

TYPES+PCC 2014

Nominal Sets and Dependent Type Theory

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Computer Laboratory

Plan

{ Type Theory
presheaf categories } audience listens to talk { }

Plan

{ Type Theory
presheaf categories } audience listens to talk { nominal sets:
freshness
name abstraction }

aim to explain the notions of

freshness and **name-abstraction**

from the theory of nominal sets

and discuss two (on-going) applications
involving dependent types:

1. Cubical sets model of Homotopy Type Theory.
2. A version of Type Theory with names, freshness and name-abstraction.

Freshness

What is a fresh name?

Possible definition: name a is fresh if it is not 'stale':

a is not equal to any name in the current (finite) set of used names (and we extend that set with a)

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- ▶ **need to be able to test names for equality** – that is the only attribute we assume names have (**atomic** names)

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- ▶ need to be able to test names for equality – that is the only attribute we assume names have (atomic names)
- ▶ **freshness has a modal character** – suggests using Kripke-Beth-Joyal (possible worlds) semantics with...

Presheaf semantics

\mathbb{I} = category of finite ordinals
 $n = \{0, 1, \dots, n-1\}$
and injective functions

$\mathbf{U} \in [\mathbb{I}, \mathbf{Set}]$

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set-valued functors \mathbf{X} & natural transformations.
 $\mathbf{X}n$ = set of objects (of some type)
possibly involving n distinct names

Presheaf semantics

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 $n = \{0, 1, \dots, n-1\}$
and injective functions

generic decidable object

\mathbf{U} = inclusion functor:
 $\mathbf{U}n = \{0, 1, \dots, n-1\}$

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Generic

decidable object

\mathbf{U} is a 'decidable' object of the topos $[\mathbb{I}, \mathbf{Set}]$

diagonal subobject $\mathbf{U} \rightrightarrows \mathbf{U} \times \mathbf{U}$ has a boolean
complement $\neq \rightrightarrows \mathbf{U} \times \mathbf{U}$

Generic

decidable object

U is a 'decidable' object of the topos $[\mathbb{I}, \mathbf{Set}]$

$$a =_{\mathbf{U}} b \wedge \neq(a, b) \Rightarrow \mathbf{false}$$

$$\mathbf{true} \Rightarrow a =_{\mathbf{U}} b \vee \neq(a, b)$$

Generic infinite decidable object

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but it does not satisfy 'finite inexhaustibility'

$$\bigwedge_{0 \leq i < j \leq n} \neq(a_i, a_j) \Rightarrow \bigvee_{b: \mathbf{U}} \bigwedge_{0 \leq i \leq n} \neq(b, a_i)$$

which we need to model freshness.

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FACT: we get this form of infinity (in a geometrically generic way) if we cut down to the **Schanuel topos**:

Sch \subseteq $[\mathbb{I}, \mathbf{Set}]$ is the full subcategory consisting of functors $\mathbb{I} \rightarrow \mathbf{Set}$ that preserve pullbacks

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$$\bigwedge_{0 \leq i < j \leq n} \neq(a_i, a_j) \Rightarrow \forall b: \mathbf{U} \bigwedge_{0 \leq i \leq n} \neq(b, a_i)$$

FACT: we get **this form of infinity** (in a geometrically generic way) if we cut down to the Schanuel topos.

What is the history of this notion? (Kuratowski?)

From Sch to Nom

The **category of nominal sets** **Nom** is 'merely' an equivalent presentation of the category **Sch**:

An analogy:

$$\frac{\mathbf{Nom}}{\mathbf{Sch}} \sim \frac{\text{named bound variables}}{\text{de Bruijn indexes (levels)}}$$

Step 1: fix a countably infinite set **A** (of atomic names) and modify **Sch** up to equivalence by replacing **I** by the equivalent category whose objects are finite subsets $I \in \mathbf{P}_{\text{fin}} \mathbf{A}$ and whose morphisms are injective functions.

