res_val_2 \rangle$ . PROOF: By inversion, the assumption must be RES\_SYN\_SEPCONJ (and optionally RES\_CHK\_SWITCH), or RES\_CHK\_SEPCONJ.
- (1)7. If  $res = \exists y:\beta$ . res, then  $res\_val = pack (oarg, res\_val')$ . PROOF: By inversion, the assumption must be RES\_CHK\_PACK.

#### B8.4 Normalised resource context determines structure of heap

This is as simple inversion lemma.

Assume:  $\Phi \vdash h \Leftarrow \underline{\mathcal{R}}$ . (1)1. If  $\underline{\mathcal{R}} = \cdot$ , then  $h = \cdot$ . PROOF: By inversion, the assumption must be HEAP\_EMPTY.

- (1)2. If  $pred = ptr \xrightarrow{init}_{\tau} value$ ,  $\underline{\mathcal{R}} = \_:pred$ , then  $h = \{pred' \& \text{None}\}$  for  $\Phi \vdash pred \equiv pred'$ . PROOF: By inversion, the assumption must be HEAP\_PRED\_OWNED.
- $\langle 1 \rangle$ 3. If  $\underline{\mathcal{R}} = \_:pred$ , then  $h = \{pred' \& def \& h'\}$ . for  $\Phi \vdash pred \equiv pred'$ . PROOF: By inversion, the assumption must be HEAP\_PRED\_OTHER.
- (1)4. If qpred = x.  $iguard \Rightarrow ptr + x \times \text{size}_of(\tau) \xrightarrow{oarg[x].init} oarg[x].value, \underline{\mathcal{R}} = \_:qpred$ , then  $h = \{qpred' \& \cdot\}$  for  $\Phi \vdash qpred \equiv qpred'$ . PROOF: By inversion, the assumption must be HEAP\_QPRED\_OWNED.
- (1)5. If  $\underline{\mathcal{R}} = \_:qpred$ , then  $h = \{qpred' \& arr\_def\_heap\}$  for  $\Phi \vdash qpred \equiv qpred'$ . PROOF: By inversion, the assumption must be HEAP\_QPRED\_OTHER.
- $\langle 1 \rangle$ 6. If  $\underline{\mathcal{R}} = \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2$ , then  $h = h_1 + h_2$ , where  $\Phi \vdash h_1 \Leftarrow \underline{\mathcal{R}}_1$  and  $\Phi \vdash h_2 \Leftarrow \underline{\mathcal{R}}_2$ . PROOF: By inversion, the assumption must be HEAP\_CONCAT.

#### B8.5 Well-typed resource value determines its footprint

PROOF SKETCH: By induction on the typing judgement.

- (1)1. CASE: RES\_SYN\_EMP or RES\_CHK\_PHI  $\underline{\mathcal{R}} = \cdot$  and so  $h = \cdot$  by lemma B8.4. PROOF: FOOTPRINT\_EMP or FOOTPRINT\_TERM respectively.
- (1)2. CASE: RES\_SYN\_PRED or RES\_SYN\_QPRED  $\frac{\mathcal{R} = \_:pred\_term(oarg) \text{ or } \_:qpred\_term(oarg), \text{ and so} \\
  h = \{pred\_term(oarg) \& opt\_def\_heap\} \text{ or } \{qpred\_term(oarg) \& arr\_def\_heap\} \text{ by} \\
  \text{lemma B8.4.} \\
  \text{PROOF: FOOTPRINT_PRED or FOOTPRINT_QPRED respectively.}$
- (1)3. CASE: pack (oarg, res\_val').PROOF: By induction.
- (1)4. CASE: FOOTPRINT\_SEPPAIR.  $res\_val = \langle res\_val_1, res\_val_2 \rangle,$   $\underline{\mathcal{R}} = \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2,$  and so  $h = h_1 + h_2$  where  $\Phi \vdash h_1 \Leftarrow \underline{\mathcal{R}}_1$  and  $\Phi \vdash h_2 \Leftarrow \underline{\mathcal{R}}_2$  by lemma B8.4.
  - $\langle 2 \rangle$ 1. footprint\_of res\_val\_1 in  $h_1 + h_2 + f \rightsquigarrow h_1$  rem  $h_2 + f$ . PROOF: Instantiate inductive hypothesis with  $h_2 + f$ .
  - $\langle 2 \rangle 2$ . footprint\_of  $res_val_1$  in  $h_2 + f \rightsquigarrow h_2$  rem f. PROOF: Instantiate inductive hypothesis with f.

#### **B8.6** Progress and type preservation for resource terms

ASSUME: 1. Closed (no free-variables) res\_term 2.  $\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash res_term \leftarrow res$  (or synthesising) 3.  $\Phi \vdash h \leftarrow \underline{\mathcal{R}}$ 

PROVE:  $\exists res\_val, \underline{\mathcal{R}}', h'.$ 1.  $\cdot; \cdot; \Phi; \underline{\mathcal{R}}' \vdash res\_val \Leftarrow res$  (or synthesising respectively) 2.  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$ 3.  $\forall f. \langle h+f; res\_term \rangle \Downarrow \langle h'+f; res\_val \rangle.$ 

**PROOF** SKETCH: Induction on the resource term typing assumption. The type dictates the value and context, the latter of which dictates the shape of the heap.

Because of this direction of information, you cannot prove that

 $\forall \underline{\mathcal{R}}'. (\Phi \vdash h' \leftarrow \underline{\mathcal{R}}') \Rightarrow (\cdot; \cdot; \mathcal{R}'' \vdash res\_val' \leftarrow res).$  The converse is already true by the composition of lemmas B8.3 and B8.4. You need the existential, so that you can provide it as a witness when proving heap typing for folded predicates, which you need to use in proving unfolding predicates in pattern-matching.

- $\langle 1 \rangle$ 1. Case: Res\_Syn\_PredOps\_Iterate
  - LET:  $res\_term = iterate (res\_term', n)$   $qpred\_term = (x; 0 \le x \land x \le n - 1) \{ \texttt{Owned} \langle \tau \rangle (ptr + x \times \text{size\_of}(\tau)) \}$   $res = qpred\_term(oarg)$   $pred\_term = \texttt{Owned} \langle \texttt{array} n \tau \rangle (ptr)$   $res' = pred\_term(oarg').$ 
    - $\langle 2 \rangle 1. \quad :; :; \Phi; \underline{\mathcal{R}} \vdash res\_term' \Rightarrow res'.$ PROOF: By inversion on the typing assumption.
    - $\begin{array}{l} \langle 2 \rangle 2. \ \exists h'', \underline{\mathcal{R}}'', res\_val'. \\ 1. \ ;; ;; ; \mathcal{R}'' \vdash res\_val' \Rightarrow res' \\ 2. \ \Phi \vdash h'' \Leftarrow \underline{\mathcal{R}}'' \\ 3. \ \forall f. \ \langle h+f; res\_term' \rangle \Downarrow \langle h''+f; res\_val' \rangle. \\ \text{PROOF: By the induction hypothesis.} \end{array}$
    - $\langle 2 \rangle$ 3.  $res\_val' = pred\_term$  and  $\underline{\mathcal{R}}'' = \_:res'$ . PROOF: By  $\langle 2 \rangle$ 2 and lemma B8.3 (Non-conditional resources determine context and values).
    - $\langle 2 \rangle 4.$   $h'' = \{ pred\_term(oarg') \& None \}.$ PROOF: By  $\langle 2 \rangle 3$  and lemma B8.4 (Normalised resource context determines structure of heap).
    - $\langle 2 \rangle$ 5. LET:  $res_val = (x; 0 \le x \land x \le n 1) \{ \texttt{Owned} \langle \tau \rangle (ptr + x \times \text{size_of}(\tau)) \}$   $\underline{\mathcal{R}}' = \_:qpred\_term(oarg) \text{ and } h' = \{ qpred\_term(oarg) \& \cdot \}.$ PROOF: Prove value typing using RES\_SYN\_QPRED; heaping typing using HEAP\_QPRED\_OWNED; reduction using PREDOPS\_RESV\_ITERATE.
- $\langle 1 \rangle 2$ . Case: Res\_Syn\_PredOps\_Congeal

PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:  $res\_term = congeal (res\_term', n)$  $res = pred\_term(oarg)$  where  $pred\_term = 0wned \langle array n \tau \rangle (ptr)$ 

 $res' = qpred\_term(oarg')$  where  $qpred\_term = (x; iguard) \{ \texttt{Owned} \langle \tau \rangle (ptr + x \times \text{size\_of}(\tau)) \}$  $res\_val' = qpred\_term$  and  $\underline{\mathcal{R}}'' = \_:res'$ , by lemma B8.3

Let  $res_val = pred_term$ ,  $\underline{\mathcal{R}}' = \_:pred_term(oarg)$  and  $h' = \{pred_term(oarg) \& None\}$  to prove: value typing using RES\_SYN\_PRED; heap typing using HEAP\_PRED\_OWNED; reduction using PREDOPS\_RESV\_CONGEAL.

(1)3. CASE: RES\_SYN\_PREDOPS\_EXPLODE
 PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:
 res\_term = explode (res\_term')

 $res = * (\overline{pred\_term_i(oarg_i)}^i)$  where  $pred\_term_i = \texttt{Owned} \langle \tau_i \rangle (ptr +_{ptr} offset\_of_{tag}(member_i))$ 

 $res' = pred\_term(oarg)$  where  $pred\_term = 0wned \langle struct tag \rangle (ptr)$  $res\_val' = pred\_term$  and  $\underline{\mathcal{R}}'' = \_:pred\_term(oarg)$ , by lemma B8.3

Let  $res\_val = \langle \overline{pred\_term_i}^i \rangle$ ,  $\underline{\mathcal{R}}' = \overline{\_:pred\_term_i(oarg_i)}^i$  and  $h' = \overline{\{pred\_term_i(oarg_i) \& None\}}^i$ , to prove: value typing using RES\_SYN\_PRED and RES\_SYN\_SEPCONJ; heap typing using HEAP\_CONCAT and HEAP\_PRED\_OWNED; reduction using PREDOPS\_RESV\_EXPLODE.

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 $res' = * \left( \overline{pred\_term_i(oarg_i)}^i \right) \text{ where } pred\_term_i = \texttt{Owned} \langle \tau_i \rangle (ptr +_{ptr} \text{ offset\_of}_{tag}(member_i))$  $res\_val' = \overline{pred\_term_i}^i \text{ and } \underline{\mathcal{R}}'' = \overline{\_:pred\_term_i(oarg_i)}^i, \text{ by lemma B8.3}$ 

Let  $res\_val = 0wned \langle struct tag \rangle (ptr), \underline{\mathcal{R}}' = \_:pred\_term(oarg), and$  $h' = \{ pred\_term(oarg) \& None \}$ , to prove: value typing using RES\_SYN\_PRED; heap typing using HEAP\_PRED\_OWNED; reduction using PREDOPS\_RESV\_IMPLODE.

#### $\langle 1 \rangle$ 5. Case: Res\_Syn\_PredOps\_Break

PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:  $res\_term = break (res\_term', term)$   $res = qpred\_term(oarg) * pred\_term(oarg[term])$  where  $qpred\_term = (x; iguard \land (x \neq term)) \{\alpha(ptr + x \times step, iargs)\}$  and  $pred\_term = \alpha(ptr + (term \times step), term/x(iargs))$ 

 $res' = qpred\_term'(oarg)$  where  $qpred\_term' = (x; iguard) \{\alpha(ptr + x \times step, iargs)\}$  $res\_val' = qpred\_term'$ , and  $\underline{\mathcal{R}}'' = \_:qpred\_term'(oarg)$ , by lemma B8.3.

If predicate is  $\texttt{Owned} \langle \tau \rangle$ ,  $h'' = \{qpred\_term'(oarg) \& \cdot\}$  (by lemma B8.4), so let  $h' = \{qpred\_term(oarg) \& \cdot\} + \{pred\_term(oarg[term]) \& \texttt{None}\}$  (by  $\cdot[term] = \texttt{None}$ ). Otherwise,  $h'' = \{qpred\_term'(oarg) \& arr\_def\_heap\}$ , (again by lemma B8.4), so let  $h' = \{qpred\_term(oarg) \& arr\_def\_heap\} + \{pred\_term(oarg[term]) \& arr\_def\_heap[term]\}$ .

Let  $res_val = \langle qpred_term, pred_term \rangle$  and  $\underline{\mathcal{R}}' = \_:qpred_term(oarg), \_:pred_term(oarg[term])$  to prove: value typing using RES\_SYN\_ QPRED, RES\_SYN\_PRED, RES\_SYN\_SEPCONJ; heap typing using HEAP\_CONCAT, HEAP\_ QPRED\_OWNED / HEAP\_QPRED\_OTHER, and HEAP\_PRED\_OWNED / HEAP\_PRED\_OTHER (with witness  $\_:pred_term(oarg[term])$ ); reduction using PREDOPS\_RESV\_BREAK.

(1)6. CASE: RES\_SYN\_PREDOPS\_GLUE
PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:
 res\_term = glue (res\_term')

 $res = qpred\_term(oarg_1[term] := oarg_2) \text{ where}$  $qpred\_term = (x; iguard \lor x = term)\{\alpha(ptr_1 + x \times step, \overline{iarg_1}_i^i)\}$ 

 $res' = qpred\_term_1(oarg_1) * pred\_term(oarg_2) \text{ where}$   $qpred\_term_1 = (x; iguard) \{\alpha(ptr_1 + x \times step, \overline{iarg_1}_i^i)\} \text{ and } pred\_term = \alpha(ptr_2, \overline{iarg_2}_i^i).$   $res\_val' = \langle qpred\_term_1, pred\_term \rangle, \text{ and } \underline{\mathcal{R}}'' = \_:qpred\_term_1(oarg_1), \_:pred\_term(oarg_2), \text{ by}$  lemma B8.3.

