

7 Concurrent and Distributed Systems (RNW)

SimplisticFS is an in-memory filesystem, implemented as a directed and (mostly) acyclic graph in which nodes represent *directories* (which contain names and pointers to other nodes, including a special entry named ‘‘..’’ that points back to its parent node) or *files* (leaf nodes that contain data). The in-memory structure, with the exception of ‘‘..’’ entries in directories, is therefore a tree. *SimplisticFS* does not support hard links, and the ‘‘..’’ of the root node points back to itself. Path lookups start at the root node, and pathnames with multiple segments, separated by ‘‘/’’, are implemented as lookup operations on successive nodes. For example, opening ‘‘/foo/bar’’ will look up ‘‘foo’’ in the root, and then ‘‘bar’’ relative to the foo node.

Fine-grained locking adds a read-write lock to each node to ensure safe concurrent access. Operations for reading a directory entry (e.g., to list the contents or look up a child), or for reading file data will: acquire the node lock for read; perform the operation; and then release it. Operations for mutating a directory entry, (e.g., to add or remove a child node of a directory), or for modifying file data will: acquire its lock for write; perform the operation; and then release it. The lock implementation permits read recursion, write recursion, and race-free lock upgrades from read to write by threads.

- (a) For (i) files and (ii) directories, explain, giving examples, how using a read-write lock may improve performance compared to mutual exclusion. [4 marks]
- (b) The developers discover that compound operations, such as recursive path lookup, suffer from race conditions. They decide to adopt *strict two-phase locking* across compound operations to resolve this problem.
- (i) Define *strict 2-phase locking* and describe how to apply it. [4 marks]
- (ii) Explain why deadlock cannot occur prior to this change. [2 marks]
- (iii) The new strategy suffers deadlocks when files are removed under high load. Given that removing a file requires a write lock on its parent directory, give an example of how a deadlock might occur. [4 marks]
- (c) Moving from a “giant lock” to this finer-grained model focused on files and directories improves performance for some but not all workloads.
- (i) Describe and explain an example of a workload in which file-granularity locking is unlikely to eliminate lock contention. [2 marks]
- (ii) Propose a more granular locking strategy to improve parallelism with respect to (c)(i). Describe the potential performance benefit with respect to that workload, and additional overhead that might be incurred. [4 marks]