From Sch to Nom

The **category of nominal sets** **Nom** is 'merely' an equivalent presentation of the category **Sch**:

Step 2: make the dependence of each $X \in \mathbf{Sch}$ on 'possible worlds' $A \in \mathbf{P}_{\text{fin}} \mathbb{A}$ implicit by taking the colimit \tilde{X} of the directed system of sets and (injective) functions

$$A \subseteq B \in \mathbf{P}_{\text{fin}} \mathbb{A} \mapsto (X A \rightarrow X B)$$

Each set \tilde{X} carries an action of \mathbb{A} -permutations

(cf. homogeneity property (Fraïssé limit))

$$\begin{array}{ccc} \mathbb{A} & \xrightarrow{\cong} & \mathbb{A} \\ \uparrow & & \uparrow \\ A & \xrightarrow{f} & B \end{array}$$

From Sch to Nom

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$$A \subseteq B \in \mathbf{P}_{\text{fin}} \mathbb{A} \mapsto (X A \rightarrow X B)$$

Each set \tilde{X} carries an action of \mathbb{A} -permutations with **finite support property**, and every such arises this way up to iso.

Finite support property

Suppose $\text{Perm } \mathbb{A}$ (= group of all (finite) permutations of \mathbb{A}) acts on a set X and that $x \in X$

A set of names $A \subseteq \mathbb{A}$ **supports** x if permutations π that fix every $a \in A$ also fix x (i.e. $\pi \cdot x = x$).

X is a **nominal set** if every $x \in X$ has a finite support.

Nom = category of nominal sets and functions that preserve the permutation action ($f(\pi \cdot x) = \pi \cdot (f x)$).

FACT: **Nom** and **Sch** are equivalent categories.

Within **Nom**, objects are 'set-like' and the modal character of freshness becomes implicit. . .

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Freshness, nominally, is a binary relation

$a \# x \triangleq a \notin A$ for some finite A supporting x .

'name a is fresh for x '

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$$a \# x \triangleq a \notin A \text{ for some finite } A \text{ supporting } x.$$

satisfying $\boxed{\forall x. \exists a. a \# x}$ (not Skolemizable!)

Name abstraction

Name abstraction

Each $X \in \mathbf{Nom}$ yields a nominal set $[A]X$ of

name-abstractions $\langle a \rangle x$ are \sim -equivalence classes of pairs $(a, x) \in A \times X$, where

$$(a, x) \sim (a', x') \Leftrightarrow \exists b \# (a, x, a', x') \\ (b \ a) \cdot x = (b \ a') \cdot x'$$

generalizes α -equivalence
from sets of syntax to
arbitrary nominal sets

the permutation that swaps a and b

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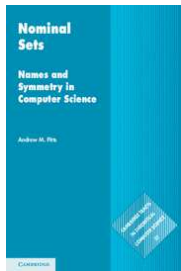
$$(a, x) \sim (a', x') \Leftrightarrow \exists b \# (a, x, a', x') \\ (b \ a) \cdot x = (b \ a') \cdot x'$$

Action of name permutations on $[A]X$ is well-defined by

$$\pi \cdot \langle a \rangle x = \langle \pi a \rangle (\pi \cdot x)$$

and for this action, $A - \{a\}$ supports $\langle a \rangle x$ if A supports x .

If you want to know more about nominal sets...



Nominal Sets *Names and Symmetry in Computer Science*

Cambridge Tracts in Theoretical
Computer Science, Vol. 57
(CUP, 2013)

Nom and dependent types

Families of nominal sets

Family over $X \in \mathbf{Nom}$ is specified by:

- ▶ family of sets $(E_x \mid x \in X)$
- ▶ dependently type permutation action

$$\prod_{\pi \in \text{Perm } \mathbb{A}} \prod_{x \in X} (E_x \rightarrow E_{\pi \cdot x})$$

with dependent version of finite support property:

for all $x \in X, e \in E_x$ there is a finite set A of names supporting x in X and such that any π fixing each $a \in A$ satisfies $\pi \cdot e = e \in E_{\pi \cdot x} = E_x$.

Families of nominal sets

Family over $X \in \mathbf{Nom}$ is specified by...

Get a **category with families** (cwf) [Dybjer] modelling extensional MLTT...

This cwf is relatively unexplored, so far.

