

# Descriptive and Computational Complexity

Anuj Dawar  
University of Cambridge

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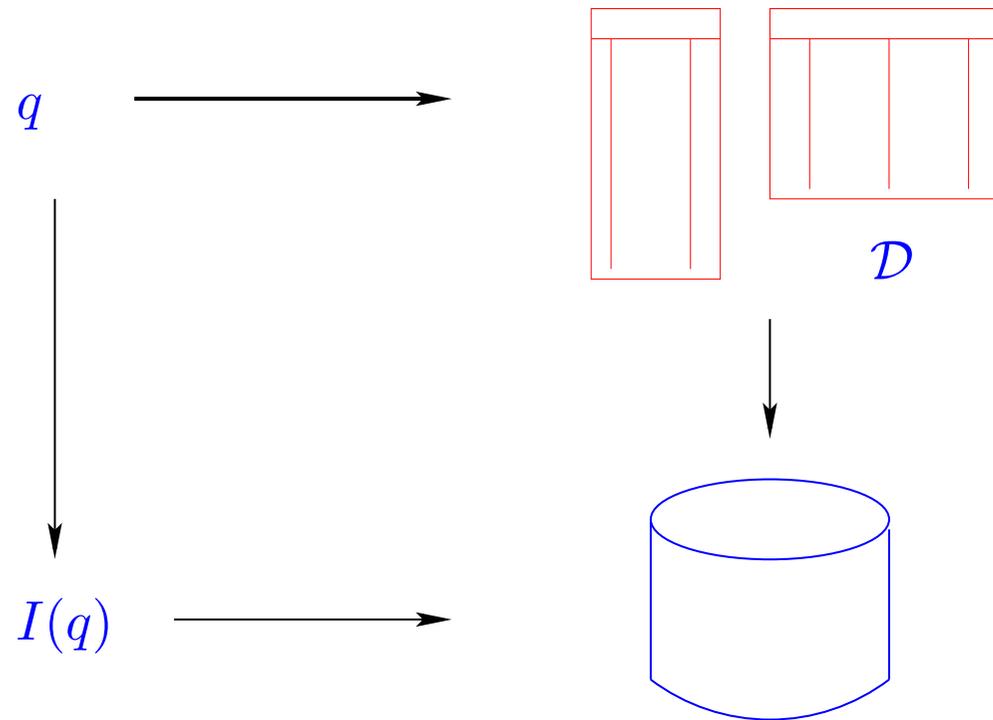
## Complexity and Database Theory

*Descriptive Complexity Theory* arises from questions in computational complexity and in database theory.

In 1974, **Fagin** showed that the collection of problems definable in *existential second-order logic* is exactly the problems in **NP**.

In 1980, **Chandra and Harel** asked whether there a database query language in which one can express exactly the *feasible, generic* queries.

## Generic Queries



A query  $q$  is *generic* if the answer to  $q$  depends only on the abstract view  $\mathcal{D}$

$q$  is *feasible* if its implementation  $I(q)$  runs in time polynomial in the size of  $\mathcal{D}$

## Descriptive vs. Computational Complexity

### *Computational Complexity:*

is concerned with measuring space, time or other resources on a machine model of computation.

usually defines complexity of a language - i.e. a set of strings

### *Descriptive Complexity:*

defines the complexity of classes of structures - *e.g.* a collection of graphs, or relations.

concerned with the complexity of describing the collection in a suitable language.

## Relational Databases

$Cinema = \{Movies[3], Location[3], Guide[3]\}$

Movies	Title	Director	Actor
	Volver	Almodovar	Cruz
	Volver	Almodovar	Maura
	Casino Royale	Campbell	Craig
	Casino Royale	Campbell	Green
	...		
	Rocky	Stallone	Stallone

Guide	Title	Cinema	Time
	Rocky	Vue	12:00
	Volver	Picturehouse	19:00
	...		
	Casino Royale	Cineworld	19:00
	Rocky	Cineworld	22:00

Location	Cinema	Address	Tel
	Picturehouse	Cambridge	504444
	Vue	Leicester	240240
	Cineworld	Cambridge	560225

## Relational Algebra

In relational algebra, queries are built up from

Base relations:  $R$

Singleton constant relations:  $\{\langle a \rangle\}$

using

select:  $\sigma_{j=a}(q)$  or  $\sigma_{j=k}(q)$

project:  $\pi_{j_1, \dots, j_k}(q)$

join:  $q_1 \bowtie q_2$

union:  $q_1 \cup q_2$

difference:  $q_1 - q_2$

## Relational Calculus

Codd in 1972 introduced the relational calculus (based on first-order logic) and equivalent to the relational algebra.