If predicate is  $\mathsf{Owned} \langle \tau \rangle$ ,  $h'' = \{qpred\_term_1(oarg_1) \& \cdot\} + \{pred\_term(oarg_2) \& \mathsf{None}\}$  (by lemma B8.4), so let  $h' = \{qpred\_term(oarg) \& \cdot\}$  (by  $\cdot[term] := \mathsf{None} = \cdot$ ). Otherwise,  $h'' = \{qpred\_term_1(oarg_1) \& arr\_def\_heap\} + \{pred\_term(oarg_2) \& def \& heap\}$  (again by lemma B8.4), so let  $h' = \{qpred\_term(oarg) \& arr\_def\_heap[term] := def \& heap\}$ .

Let  $res_val = qpred_term$  and  $\underline{\mathcal{R}} = \_:qpred_term(oarg_1[term] := oarg_2)$ , to prove: value typing using RES\_SYN\_QPRED; heap typing using HEAP\_QPRED\_OWNED / HEAP\_QPRED\_OTHER; reduction using PREDOPS\_RESV\_GLUE.

 $\langle 1 \rangle 7.$  Case: Res\_Syn\_PredOps\_Inj

PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:  $res\_term = inj (res\_term', ptr_1, step, x. iarg_1^i)$   $res = qpred\_term((default array \beta)[term] := oarg)$  where  $qpred\_term = (x; x = term) \{\alpha(ptr_1 + x \times step, iarg_1^i)\}$ 

 $res' = pred\_term(oarg)$  where  $pred\_term = \alpha(ptr_2, \overline{iarg_2}_i^i)$  $res\_val' = pred\_term$ , and  $\underline{\mathcal{R}}'' = \_:pred\_term(oarg)$ , by lemma B8.3.

If predicate is  $\texttt{Owned} \langle \tau \rangle$ ,  $h'' = \{pred\_term(oarg) \& \texttt{None}\}$  (by lemma B8.4), so let  $h' = \{qpred\_term((\texttt{defaultarray }\beta)[term] := oarg) \& \cdot\}$  (by  $\cdot[term] := \texttt{None} = \cdot)$ . Otherwise,  $h'' = \{pred\_term(oarg) \& def \& heap\}$  (again by lemma B8.4), so let  $h' = \{qpred\_term((\texttt{defaultarray }\beta)[term] := oarg) \& \cdot[term] := def \& heap\}$ .

Let  $res_val = qpred_term$ , and  $\underline{\mathcal{R}} = \_:qpred_term((defaultarray \beta)[term] := oarg)$ , to prove typing using HEAP\_QPRED\_OWNED / HEAP\_QPRED\_OTHER, and reduction using PREDOPS\_RESV\_INJ.

 $\langle 1 \rangle 8.$  Case: Res\_Syn\_PredOps\_Split

PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:  $res\_term = split(res\_term', iguard)$   $res = qpred\_term_1(oarg) * qpred\_term_2(oarg)$  where  $qpred\_term_1 = (x; iguard) \{\alpha(ptr + x \times step, iargs)\}$  and  $qpred\_term_2 = (x; iguard_2) \{\alpha(ptr + x \times step, iargs)\}$ 

 $res' = qpred\_term(oarg)$  where  $qpred\_term = (x; iguard') \{\alpha(ptr + x \times step, iargs)\}$  $res\_val' = qpred\_term,$  and  $\underline{\mathcal{R}}'' = \_:qpred\_term(oarg)$ , by lemma B8.3.

If predicate is  $\texttt{Owned} \langle \tau \rangle$ ,  $h'' = \{qpred\_term(oarg) \& \cdot\}$  (by lemma B8.4), so let  $h' = \{qpred\_term_1(oarg) \& \cdot\} + \{qpred\_term_2(oarg) \& \cdot\}$ . Otherwise,

 $h'' = \{qpred\_term(oarg) \& arr\_def\_heap\} \text{ (again by lemma B8.4), so let} \\ h' = \{qpred\_term_1(oarg) \& arr\_def\_heap\} + \{qpred\_term_2(oarg) \& arr\_def\_heap\}.$ 

Let  $res_val = \langle qpred_term_1, qpred_term_2 \rangle$ , and  $\underline{\mathcal{R}} = \_:qpred_term_1(oarg), \_:qpred_term_2(oarg)$ , to prove: value typing using RES\_SYN\_ QPRED and RES\_SYN\_SEPCONJ; heap typing using HEAP\_CONCAT and HEAP\_QPRED\_ OWNED / HEAP\_QPRED\_OTHER; reduction using PREDOPS\_RESV\_SPLIT.

- (1)9. CASE: RES\_SYN\_EMP, RES\_SYN\_PRED, RES\_SYN\_QPRED, RES\_CHK\_PHI. PROOF: In these cases, h = h',  $\underline{\mathcal{R}} = \underline{\mathcal{R}}'$  and  $res\_term = res\_val$ . Typing holds by assumption; prove reduction using REST\_RESV\_VAL.
- (1)10. CASE: RES\_SYN\_PREDOPS PROOF: Both typing and reduction (using REST\_RESV\_PREDOPS) hold by induction.
- $\begin{array}{l} \langle 1 \rangle 11. \ \text{CASE: RES_SYN_SEPCONJ, RES_CHK_SEPCONJ.} \\ res = res_1 * res_2, \\ res\_term = \langle res\_term_1, res\_term_2 \rangle, \\ h = h_1 + h_2, \text{ so } \underline{\mathcal{R}} = \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2, \\ \Phi \vdash h_1 \Leftarrow \underline{\mathcal{R}}_1 \text{ and } \Phi \vdash h_2 \Leftarrow \underline{\mathcal{R}}_2. \end{array}$ 
  - $\langle 2 \rangle 1. \ \exists h'_1, \underline{\mathcal{R}}'_1, res\_val_1 \dots \land (\forall f_1 \dots) \\ \exists h'_2, \underline{\mathcal{R}}'_2, res\_val_2 \dots \land (\forall f_2 \dots) \\ \text{PROOF: By induction.}$
  - $\begin{array}{l} \langle 2 \rangle 2. \quad \langle h_1 + h_2 + f; res\_term_1 \rangle \Downarrow \langle h'_1 + h_2 + f; res\_val_1 \rangle. \\ \langle h'_1 + h_2 + f; res\_term \rangle \Downarrow \langle h'_1 + h'_2 + f; res\_val_2 \rangle. \\ \text{PROOF: Instantiate } f_1 \text{ with } h_2 + f, \text{ and } f_2 \text{ with, } h'_1 + f. \end{array}$
  - $\langle 2 \rangle$ 3. LET:  $res_val = \langle res_val_1, res_val_2 \rangle$ ,  $\underline{\mathcal{R}}' = \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}'_2$ , and  $h' = h'_1 + h'_2$ . Prove value typing using RES\_SYN\_SEPCONJ / RES\_CHK\_SEPCONJ; heap typing using HEAP\_CONCAT; reduction using  $\langle 2 \rangle$ 2 and REST\_RESV\_SEPPAIR.

#### $\langle 1 \rangle 12$ . Case: Res\_Chk\_Pack

- PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:  $res\_term = pack (oarg, res\_term'), res = \exists y:\beta. res'', res' = oarg/y(res'')$   $res\_val = pack (oarg, res\_val').$  Value and heap typing hold by induction; prove reduction using REST\_RESV\_PACK.
- $\langle 1 \rangle$ 13. CASE: RES\_SYN\_FOLD

PROOF: Like RES\_SYN\_PREDOPS\_ITERATE, but with:  $\alpha \equiv x_p: \_, \overline{x_i: \_i}^i, y: \_ \mapsto res'' \in \text{Globals}$   $res\_term = \text{fold} res\_term': \alpha(ptr, \overline{iarg_i}^i)(oarg)$   $res = \alpha(ptr, \overline{iarg_i}^i)(oarg)$  $res' = [oarg/y, [\overline{iarg_i/x_i}^i], ptr/x_p](res'').$ 

 $\begin{aligned} \exists h_1, \underline{\mathcal{R}}', res\_val'. \\ 1. \quad \cdot; \cdot; \Phi; \underline{\mathcal{R}}' \vdash res\_val' \Leftarrow res' \end{aligned}$ 

2.  $\Phi \vdash h_1 \Leftarrow \underline{\mathcal{R}}'$ 3.  $\forall f. \langle h + f; res\_term \rangle \Downarrow \langle h_1 + f; res\_val' \rangle$ (by induction).

Let  $res_val = \alpha(ptr, \overline{iarg_i}^i)$ ,  $\underline{\mathcal{R}}' = \_:\alpha(ptr, \overline{iarg_i}^i)(oarg)$  and  $h' = \{\alpha(ptr, \overline{iarg_i}^i)(oarg) \& res_val' \& h_1\}$ , to prove: value typing using RES\_SYN\_PRED; heap typing using HEAP\_PRED\_OTHER. Since footprint\_of  $res_val'$  in  $h_1 + f \rightsquigarrow h_1$  rem f by lemma B8.5 (Well-typed resource value determines its footprint), prove reduction using REST\_RESV\_FOLD.

- $\langle 1 \rangle$ 14. CASE: RES\_CHK\_IF\_TRUE, RES\_CHK\_IF\_FALSE PROOF: By induction with res' as res<sub>1</sub> or res<sub>2</sub> respectively. This is exhaustive because only variables can synthesise under-determined conditional resources and those are excluded by assumption of res\_term being closed.
- $\langle 1 \rangle 15.$  CASE: Res\_Chk\_Switch Proof: By induction on the synthesising judgement.

### B8.7 Resource term reduction is deterministic

PROOF SKETCH: Induction over the definition: it is syntax directed.

#### B8.8 Resource term reduction is isolated

If res\_term is closed,  $\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash res\_term \Leftarrow res \Phi \vdash h \Leftarrow \underline{\mathcal{R}} \text{ and } \langle h + f; res\_term \rangle \Downarrow \langle heap; res\_val \rangle$ then  $\exists h', \underline{\mathcal{R}}'$ .  $heap = h' + f \land (\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}') \land (\cdot; \cdot; \Phi; \underline{\mathcal{R}}' \vdash res\_val \Leftarrow res).$ 

**PROOF:** This simply the composition of lemma B8.7 (Resource term reduction is deterministic) and lemma B8.6 (Progress and type preservation for resource terms).

# **B9** Progress

#### **B9.1** $\Phi \vdash res \sim res'$ is an equivalence relation

PROOF SKETCH: By induction and  $term \sim term'$  assumed to be an equivalence relation (see section B4 Proof Judgements).

#### **B9.2** $\Phi \vdash res \sim res'$ is preserved by substitution

If  $x \sim y$  in  $\Phi \vdash res \sim res'$  and  $term \sim term'$  then  $\Phi \vdash term/x(res) \sim term/y(res')$ .

PROOF SKETCH: By induction and  $term \sim term'$  assumed to be preserved by substitution (see section B4 Proof Judgements).

#### **B9.3** Well-typed spines produce substitutions and the same return type

ASSUME: 
$$: :: :; \Phi; \underline{\mathcal{R}} \vdash \overline{spine\_elem_i}^i :: \psi_1(fun_1) \gg \underline{ret_1}$$
  
 $\Phi \vdash h \Leftarrow \underline{\mathcal{R}} \text{ and } \psi_1(fun_1) = \psi_2(fun_2) = \psi_2(fun_3)$   
 $\langle h + f; \overline{x_i = spine\_elem_i}^i \rangle :: \psi_2(fun_2) \gg \langle heap; \sigma_2; \underline{ret_2} \rangle$   
 $\overline{x_i}^i :: : fun_3 \rightsquigarrow \underline{\mathcal{C}; \mathcal{L}; \Phi'; \mathcal{R}'} \mid \underline{ret_3}.$ 

PROVE:  $\psi_1(ret_1) = \psi_2(ret_2) = [\psi_2, \sigma_2](ret_3)$   $\exists h', \underline{\mathcal{R}}'. heap = h' + f, \Phi \vdash h' \leftarrow \underline{\mathcal{R}}' \text{ and}$  $\because; \because \Phi; \underline{\mathcal{R}}' \vdash \psi_2(\sigma_2) \leftarrow (\mathcal{C}; \mathcal{L}; \psi_2(\mathcal{R}')).$ 

 $\langle 1 \rangle 1$ . Case: Expl\_Spine\_Ret

 $\begin{array}{l} \cdot; \cdot; \Phi; \cdot \vdash :: \psi_1(ret_1) \gg \psi_1(ret) \\ \Phi \vdash \cdot \Leftarrow \cdot \text{ (by inversion, HEAP_EMPTY).} \\ \langle f; \rangle :: \psi_2(ret) \gg \langle f; \cdot; \psi_2(ret) \rangle \text{ (by inversion, SUBS_SPINE_EMPTY).} \\ :: ret \rightsquigarrow :; \cdot; \cdot \mid ret \text{ where } ret'' = ret \text{ (by inversion, FUN_ENV_RET).} \end{array}$ 

$$\begin{split} \psi_1(ret_1) &= \psi_2(ret_2) = [\psi_2, \cdot](ret_3) \text{ (by assumption)} \\ \text{LET: } h' &= \cdot, \ \underline{\mathcal{R}}' = \cdot. \\ f &= h' + f \text{ trivally.} \\ \Phi &\vdash \cdot \Leftarrow \cdot \text{ by HEAP\_EMPTY.} \\ \cdot; \cdot; \Phi; \cdot \vdash \cdot \Leftarrow (\cdot; \cdot; \cdot) \text{ by SUBS\_CHK\_EMPTY.} \end{split}$$

 $\langle 1 \rangle 2$ . Case: Expl\_Spine\_Comp

 $\begin{array}{l} \cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash \overline{spine\_elem_i}^i :: [pval/x, \psi_1](fun'_1) \gg ret_1 \\ \cdot \vdash pval \Rightarrow \underline{\beta} \\ \langle h+f; \overline{x_i = spine\_elem_i}^i \rangle :: [pval/x, \psi_2](fun'_2) \gg \langle heap; \underline{\sigma'_2}; ret_2 \rangle \\ (\text{by inversion, SUBS\_SPINE\_COMP}) \ x, \ \overline{x_i}^i :: \Pi x: \beta. \ fun'_3 \rightsquigarrow x: \beta, \mathcal{C}; \mathcal{L}; \Phi'; \mathcal{R}' \mid ret_3 \ (\text{by inversion, FUN\_ENV\_COMP}). \end{array}$ 

