LSFA 2014

Dependent Type Theory with Abstractable Names

Andrew Pitts





A version of Martin-Löf Type Theory enriched with constructs for freshness and name-abstraction

from the theory of nominal sets.

Motivation:

Machine-assisted construction of humanly understandable formal proofs about software (PL semantics).

Plan

A version of Martin-Löf Type Theory enriched with constructs for freshness and name-abstraction

from the theory of nominal sets.

- Nominal sets
- Motivation for a 'nominal' MLTT
- Prior art
- Definitional freshness

Freshness

What is a <u>fresh</u> name?

Possible definition: name *a* is fresh if it is not 'stale': *a* is not equal to any name in the current (finite) set of used names (and we extend that set with *a*)

What is a <u>fresh</u> name?

Possible definition: name *a* is fresh if it is not 'stale': *a* is not equal to any name in the current (finite) set of used names (and we extend that set with *a*)

 need to be able to test names for equality – that is the only attribute we assume names have (atomic names)

What is a <u>fresh</u> name?

Possible definition: name *a* is fresh if it is not 'stale': *a* is not equal to any name in the current (finite) set of used names (and we extend that set with *a*)

- need to be able to test names for equality that is the only attribute we assume names have (atomic names)
- freshness has a modal character suggests using Kripke semantics, with 'possible worlds' as follows...

 $\mathbb{I} = \text{category of finite ordinals} \\ n = \{0, 1, \dots, n-1\} \\ \text{and injective functions} \\ \mathbb{U} \in [\mathbb{I}, \text{Set}]$

I = category of finite ordinals $n = \{0, 1, \dots, n-1\}$ and injective functions

 $U \in [I, Set]$

[I, Set] = (covariant) presheaf category:set-valued functors X & natural transformations.X n = set of objects (of some type)possibly involving n distinct names $I = \text{category of finite ordinals} \\ n = \{0, 1, \dots, n-1\} \\ \text{and injective functions} \end{cases}$

generic decidable object U = inclusion functor: $U n = \{0, 1, \dots, n-1\}$

$$ightarrow U \in [\mathbb{I}, \operatorname{Set}]$$

[I, Set] = (covariant) presheaf category:set-valued functors X & natural transformations.X n = set of objects (of some type)possibly involving n distinct names

Generic decidable object

U is a 'decidable' object of the presheaf topos [**I**, **Set**] diagonal subobject $\mathbf{U} \rightarrow \mathbf{U} \times \mathbf{U}$ has a boolean complement $\neq \rightarrow \mathbf{U} \times \mathbf{U}$

Generic decidable object

U is a 'decidable' object of the presheaf topos [**I**, **Set**]

 $a =_{U} b \land a \neq b \Rightarrow$ false true $\Rightarrow a =_{U} b \lor a \neq b$

Generic infinite decidable object

U is a 'decidable' object of the presheaf topos [**I**, **Set**]

 $a =_{U} b \land a \neq b \Rightarrow$ false true $\Rightarrow a =_{U} b \lor a \neq b$

but it does not satisfy 'finite inexhaustibility'

 $\wedge_{0 \leq i < j \leq n} a_i \neq a_j \Rightarrow \bigvee_{b: \mathbf{U}} \wedge_{0 \leq i \leq n} b \neq a_i$

which we need to model freshness.

Generic infinite decidable object

U is a 'decidable' object of the presheaf topos [**I**, **Set**]

 $a =_{U} b \land a \neq b \Rightarrow$ false true $\Rightarrow a =_{U} b \lor a \neq b$

but it does not satisfy 'finite inexhaustibility'

 $\wedge_{0 \leq i < j \leq n} a_i \neq a_j \Rightarrow \bigvee_{b: \mathbf{U}} \wedge_{0 \leq i \leq n} b \neq a_i$

FACT: we get this form of infinity (in a geometrically generic way) if we cut down to the Schanuel topos:

Sch \subseteq [I, Set] is the full subcategory consisting of functors I \rightarrow Set that preserve pullbacks

From Sch to Nom

The category of nominal sets **Nom** is 'merely' an equivalent presentation of the category **Sch**:

An analogy:

Nom	named bound variables
Sch	de Bruijn indexes (levels)

Step 1: fix a countably infinite set \mathbb{A} (of atomic names) and modify **Sch** up to equivalence by replacing \mathbb{I} by the equivalent category whose objects are finite subsets $I \in \mathbb{P}_{\text{fin}} \mathbb{A}$ and whose morphisms are injective functions.