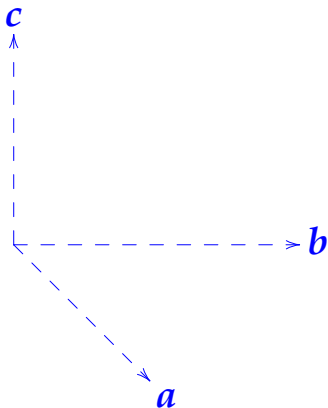
But what's it good for? Two possible applications:

1. higher-dimensional type theory
2. meta-programming/proving with name-binding structures

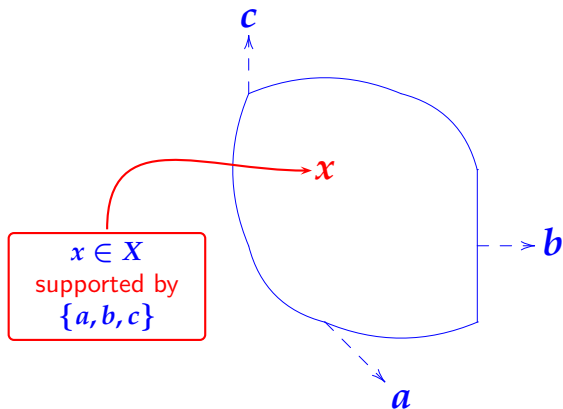
Bezem-Coquand-Huber cubical sets model of HoTT

(just the connection with the nominal
sets notion of name abstraction)

One can view cubical sets as nominal sets X equipped with some extra structure, whose names $a, b, c \dots \in \mathbb{A}$ we think of as names of cartesian directions

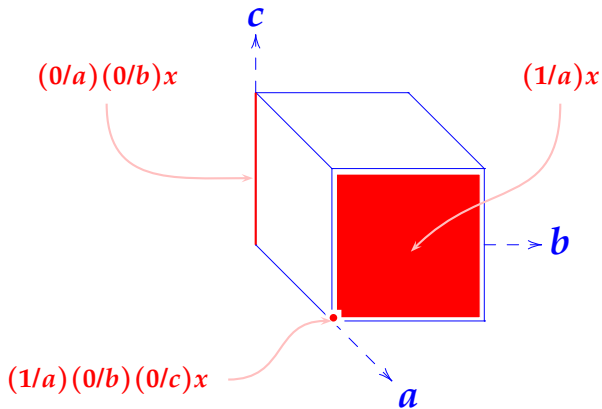


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One can view cubical sets as nominal sets X equipped with some extra structure, namely face maps

$$x \in X \mapsto (i/a)x \in X, \text{ for } i = 0, 1$$



One can view cubical sets as nominal sets X equipped with some extra structure,

$$d_i : [A]X \rightarrow X \quad (i \in 2) \\ \langle a \rangle x \mapsto (i/a)x$$

satisfying

(binding: $a \# (i/a)x$ — follows from the type of d_i)

degeneracy: $a \# x \Rightarrow (i/a)x = x$

independence: $a \neq b \Rightarrow (i/a)(j/b)x = (j/b)(i/a)x$

Cub = category of nominal sets equipped with face maps + functions preserving name-permutation action and face maps.

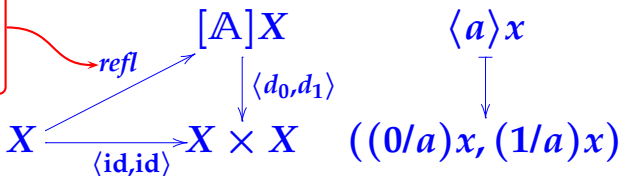
Theorem (Staton). **Cub** is equivalent to the presheaf category $[\mathbf{C}, \mathbf{Set}]$ originally used by Bezem, Coquand & Huber.

\mathbf{C} is [equivalent to] the category whose objects are finite ordinals and whose morphisms are given by:

$$\mathbf{C}(m, n) = \{f \in \mathbf{Set}(m + 2, n + 2) \mid f0 = 0 \wedge f1 = 1 \wedge \forall i, j > 1. f i = f j > 1 \Rightarrow i = j\}$$

Name abstractions $\langle a \rangle x$ as paths (proofs of identity) from $(0/a)x$ to $(1/a)x$:

degenerate path
 $refl\ x = \langle a \rangle x$
 for some/any
 $a \# x$



Can these be the formation and introduction for an (intensional) identity type Id_X for cubical set X ?

Name abstractions $\langle a \rangle x$ as paths (proofs of identity) from $(0/a)x$ to $(1/a)x$:

$$\begin{array}{ccc}
 & [A]X & \langle a \rangle x \\
 & \swarrow \text{refl} & \downarrow \\
 X & \xrightarrow{\langle \text{id}, \text{id} \rangle} X \times X & \downarrow \\
 & & ((0/a)x, (1/a)x)
 \end{array}$$

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Bezen-Coquand-Huber: yes (albeit with propositional eliminator), if we take the 'fibrant' families to be given by cubical sets satisfying a **uniform Kan filling condition**

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 & & \downarrow \langle a \rangle x
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Why use Kan-**Cub** rather than Kan-**[C, Set]**?