 $[pval/x, \psi_1](ret_1) = [pval/x, \psi_2](ret_2) = [pval/x, \psi_2, \sigma'_2](ret_3)$  $\exists h', \underline{\mathcal{R}'}. heap = h' + f, \Phi \vdash h' \Leftarrow \underline{\mathcal{R}'} \text{ and}$  $\because \because [pval/x, \psi_2](\sigma'_2) \Leftarrow (\mathcal{C}'; \mathcal{L}; [pval/x, \psi_2](\mathcal{R}')) \text{ (by induction).}$   $[\psi_2, pval/x, \sigma'_2](ret_3) = [pval/x, \psi_2, \sigma'_2] \text{ and}$  $;;; \Phi; \underline{\mathcal{R}'} \vdash \psi_2([pval/x, \sigma'_2]) \Leftarrow (\mathcal{C}', x; \beta; \mathcal{L}; \psi_2(\mathcal{R}')),$  by SUBS\_CHK\_COMP and SUBS\_CHK\_CONCAT (because *pval* is closed, we have  $[pval/x, \psi_2(\sigma'_2)] = \psi_2([pval/x, \sigma'_2])).$ 

- (1)3. CASE: EXPL\_SPINE\_COMP Similar to EXPL\_SPINE\_COMPbut with SUBS\_CHK\_LOG.
- (1)4. CASE: EXPL\_SPINE\_PHI By induction (does not affect substitution).
- $\langle 1 \rangle$ 5. Case: Expl\_Spine\_Res

 $\begin{array}{l} ::: \Phi; \underline{\mathcal{R}}_{2} \vdash \overline{spine\_elem_{i}}^{i} ::: \psi_{1}(fun_{1}) \gg ret_{1} \\ ::: \Phi; \underline{\mathcal{R}}_{1} \vdash res\_term \Leftarrow \psi_{1}(res) \\ \exists h_{1}, h_{2}. \ h = h_{1} + h_{2} \land \Phi \vdash h_{1} \Leftarrow \underline{\mathcal{R}}_{1} \land \Phi \vdash h_{2} \Leftarrow \underline{\mathcal{R}}_{2} \text{ (by B8.4).} \\ \langle h_{1} + h_{2} + f; res\_term \rangle \Downarrow \langle heap_{1}; res\_val \rangle \\ \langle heap_{1}; \overline{x_{i}} = spine\_elem_{i}^{i} \rangle :: [res\_val/x, \psi_{2}'](fun_{2}) \gg \langle heap_{2}; \sigma_{2}'; ret_{2} \rangle \text{ (by inversion, SUBS\_SPINE\_RES).} \end{array}$ 

 $\begin{aligned} \exists h_1', \underline{\mathcal{R}}_1', res\_val'. \quad &;; \Phi; \underline{\mathcal{R}}_1' \vdash res\_val' \Leftarrow \psi_1(res), \ \Phi \vdash h_1' \Leftarrow \underline{\mathcal{R}}_1' \\ \text{and } \langle h_1 + h_2 + f; res\_term \rangle \Downarrow \langle h_1' + h_2 + f; res\_val' \rangle \\ \text{(by lemma B8.6 (Progress and type preservation for resource terms)).} \\ heap_1 = h_1' + h_2 + f \text{ and } res\_val = res\_val' \\ \text{(by lemma B8.8 (Resource term reduction is isolated))} \end{aligned}$ 

$$\begin{split} \psi_1(\operatorname{ret}_1) &= [\operatorname{res}_{val}/x, \psi_2](\operatorname{ret}_2) = [\operatorname{res}_{val}/x, \psi_2, \sigma'_2](\operatorname{ret}_3) \\ (\text{because resources variables not in types}) \\ \exists h'_2, \underline{\mathcal{R}}'_2. \ heap_2 &= h'_2 + h'_1 + f \land \Phi \vdash h'_2 \Leftarrow \underline{\mathcal{R}}'_2 \\ \because \because \because \mathfrak{R}; \underline{\mathcal{R}}'_2 \vdash [\operatorname{res}_{val}/x, \psi_2](\sigma'_2) \Leftarrow (\mathcal{C}; \mathcal{L}; [\operatorname{res}_{val}/x, \psi_2](\mathcal{R}')) \text{ (by induction).} \end{split}$$

LET:  $h' = h'_1 + h'_2$  and  $\underline{\mathcal{R}}' = \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}'_2$ . Hence  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}'_2$  (by HEAP\_CONCAT),  $[res\_val/x, \psi_2, \sigma'_2](ret_3) = [\psi_2, res\_val/x, \sigma'_2](ret_3)$  and  $\because \because (\varphi; \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}'_2 \vdash \psi_2([res\_val/x, \sigma'_2]) \Leftarrow (\mathcal{C}; \mathcal{L}; \psi_2(x:res, \mathcal{R}')))$ , by SUBS\_CHK\_RES and SUBS\_ CHK\_CONCAT (because  $res\_val$  is closed, we have  $[res\_val/x, \psi_2(\sigma'_2)] = \psi_2([res\_val/x, \sigma'_2])$ ).

#### B9.4 Well-typed values pattern-match successfully

Note that the definition of  $term \sim term'$  is not explicitly stated; see section B4 (Proof Judgements) for more details.

ASSUME: 1.  $C; \mathcal{L}; \Phi \vdash \overline{ret\_pat_i}^i : ret \rightsquigarrow C'; \mathcal{L}'; \Phi'; \mathcal{R}'$ 2.  $\overline{ret\_pat_i}^i$  is exhaustive 3.  $\Phi \vdash fun \sim ret$ 4.  $\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash \overline{ret\_term_i}^i :: fun \gg \mathbf{I}$ 5.  $\Phi \vdash h \Leftarrow \underline{\mathcal{R}}$  PROVE:  $\exists h', \sigma$ .  $\forall f. \langle h+f; \overline{ret\_pat_i = ret\_term_i}^i \rangle \rightsquigarrow \langle h'+f; \sigma \rangle$   $\exists \underline{\mathcal{R}'}.$  $\mathcal{C}; \mathcal{L}; \Phi \vdash h' \Leftarrow \underline{\mathcal{R}'} \land \mathcal{C}; \mathcal{L}; \Phi; \underline{\mathcal{R}'} \vdash \sigma \Leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}').$ 

**PROOF SKETCH:** Induction over the pattern-matching judgement.

(1)1. CASE: PAT\_RET\_EMPTY  $C; \mathcal{L}; \Phi \vdash : \mathbf{I} \rightsquigarrow \vdots; ;; :$ which means  $fun = \mathbf{I}$  (by inversion, REL\_RET\_I) and so  $C; \mathcal{L}; \Phi; \cdot \vdash :: \mathbf{I} \gg \mathbf{I}$  (by inversion, EXPL\_SPINE\_RET), and  $h = \cdot$  (by lemma B8.4). Let  $h' = \cdot$ , to step with SUBS\_PAT\_RET\_EMPTY. Let  $\underline{\mathcal{R}}' = \cdot$ , to type h' with HEAP\_EMPTY and  $\sigma$  with SUBS\_CHK\_EMPTY.

 $\langle 1 \rangle 2$ . Case: Pat\_Ret\_Comp

 $C; \mathcal{L}; \Phi \vdash \text{comp} ident\_or\_pat, \overline{ret\_pat_j}^j : \Sigma y: \beta. ret \rightsquigarrow C_1, C_2; \mathcal{L}_2; \Phi_2; \mathcal{R}_2$ which means  $fun = \prod x: \beta. fun'$  (by inversion, REL\_RET\_COMP), and so  $C; \mathcal{L}; \Phi; \underline{\mathcal{R}} \vdash pval, \overline{ret\_term_j}^j :: \prod x: \beta. fun' \gg \mathbf{I}$  (by inversion, EXPL\_SPINE\_COMP).

 $ident\_or\_pat:\beta \rightsquigarrow C_1 \text{ with } term_1 \text{ (from the pattern-matching assumption),}$  $ident\_or\_pat \text{ is exhaustive (from the exhaustive asumption),}$  $and \cdot \vdash pval \Rightarrow \beta \text{ (from the spine typing assumption),}$  $imply term_1 \sim pval, ident\_or\_pat = pval \rightsquigarrow \sigma_1$  $and \cdot; \cdot; \cdot; \cdot \vdash \sigma_1 \leftarrow (C_1; \cdot; \cdot) \text{ (by the nested proof below).}$ 

 $\mathcal{C}, \mathcal{C}_1; \mathcal{L}; \Phi \vdash \overline{ret\_pat_j}^j: term_1/y(ret') \rightsquigarrow \mathcal{C}_2; \mathcal{L}_2; \Phi_2; \mathcal{R}_2 \text{ (from the pattern-matching assumption),} \\ \forall term_1 \sim pval. \Phi \vdash pval/x(fun') \sim term_1/y(ret') \text{ (from the related assumption),} \end{cases}$ 

Since  $C; \mathcal{L}; \sigma_1(\Phi); \sigma_1(\underline{\mathcal{R}}') \vdash [\mathrm{id}, \sigma_1] \leftarrow (\mathcal{C}, \mathcal{C}_1; \mathcal{L}; \underline{\mathcal{R}}')$ , and  $\sigma_1(\Phi) = \Phi$  (because  $\Phi$  is well-scoped / does not contain any variables from  $\mathcal{C}_1$ ) we have  $\mathcal{C}; \mathcal{L}; \Phi \vdash \sigma_1(h'') \leftarrow \sigma_1(\underline{\mathcal{R}}'')$  and  $\mathcal{C}; \mathcal{L}; \Phi; \sigma_1(\underline{\mathcal{R}}') \vdash \sigma_1(\sigma_2) \leftarrow (\mathcal{C}_2; \mathcal{L}_2; \sigma_1(\mathcal{R}_2))$  (by lemma B7.3 (Substitution)).

LET:  $h' = \sigma_1(h''), \sigma = [\sigma_1, \sigma_2]$  to step with SUBS\_PAT\_RET\_COMP.  $\underline{\mathcal{R}}' = \sigma_1(\underline{\mathcal{R}}'').$ So  $\mathcal{C}; \mathcal{L}; \Phi \vdash h' \leftarrow \underline{\mathcal{R}}'$ and  $\mathcal{C}; \mathcal{L}; \Phi; \underline{\mathcal{R}}' \vdash \sigma \leftarrow (\mathcal{C}_1, \mathcal{C}_2; \mathcal{L}_2; \mathcal{R}_2)$  hold by lemma B6 (Weakening) and SUBS\_CHK\_ CONCAT.

ASSUME: 1.  $ident\_or\_pat:\beta \rightsquigarrow C_1$  with  $term_1$ 2.  $ident\_or\_pat$  is exhaustive 3.  $\cdot \vdash pval \Rightarrow \beta$ 

- PROVE: 1.  $term_1 \sim pval$ 2.  $\exists \sigma. ident\_or\_pat = pval \rightsquigarrow \sigma \text{ and } :; :; :; \cdot \vdash \sigma \leftarrow (\mathcal{C}_1; :; \cdot).$
- (2)1. CASE: PAT\_COMP\_NO\_SYM\_ANNOT PROOF:  $term_1$  is a wildcard (fresh variable) which would unfiy with pval; let  $\sigma = \cdot$  for SUBS\_PAT\_VALUE\_NO\_SYM\_ANNOT / SUBS\_CHK\_EMPTY.
- (2)2. CASE: PAT\_COMP\_SYM\_ANNOT, PAT\_SYM\_OR\_PAT\_SYM PROOF:  $term_1 = x$ , a fresh pattern variable, so would unify with *pval*; let  $\sigma = pval / x$  for SUBS\_PAT\_VALUE\_SYM\_ANNOT / SUBS\_CHK\_COMP (using  $\cdot \vdash pval \Rightarrow \beta$ ).
- (2)3. CASE: PAT\_COMP\_NIL PROOF:  $term_1 = nil$ , and by inversion on the typing assumption, and then by exhaustiveness,  $pval = Nil \beta()$ , so would unify; let  $\sigma = \cdot$  for SUBS\_PAT\_VALUE\_NIL / SUBS\_CHK\_EMPTY.
- (2)4. CASE: PAT\_COMP\_CONS PROOF:  $term_1 = term_{11}$  ::  $term_{12}$ , and by inversion on the typing assumption, and then by exhaustiveness,  $pval = \text{Cons}(pval_1, pval_2)$ . By induction (1) they would unify and (2) let  $\sigma = [\sigma_1, \sigma_2]$  for SUBS\_PAT\_VALUE\_CONS / SUBS\_CHK\_COMP and SUBS\_ CHK\_CONCAT (both are independent).

### $\langle 2 \rangle$ 5. Case: Pat\_Comp\_Tuple

PROOF:  $term_1 = (\overline{term_i}^i)$ , and by inversion on the typing assumption,  $pval = \text{Tuple}(\overline{pval_i}^i)$ . By induction (1) they would unify (2) let  $\sigma = [\overline{\sigma_i}^i]$  for SUBS\_PAT\_VALUE\_TUPLE / SubsChkComp and SUBS\_CHK\_CONCAT.

- (2)6. CASE: PAT\_COMP\_ARRAY PROOF: Similar to PAT\_COMP\_TUPLE, but with SUBS\_PAT\_VALUE\_ARRAY.
- (2)7. CASE: PAT\_COMP\_SPECIFIED PROOF: By induction we have (1)  $term_1 \sim pval$ , and by the Specified exception (see Section B4, Proof Judgements)  $term_1 \sim \text{Specified}(pval)$ ;  $\sigma$  for SUBS\_PAT\_VALUE\_SPECIFIED, typing by induction.
- (2)8. CASE: PAT\_SYM\_OR\_PAT\_PAT PROOF: By induction.