From Sch to Nom

The category of nominal sets **Nom** is 'merely' an equivalent presentation of the category **Sch**:

Step 2: make the dependence of each $X \in \mathbf{Sch}$ on 'possible worlds' $A \in \mathbf{P_{fin}} \mathbb{A}$ implicit by taking the colimit \tilde{X} of the directed system of sets and (injective) functions

 $A \subseteq B \in \mathbf{P}_{\mathrm{fin}} \mathbb{A} \mapsto (X A \to X B)$

Each set \tilde{X} carries an action of \mathbb{A} -permutations

(cf. homogeneity property (Fraïssé limit)

$$\begin{array}{c} \mathbb{A} - \stackrel{\cong}{-} \\ \uparrow \\ A \\ f \\ \end{array} \begin{array}{c} \mathbb{A} \\ f \\ B \end{array} \right)$$

From Sch to Nom

The category of nominal sets **Nom** is 'merely' an equivalent presentation of the category **Sch**:

Step 2: make the dependence of each $X \in \mathbf{Sch}$ on 'possible worlds' $A \in \mathbf{P_{fin}} \mathbb{A}$ implicit by taking the colimit \tilde{X} of the directed system of sets and (injective) functions

 $A \subseteq B \in \mathbf{P}_{\mathrm{fin}} \mathbb{A} \mapsto (X A \to X B)$

Each set \tilde{X} carries an action of A-permutations with finite support property, and every such arises this way up to iso.

Suppose Perm \mathbb{A} (= group of all (finite) permutations of \mathbb{A}) acts on a set X and that $x \in X$.

Suppose **Perm** \mathbb{A} (= group of all (finite) permutations of \mathbb{A}) acts on a set X and that $x \in X$.

A set of names $A \subseteq A$ supports x if permutations π that fix every $a \in A$ also fix x (i.e. $\pi \cdot x = x$).

X is a nominal set if every $x \in X$ has a <u>finite</u> support.

Suppose **Perm** \mathbb{A} (= group of all (finite) permutations of \mathbb{A}) acts on a set X and that $x \in X$.

A set of names $A \subseteq \mathbb{A}$ supports x if permutations π that fix every $a \in A$ also fix x (i.e. $\pi \cdot x = x$).

X is a nominal set if every $x \in X$ has a <u>finite</u> support.

Nom = category of nominal sets and functions that preserve the permutation action $(f(\pi \cdot x) = \pi \cdot (fx))$.

FACT: Nom and Sch are equivalent categories.

Within **Nom**, objects are 'set-like' and the modal character of freshness becomes implicit...

Suppose **Perm** \mathbb{A} (= group of all (finite) permutations of \mathbb{A}) acts on a set X and that $x \in X$.

A set of names $A \subseteq \mathbb{A}$ supports x if permutations π that fix every $a \in A$ also fix x (i.e. $\pi \cdot x = x$).

X is a nominal set if every $x \in X$ has a <u>finite</u> support.

Freshness, nominally, is a binary relation

 $a \ \# x \triangleq a \ \notin A$ for some finite A supporting x.

Suppose **Perm** \mathbb{A} (= group of all (finite) permutations of \mathbb{A}) acts on a set X and that $x \in X$.

A set of names $A \subseteq \mathbb{A}$ supports x if permutations π that fix every $a \in A$ also fix x (i.e. $\pi \cdot x = x$).

X is a nominal set if every $x \in X$ has a <u>finite</u> support.

Freshness, nominally, is a binary relation

 $a \# x \triangleq a \notin A$ for some finite A supporting x.

satisfying $\forall x. \exists a. a \# x$ (not Skolemizable!)

