DENOTATIONAL SEMANTICS

Meven Lennon-Bertrand Lectures for Part II CST 2023/2024

- My mail: mgapb2@cam.ac.uk. Do not hesitate to ask questions!
- Course notes will be updated, keep an eye on the course webpage.

INTRODUCTION

• Formal methods: tools for the specification, development, analysis and verification of software and hardware systems.

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- Formal methods: tools for the specification, development, analysis and verification of software and hardware systems.
- Programming language theory: how to design, implement and reason about programming languages?
- Programming language semantics: what is the (mathematical) meaning of a program?

Goal: give an abstract and compositional (mathematical) model of programs.

• Insight: exposes the mathematical "essence" of programming language concepts.

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- Language design: feedback from semantic concepts (monads, algebraic effects & effect handlers...).
- **Rigour**: semantics is necessary to specify/justify formal methods (compilers, type systems, code analysis, certification...).

- \cdot Operational
- \cdot Axiomatic
- Denotational

- **Operational**: meaning of a program in terms of the *steps of computation* it takes during execution (see Part IB Semantics).
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- Axiomatic: indirect meaning of a program in terms of a *program logic* to reason about its properties (see Part II Hoare Logic & Model Checking).
- Denotational

- **Operational**: meaning of a program in terms of the *steps of computation* it takes during execution (see Part IB Semantics).
- Axiomatic: indirect meaning of a program in terms of a *program logic* to reason about its properties (see Part II Hoare Logic & Model Checking).
- **Denotational**: meaning of a program defined abstractly as object of some suitable *mathematical structure* (see this course).

Syntax
$$\xrightarrow{\llbracket-\rrbracket}$$
 Semantics
Program $P \mapsto$ Denotation $\llbracket P \rrbracket$

. . .

- Recursive program \mapsto Partial recursive function

 - Boolean circuit \mapsto Boolean function

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 Semantics
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- Recursive program \mapsto Partial recursive function
 - Boolean circuit \mapsto Boolean function
- - . . .
 - → Domain Type

Program → Continuous functions between domains

Abstraction

- mathematical object, implementation/machine independent;
- · captures the abstract essence of programming language concepts;
- should relate to practical implementations, though...

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Compositionality

- The denotation of a phrase is defined using the *denotation* of its sub-phrases.
- $\llbracket P \rrbracket$ represents the contribution of P to any program containing P.
- Much more flexible than whole-program semantics.

INTRODUCTION A BASIC EXAMPLE

Commands



Arithmetic expressions

 $A \in \mathbf{Aexp} ::= \underline{n} \mid L \mid A + A \mid \dots$

Commands

IMP SYNTAX





Arithmetic expressions

$$A \in \mathbf{Aexp} ::= \underline{n} \mid L \mid A + A \mid \dots$$

Boolean expressions

$$B \in \mathbf{Bexp} ::= \mathsf{true} \mid \mathsf{false} \mid A = A \mid \neg B \mid \dots$$

Commands

$$\mathcal{A}: \operatorname{Aexp} \to \mathbb{Z}$$

where

$$\mathbb{Z} = \{\dots, -1, 0, 1, \dots\}$$

where

$$\begin{array}{ll} \mathcal{A}: & \mathbf{Aexp} \to \mathbb{Z} \\ \mathcal{B}: & \mathbf{Bexp} \to \mathbb{B} \end{array}$$

$$\mathbb{Z} = \{..., -1, 0, 1, ...\}$$

 $\mathbb{B} = \{\text{true, false}\}$

$$\mathcal{A}[\![\underline{n}]\!] = n$$
$$\mathcal{A}[\![A_1 + A_2]\!] = \mathcal{A}[\![A_1]\!] + \mathcal{A}[\![A_2]\!]$$

$$\mathcal{A}[\underline{[n]}] = n$$
$$\mathcal{A}[A_1 + A_2]] = \mathcal{A}[A_1]] + \mathcal{A}[A_2]$$
$$\mathcal{A}[L]] = ???$$

DENOTATION FUNCTIONS

State =
$$(\mathbb{L} \to \mathbb{Z})$$

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$$\mathcal{A} : \mathbf{Aexp} \to (\mathsf{State} \to \mathbb{Z})$$
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$$\mathcal{A} : \mathbf{Aexp} \to (\mathsf{State} \to \mathbb{Z})$$
$$\mathcal{B} : \mathbf{Bexp} \to (\mathsf{State} \to \mathbb{B})$$
$$\mathcal{C} : \mathbf{Comm} \to (\mathsf{State} \to \mathsf{State})$$

where \rightarrow denotes partial functions and

$$\mathbb{Z} = \{\dots, -1, 0, 1, \dots\}$$
$$\mathbb{B} = \{\text{true, false}\}.$$

$$\mathcal{A}[\underline{n}] = \lambda s \in \text{State. } n$$
$$\mathcal{A}[A_1 + A_2] = \lambda s \in \text{State. } \mathcal{A}[A_1](s) + \mathcal{A}[A_2](s)$$