#### $\langle 1 \rangle$ 3. Case: Pat\_Ret\_Log

 $C; \mathcal{L}; \Phi \vdash \log y', \overline{ret\_pat_j}^j : \exists y:\beta. ret \rightsquigarrow \mathcal{C}_2; y':\beta, \mathcal{L}_2; \Phi_2; \mathcal{R}_2$ which means  $fun = \forall x:\beta. fun'$  (by inversion, REL\_RET\_LOG) and so  $\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash oarg, \overline{ret\_term_j}^j :: \forall x:\beta. fun' \gg \mathbf{I}$  (by inversion, EXPL\_SPINE\_LOG).

 $\mathcal{C}; \mathcal{L}, y: \beta; \Phi \vdash \overline{ret\_pat_j}^j: ret' \rightsquigarrow \mathcal{C}_2; \mathcal{L}_2; \Phi_2; \mathcal{R}_2$  (from the pattern-matching assumption),

 $\forall \ oarg \sim oarg'. \ \Phi \vdash oarg/x(fun') \sim oarg'/y'(ret') \ (from the related assumption), \\ :; :; \Phi; \underline{\mathcal{R}} \vdash \overline{ret\_term_j}^j :: oarg/x(fun') \gg \mathbf{I} \ (from the spine typing assumption) \\ and \ \Phi \vdash h \Leftarrow \underline{\mathcal{R}}, \ imply \ \langle h + f; \ \overline{ret\_pat_j} = ret\_term_j^j \ \rangle \rightsquigarrow \langle h'' + f; \sigma \rangle \\ and \ \exists \underline{\mathcal{R}}' \ such \ that \ \mathcal{C}; \mathcal{L}, \ y': \beta; \Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'' \ and \ \mathcal{C}; \mathcal{L}, \ y': \beta; \Phi; \underline{\mathcal{R}}'' \vdash \sigma_2 \Leftarrow (\mathcal{C}_2; \mathcal{L}_2; \mathcal{R}_2) \ (by \ induction).$ 

Since  $:: \vdash oarg \Rightarrow \beta$ , and  $oarg/y'(\Phi) = \Phi$  (because it is well-scoped / doesn't refer to y') and  $\mathcal{C}; \mathcal{L}; oarg/y'(\Phi); oarg/y'(\underline{\mathcal{R}}') \vdash [\mathrm{id}, oarg/y'] \leftarrow (\mathcal{C}; \mathcal{L}, y':\beta; \underline{\mathcal{R}}'),$ we have  $\mathcal{C}; \mathcal{L}; \Phi \vdash oarg/y'(h'') \leftarrow oarg/y'(\underline{\mathcal{R}}''),$  and  $\mathcal{C}; \mathcal{L}; \Phi; oarg/y'(\underline{\mathcal{R}}'') \vdash oarg/y'(\sigma_2) \leftarrow (\mathcal{C}_2; \mathcal{L}_2; oarg/y'(\mathcal{R}_2))$  (by lemma B7.3 (Substitution)).

LET:  $h' = oarg/y'(h''), \sigma = [oarg/y', \sigma_2]$  to step with SUBS\_PAT\_RET\_LOG.  $\underline{\mathcal{R}}' = oarg/y'(\underline{\mathcal{R}}'').$ So  $\mathcal{C}; \mathcal{L}; \Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$ and  $\mathcal{C}; \mathcal{L}; \Phi; \underline{\mathcal{R}}' \vdash \sigma \Leftarrow (\mathcal{C}_2; y'; \beta, \mathcal{L}_2; \mathcal{R}_2)$  by SUBS\_CHK\_CONCAT.

$$\langle 1 \rangle 4$$
. Case: Pat\_Ret\_Phi

 $\mathcal{C}; \mathcal{L}; \Phi \vdash \overline{ret\_pat_i}^i: term' \land ret' \rightsquigarrow \mathcal{C}'; \mathcal{L}'; \Phi', term'; \mathcal{R}'$ which means  $fun = term \supset fun'$  (by inversion, REL\_RET\_PHI), and so  $\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash \overline{ret\_term_j}^j: term \twoheadrightarrow fun' \gg \mathbb{I}$  (by inversion, EXPL\_SPINE\_RES).

 $C; \mathcal{L}; \Phi \vdash \overline{ret\_pat_i}^i: ret' \rightsquigarrow \mathcal{C}'; \mathcal{L}'; \Phi', term'; \mathcal{R}'$  (from the pattern-matching assumption)  $\Phi \vdash fun' \sim ret'$  (from the related assumption),  $:; :; \Phi; \underline{\mathcal{R}} \vdash \overline{ret\_term_j}^j :: fun' \gg \mathbb{I}$  (from the spine typing assumption) imply  $\langle h + f; \overline{ret\_pat_i} = \overline{ret\_term_i}^i \rangle \rightsquigarrow \langle h' + f; \sigma \rangle$  and the heap and substitution typings (by induction).

 $\langle 1 \rangle$ 5. Case: Pat\_Ret\_Res

 $C; \mathcal{L}; \Phi \vdash \mathbf{res} \ res\_pat, ret\_pat:res' * ret' \rightsquigarrow C_4; \mathcal{L}_3, \mathcal{L}_4; \Phi_3, \Phi_4; \mathcal{R}_3, \mathcal{R}_4$ which means  $fun = res \twoheadrightarrow fun'$  (by inversion, REL\_RET\_RES), and so  $\cdot; \cdot; \Phi; \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2 \vdash res\_term, spine :: res \twoheadrightarrow fun \gg \mathbf{I}$  (by inversion, EXPL\_SPINE\_RES), and  $h = h_1 + h_2$  where  $\Phi \vdash h_1 \Leftarrow \underline{\mathcal{R}}_1$  and  $\Phi \vdash h_2 \Leftarrow \underline{\mathcal{R}}_2$  (by lemma B8.4).

 $C; \mathcal{L}; \Phi \vdash \overline{ret_pat_j}^j: ret' \rightsquigarrow \mathcal{C}_4; \mathcal{L}_4; \Phi_4; \mathcal{R}_4$  (from the pattern matching assumption),  $\Phi \vdash fun' \sim ret'$  (from the related assumption),  $\begin{array}{l} \cdot;\cdot;\Phi;\underline{\mathcal{R}}_{2}\vdash\overline{ret\_term_{j}}^{j}::fun'\gg\mathbb{I} \text{ (from the spine typing assumption),}\\ \text{and }\Phi\vdash h_{2}\Leftarrow\underline{\mathcal{R}}_{2}, \text{ imply }\langle h_{2}+h_{1}''+f; \ \overline{ret\_pat_{j}}=ret\_term_{j}}^{j}\rangle\rightsquigarrow\langle h_{2}'+h_{1}''+f; \sigma_{2}\rangle \text{ and }\exists\underline{\mathcal{R}}_{2}'\\ \text{such that }\mathcal{C};\mathcal{L};\Phi\vdash h_{2}'\Leftarrow\underline{\mathcal{R}}_{2}', \text{ and }\mathcal{C};\mathcal{L};\Phi;\underline{\mathcal{R}}_{2}'\vdash\sigma_{2}\Leftarrow(\mathcal{C}_{4};\mathcal{L}_{4};\mathcal{R}_{4}) \text{ (by induction).} \end{array}$ 

- LET:  $h' = h_1'' + h_2'$   $\sigma = [\sigma_1, \sigma_2]$  to step with SUBS\_PAT\_RET\_RES.  $\underline{\mathcal{R}}' = \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}'_2.$ So  $\mathcal{C}; \mathcal{L}; \Phi \vdash h' \leftarrow \underline{\mathcal{R}}'$  by HEAP\_CONCAT and  $\mathcal{C}; \mathcal{L}; \Phi; \underline{\mathcal{R}}' \vdash \sigma \leftarrow (\mathcal{C}_4; \mathcal{L}_3, \mathcal{L}_4; \mathcal{R}_3, \mathcal{R}_4)$  by SUBS\_CHK\_CONCAT (because  $\underline{\mathcal{R}}'_2$  is well-formed w.r.t.  $\mathcal{C}; \mathcal{L}$ , it does not contain any variables from  $\mathcal{L}_3; \mathcal{R}_3$  so  $\sigma_1(\underline{\mathcal{R}}'_2) = \underline{\mathcal{R}}'_2).$
- ASSUME: 1.  $\mathcal{L}; \Phi \vdash res\_pat:res' \rightsquigarrow \mathcal{L}'; \Phi'; \mathcal{R}'$ 2.  $\Phi \vdash res \sim res'$ 3.  $\exists \underline{\mathcal{R}}.(\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash res\_val \Leftarrow res) \land (\mathcal{C}; \mathcal{L}; \Phi \vdash h \Leftarrow \underline{\mathcal{R}})$
- PROVE:  $\exists h', \sigma$ .  $\forall f. \langle h + f; res\_pat = res\_val \rangle \rightsquigarrow \langle h' + f; \sigma \rangle$  $\exists \underline{\mathcal{R}'}. \mathcal{C}; \mathcal{L}; \Phi \vdash h' \Leftarrow \underline{\mathcal{R}'} \land \mathcal{C}; \mathcal{L}; \Phi; \overline{\mathcal{R}'} \vdash \sigma \Leftarrow (\cdot; \mathcal{L}'; \overline{\mathcal{R}'}).$
- $\langle 2 \rangle$ 1. CASE: PAT\_RES\_MATCH\_FOLD  $\mathcal{L}; \Phi \vdash \texttt{fold}(res\_pat'): \alpha(ptr', \overline{iarg_i}^i)(oarg') \rightsquigarrow \mathcal{L}'; \Phi'; \mathcal{R}'$ which means  $res = \alpha(ptr, \overline{iarg_i}^i)(oarg)$  (by inversion, REL\_RES\_PRED) and so  $\underline{\mathcal{R}} = \_: \alpha(ptr, \overline{iarg_i}^i)(oarg)$  and  $res\_val = \alpha(ptr_2, \overline{iarg_2i}^i)$  (by lemma B8.3).
  - $\begin{array}{l} \langle 3 \rangle 1. \ h = \{ \alpha(ptr, \overline{iarg_i}^{i})(oarg) \& def \& heap \} \\ & \text{PROOF: } \alpha \neq \texttt{Owned} \langle \tau \rangle \text{ (from the pattern-matching assumption), and} \\ & \text{lemma B8.4 (Normalised resource context determines structure of heap).} \end{array}$
  - $\begin{array}{l} \langle 3 \rangle 2. \ \exists \underline{\mathcal{R}}_{1}^{\prime}. \\ 1. \ \alpha \equiv x_{p}: \texttt{pointer}, \ \overline{x_{i}:\beta_{i}}^{i}, \ y: \texttt{record} \ \overline{tag_{j}:\beta_{j}^{\prime}}^{j} \mapsto \textit{res}^{\prime\prime} \in \texttt{Globals} \\ 2. \ \mathcal{C}; \mathcal{L}; \Phi; \underline{\mathcal{R}}_{1}^{\prime} \vdash \textit{def} \leftarrow [\textit{oarg}/y, [\ \overline{\textit{iarg}/x_{i}}^{i}], \textit{ptr}/x_{p}](\textit{res}^{\prime\prime}) \\ 3. \ \mathcal{C}; \mathcal{L}; \Phi \vdash \textit{heap} \leftarrow \underline{\mathcal{R}}_{1}^{\prime} \\ \text{PROOF: By inversion, } \mathcal{C}; \mathcal{L}; \Phi \vdash h \leftarrow \mathcal{R} \text{ is HEAP_PRED_OTHER.} \end{array}$
  - $\langle 3 \rangle 3. \mathcal{L}; \Phi \vdash res\_pat': [oarg'/y, [\overline{iarg'_i/x_i}^i], ptr'/x_p](res'') \rightsquigarrow \mathcal{L}; \Phi; \mathcal{R}$ PROOF: By inversion on the pattern-matching assumption.
  - $\begin{array}{l} \langle 3 \rangle 4. \ \Phi \vdash [oarg/y, [\overline{iarg/x_i}^i], ptr/x_p](res'') \sim [oarg'/y, [\overline{iarg'_i/x_i}^i], ptr'/x_p](res'') \\ \\ \underline{PROOF: By lemma B9.2, using } \Phi \vdash res'' \sim res'' (by lemma B9.1) and ptr \sim ptr', \\ \\ \overline{iarg_i} \sim iarg'_i^i \text{ and } oarg \sim oarg'. \end{array}$
  - $\langle 3 \rangle$ 5.  $\langle heap + f; res_pat = res_val \rangle \rightsquigarrow \langle h' + f; \sigma \rangle$ PROOF: By induction, using  $\langle 3 \rangle 2$ ,  $\langle 3 \rangle 3$  and  $\langle 3 \rangle 4$ .
  - $\langle 3 \rangle 6$ . Step with SUBS\_PAT\_RES\_FOLD.
  - $\langle 3 \rangle$ 7.  $h', \underline{\mathcal{R}}'$  as given by induction.

- (2)2. CASE: PAT\_RES\_MATCH\_EMP / PAT\_RES\_MATCH\_PHI res = emp or term (by inversion, REL\_RES\_EMP / REL\_RES\_PHI) and so  $res_val = emp \text{ or } term$  and  $\underline{\mathcal{R}} = \cdot$  (by lemma B8.3), meaning  $h = \cdot$  (by lemma B8.4). PROOF: Let  $h' = \cdot$  to step with SUBS\_PAT\_RES\_EMP / SUBS\_PAT\_RES\_PHI.  $\underline{\mathcal{R}}' = \cdot$ , so HEAP\_EMPTY and SUBS\_CHK\_EMPTYSuffice.
- (2)3. CASE: PAT\_RES\_MATCH\_IF\_TRUE / PAT\_RES\_MATCH\_IF\_FALSE Only showing true case, false case is symmetric.

 $res' = if term' then res'_1 else res'_2$  so  $res = if term then res_1 else res_2$  (by inversion, REL\_RES\_IF).

Since smt ( $\Phi \Rightarrow term'$ ) (from the pattern-matching assumption) and smt ( $\Phi \Rightarrow term \leftrightarrow term'$ ), we can conclude the typing assumption must be RES\_CHK\_ IF\_TRUE.