Name abstraction

Name abstraction

Each $X \in \mathbf{Nom}$ yields a nominal set [A]X of

name-abstractions $\langle a \rangle x$ are \sim -equivalence classes of pairs $(a, x) \in \mathbb{A} \times X$, where

 $(a, x) \sim (a', x') \Leftrightarrow \exists b \# (a, x, a', x')$ $(b a) \cdot x = (b a') \cdot x'$ generalizes α -equivalence from sets of syntax to arbitrary nominal sets
the permutation that swaps a and b

Name abstraction

Each $X \in \mathbf{Nom}$ yields a nominal set [A]X of

name-abstractions $\langle a \rangle x$ are \sim -equivalence classes of pairs $(a, x) \in \mathbb{A} \times X$, where

$$(a,x) \sim (a',x') \Leftrightarrow \exists b \# (a,x,a',x') (b a) \cdot x = (b a') \cdot x'$$

Action of name permutations on [A]X is well-defined by

 $\pi \cdot \langle a \rangle x = \langle \pi \, a \rangle (\pi \cdot x)$

and for this action, $A - \{a\}$ supports $\langle a \rangle x$ if A supports x.

Fact: name abstraction functor

$[\mathbb{A}](_): Nom \to Nom$

is right adjoint to 'separated product' functor

$$(_) * \mathbb{A} : \mathsf{Nom} \to \mathsf{Nom}$$

where
$$X * \mathbb{A} \triangleq \{(x, a) \mid a \# x\} \subseteq X \times \mathbb{A}$$
.

so [A]X is a kind of (affine) function space (with a right adjoint!)

is right adjoint to 'separated product' functor

 $(_) * \mathbb{A} : Nom \rightarrow Nom$

 $[\mathbb{A}](_): Nom \to Nom$

Co-unit of the adjunction is 'concretion' of an abstraction

$$_@_:([\mathbb{A}]X) * \mathbb{A} \to X$$

defined by computation rule:

 $(\langle a \rangle x) @ b = (b a) \cdot x$, if $b \# \langle a \rangle x$

If you want to know more about nominal sets...



Names and Symmetry in Computer Science

Andrew M. Ten

Nominal Sets

Names and Symmetry in Computer Science

Cambridge Tracts in Theoretical Computer Science, Vol. 57 (CUP, 2013)

Nom and dependent types

Families of nominal sets

A family over $X \in Nom$ is specified by:

- X-indexed family of sets $(E_x \mid x \in X)$
- dependently type permutation action

 $\prod_{\pi\in\operatorname{Perm}} \operatorname{A} \prod_{x\in X} (E_x \to E_{\pi\cdot x})$

with dependent version of finite support property:

for all $x \in X, e \in E_x$ there is a finite set *A* of names supporting *x* in *X* and such that any π fixing each $a \in A$ satisfies $\pi \cdot e = e$ $\bigcap_{x \to x} = E_x$

Families of nominal sets

A family over $X \in Nom$ is specified by...

Get a category with families (CwF) [Dybjer] modelling extensional MLTT...

This CwF is relatively unexplored, so far [Schöpp's PhD, mainly]. What's it good for?

I'm interested in two applications:

- meta-programming/proving with name-binding structures [this talk]
- higher-dimensional type theory (HoTT) [not this talk]

Type Theory with names, freshness and name-abstraction (joint work with Justus Matthiesen) Original motivation for Gabbay & AMP to introduce nominal sets and name abstraction:

 $[\mathbb{A}](_)$ can be combined with \times and + to give functors $\mathbb{Nom} \to \mathbb{Nom}$ that have initial algebras coinciding with sets of abstract syntax trees modulo α -equivalence.

E.g. the initial algebra for $\mathbb{A} + (\underline{\times}) + [\mathbb{A}](\underline{)}$ is isomorphic to the usual set of untyped λ -terms.

Original motivation for Gabbay & AMP to introduce nominal sets and name abstraction...

Initial-algebra universal property \Rightarrow recursion/induction principles for syntax involving name-binding operations [see JACM 53(2006)459-506].

- Exploited in impure functional programming language FreshML [Shinwell, Gabbay & AMP] – recursion only.
- Pure total (recursive) functions and proof (by induction): how to solve the analogy:

$$\frac{\text{Coq}}{\text{OCaml}} \sim \frac{\text{Agda}}{\text{Haskell}} \sim \frac{?}{\text{FreshML}}$$

 User-declared sorts of names (possibly with parameters) + user-defined inductive types, with name-abstraction types used to indicate binding constructs. E.g.

```
names Var:Set
data Term:Set where
V:Var -> Term
A:Term -> Term -> Term
L:([Var]Term) -> Term
data Fresh(X:Set)(x:X):Var -> Set where
fr:[a:Var](Fresh X x a)
```

User-declared sorts of names (possibly with parameters) + user-defined inductive types, withname-abstraction types used to indicate binding constructs. E,g. set of λ -terms mod α names Var: Set data Term: Set where V: Var -> Term A: Term -> Term -> Term L: ([Var]Term) -> Term data Fresh(X:Set)(x:X): Var -> Set where fr: [a:Var] (Fresh X x a)

set of proofs that a is fresh for x: X

Families of nominal sets

A family over $X \in Nom$ is specified by...