Variations on Kan filling? 'Nominal' simplicial sets?

Type Theory with names, freshness and name-abstraction

(joint work with Justus Matthiesen)

Families of nominal sets

Family over $X \in \mathbf{Nom}$ is specified by...

Get a **category with families** (cwf) [Dybjer] modelling extensional MLTT, plus

nominal logic's freshness quantifier	Curry- Howard	dependent name-abstraction
$\forall a. \varphi(a, \vec{x})$	\longleftrightarrow	$[a \in A]E_a$

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nominal logic's freshness quantifier	Curry-Howard	dependent name-abstraction
$\forall a. \varphi(a, \vec{x})$	\longleftrightarrow	$[a \in A]E_a$

$= \exists a \# \vec{x}. \varphi(a, \vec{x})$
 $= \forall a \# \vec{x}. \varphi(a, \vec{x})$
'some/any fresh a '

Original motivation for Gabbay & AMP to introduce nominal sets and name abstraction:

$[A](_)$ can be combined with \times and $+$ to give functors $\mathbf{Nom} \rightarrow \mathbf{Nom}$ that have **initial algebras coinciding with sets of abstract syntax trees modulo α -equivalence.**

E.g. the initial algebra for $A + (_ \times _) + [A](_)$ is isomorphic to the usual set of untyped λ -terms.

Original motivation for Gabbay & AMP to introduce nominal sets and name abstraction. . .

Initial-algebra universal property \Rightarrow recursion/induction principles for syntax involving name-binding operations
[see JACM 53(2006)459-506].

- ▶ Exploited in impure functional programming language FreshML [Shinwell, Gabbay & AMP] – recursion only.
- ▶ Pure total (recursive) functions and proof (by induction): how to solve the analogy:

$$\frac{\text{Coq}}{\text{OCaml}} \sim \frac{\text{Agda}}{\text{Haskell}} \sim \frac{?}{\text{FreshML}}$$

Requirements for 'FreshAgda'

- ▶ User-declared sorts of names (possibly with parameters) + user-defined inductive types, with name-abstraction types used to indicate binding constructs. E.g.

```
names Var : Set
data Term : Set where
  V : Var -> Term
  A : Term -> Term -> Term
  L : ([Var]Term) -> Term
data Fresh(X : Set)(x : X) : Var -> Set where
  fr : [a : Var](Fresh X x a)
```

Requirements for 'FreshAgda'

- ▶ User-declared sorts of names (possibly with parameters) + user-defined inductive types, with name-abstraction types used to indicate binding constructs. E.g. set of λ -terms mod α

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set of proofs that a is fresh for x:X

Requirements for 'FreshAgda'

- ▶ User-declared sorts of names (possibly with parameters) + user-defined inductive types, with name-abstraction types used to indicate binding constructs.
- ▶ Extend (dependent) pattern-matching with name-abstraction patterns. E.g.

```
_/_ : Term -> Var -> Term -> Term
(t/x) (V y)      = if x == y then t else V y
(t/x) (A t1 t2)  = A ((t/x)t1) ((t/x)t2)
(t/x) (L <x>t1)  = L <x>((t/x)t1)
```