From there, we proceed by induction.

- (2)4. CASE: PAT\_RES\_MATCH\_VAR PROOF: Let h' = h to step with SUBS\_PAT\_RES\_VAR.  $\underline{\mathcal{R}}' = \underline{\mathcal{R}}$  so SUBS\_CHK\_RES.
- $\langle 2 \rangle$ 5. CASE: PAT\_RES\_MATCH\_SEPCONJ  $\mathcal{L}; \Phi \vdash \langle res\_pat_1, res\_pat_2 \rangle : res'_1 * res'_2 \rightsquigarrow \mathcal{L}_1, \mathcal{L}_2; \Phi_1, \Phi_2; \mathcal{R}_1, \mathcal{R}_2$

 $res = res_1 * res_2$  (by inversion, REL\_RES\_SEPCONJ) and  $:; :; \Phi; \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_1 \vdash \langle res\_val_1, res\_val_2 \rangle \Leftarrow res_1 * res_2$  (by lemma B8.3), so  $h = h_1 + h_2$  where  $\Phi \vdash h \Leftarrow \underline{\mathcal{R}}_1$  and  $\Phi \vdash h \Leftarrow \underline{\mathcal{R}}_2$ .

By induction, obtain  $h'_1$  and  $h'_2$ , and then let  $h' = h'_1 + h'_2$ . Instantiate the frame, from the inductive hypothesis with  $h_2 + f$  and then  $h'_1 + f$  to conclude  $\langle h_1 + h_2 + f; res\_pat_1 = res\_val_1 \rangle \rightsquigarrow \langle h'_1 + h_2 + f; \sigma_1 \rangle$  and  $\langle h_2 + h'_1 + f; res\_pat_2 = res\_val_2 \rangle \rightsquigarrow \langle h'_2 + h'_1 + f; \sigma_2 \rangle$  to step with SUBS\_PAT\_RES\_PAIR. LET:  $\underline{\mathcal{R}}' = \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}'_2$  (obtained from induction). We then have and  $\mathcal{C}; \mathcal{L}; \Phi \vdash h'_1 + h'_2 \Leftarrow \underline{\mathcal{R}}'$  and (since  $\sigma_1(\mathcal{R}_2) = \mathcal{R}_2$  because it can not refer to  $\mathcal{L}_1$ )  $\mathcal{C}; \mathcal{L}; \Phi; \underline{\mathcal{R}}' \vdash [\sigma_1, \sigma_2] \Leftarrow (\cdot; \mathcal{L}_1, \mathcal{L}_2; \mathcal{R}_1, \mathcal{R}_2)$ .

 $\langle 2 \rangle 6.$  CASE: PAT\_RES\_MATCH\_PACK  $\mathcal{L}; \Phi \vdash \mathsf{pack}(x, \mathit{res\_pat'}) : \exists y': \beta. \mathit{res'_1} \rightsquigarrow x: \beta, \mathcal{L}'; \Phi'; \mathcal{R}'$ 

 $\begin{aligned} &res = \exists y:\beta. \ res_1 \ (by \ inversion, \ REL_RES_EXISTS) \ and \\ &:; \cdot; \Phi; \underline{\mathcal{R}} \vdash \mathsf{pack} \ (oarg, \ res_val') \Leftarrow \exists y:\beta. \ res_1 \ (by \ lemma \ B8.3). \\ &\mathcal{L}, x:\beta; \Phi \vdash \ res_val' : x/y'(res_1') \rightsquigarrow \mathcal{L}'; \Phi'; \mathcal{R}' \ (from \ the \ pattern-matching \ assumption), \\ &:; \cdot; \Phi; \underline{\mathcal{R}} \vdash \ res_val' \Leftarrow \ oarg/y(res_1) \ (from \ the \ typing \ assumption), \\ &\forall \ term \sim \ term'. \ \Phi \vdash \ term/y(res_1) \sim \ term'/y'(res_1') \ (from \ the \ related \ assumption), \\ &oarg \sim x \ imply \ \exists h'', \sigma', . \ \forall f \ \dots \\ &\text{and} \ \exists \underline{\mathcal{R}}''. \ C; \ \mathcal{L}, x:\beta; \Phi \vdash h' \Leftarrow \underline{\mathcal{R}}' \land C; x:\beta, \ \mathcal{L}; \Phi; \underline{\mathcal{R}}'' \vdash \sigma' \Leftarrow (\cdot; \mathcal{L}'; \mathcal{R}') \end{aligned}$ 

Since  $:: \vdash oarg \Rightarrow \beta$ , and  $oarg/x(\Phi) = \Phi$  (because it is well-scoped / doesn't refer to

x) and  $C; \mathcal{L}; oarg/x(\Phi); oarg/x(\underline{\mathcal{R}}') \vdash [id, oarg/x] \Leftarrow (C; x:\beta, \mathcal{L}'; \underline{\mathcal{R}}'),$ we have  $C; \mathcal{L}; \Phi \vdash oarg/x(h'') \Leftarrow oarg/x(\underline{\mathcal{R}}''),$  and  $C; \mathcal{L}; \Phi; oarg/x(\underline{\mathcal{R}}'') \vdash oarg/x(\sigma') \Leftarrow (\cdot; \mathcal{L}'; oarg/x(\mathcal{R}_2))$  (by lemma B7.3 (Substitution)).

LET: h' = oarg/x(h'')  $\sigma = [oarg/x, \sigma']$  to step with SUBS\_PAT\_RES\_PACK.  $\underline{\mathcal{R}}' = oarg/x(\underline{\mathcal{R}}'')$  so  $\mathcal{C}; \mathcal{L}; \Phi; oarg/x(\underline{\mathcal{R}}'') \vdash [oarg/x, \sigma'] \Leftarrow (\cdot; \mathcal{L}'; \mathcal{R}_2)$  by SUBS\_CHK\_CONCAT.

**B9.5**  $\Phi \vdash to_fun ret \sim ret$ 

PROOF SKETCH: Induction over ret.

#### **B9.6** Statement and proof

- ASSUME: 1. Closed (no free-variables) expression *texpr*. 2.  $\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash texpr \leftarrow ret$ 3. All patterns in *texpr* are exhaustive.
- PROVE: Either *texpr* is a value *tval*, or it is unreachable, or  $\forall h, \underline{\mathcal{R}}. (\Phi \vdash h \Leftarrow \underline{\mathcal{R}}) \Rightarrow \exists h', texpr'. \langle h; texpr \rangle \longrightarrow \langle h'; texpr' \rangle.$

**PROOF SKETCH:** Induction over the typing rules.

- (1)1. CASE: Value typing rules (see B5.3). PROOF: All these judgements/rules give types to syntactic values; and there are no operational rules corresponding to them (see Section B3).
- (1)2. CASE: PURE\_TOP\_VAL\_UNDEF, PURE\_TOP\_VAL\_ERROR, EXPL\_TOP\_VAL\_UNDEF, EXPL\_ TOP\_VAL\_ERROR.
   PROOF: All these rules require inconsistent constraint context, and so would be unreachable.
- (1)3. CASE: PURE\_EXPR\_ARRAY\_SHIFT. PROOF: By inversion on  $\cdot \vdash pval_1 \Rightarrow pointer$ ,  $pval_1$  must be a mem\_ptr (PURE\_VAL\_OBJ\_PTR). Similarly  $pval_2$  must be a mem\_int, so step with PE\_TP\_ARRAY\_SHIFT.
- (1)4. CASE: PURE\_EXPR\_MEMBER\_SHIFT. PROOF: pval must be a mem\_ptr so step with PE\_TP\_MEMBER\_SHIFT.
- (1)5. CASE: PURE\_EXPR\_NOT. PROOF: *pval* must be a *bool\_value* so step with PE\_TP\_NOT\_TRUE or PE\_TP\_NOT\_FALSE.
- (1)6. CASE: PURE\_EXPR\_ARITH\_BINOP, PURE\_EXPR\_REL\_BINOP. PROOF: *pval*<sub>1</sub> and *pval*<sub>2</sub> must be *mem\_ints*, so step with PE\_TP\_ARITH\_BINOP or PE\_TP\_ REL\_BINOP respectively.

- (1)7. CASE: PURE\_EXPR\_BOOL\_BINOP. PROOF: *pval*<sub>1</sub> and *pval*<sub>2</sub> must be *bool\_values*, so step with PE\_TP\_BOOL\_BINOP.
- $\langle 1 \rangle 8.$  Case: Pure\_Expr\_Call.
  - $\langle 2 \rangle$ 1. 1. name: <u>pure\_fun</u>  $\equiv \overline{x_i}^i \mapsto tpexpr \in Globals.$ 2.  $\cdot; \cdot; \Phi; \cdot \vdash \overline{pval_i}^i :: pure_fun \gg \Sigma y:\beta. term \land I.$ PROOF: By inversion on the assumption.
  - $\langle 2 \rangle 2. \ \langle \cdot; \overline{x_i = pval_i}^i \rangle :: pure\_fun \gg \langle \cdot; \sigma; \underline{\Sigma} y: \beta. term \land I \rangle.$ PROOF: By lemma B9.3.
  - $\langle 2 \rangle 3$ . Thus it can step with PE\_TP\_CALL.
- $\langle 1 \rangle$ 9. Case: Pure\_Expr\_Assert\_Undef.
  - $\langle 2 \rangle$ 1. pval must be a bool\_value PROOF: By PURE\_VAL\_TRUE, PURE\_VAL\_FALSE.
  - $\langle 2 \rangle 2$ . smt ( $\Phi \Rightarrow pval$ ). PROOF: By inversion on the assumption.
  - $\langle 2 \rangle$ 3. If it is False, then by the latter, we have an inconsistent constraints context, meaning the code is unreachable.
  - $\langle 2 \rangle 4$ . If it is **True**, we may step with PE\_TP\_ASSERT\_UNDEF.
- (1)10. CASE: PURE\_EXPR\_BOOL\_TO\_INTEGER. PROOF: *pval* must be a *bool\_value* (PURE\_VAL\_TRUE, PURE\_VAL\_FALSE) and so step with PE\_TP\_BOOL\_TO\_INTEGER\_TRUE, PE\_TP\_BOOL\_TO\_INTEGER\_FALSE respectively.
- (1)11. CASE: PURE\_EXPR\_WRAPI. PROOF: pval must be a mem\_int (PURE\_VAL\_OBJ\_PTR) and so step with PE\_TP\_WRAPI.
- (1)12. CASE: PURE\_TOP\_IF, PURE\_TOP\_CASE, PURE\_TOP\_LET, PURE\_TOP\_LETT. PROOF: See EXPL\_TOP\_SEQ\_IF, EXPL\_TOP\_SEQ\_CASE, EXPL\_TOP\_SEQ\_LET, EXPL\_ TOP\_SEQ\_LETT, case for more general proofs.
- $\langle 1 \rangle$ 13. Case: Expl\_Is\_Action\_Create.
  - (2)1. pval must be a mem\_int.PROOF: By PURE\_VAL\_OBJ\_PTR.
  - $\langle 2 \rangle 2$ . *h* must be  $\cdot$  (empty). PROOF: By HEAP\_EMPTY.
  - $\langle 2 \rangle$ 3. Step with ACTION\_IS\_CREATE. PROOF: *mem\_ptr* is free in the premises and so can be constructed to satisfy the requirements.
- $\langle 1 \rangle$ 14. Case: Expl\_Is\_Action\_Load.

- $\langle 2 \rangle$ 1. pval<sub>0</sub> must be a mem\_ptr. PROOF: By PURE\_VAL\_OBJ\_PTR.
- $\begin{array}{l} \langle 2 \rangle 2. \quad & \langle ; \cdot ; \Phi ; \underline{\mathcal{R}'} \vdash res\_term \Rightarrow \underline{term} \stackrel{init}{\mapsto_{\tau}} pval_{1} \\ & \text{smt} \left( \Phi \Rightarrow (term = mem\_ptr) \land (init = \texttt{const}_{\tau}\texttt{true}) \right). \\ & \text{PROOF: By inversion on the typing assumption and } \langle 2 \rangle 1. \end{array}$
- ⟨2⟩3. ∃h', <u>R</u>', res\_val.
  1. Φ ⊢ h' ⇐ <u>R</u>'
  2. ⟨h; res\_term⟩ ↓ ⟨h'; res\_val⟩
  3. ·; ·; Φ; <u>R</u>' ⊢ res\_val ⇒ term <sup>init</sup>→<sub>τ</sub> pval<sub>1</sub>
  PROOF: By ⟨2⟩2 and lemma B8.6 (Progress and type preservation for resource terms).
- $\langle 2 \rangle$ 4.  $res_val = 0wned \langle \tau \rangle (term)$ . PROOF: By lemma B8.3 (Non-conditional resources determine context and values).
- $\langle 2 \rangle$ 5.  $h' = \{term \stackrel{init}{\mapsto_{\tau}} pval_1 \& None\}$ . PROOF: By inversion on the term typing assumption in  $\langle 2 \rangle$ 3 using  $\langle 2 \rangle$ 4,  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$  and lemma B8.4 (Normalised resource context determines structure of heap).
- $\langle 2 \rangle 6$ . Step with ACTION\_IS\_LOAD.
- $\langle 1 \rangle$ 15. Case: Expl\_Is\_Action\_Store.
  - $\langle 2 \rangle$ 1. *pval*<sub>0</sub> must both be a *mem\_ptr*. PROOF: By PURE\_VAL\_OBJ\_PTR.
  - $\begin{array}{l} \langle 2 \rangle 2. \hspace{0.5cm} \mathtt{smt} \left( \Phi \Rightarrow \mathtt{representable} \left( \tau, pval_{1} \right) \right) \\ \quad \cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash res\_term \Rightarrow \underline{term} \mapsto_{\tau} \_ \\ \quad \mathtt{smt} \left( \Phi \Rightarrow term = mem\_ptr \right). \\ \quad \mathrm{PROOF:} \hspace{0.5cm} \mathrm{By} \hspace{0.5cm} \mathrm{inversion} \hspace{0.5cm} \mathrm{on} \hspace{0.5cm} \mathrm{the} \hspace{0.5cm} \mathrm{typing} \hspace{0.5cm} \mathrm{assumption} \hspace{0.5cm} \mathrm{and} \hspace{0.5cm} \langle 2 \rangle 1. \end{array}$
  - ⟨2⟩3. ∃h', <u>R</u>', res\_val.
    1. Φ ⊢ h' ⇐ <u>R</u>'
    2. ⟨h; res\_term⟩ U ⟨h'; res\_val⟩
    3. ·; ·; ·; <u>R</u>' ⊢ res\_val ⇒ term ⊢<sub>τ</sub>.
    PROOF: By ⟨2⟩2 and lemma B8.6 (Progress and type preservation for resource terms).
  - $\langle 2 \rangle$ 4.  $res_val = 0wned \langle \tau \rangle (term)$ . PROOF: By lemma B8.3 (Non-conditional resources determine context and values).
  - $\langle 2 \rangle$ 5.  $h' = \{ term \mapsto_{\tau} = \& \text{None} \}$ . PROOF: By inversion on the term typing assumption in  $\langle 2 \rangle$ 3,  $\Phi \vdash h' \leftarrow \underline{\mathcal{R}}'$  and lemma B8.4 (Normalised resource context determines structure of heap).
  - $\langle 2 \rangle 6$ . Step with ACTION\_IS\_STORE.
- $\langle 1 \rangle$ 16. Case: Expl\_Is\_Action\_Kill\_Static