Get a category with families (CwF) [Dybjer] modelling extensional MLTT, plus

nominal logic's Curry- dependent freshness quantifier Howard name-abstraction $\forall a. \varphi(a, \vec{x}) \longleftrightarrow [a \in A]E_a$

Families of nominal sets

A family over $X \in Nom$ is specified by...

Get a category with families (CwF) [Dybjer] modelling extensional MLTT, plus



 User-declared sorts of names (possibly with parameters) + user-defined inductive types, with name-abstraction types used to indicate binding constructs. E.g.

```
names Var:Set
data Term:Set where
V:Var -> Term
A:Term -> Term -> Term
L:([Var]Term) -> Term
data Fresh(X:Set)(x:X): Var -> Set where
fr:[a:Var](Fresh X x a)
```

Do inductive definitions with constructor arities like this make sense?

- User-declared sorts of names (possibly with parameters) + user-defined inductive types, with name-abstraction types used to indicate binding constructs.
- Extend (dependent) pattern-matching with name-abstraction patterns. E.g.

 $_/_: Term \rightarrow Var \rightarrow Term \rightarrow Term$ (t/x)(V y) = if x == y then t else V y(t/x)(A t1 t2) = A ((t/x)t1) ((t/x)t2)(t/x)(L <x>t1) = L <x>((t/x)t1)

capture-avoiding substitution of t for x in t1

- User-declared sorts of names (possibly with parameters) + user-defined inductive types, with name-abstraction types used to indicate binding constructs.
- Extend (dependent) pattern-matching with name-abstraction patterns

/ : Term -> Var -> Term -> Term (t/x)(V y) = if x == y then t else V y (t/x)(A t1 t2) = A ((t/x)t1) ((t/x)t2) (t/x)(L <x>t1) = L <x>((t/x)t1)

that automatically respect α -equivalence:

FreshML uses impure generativity to ensure this. How to do it while maintaining Curry-Howard?

Locally fresh names

For example, here are some isomorphisms, described in an informal pseudocode:

$$egin{aligned} i: [\mathbb{A}](X+Y) &\cong & [\mathbb{A}]X+[\mathbb{A}]Y\ i(z) &= & ext{fresh } a ext{ in case } z @ a ext{ of } \ & ext{inl}(x) o \langle a
angle x\ & ext{ | inr}(y) o \langle a
angle y \end{aligned}$$

Locally fresh names

For example, here are some isomorphisms, described in an informal pseudocode:

$$i: [\mathbb{A}](X + Y) \cong [\mathbb{A}]X + [\mathbb{A}]Y$$

$$i(z) = \text{fresh } a \text{ in case } z @ a \text{ of}$$

$$inl(x) \rightarrow \langle a \rangle x$$

$$| inr(y) \rightarrow \langle a \rangle y$$

$$given f \in Nom(X * \mathbb{A}, Y)$$

$$satisfying a \# x \Rightarrow a \# f(x, a),$$
we get $\hat{f} \in Nom(X, Y)$ well-defined by:

$$\hat{f}(x) = f(x, a) \text{ for some/any } a \# x.$$
Notation: fresh a in $f(x, a) \triangleq \hat{f}(x)$

Locally fresh names

For example, here are some isomorphisms, described in an informal pseudocode:

$$i: [\mathbb{A}](X + Y) \cong [\mathbb{A}]X + [\mathbb{A}]Y$$
$$i(z) = \text{ fresh } a \text{ in case } z @ a \text{ of } \\inl(x) \to \langle a \rangle x$$
$$| inr(y) \to \langle a \rangle y$$
$$: ([\mathbb{A}]X \to [\mathbb{A}]Y) \cong [\mathbb{A}](X \to Y)$$
$$j(f) = \text{ fresh } a \text{ in } \\\langle a \rangle (\lambda x. f(\langle a \rangle x) @ a)$$

Can one turn the pseudocode into terms in a formal 'nominal' λ -calculus?