### *Conjunctive Queries:*

$$q(x, y) \leftarrow \text{Movies}(z_1, \text{"Almodovar"}, z_2), \text{Guide}(x, z_1, z_3), \text{Location}(x, y, z_4)$$

expresses the query

$$\{x, y \mid \exists z_1, \dots, z_4 \text{Movies}(z_1, \text{"Almodovar"}, z_2) \wedge \text{Guide}(x, z_1, z_3) \wedge \text{Location}(x, y, z_4)\}$$

Disjunction is expressed by *multiple rules*.

## First-Order Logic

Adding negation and universal quantification gives us the full-power of relational algebra, or equivalently, *first-order logic*.

**Note:** closed-world assumption.

From now on, we speak of finite relational structures:

$$\mathbb{A} = (A, R_1, \dots, R_m)$$

where  $A$  is a finite *domain* and each  $R_i$  is a relation on  $A$ .

And queries are given by formulas of predicate logic:

atomic formulas –  $R(t_1, \dots, t_m), t_1 = t_2$

Boolean operations –  $\varphi \wedge \psi, \varphi \vee \psi, \neg\varphi$

first-order quantifiers –  $\exists x\varphi, \forall x\varphi$

## Complexity of First-Order Logic

A query expressed by a first-order formula  $\varphi$  can be evaluated in time polynomial in the size of the structure  $A$ .

If  $\psi(x_1, \dots, x_k)$  is a sub-formula of  $\varphi$ ,

there are at most  $n^k$  tuples satisfying this formula.

where  $n$  is the number of elements in  $A$ .

In fact, it can be shown that the query can be computed in *logarithmic space*.

## Limitations of First-Order Logic

There are *polynomial-time computable* and *generic* queries that are not computable in first-order logic.

### *Evenness:*

Is the number of elements in  $A$  even?

### *Transitive Closure:*

In a structure  $(A, R)$  with a binary relation  $R$ , give the set of pairs  $(x, y)$  such that there is an  $R$ -path from  $x$  to  $y$ .

## Second-Order Quantifiers

*Existential Second-Order Quantification:*

$$\exists P_1 \dots \exists P_m \varphi$$

A structure  $\mathbb{A}$  satisfies  $\exists P \varphi$  if there is a relation  $R$  on the universe of  $\mathbb{A}$  such that  $(\mathbb{A}, R)$  satisfies  $\varphi$ .

ESO – existential second order logic

$$\text{ESO} \subseteq \text{NP}$$

An existential second order quantifier represents a polynomial amount of non-determinism.

## Examples

### *Evenness*

This formula is true in a structure if, and only if, the size of the domain is even.

$$\exists B \exists S \quad \forall x \exists y B(x, y) \wedge \forall x \forall y \forall z B(x, y) \wedge B(x, z) \rightarrow y = z$$

$$\forall x \forall y \forall z B(x, z) \wedge B(y, z) \rightarrow x = y$$

$$\forall x \forall y S(x) \wedge B(x, y) \rightarrow \neg S(y)$$

$$\forall x \forall y \neg S(x) \wedge B(x, y) \rightarrow S(y)$$

## Examples

### *Transitive Closure*

This formula is true of a pair of elements  $a, b$  in a structure if, and only if, there is an  $R$ -path from  $a$  to  $b$ .

$$\exists P \quad \forall x \forall y P(x, y) \rightarrow R(x, y)$$

$$\exists x P(a, x) \wedge \exists x P(x, b) \wedge \neg \exists x P(x, a) \wedge \neg \exists x P(b, x)$$

$$\forall x (x \neq a \wedge \exists y (P(x, y) \rightarrow \forall z (P(x, z) \rightarrow y = z)))$$

$$\forall x (x \neq b \wedge \exists y (P(y, x) \rightarrow \forall z (P(z, x) \rightarrow y = z)))$$

## Examples

### 3-Colourability

The following formula is true in a graph  $(V, E)$  if, and only if, it is 3-colourable.

$$\begin{aligned} \exists R \exists B \exists G \quad & \forall x (Rx \vee Bx \vee Gx) \wedge \\ & \forall x ( \neg(Rx \wedge Bx) \wedge \neg(Bx \wedge Gx) \wedge \neg(Rx \wedge Gx)) \wedge \\ & \forall x \forall y (Exy \rightarrow ( \neg(Rx \wedge Ry) \wedge \\ & \quad \neg(Bx \wedge By) \wedge \\ & \quad \neg(Gx \wedge Gy))) \end{aligned}$$

Note, this is an **NP-complete** problem and so unlikely to be computable in polynomial-time.