- (2)1. pval must be a mem\_ptr.PROOF: By PURE\_VAL\_OBJ\_PTR.
- $\langle 2 \rangle 2. \quad :, :; \Phi; \underline{\mathcal{R}} \vdash res\_term \Rightarrow \underline{term} \mapsto_{\tau} \_$ smt ( $\Phi \Rightarrow term = mem\_ptr$ ). PROOF: By inversion on the typing assumption and  $\langle 2 \rangle 1$ .
- ⟨2⟩3. ∃h', <u>R</u>', res\_val.
  1. Φ ⊢ h' ⇐ <u>R</u>'
  2. ⟨h; res\_term⟩ U ⟨h'; res\_val⟩
  3. ·; ·; Φ; <u>R</u>' ⊢ res\_val ⇒ term ⊢<sub>7</sub>.
  PROOF: By ⟨2⟩2 and lemma B8.6 (Progress and type preservation for resource terms).
- $\langle 2 \rangle$ 4.  $res_val = 0wned \langle \tau \rangle (term)$ . PROOF: By lemma B8.3 (Non-conditional resources determine context and values).
- $\langle 2 \rangle$ 5.  $h' = \{ term \mapsto_{\tau} \_\& \text{None} \}$ . PROOF: By inversion on the typing assumption in  $\langle 2 \rangle$ 3,  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$  and lemma B8.4 (Normalised resource context determines structure of heap).
- $\langle 2 \rangle 6$ . Step with ACTION\_IS\_KILL\_STATIC.
- (1)17. CASE: EXPL\_IS\_MEMOP\_REL\_BINOP. PROOF: Similar to Pure\_Expr\_Rel\_BINOP, but step with MEMOP\_IS\_REL\_BINOP.
- (1)18. CASE: EXPL\_IS\_MEMOP\_INTFROMPTR. PROOF: *pval* must be a *mem\_ptr*, so step with MEMOP\_IS\_INTFROMPTR.
- (1)19. CASE: EXPL\_IS\_MEMOP\_PTRFROMINT. PROOF: *pval* must be a *mem\_int*, so step with MEMOP\_IS\_PTRFROMINT.
- (1)20. Case: Expl\_Is\_Memop\_PtrValidForDeref.
  - (2)1. pval must be a mem\_ptr. PROOF: By PURE\_VAL\_OBJ\_PTR.
  - $\begin{array}{l} \langle 2 \rangle 2. \quad & \vdots, \vdots, \\ \Phi \vdots \underbrace{\mathcal{R}} \vdash res\_term \Rightarrow \underbrace{term} \stackrel{init}{\mapsto_{\tau}}\_.\\ \text{smt} (\Phi \Rightarrow (term = mem\_ptr) \land (init = \texttt{const}_{\tau}\texttt{true})).\\ \text{PROOF: By inversion on the typing assumption and } \langle 2 \rangle 1. \end{array}$
  - ⟨2⟩3. ∃h'<u>R</u>', res\_val.
    1. Φ ⊢ h' ⇐ <u>R</u>'
    2. ⟨h; res\_term⟩ U ⟨h'; res\_val⟩
    3. ·; ·; Φ; <u>R</u>' ⊢ res\_val ⇒ term →<sub>τ</sub> \_\_.
    PROOF: By ⟨2⟩2 and lemma B8.6 (Progress and type preservation for resource terms).
  - $\langle 2 \rangle 4. \ res_val = \texttt{Owned} \langle \tau \rangle (term).$

**PROOF:** By lemma B8.3 (Non-conditional resources determine context and values).

- $\langle 2 \rangle$ 5.  $h' = \{ term \stackrel{init}{\mapsto_{\tau}} \_\& \text{None} \}$ . PROOF: By inversion on the typing assumption in  $\langle 2 \rangle$ 3 using  $\langle 2 \rangle$ 4,  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$  and lemma B8.4 (Normalised resource context determines structure of heap).
- $\langle 2 \rangle 6$ . Step with MEMOP\_IS\_PTRVALIDFORDEREF.
- (1)21. CASE: EXPL\_IS\_MEMOP\_PTRWELLALIGNED. PROOF: *pval* must be a *mem\_ptr*, so step with MEMOP\_IS\_PTRWELLALIGNED.
- (1)22. CASE: EXPL\_IS\_MEMOP\_PTRARRAYSHIFT. PROOF: *pval*<sub>1</sub> must be a *mem\_ptr* and *pval*<sub>2</sub> must be a *mem\_int*, so step with MEMOP\_IS\_ PTRARRAYSHIFT.
- $\langle 1 \rangle 23$ . Case: Expl\_Seq\_CCall.
  - $\langle 2 \rangle$ 1.  $ident: fun \equiv \overline{x_i}^i \mapsto texpr \in Globals$  $:; :; \Phi; \underline{\mathcal{R}} \vdash \overline{spine\_elem_i}^i :: fun \gg ret.$ PROOF: By inversion.
  - $\langle 2 \rangle 2$ .  $\langle h; \overline{x_i = spine\_elem_i}^i \rangle ::: fun \gg \langle h'; \sigma_2; ret \rangle$ . PROOF: By  $\langle 2 \rangle 1$  and lemma B9.3 (Well-typed spines produce substitutions and the same return type).
  - $\langle 2 \rangle 3$ . Step with SEQ\_T\_CCALL.
- (1)24. CASE: EXPL\_SEQ\_PROC, EXPL\_TOP\_SEQ\_RUN. PROOF: Similar to EXPL\_SEQ\_CCALL, but step with SEQ\_T\_PROC / TSEQ\_T\_RUN.
- (1)25. CASE: EXPL\_IS\_MEMOP. PROOF: By induction, if *memop* is unreachable, then the whole expression is so. *memops* are not values. Only stepping cases applies, so step with IS\_IS\_MEMOP.
- (1)26. CASE: EXPL\_IS\_ACTION, EXPL\_IS\_NEG\_ACTION. PROOF: By induction, if *action* is unreachable, then the whole expression is so. *actions* are not values. Only stepping case applies, so step with IS\_IS\_ACTION (or IS\_IS\_NEG\_ACTION respectively).
- (1)27. CASE: EXPL\_TOP\_SEQ\_LETP, EXPL\_TOP\_SEQ\_LETTP. PROOF: See EXPL\_TOP\_SEQ\_LET / EXPL\_TOP\_SEQ\_LETT for more general cases and proofs.
- (1)28. CASE: EXPL\_TOP\_SEQ\_LET. PROOF: By induction, since seq\_expr is not value, if it is unreachable, the whole expression is so. If seq\_expr takes a step, the whole expression steps with TSEQ\_T\_LET\_LETT.
- $\langle 1 \rangle$ 29. Case: Expl\_Top\_Seq\_LetT.

PROOF: By induction, if *texpr* is unreachable, so is the whole expression.

If if it a *tval*, use lemma B9.4 (Well-typed values pattern-match successfully), with lemma B9.5 ( $\Phi \vdash to\_fun ret \sim ret$ ) and the assumption that all patterns are exhaustive, so the whole expression steps with TSEQ\_T\_LETT\_SUB.

If texpr takes a step, the whole expression steps with TSEQ\_T\_LETT\_LETT.

- (1)30. CASE: EXPL\_TOP\_SEQ\_CASE. PROOF: By assumption that all patterns are exhaustive, and lemma B9.4 (Well-typed values pattern-match successfully), there is at least one pattern against which *pval* will match, so TSEQ\_T\_CASE.
- (1)31. CASE: EXPL\_TOP\_SEQ\_IF. PROOF: *pval* must be a *bool\_value* and so TSEQ\_T\_IF\_TRUE/ TSEQ\_T\_IF\_FALSE.
- (1)32. CASE: EXPL\_TOP\_SEQ\_BOUND. PROOF: Step with TSEQ\_T\_BOUND.
- (1)33. CASE: EXPL\_TOP\_IS\_LETS. PROOF: Similar to EXPL\_TOP\_SEQ\_LETT, but step with TIS\_T\_LETS\_SUB / TIS\_T\_ LETS\_LETSinstead.
- (1)34. CASE: EXPL\_TOP\_SEQ, EXPL\_TOP\_IS. PROOF: Step with T\_T\_TSEQ\_T / T\_T\_TIS\_T respectively.

# B10 Type Preservation

#### **B10.1** Owned $\langle \tau \rangle$ resource output values have type $\beta_{\tau}$

If  $\mathcal{C}; \mathcal{L}; \Phi; \mathcal{R} \vdash \mathsf{Owned} \langle \tau \rangle(ptr) \leftarrow ptr' \stackrel{init}{\mapsto_{\tau}} pval$  then  $\mathcal{C} \vdash pval \Rightarrow \beta_{\tau}$  and  $\mathcal{C}; \mathcal{L} \vdash init \Rightarrow bool_{\tau}$ .

PROOF SKETCH: Induction over the typing judgements. Only EXPL\_IS\_ACTION\_STORE constrain \_.value of Owned  $\langle \tau \rangle$  resources, and its premises ensure it has type  $\beta_{\tau}$ ; EXPL\_IS\_ACTION\_LOAD and EXPL\_IS\_MEMOP\_PTRVALIDFORDEREF simply propagate the value. EXPL\_IS\_ACTION\_ CREATE, EXPL\_IS\_ACTION\_LOAD and EXPL\_IS\_ACTION\_STORE and ensure \_.init has type  $bool_{\tau}$ .

#### B10.2 Type Preservation Statement and Proof

If  $::: \Phi; \underline{\mathcal{R}} \vdash texpr \Leftarrow ret$  and  $\Phi \vdash h \Leftarrow \underline{\mathcal{R}}$ , and all top-level functions are well-typed<sup>1</sup> then  $\forall f. \langle h + f; texpr \rangle \longrightarrow \langle heap; texpr' \rangle \Rightarrow \exists \Phi', h', \underline{\mathcal{R}}'. (::: \Phi; \cdot \sqsubseteq :: \Phi'; \cdot) \land heap = h' + f \land (\Phi' \vdash h' \Leftarrow \underline{\mathcal{R}}') \land (::: \Phi'; \underline{\mathcal{R}}' \vdash texpr' \Leftarrow ret).$ 

You can equally well prove  $\forall \underline{\mathcal{R}}' . \Phi \vdash h' \Leftarrow \underline{\mathcal{R}}' \Rightarrow :; : \Phi; \underline{\mathcal{R}}' \vdash texpr' \Leftarrow ret$  instead. Instead of supplying  $\underline{\mathcal{R}}'$  and proving heap typing, you instead invert heap typing to deduce that  $\underline{\mathcal{R}}'$  can only be what you would have supplied anyways.

It's worth noting that the constraint context will always only contain trivially true constraints (since  $C; \mathcal{L}$  are both empty, all the *terms* in  $\Phi; \mathcal{R}$  will be closed). This does not, by itself, guarantee that all conditional resources will be determined (e.g. **if default bool then**  $res_1$  **else**  $res_2$ ), but there are other ways of excluding this (not allowing under-determined in heaps).

PROOF SKETCH: Induction over the typing rules, which don't refer to values or unreachable program points.

ASSUME: 1.  $:; :; \Phi; \underline{\mathcal{R}} \vdash texpr \Leftarrow ret,$ 2.  $\Phi \vdash h \Leftarrow \underline{\mathcal{R}}$ 3. all top-level functions are well-typed 4.  $\forall f. \langle h + f; texpr \rangle \longrightarrow \langle heap; texpr' \rangle$ 

PROVE:  $\exists \Phi', h', \underline{\mathcal{R}'}$ . 1.  $\cdot; \cdot; \Phi; \cdot \sqsubseteq \cdot; \cdot; \Phi'; \cdot$ 2. heap = h' + f3.  $\Phi' \vdash h' \Leftarrow \underline{\mathcal{R}'}$ 4.  $\cdot; \cdot; \Phi'; \underline{\mathcal{R}'} \vdash texpr' \Leftarrow ret$ .

(1)1. CASE: PURE\_EXPR\_ARRAY\_SHIFT. For all pure expressions,  $\Phi \vdash h \Leftarrow \cdot$ ,  $h = \cdot$ , heap = f. LET:  $h' = \cdot$  and  $\underline{\mathcal{R}}' = \cdot$ , so heap = h' + f trivially and  $\Phi \vdash \cdot \Leftarrow \cdot$  (by HEAP\_EMPTY).  $ret = \Sigma y$ :pointer.  $y = mem_ptr + ptr (mem_int \times size_of(\tau)) \land I$ 

**PROOF:** By PURE\_TOP\_VAL\_DONE, suffices to show  $\cdot \vdash mem_ptr' \Rightarrow pointer$  (true by

<sup>&</sup>lt;sup>1</sup>More precisely, if *ident*:  $fun \equiv \overline{x_i}^i \mapsto texpr \in \text{Globals}$  and  $\overline{x_i}^i :: fun \rightsquigarrow \mathcal{C}''; \mathcal{L}''; \Phi''; \mathcal{R}'' \mid ret''$  then  $\mathcal{C}''; \mathcal{L}''; \Phi''; \mathcal{R}'' \vdash texpr \leftarrow ret''$ .