capture-avoiding substitution of t for x in t_1

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(t/x)(L <x>t1)  = L <x>((t/x)t1)
```

- ▶ Automatically respect α -equivalence:

FreshML uses impure generativity to ensure this.
How to do it while maintaining Curry-Howard?

Fact: name abstraction functor

$$[A](-) : \mathbf{Nom} \rightarrow \mathbf{Nom}$$

is right adjoint to 'separated product' functor

$$(-) * A : \mathbf{Nom} \rightarrow \mathbf{Nom}$$

where $X * A \triangleq \{(x, a) \mid a \# x\} \subseteq X \times A$.

so $[A]X$ is a kind of (affine) function space
(with a right adjoint!)

$$[A](_) : \mathbf{Nom} \rightarrow \mathbf{Nom}$$

is **right adjoint** to 'separated product' functor

$$(_) * A : \mathbf{Nom} \rightarrow \mathbf{Nom}$$

Counit of the adjunction is 'concretion' of an abstraction

$$_ @ _ : ([A]X) * A \rightarrow X$$

defined by computation rule:

$$(\langle a \rangle x) @ b = (b a) \cdot x, \text{ if } b \# \langle a \rangle x$$

Locally fresh names

For example, here are some isomorphisms, described in an informal pseudocode:

$$\begin{aligned} i : [A](X + Y) &\cong [A]X + [A]Y \\ i(z) &= \text{fresh } a \text{ in case } z @ a \text{ of} \\ &\quad \text{inl}(x) \rightarrow \langle a \rangle x \\ &\quad | \text{inr}(y) \rightarrow \langle a \rangle y \end{aligned}$$

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$$\begin{array}{l} \text{inl}(x) \rightarrow \langle a \rangle x \\ | \text{inr}(y) \rightarrow \langle a \rangle y \end{array}$$

given $f \in \text{Nom}(X * \mathbb{A}, Y)$
satisfying $a \# x \Rightarrow a \# f(x, a)$,
we get $\hat{f} \in \text{Nom}(X, Y)$ well-defined by:
 $\hat{f}(x) = f(x, a)$ for some/any $a \# x$.
Notation: $\text{fresh } a \text{ in } f(x, a) \triangleq \hat{f}(x)$

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$$\begin{aligned} j : ([\mathbb{A}]X \rightarrow [\mathbb{A}]Y) &\cong [\mathbb{A}](X \rightarrow Y) \\ j(f) &= \text{fresh } a \text{ in} \\ &\quad \langle a \rangle (\lambda x. f(\langle a \rangle x) @ a) \end{aligned}$$

Can one turn the pseudocode into terms in a formal 'nominal' λ -calculus?

Aim: extend (dependently typed) λ -calculus with

names a

name swapping $\text{swap } a, b \text{ in } t$

name abstraction $\langle a \rangle t$ and concretion $t @ a$

locally fresh names $\text{fresh } a \text{ in } t$

name equality $\text{if } t = a \text{ then } t_1 \text{ else } t_2$

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Prior art:

- ▶ Stark-Schöpp [CSL 2004] – bunched contexts (+), extensional & undecidable (-)
- ▶ Westbrook-Stump-Austin [LFMTP 2009] CNIC – semantics/expressivity?
- ▶ Cheney [LMCS 2012] DNTP – bunched contexts (+), no local fresh names (-)
- ▶ Crole-Nebel [MFPS 2013] – simple types (-), definitional freshness (+)

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We cherry pick, aiming for user-friendliness.

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name equality $\text{if } t = a \text{ then } t_1 \text{ else } t_2$

Difficulty: concretion and locally fresh names are partially defined – have to check **freshness conditions**.

e.g. for $\text{fresh } a \text{ in } f(x, a)$
to be well-defined, we need

$$a \# x \Rightarrow a \# f(x, a)$$

Definitional freshness

In a nominal set of (higher-order) functions, proving $a \# f$ can be tricky (undecidable). Common proof pattern:

Given a, f, \dots , pick a fresh name b and prove $(a \ b) \cdot f = f$. (For functions, equivalent to proving $\forall x. (a \ b) \cdot f(x) = f((a \ b) \cdot x)$.)

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Since by choice of b we have $b \# f$, we also get $a = (a \ b) \cdot b \# (a \ b) \cdot f = f$, QED.

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$$\frac{\Gamma \vdash a \# T \quad \Gamma \vdash t : T}{\Gamma \# (b : \mathbb{A}) \vdash (\text{swap } a, b \text{ in } t) = t : T} \quad \Gamma \vdash a \# t : T$$