## Fagin's Theorem

Fagin proved that *every* problem that is in the complexity class **NP** is definable by a formula of **ESO**.

**NP** can be defined as the class of problems decidable by guessing a polynomial number of bits, and then running a polynomial-time verification algorithm

Fagin's theorem says that the verification phase can always be replaced by a first-order formula.

Chandra and Harel's question asks whether we can similarly characterise the class **P**.

## Recursion

We are looking for logical formalisms intermediate in expressive power between first-order and second-order logic.

One idea, considered by Chandra and Harel, is to add a recursion mechanism to first-order logic.

*Example:*

$$\begin{aligned} T(x, y) &\leftarrow R(x, y) \\ T(x, y) &\leftarrow R(x, z), T(z, y). \end{aligned}$$

This recursively defines a relation  $T$  that is the transitive closure of the relation  $R$ .

## LFP

More generally, we allow any first-order formula on the right-hand side of the rule:

$$S(\mathbf{x}) \leftarrow \varphi(S) \quad \text{where } \varphi \text{ is positive in the symbol } S.$$

This rule has a *least* solution for  $S$ , and this solution can be constructed in time polynomial in the size of the structure  $\mathbb{A}$ .

If we allow  $S$  to occur inside a negation symbol on the right, the rule may not have a solution (*viz.*  $S(x) \leftarrow \neg S(x)$ ).

LFP is the logic that is obtained by adding a recursion operator to first-order logic.

It can still not express *Evenness*.

## Counting

LFP + C is a logic formulated to add the ability to count to LFP.

A second *sort* of variables:  $\nu_1, \nu_2, \dots$  which range over *numbers* in the range

$$0, \dots, |A|$$

If  $\varphi(x)$  is a formula with free variable  $x$ , then  $\nu = \#x\varphi$  denotes that  $\nu$  is the number of elements of  $A$  that satisfy the formula  $\varphi$ .

We also have the order  $\nu_1 < \nu_2$ , which allows us (using recursion) to define arithmetic operations.

## Evenness

There are an even number of elements satisfying  $\varphi(x)$ .

$$\exists \nu_1 \exists \nu_2 (\nu_1 = [\#x\varphi] \wedge (\nu_2 + \nu_2 = \nu_1))$$

## Cai-Fürer-Immerman

Cai, Fürer and Immerman (1992) showed that  $LFP + C$  is not powerful enough to express all properties in  $P$ .

The proof involved a contrived construction of a class of graphs on which the graph isomorphism problem is solvable in polynomial time but not definable in  $LFP + C$ .

They conjectured that adding some “group-theoretic operators” may be a solution.

## Group-theoretic Operators

We (Atserias, Bulatov, D., 2007) have recently exhibited natural feasibly computable problems that are not definable in  $LFP + C$ .

- Solving linear equations over a finite field; or more simply
- Solving additive equations over a finite Abelian group.

These suggest natural operators that could be added to  $LFP + C$  to obtain a logic that can still only express feasibly computable properties.

## Linear Equations

Consider systems of equations (with three variables per equation), over the integers  $\text{mod } 2$ .

$$a_1 + a_2 + a_3 = 0$$

$$a_2 + a_3 + a_4 = 1$$

has the solution  $a_1 = a_2 = a_3 = 0, a_4 = 1$ .

This can be coded as a structure with domain  $\{a_1, \dots, a_n\}$  and ternary relations  $R_0$  and  $R_1$ , with:

$$(a_i, a_j, a_k) \in R_m \quad \text{iff} \quad a_i + a_j + a_k = m \text{ is an equation in the system}$$

There is no formula of  $\text{LFP} + \text{C}$  that defines the *solvable* systems of equations.

## Challenges

Prove that the extension of  $LFP + C$  with an operator for determining the *rank of a matrix* still does not express all properties in  $P$ .

Other operators have also been defined in the literature (*e.g.* symmetric choice). It remains an open problem to show that these don't capture all of  $P$ .

It's possible that  $P$  cannot be “generated from below” by a finite collection of operators. To prove this would also separate  $P$  from  $NP$ .