PURE\_VAL\_OBJ\_PTR) and smt ( $\Phi \Rightarrow mem_ptr' = mem_ptr +_{ptr} (mem_int \times size_of(\tau))$ ) (true by definition of PE\_TP\_ARRAY\_SHIFT).

- (1)2. CASE: PURE\_EXPR\_MEMBER\_SHIFT, PURE\_EXPR\_NOT, PURE\_EXPR\_ARITH\_BINOP, PURE\_EXPR\_BOOL\_BINOP, PURE\_EXPR\_REL\_BINOP, PURE\_EXPR\_ASSERT\_UNDEF, PURE\_EXPR\_BOOL\_TO\_INTEGER, PURE\_EXPR\_WRAPI. PROOF: Similar to PURE\_EXPR\_ARRAY\_SHIFT.
- (1)3. CASE: PURE\_EXPR\_CALL PROOF: See EXPL\_SEQ\_CCALL for a more general case and proof.
- (1)4. CASE: PURE\_TOP\_IF.PROOF: See EXPL\_TOP\_SEQ\_IF for a more general case and proof.
- (1)5. CASE: PURE\_TOP\_LET. PROOF: See EXPL\_TOP\_SEQ\_LET for a more general case and proof.
- (1)6. CASE: PURE\_TOP\_LETT. PROOF: See EXPL\_TOP\_SEQ\_LETT for a more general case and proof.
- (1)7. CASE: PURE\_TOP\_CASE.PROOF: See EXPL\_TOP\_SEQ\_CASE for a more general case and proof.
- $\langle 1 \rangle 8.$  Case: Expl\_Is\_Action\_Create.
  - LET:  $ret = \Sigma y_p$ :pointer.  $term \land (y_p \xrightarrow{\texttt{const}_{\tau} \texttt{false}} \texttt{default} \beta_{\tau}) * \texttt{I}$ where  $term = \texttt{representable} (\tau *, y_p) \land \texttt{alignedI} (mem\_int, y_p)$ .  $pt = \texttt{Owned} \langle \tau \rangle (mem\_ptr)(\textit{oarg})$  where  $oarg = \{init = \texttt{const}_{\tau}\texttt{false}, value = \texttt{default} \beta_{\tau}\}.$

ASSUME:  $:; :; \Phi; \vdash \text{create}(mem_int, \tau) \Rightarrow ret$  and so  $h = \cdot$  (by inversion, HEAP\_EMPTY) and  $heap = f + \{pt \& \text{None}\}$ . LET:  $h' = \{pt \& \text{None}\}, \underline{\mathcal{R}'} = .:pt$ . This means heap = h' + f (trivially) and  $\Phi \vdash h' \leftarrow \underline{\mathcal{R}'}$  (by HEAP\_PRED\_OWNED).

PROVE:  $:: :; :; \Phi; \mathcal{R}' \vdash \text{done} \langle mem_ptr, \text{Owned} \langle \tau \rangle (mem_ptr) \rangle : ret \Rightarrow ret.$ 

 $\langle 2 \rangle 1$ .  $\vdash mem_ptr \Rightarrow$  pointer by PURE\_VAL\_OBJ\_PTR and PURE\_VAL\_OBJ.

- $\langle 2 \rangle 2$ . smt ( $\cdot \Rightarrow term$ ) by construction of mem\_ptr.
- $\langle 2 \rangle 3. :; :; :; \underline{\mathcal{R}}' \vdash \mathsf{Owned} \langle \tau \rangle (mem\_ptr) \Leftarrow pt \text{ by Res_Syn_Pred.}$
- $\langle 2 \rangle$ 4. Prove typing with EXPL\_SPINE\_RET;  $\langle 2 \rangle 3 \langle 2 \rangle 1$  with EXPL\_SPINE\_RES, EXPL\_SPINE\_PHI, EXPL\_SPINE\_COMP respectively; EXPL\_IS\_TVAL.

 $\langle 1 \rangle 9$ . Case: Expl\_Is\_Action\_Load.

LET:  $ret = \Sigma y: \beta_{\tau}. y = pval \land (mem\_ptr \overset{const_{\tau}true}{\mapsto_{\tau}} pval) * I$ 

pt = 0 wned  $\langle \tau \rangle (mem_ptr)(oarg)$  where  $oarg = \{init = const_{\tau}true, value = pval\}.$ 

ASSUME:  $: :: : \Phi; \underline{\mathcal{R}} \vdash \text{load}(\tau, mem\_ptr, \_, res\_term) \Rightarrow ret$ and  $heap = heap' + \{pt \& \text{None}\}$  so  $\langle h + f; res\_term \rangle \Downarrow \langle heap' + \{pt \& \text{None}\}; \text{Owned} \langle \tau \rangle (mem\_ptr) \rangle.$ 

LET: h' and  $\underline{\mathcal{R}}'$  be as per lemma B8.8 (Resource term reduction is isolated).  $\underline{\mathcal{R}}' = \_:pt$  by lemma B8.3 and  $h' = \{pt \& \text{None}\}$  by lemma B8.4, hence heap' = f. This means heap = h' + f,  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$  and  $\cdot; \cdot; \Phi; \underline{\mathcal{R}}' \vdash \text{Owned} \langle \tau \rangle (mem\_ptr) \Rightarrow \underline{pt}$ .

PROVE:  $:: :; :; \Phi; \underline{\mathcal{R}}' \vdash \text{done} \langle pval, \text{Owned} \langle \tau \rangle (mem\_ptr) \rangle : ret \Rightarrow \underline{ret}.$ 

- $\langle 2 \rangle 1. \quad \vdash pval \Rightarrow \beta_{\tau}$  by lemma B10.1 (Owned  $\langle \tau \rangle$  resource output values have type  $\beta_{\tau}$ ).
- $\langle 2 \rangle 2$ . smt ( $\cdot \Rightarrow pval = pval$ ) trivially.
- $\langle 2 \rangle 3. :; :; \Phi; \underline{\mathcal{R}}' \vdash \mathsf{Owned} \langle \tau \rangle (mem\_ptr) \Rightarrow \underline{pt}, already established.$
- $\langle 2 \rangle$ 4. Prove typing with EXPL\_SPINE\_RET;  $\langle 2 \rangle 3 \langle 2 \rangle 1$  with EXPL\_SPINE\_RES, EXPL\_SPINE\_LOG, EXPL\_SPINE\_COMP respectively; EXPL\_IS\_TVAL.
- $\langle 1 \rangle 10$ . Case: Expl\_Is\_Action\_Store.
  - LET:  $ret = \Sigma$  :unit.  $(mem_ptr \xrightarrow{const_{\tau}true} pval) * I.$   $pt = 0wned \langle \tau \rangle (mem_ptr)(\_), pt' = 0wned \langle \tau \rangle (mem_ptr)(oarg), where$  $oarg = \{init = const_{\tau}true, value = pval\}.$

ASSUME:  $::: \Phi; \underline{\mathcal{R}} \vdash \texttt{store}(\_, \tau, mem\_ptr, pval, \_, res\_term) \Rightarrow ret$  and  $heap = heap' + \{pt' \& \texttt{None}\}$  so  $\langle h + f; res\_term \rangle \Downarrow \langle heap' + \{pt \& \texttt{None}\}; \texttt{Owned} \langle \tau \rangle (mem\_ptr) \rangle.$ 

 $\exists h'', \underline{\mathcal{R}}'' \text{ such that } heap' + \{pt \& \text{None}\} = h'' + f, \Phi \vdash h'' \Leftarrow \underline{\mathcal{R}}'' \text{ and} \\ \because; \because; \Phi; \underline{\mathcal{R}}'' \vdash \texttt{Owned} \langle \tau \rangle (mem\_ptr) \Rightarrow \underline{pt}, \text{ by lemma B8.8 (Resource term reduction is isolated).}$ 

 $\underline{\mathcal{R}}'' = \_:pt$  by lemma B8.3 and  $h'' = \{pt \& \text{None}\}$  by lemma B8.4, hence heap' = f. LET:  $h' = \{pt' \& \text{None}\}$  and  $\underline{\mathcal{R}}' = \_:pt'$ . This means heap = h' + f and  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$  (by HEAP\_PRED\_OWNED).

PROVE:  $:::;:;\Phi;\underline{\mathcal{R}}' \vdash \texttt{done} \langle \texttt{Unit}, \texttt{Owned} \langle \tau \rangle (mem\_ptr) \rangle: ret \Rightarrow \underline{ret}.$ 

- $\langle 2 \rangle 1.$   $\cdot \vdash$  Unit  $\Rightarrow$  unit by PURE\_VAL\_UNIT.
- $\langle 2 \rangle 2$ .  $:;:; \Phi; :: pt' \vdash \mathsf{Owned} \langle \tau \rangle (mem\_ptr) \Leftarrow pt' \text{ by Res_Syn_Pred.}$
- $\langle 2 \rangle$ 3. Prove typing with EXPL\_SPINE\_RET;  $\langle 2 \rangle 2 \langle 2 \rangle 1$  with EXPL\_SPINE\_RES, EXPL\_SPINE\_COMP respectively; EXPL\_IS\_TVAL.
- $\langle 1 \rangle$ 11. CASE: EXPL\_IS\_ACTION\_KILL\_STATIC. ASSUME:  $\cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash \text{kill}(\text{static} \tau, mem_ptr, res\_term) \Rightarrow \underline{\mathcal{D}}\_:unit. I and$

 $\langle h+f; res\_term \rangle \Downarrow \langle heap + \{pt \& None\}; Owned \langle \tau \rangle (mem\_ptr) \rangle.$ 

 $\exists h'', \underline{\mathcal{R}}'' \text{ such that } heap + \{pt \& \text{None}\} = h'' + f, \Phi \vdash h'' \Leftarrow \underline{\mathcal{R}}'' \text{ and} \\ \because; \because; \Phi; \underline{\mathcal{R}}'' \vdash \texttt{Owned} \langle \tau \rangle (mem\_ptr) \Rightarrow \underline{pt}, \text{ by lemma B8.8 (Resource term reduction is isolated).}$ 

 $\underline{\mathcal{R}}'' = \_:pt$  by lemma B8.3 and  $h'' = \{pt \& \text{None}\}$  by lemma B8.4, hence heap = f. LET:  $h' = \cdot$  and  $\underline{\mathcal{R}}' = \cdot$ . This means heap = h' + f and  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$  (by HEAP\_EMPTY).

PROVE:  $\cdot; \cdot; \Phi; \cdot \vdash \text{done} \langle \text{Unit} \rangle : \Sigma \_: \text{unit. I} \Rightarrow \Sigma \_: \text{unit. I}$ PROOF: By EXPL\_SPINE\_RET, PURE\_VAL\_UNIT, EXPL\_SPINE\_COMP, EXPL\_IS\_TVAL.

- (1)12. CASE: EXPL\_IS\_MEMOP\_REL\_BINOP. PROOF: Similar to Pure\_Expr\_Rel\_Binop.
- $\langle 1 \rangle 13$ . Case: Expl\_Is\_Memop\_IntFromPtr.

LET:  $ret = \Sigma y$ :integer.  $y = cast_ptr_to_int mem_ptr \land I$ . Since  $\Phi \vdash h \Leftarrow \cdot, h = \cdot$ , heap = f. ASSUME:  $\cdot; \cdot; \Phi; \cdot \vdash intFromPtr(\tau_1, \tau_2, mem_ptr) \Rightarrow ret$ . LET:  $h' = \cdot$  and  $\underline{\mathcal{R}}' = \cdot$ , so heap = h' + f trivially and  $\Phi \vdash \cdot \Leftarrow \cdot$  (by HEAP\_EMPTY). PROVE:  $\cdot; \cdot; \cdot; \cdot \vdash done \langle mem_int \rangle$ :  $ret \Rightarrow ret$ PROOF: Prove typing with EXPL\_SPINE\_RET, EXPL\_SPINE\_PHI, EXPL\_SPINE\_COMP and EXPL\_TOP\_VAL\_DONE instead.

- (1)14. CASE: EXPL\_IS\_MEMOP\_PTRFROMINT. PROOF: Similar to EXPL\_IS\_MEMOP\_INTFROMPTR, swapping base types integer and pointer.
- $\langle 1 \rangle$ 15. CASE: EXPL\_IS\_MEMOP\_PTRVALIDFORDEREF. LET: pt = 0wned  $\langle \tau \rangle (mem_ptr)(oarg)$  where  $oarg = \{init = const_{\tau}true, value = value\}$  $ret = \Sigma y$ :bool.  $y = aligned(\tau, pval) \land pt * I$ 
  - ASSUME:  $:; :; \Phi; \underline{\mathcal{R}} \vdash \mathsf{ptrValidForDeref}(\tau, mem\_ptr, res\_term) \Rightarrow ret$ and  $heap = heap' + \{pt \& \mathsf{None}\}$  so  $\langle h + f; res\_term \rangle \Downarrow \langle heap' + \{pt \& \mathsf{None}\}; \mathsf{Owned} \langle \tau \rangle (mem\_ptr) \rangle.$

LET: h' and  $\underline{\mathcal{R}}'$  be as per lemma B8.8 (Resource term reduction is isolated).  $\underline{\mathcal{R}}' = \_:pt$  by lemma B8.3 and  $h' = \{pt \& \text{None}\}$  by lemma B8.4, hence heap' = f. This means heap = h' + f,  $\Phi \vdash h' \Leftarrow \underline{\mathcal{R}}'$  and  $\cdot; \cdot; \Phi; \underline{\mathcal{R}}' \vdash \text{Owned} \langle \tau \rangle (mem\_ptr) \Rightarrow pt$ .