bunched contexts, generated by

$$\Gamma \mapsto \Gamma(x : T)$$

$$\Gamma \mapsto \Gamma \# (a : \mathbb{A})$$

definitional
freshness

definitional
equality

Definitional freshness

In a nominal set of (higher-order) functions, proving $a \# f$ can be tricky (undecidable). Common proof pattern:

$$\frac{\Gamma \vdash a \# T \quad \Gamma \vdash t : T}{\Gamma \# (b : \mathbb{A}) \vdash (\text{swap } a, b \text{ in } t) = t : T} \Gamma \vdash a \# t : T$$

Freshness info in bunched contexts gets used via:

$$\frac{\Gamma(x : T)\Gamma' \text{ ok} \quad a, b \in \Gamma'}{\Gamma(x : T)\Gamma' \vdash (\text{swap } a, b \text{ in } x) = x : T}$$

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definitional freshness for types:

$$\frac{\Gamma \vdash T \quad a \in \Gamma}{\Gamma \# (b : A) \vdash (\text{swap } a, b \text{ in } T) = T} \Gamma \vdash a \# T$$

A type theory

OMITTED

$\boxed{\Gamma \vdash}$

$$\frac{\Gamma \vdash \quad a \notin \text{dom} \Gamma}{\Gamma(\#a) \vdash} \text{ (ATM-INTV)}$$

$\boxed{\Gamma \vdash T}$

$$\frac{\Gamma \vdash \text{Atm}}{\Gamma \vdash \text{Atm}} \text{ (ATM-FORM)} \quad \frac{\Gamma(\#a) \vdash T}{\Gamma \vdash (\#a) \rightarrow T} \text{ (ABS-FORM)} \quad \frac{\Gamma(\#a) \vdash a \# \top}{\Gamma \vdash \cdot} \text{ (ABS-FRESH-2)}$$

$\boxed{\Gamma \vdash a \# T}$

$$\frac{a \in \text{dom} \Gamma \quad \Gamma \vdash T \quad \Gamma(\#a') \vdash \cdot}{\Gamma \vdash a \# T} \text{ (ATM-STRENGTHEN)}$$

$\boxed{\Gamma \vdash T = T'}$

$$\frac{\cdot}{\Gamma \vdash T = T'} \text{ (INTRO)}$$

$\boxed{\Gamma \vdash}$

$$\frac{\cdot, e : T}{\text{var. } e : \text{va. } T} \text{ (LOCAL-INTRO)} \quad \frac{\Gamma \vdash a' \# (e : \#a) \rightarrow T}{\Gamma \vdash e \# a' : \text{va. } (a a') * T} \text{ (ABS-ELIM)}$$

$\frac{\cdot, (\#a) \Gamma' \vdash e = e' : T}{e' : T} \text{ (ATM-STRENGTHEN)}$

$\frac{\cdot, \Gamma' \vdash \quad a, a' \in \text{dom} \Gamma'}{\Gamma(x : T) \Gamma' \vdash (a a') * x = x : T} \text{ (SWAP-FRESH-VAR)}$

$\frac{a \in \text{dom}_A \Gamma \quad \Gamma \vdash e_1 : T \quad \Gamma \vdash e_2 : T}{\Gamma \vdash (\text{if } a = a \text{ then } e_1 \text{ else } e_2) = e_1 : T} \text{ (IF-COMP-1)}$

$\frac{\Gamma \vdash a \# (e : \text{Atm}) \quad \Gamma \vdash e_1 : T \quad \Gamma \vdash e_2 : T}{\Gamma \vdash (\text{if } e = a \text{ then } e_1 \text{ else } e_2) = e_2 : T} \text{ (IF-COMP-2)}$

$\frac{\Gamma(\#a) \vdash a \# (e : T) \quad \Gamma(\#a) \Gamma' \vdash \cdot}{\Gamma(\#a) \Gamma' \vdash \text{va. } e = e : T} \text{ (LOCAL-COMP-2)}$

$\frac{\Gamma(\#a) \vdash e : T \quad \Gamma(\#a) \vdash a' \# (e : T) \quad a \neq a'}{\Gamma \vdash (a(\#a) \rightarrow e) \# a' = \text{va. } (a a') * e : \text{va. } (a a') * T} \text{ (ABS-COMP)}$

$\frac{\Gamma \vdash e : (\#a) \rightarrow T}{\Gamma \vdash e = a(\#a) \rightarrow (e \# a) : (\#a) \rightarrow T} \text{ (ABS-UNIQ)}$

To do

- ▶ Decidability of typing & definitional equality judgements (normal forms and algorithmic version of the type system).
- ▶ Inductively defined types involving $[a : A](_)$ (e.g. propositional freshness & nominal logic).
- ▶ Dependently typed pattern-matching with name-abstraction patterns.
- ▶ Implementation.

Conclusions

1. **Nom** vs **Sch**, **Cub** vs **[C, Set]**: names are convenient! (because unlike indexes, they survive weakening).
2. Possibility of a 'nominal' treatment of dimensions in higher-dimensional type theory & category theory seems intriguing: e.g. what are ∞ -groupoids when $\infty =$ finitely inexhaustible?
3. Nominal sets notion of implicit dependence does not sit easily with explicit functional dependence in type theory. (Permutations are mathematically pleasant, but not computationally pleasant?)