A version of Martin-Löf Type Theory enriched with constructs for freshness and name-abstraction

from the theory of nominal sets.

Motivation:

Machine-assisted construction of humanly understandable formal proofs about software (PL semantics).

Aim

More specifically: extend (dependently typed) λ -calculus with

names aname swapping swap a, b in tname abstraction $\langle a \rangle t$ and concretion t @ alocally fresh names fresh a in tname equality if t = a then t_1 else t_2

Prior art

Stark-Schöpp [CSL 2004]

bunched contexts (+), extensional & undecidable (-)

- Westbrook-Stump-Austin [LFMTP 2009] CNIC semantics/expressivity?
- Cheney [LMCS 2012] DNTT

bunched contexts (+), no local fresh names (-)

Fairweather-Fernández-Szasz-Tasistro [2012]

based on nominal terms (+), explicit substitutions (–), first-order (\pm)

Crole-Nebel [MFPS 2013]

simple types (-), definitional freshness (+)

Prior art

Stark-Schöpp [CSL 2004]

bunched contexts (+), extensional & undecidable (-)

- Westbrook-Stump-Austin [LFMTP 2009] CNIC semantics/expressivity?
- ► Cheney [LMCS 2012] DNTT

bunched contexts (+), no local fresh names (-)

Fairweather-Fernández-Szasz-Tasistro [2012]

based on nominal terms (+), explicit substitutions (–), first-order (\pm)

Crole-Nebel [MFPS 2013]
 simple types (-), definitional freshness (+)

We cherry pick, aiming for user-friendliness.

Aim

More specifically: extend (dependently typed) λ -calculus with

```
names a
name swapping swap a, b in t
name abstraction \langle a \rangle t and concretion t @ a
locally fresh names fresh a in t
name equality if t = a then t_1 else t_2
```

Difficulty: concretion and locally fresh names are partially defined – have to check freshness conditions.

e.g. for fresh a in
$$f(x, a)$$

to be well-defined, we need
 $a \# x \Rightarrow a \# f(x, a)$

In a nominal set of (higher-order) functions, proving a # f can be tricky (undecidable). Common proof pattern:

Given a, f, ..., pick a fresh name b and prove $(a \ b) \cdot f = f$. (For functions, equivalent to proving $\forall x. (a \ b) \cdot f(x) = f((a \ b) \cdot x)$.)

In a nominal set of (higher-order) functions, proving a # f can be tricky (undecidable). Common proof pattern:

Given a, f, ..., pick a fresh name b and prove $(a \ b) \cdot f = f$. Since by choice of b we have b # f, we also get $a = (a \ b) \cdot b \# (a \ b) \cdot f = f$, QED.



$$\frac{\Gamma \vdash a \ \# T \qquad \Gamma \vdash t : T}{\Gamma \# (b : \mathbb{A}) \vdash (\text{swap } a, b \text{ in } t) = t : T}{\Gamma \vdash a \ \# t : T}$$

Freshness info in bunched contexts gets used via:

 $\frac{\Gamma(x:T)\Gamma' \text{ ok } a, b \in \Gamma'}{\Gamma(x:T)\Gamma' \vdash (\text{swap } a, b \text{ in } x) = x:T}$