PROVE:  $:::; :; \Phi; \underline{\mathcal{R}}' \vdash \text{done} \langle bool\_value, \texttt{Owned} \langle \tau \rangle (mem\_ptr) \rangle: ret \Rightarrow \underline{ret}.$ 

- $\langle 2 \rangle 1. \mapsto bool_value \Rightarrow bool$  by PURE\_VAL\_TRUE/ PURE\_VAL\_FALSE.
- $\langle 2 \rangle 2$ . smt ( $\cdot \Rightarrow bool\_value = \texttt{aligned}(\tau, mem\_ptr)$ ). PROOF: By construction of *bool\\_value* (inversion on the transition).

- $\langle 2 \rangle 3. :; :; \Phi; :: pt \vdash \mathsf{Owned} \langle \tau \rangle (mem\_ptr) \Leftarrow pt$ , already established.
- $\langle 2 \rangle$ 4. Prove typing with EXPL\_SPINE\_RET;  $\langle 2 \rangle 3 \langle 2 \rangle 1$  with EXPL\_SPINE\_RES, EXPL\_SPINE\_PHI, EXPL\_SPINE\_COMP respectively; EXPL\_IS\_TVAL.
- $\begin{array}{ll} \langle 1 \rangle 16. \ \text{CASE: EXPL_IS_MEMOP_PTRWELLALIGNED.} \\ \text{LET: } ret = \Sigma \ y: \texttt{bool. } y = \texttt{aligned} \ (\tau, mem\_ptr) \land \texttt{I.} \\ \text{ASSUME: } \cdot; \cdot; \Phi; \cdot \vdash \texttt{ptrWellAligned} \ (\tau, mem\_ptr) \Rightarrow \ ret. \\ \text{Since } \Phi \vdash h \Leftarrow \cdot, \ h = \cdot, \ heap = f. \\ \text{LET: } h' = \cdot \ \text{and} \ \underline{\mathcal{R}}' = \cdot, \ \text{so} \ heap = h' + f \ \text{trivially and} \ \Phi \vdash \cdot \Leftarrow \cdot \ (\texttt{by HEAP\_EMPTY}). \\ \text{PROVE: } \quad :; \cdot; \Phi; \cdot \vdash \texttt{done} \ \langle \textit{bool\_value} \rangle: ret \Rightarrow \ ret. \end{array}$ 
  - $\langle 2 \rangle 1. \mapsto bool_value \Rightarrow bool$  by PURE\_VAL\_TRUE/ PURE\_VAL\_FALSE.
  - $\langle 2 \rangle 2$ . smt ( $\cdot \Rightarrow bool\_value = \texttt{aligned}(\tau, mem\_ptr)$ ) by construction of bool\\_value.
  - (2)3. Prove typing with EXPL\_SPINE\_RET, EXPL\_SPINE\_PHI, EXPL\_SPINE\_COMP, EXPL\_IS\_TVAL.
- (1)17. CASE: EXPL\_IS\_MEMOP\_PTRARRAYSHIFT. PROOF: Similar to PURE\_EXPR\_ARRAY\_SHIFT, but with EXPL\_IS\_TVAL.
- $\begin{array}{ll} \langle 1 \rangle 18. \ \text{CASE: EXPL_SEQ_CCALL.} \\ \text{ASSUME: } ident: \underbrace{fun}_{i} \equiv \overline{x_i}^{i} \mapsto \underbrace{texpr}_{i} \in \texttt{Globals} \\ & \cdot; \cdot; \Phi; \underline{\mathcal{R}} \vdash \overline{spine\_elem_i}^{i} :: fun \gg ret. \\ & \Phi \vdash h \Leftarrow \underline{\mathcal{R}} \\ & \langle h + f; \texttt{ccall}(\tau, ident, \overline{spine\_elem_i}^{i}) \rangle \longrightarrow \langle heap; \sigma_2(texpr): ret' \rangle \\ & \mathcal{C}; \mathcal{L}; \Phi''; \mathcal{R}'' \vdash texpr \leftarrow ret'' \text{ where } \overline{x_i}^{i} :: fun \rightsquigarrow \mathcal{C}; \mathcal{L}; \Phi''; \mathcal{R}'' \mid ret''. \end{array}$ 
  - PROVE:  $\exists h', \Phi', \underline{\mathcal{R}}'$  such that  $\cdot; \cdot; \Phi; \cdot \sqsubseteq \cdot; \cdot; \Phi'; \cdot$  heap = h' + f  $\Phi' \vdash h' \Leftarrow \underline{\mathcal{R}}'$ and  $\cdot; \cdot; \Phi'; \underline{\mathcal{R}}' \vdash \sigma_2(texpr) \Leftarrow ret.$
  - $\begin{array}{l} \langle 2 \rangle 1. \ \mathcal{C}; \mathcal{L}; \Phi, \Phi''; \mathcal{R}'' \vdash texpr \Leftarrow ret'' \\ \Phi, \sigma_2(\Phi'') \vdash h \Leftarrow \underline{\mathcal{R}'} \\ \cdot; \cdot; \Phi, \sigma_2(\Phi''); \underline{\mathcal{R}} \vdash \overline{spine\_elem_i}^i :: fun \gg ret. \\ \text{PROOF: By lemma B6 (Weakening).} \end{array}$
  - $\begin{array}{l} \langle 2 \rangle 2. \ ret = ret' = \sigma_2(ret'') \land \exists h_1', \underline{\mathcal{R}}_1'.\\ heap = h_1' + f, \ \text{and} \ (\Phi, \sigma_2(\Phi'') \vdash h_1' \Leftarrow \underline{\mathcal{R}}_1')\\ \because; \because; \Phi, \sigma_2(\Phi''); \underline{\mathcal{R}}_1' \vdash \sigma_2 \Leftarrow (\mathcal{C}; \mathcal{L}; \mathcal{R}'').\\ \text{PROOF: By lemma B9.3 (Well-typed spines produce substitutions and the same return type).} \end{array}$
  - $\langle 2 \rangle 3. :; :; \Phi, \sigma_2(\Phi''); \underline{\mathcal{R}}'_1 \vdash \sigma(texpr) \Leftarrow \sigma(ret'').$ PROOF: By lemma B7.3 (Substitution), because  $\sigma_2(\Phi) = \Phi$  since it contains only closed terms / is well-formed w.r.t :; ..

 $\langle 2 \rangle 4$ . Let:  $h' = h'_1; \Phi' = \Phi, \sigma_2(\Phi''); \underline{\mathcal{R}}' = \underline{\mathcal{R}}'_1.$ 

 $\langle 2 \rangle 5. :; :; \Phi; \cdot \sqsubseteq :; :; \Phi, \sigma_2(\Phi''); \cdot \text{ trivially.}$ 

- (1)19. CASE: EXPL\_SEQ\_PROC. PROOF: Similar to EXPL\_SEQ\_PROC.
- (1)20. CASE: EXPL\_IS\_MEMOP, EXPL\_IS\_ACTION, EXPL\_IS\_NEG\_ACTION. PROOF: By induction.
- (1)21. CASE: EXPL\_TOP\_SEQ\_LETP, EXPL\_TOP\_SEQ\_LETTP, EXPL\_TOP\_SEQ\_LET. PROOF: See EXPL\_TOP\_SEQ\_LETTfor a more general case and proof.

 $\begin{array}{l} \langle 1 \rangle 22. \ \text{CASE: EXPL_TOP\_SEQ\_LETT.} \\ \text{ASSUME: } \cdot; \cdot; \cdot; \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2 \vdash \texttt{let } \overline{ret\_pat_i}^i : ret_1 = \texttt{done} \ \langle \overline{ret\_term_i}^i \rangle \texttt{ in } texpr_2 \Leftarrow ret_2 \\ \text{ so } \cdot; \cdot; \Phi; \underline{\mathcal{R}}_1 \vdash \texttt{done} \ \langle \overline{ret\_term_i}^i \rangle \Leftarrow ret_1 \\ \text{ and } \Phi \vdash ret\_pat: ret_1 \rightsquigarrow \underline{\mathcal{C}}_3; \underline{\mathcal{L}}_3; \Phi_3; \underline{\mathcal{R}}_3 \\ \text{ and } \mathcal{C}_3; \underline{\mathcal{L}}_3; \Phi, \Phi_3; \underline{\mathcal{R}}_2, \mathcal{R}_3 \vdash texpr \Leftarrow ret_2 \ (\texttt{by inversion}). \end{array}$ 

 $\Phi \vdash h \Leftarrow \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2 \text{ so } h = h_1 + h_2 \text{ where } \Phi \vdash h_1 \Leftarrow \underline{\mathcal{R}}_1 \text{ and } \Phi \vdash h_2 \Leftarrow \underline{\mathcal{R}}_2 \text{ by lemma B8.4}$ (Normalised resource context determines structure of heap).  $\langle h + f; \texttt{let } \overline{ret\_pat_i}^i: ret_1 = \texttt{done } \langle \overline{ret\_term_i}^i \rangle \texttt{ in } texpr \rangle \longrightarrow \langle heap; \sigma(texpr) \rangle.$ where  $\langle h; \overline{ret\_pat_i} = ret\_term_i^i \rangle \rightsquigarrow \langle heap; \sigma \rangle.$ 

PROVE:  $\exists \Phi', h', \underline{\mathcal{R}}'.$   $:; :; \Phi; \cdot \sqsubseteq :; :; \Phi'; \cdot$   $heap = h' + f \text{ and } \Phi' \vdash h' \Leftarrow \underline{\mathcal{R}}'$  $:; :; \Phi'; \underline{\mathcal{R}}' \vdash \sigma(texpr_2) \Leftarrow \sigma(ret_2).$ 

 $\exists \underline{\mathcal{R}}'_{1}. heap = h'_{1} + h_{2} + f$   $\Phi \vdash h'_{1} \Leftarrow \underline{\mathcal{R}}'_{1} \text{ and } :; :; \Phi; \underline{\mathcal{R}}'_{1} \vdash \sigma \Leftarrow (\mathcal{C}'; \mathcal{L}'; \mathcal{R}')$ by lemma B9.4 (Well-typed values pattern-match successfully).

This means  $:;:; [\mathrm{id}, \sigma](\Phi, \Phi_3); \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}_2 \vdash [\mathrm{id}, \sigma] \leftarrow (\mathcal{C}'; \mathcal{L}'; \underline{\mathcal{R}}_2, \mathcal{R}')$  by lemma B6 (Weakening).

LET:  $\Phi' = \Phi, \sigma(\Phi_3), h' = h'_1 + h_2$  and  $\underline{\mathcal{R}}' = \underline{\mathcal{R}}'_1, \underline{\mathcal{R}}_2$ . By lemma B7.3 (Substitution), because  $\sigma(\Phi) = \Phi$  since it contains only closed terms / is well-formed w.r.t  $\cdot; \cdot$ .

 $\langle 1 \rangle 23$ . Case: Expl\_Top\_Seq\_LetT.

ASSUME:  $::: \Phi; \underline{\mathcal{R}}_1, \underline{\mathcal{R}}_2 \vdash \mathsf{let} \ \overline{ret_pat_i}^i: ret_1 = texpr_1 \ \mathsf{in} \ texpr_2 \Leftarrow ret_2$ so  $::: \Phi; \underline{\mathcal{R}}_1 \vdash texpr_1 \Leftarrow ret_1$ and  $h = h_1 + h_2$  where  $\Phi \vdash h_1 \Leftarrow \underline{\mathcal{R}}_1$  and  $\Phi \vdash h_2 \Leftarrow \underline{\mathcal{R}}_2$  by lemma B8.4 (Normalised resource context determines structure of heap).  $\langle h; texpr_1 \rangle \longrightarrow \langle heap; texpr'_1 \rangle.$ 

Proceed by induction, instantiating the frame from the inductive hypothesis with  $h_2 + f$ .

 $\langle 1 \rangle$ 24. Case: Expl\_Top\_Seq\_Case.

ASSUME:  $:; :; \Phi; \underline{\mathcal{R}} \vdash \operatorname{case} pval \text{ of } \overline{\mid pat_i \Rightarrow texpr_i}^i \text{ end } \Leftarrow ret$  $\frac{\overline{pat_i: \beta_1 \rightsquigarrow \mathcal{C}_i \text{ with } term_i}^i}{\overline{\mathcal{C}, \mathcal{C}_i; \mathcal{L}; \Phi, term_i = pval; \mathcal{R} \vdash texpr_i \Leftarrow ret}^i}.$ 

 $pat_j = pval \rightsquigarrow \sigma_j$  and  $\forall i < j$ . not  $(pat_i = pval \rightsquigarrow \sigma_i)$ .

LET:  $\Phi' = \Phi, \sigma_j(term_j = pval), h' = h \text{ and } \underline{\mathcal{R}}' = \underline{\mathcal{R}}.$   $:; :; \Phi'; \underline{\mathcal{R}} \vdash [id, \sigma_j] \Leftarrow (\mathcal{C}_j; :; \underline{\mathcal{R}})$  by lemma B9.4 (Well-typed values pattern-match successfully) and lemma B6 (Weakening). Hence  $:; :; \Phi; : \sqsubseteq :; :; \Phi'; :$  and  $:; :; \Phi'; \underline{\mathcal{R}} \vdash \sigma_j(texpr_j) \Leftarrow \sigma_j(ret)$  by lemma B7.3 (Substitution).

- (1)25. CASE: EXPL\_TOP\_SEQ\_IF. See EXPL\_TOP\_SEQ\_CASE for more general case and proof.
- (1)26. CASE: EXPL\_TOP\_SEQ\_RUN. PROOF: Similar to EXPL\_SEQ\_CCALL.
- $\langle 1 \rangle$ 27. CASE: EXPL\_TOP\_SEQ\_BOUND. PROOF: By induction.
- (1)28. CASE: EXPL\_TOP\_IS\_LETS. PROOF: Similar to EXPL\_TOP\_SEQ\_LETT.