A type theory

$\Gamma \vdash$	
$\frac{\Gamma \vdash a \not\in \operatorname{dom} \Gamma}{\Gamma(\psi a) \vdash} (\operatorname{atm-ipy})$	$\Gamma \vdash a \# (e:T)$
$\overline{\Gamma \vdash T}$	$\frac{\Gamma \vdash a \# T \Gamma \vdash e: T \Gamma(\# a') \vdash (a a') + e = e: T}{\Gamma \vdash a \# (e: T)} $ (DEF-FRESH-2)
$\frac{1 \vdash}{\Gamma \vdash \operatorname{Atm}} (\operatorname{atm-form}) \qquad \frac{1 (\operatorname{fr} a) \vdash T}{\Gamma \vdash (\operatorname{fr} a) \to T} (\operatorname{abs-form}) \qquad \frac{1 (\operatorname{fr} a) \vdash a \oplus T}{\Gamma \vdash \upsilon a. T} (\operatorname{local-form})$	$\Gamma \vdash e = e': T$
	$\frac{\Gamma\Gamma' \vdash e: T \Gamma\Gamma' \vdash e': T \Gamma(\# a)\Gamma' \vdash e = e': T}{\Gamma\Gamma' \vdash e = e': T} \text{ (ATM-STRENGTHEN)}$
$\frac{a \in \operatorname{dom} i i \vdash i i \notin a \not) \vdash (a \not a \not) \star i = i}{\Gamma \vdash a \# T} (\operatorname{dom} FRESH-1)$	$\frac{\Gamma(x:T)\Gamma' \vdash a, a' \in \text{dom} \Gamma'}{\Gamma(x,T)\Gamma' \vdash (a, c') = a, a' \in \text{dom} \Gamma'} (\text{swap-freigh-var})$
$[\Gamma \vdash T = T']$ $\Gamma(t, a) \vdash a \in T$ $\Gamma(t, a)[T' \vdash$	$a \in \operatorname{dom}_{A}\Gamma \Gamma \vdash e_1:T \Gamma \vdash e_2:T \dots$
$\frac{\Gamma(\mathbf{w},\mathbf{u}) \vdash \mathbf{w} + \Gamma}{\Gamma(\mathbf{w},\mathbf{n})\Gamma' \vdash \mathbf{v}, \mathbf{u} = T} \text{(local-comp)}$	$\Gamma \vdash (\text{if } a = a \text{ then } e_1 \text{ else } e_2) = e_1 : T \qquad (\text{IF-COMP-1})$
$\Gamma \vdash \sigma : T$	$\frac{\Gamma \vdash a \# (e : Atm) \qquad \Gamma \vdash e_1 : T \qquad \Gamma \vdash e_2 : T}{\Gamma \vdash (\text{if } e = a \text{ then } e_1 \text{ else } e_2) = e_2 : T} (\text{if-comp-2})$
$\frac{\Gamma(x:T)\Gamma \vdash \operatorname{supp} \pi \subseteq \operatorname{dom} \Gamma\Gamma}{\Gamma(x:T)\Gamma' \vdash \pi * x : \pi * T} \text{ (susp)} \qquad \frac{\Gamma \vdash a \in \operatorname{dom} \Gamma}{\Gamma \vdash a : \operatorname{Atm}} \text{ (atm-intro)}$	$\frac{\Gamma(\#a) \vdash a \#(e:T) \Gamma(\#a)\Gamma' \vdash}{\Gamma(\#a)\Gamma' \vdash a, e = e:T} (\text{local-comp-2})$
$ \begin{array}{l} \Gamma \vdash e: A trn a \in dom \Gamma \\ \Gamma \vdash e: T \Gamma \vdash e: T \Gamma \vdash e: time e a then e; eise e_2: T (D^{\pm} INTRO) \\ \hline \Gamma \vdash \forall e: a then e; eise e_2: T (D^{\pm} INTRO) \\ \end{array} $	$\frac{\Gamma(\#a) \vdash e: T \Gamma(\#a) \vdash a' \#(e:T) a \neq a'}{\Gamma \vdash (a(\#a) \sim e) \ 0 \ a' = va. (a \ a') * e: va. (a \ a') * T} (ABS-COMP)$
$\frac{\Gamma(\emptyset \ a) \vdash e : T}{\Gamma \vdash a(\emptyset \ a) \neg e : (\emptyset \ a) \neg T} (AB5-INTRO) \qquad \frac{\Gamma \vdash a' \ \emptyset \ (e : (\emptyset \ a) \neg T)}{\Gamma \vdash e \ \emptyset \ a' : va. (a \ a') * T} (AB5-ILIM)$	$\frac{\Gamma \vdash e: (\# a) \Rightarrow T}{\Gamma \vdash e = a (\# a) \Rightarrow (e \otimes a): (\# a) \Rightarrow T} (ABS-UNIQ)$

A type theory



.zF-FRESH-2)

To do

- Decidability of typing & definitional equality judgements: normal forms and algorithmic version of the type system and hence...
- ... an implementation.
- Dependently typed pattern-matching with name-abstraction patterns.
- Inductively defined types involving [a : A](_) (e.g. propositional freshness & nominal logic). Maybe definitional freshness is too weak (cf. experience with FreshML2000)?