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$$\mathcal{A}[L] = \lambda s \in \text{State. } s(L)$$

$$\mathcal{B}[[\mathsf{true}]] = \lambda s \in \text{State. true}$$

$$\mathcal{B}[[\mathsf{false}]] = \lambda s \in \text{State. false}$$

$$\mathcal{B}[[A_1 = A_2]] = \lambda s \in \text{State. eq} \left(\mathcal{A}[[A_1]](s), \mathcal{A}[[A_2]](s)\right)$$
where eq(a, a') =

$$\begin{cases} \text{true} & \text{if } a = a' \\ \text{false} & \text{if } a \neq a' \end{cases}$$

 $C[skip] = \lambda s \in State. s$

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 $\mathcal{C}\llbracket \text{if } B \text{ then } C \text{ else } C' \rrbracket = \lambda s \in \text{State. if } (\mathcal{C}\llbracket B \rrbracket(s), \mathcal{C}\llbracket C \rrbracket(s), \mathcal{C}\llbracket C' \rrbracket(s))$ where $\text{if}(b, x, x') = \begin{cases} x & \text{if } b = \text{true} \\ x' & \text{if } b = \text{false} \end{cases}$

$$\mathcal{C}[[skip]] = \lambda s \in \text{State. } s \text{ This is compositionality!}$$

$$\mathcal{C}[[if B \text{ then } C \text{ else } C']] = \lambda s \in \text{State. } if (\mathcal{C}[B]](s), \mathcal{C}[C]](s), \mathcal{C}[[C']](s))$$

$$\text{where } if(b, x, x') = \begin{cases} x & \text{if } b = \text{true} \\ x' & \text{if } b = \text{false} \end{cases}$$

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$$\mathcal{C}\llbracket L := A \rrbracket = \lambda s \in \text{State. } s[L \mapsto \mathcal{A}\llbracket A \rrbracket (s)]$$

where $s[L \mapsto n](L') = \begin{cases} n & \text{if } L' = L \\ s(L) & \text{otherwise} \end{cases}$

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$$\mathcal{C}\llbracket C; C' \rrbracket = \mathcal{C}\llbracket C' \rrbracket \circ \mathcal{C}\llbracket C \rrbracket \\ = \lambda s \in \text{State. } \mathcal{C}\llbracket C' \rrbracket (\mathcal{C}\llbracket C \rrbracket (s))$$

INTRODUCTION A semantics for loops

This is all very nice, but...

 \llbracket while $B \text{ do } C \rrbracket = ???$

This is all very nice, but...

 $[\![\texttt{while } B \texttt{ do } C]\!] = ???$

Remember:

- \cdot (while B do C, s) \rightarrow (if B then (C; while B do C) else skip, s)
- we want a *compositional* semantic: we should give $\llbracket while B \text{ do } C \rrbracket$ in terms of $\llbracket C \rrbracket$ and $\llbracket B \rrbracket$

 $\llbracket \text{while } B \text{ do } C \rrbracket = \llbracket \text{if } B \text{ then } (C; \text{while } B \text{ do } C) \text{ else skip} \rrbracket$ $= \lambda s \in \text{State. if}(\llbracket B \rrbracket, \llbracket \text{while } B \text{ do } C \rrbracket \circ \llbracket C \rrbracket (s), s)$

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Not a direct definition for [while *B* do *C*]... But a fixed point equation!

 $\llbracket while B do C \rrbracket = F_{\llbracket B \rrbracket, \llbracket C \rrbracket}(while B do C)$

where
$$F_{b,c}$$
: (State \rightarrow State) \rightarrow (State \rightarrow State)
 $w \mapsto \lambda s \in$ State. if $(b, w \circ c(s), s)$.

- Why/when does $w = F_{b,c}(w)$ have a solution?
- What if it has several solutions? Which one should be our [while *B* do *C*]?

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Our occupation for the next few lectures...

INTRODUCTION

A TASTE OF DOMAIN THEORY

$$\llbracket \text{while } X > 0 \text{ do } (Y \coloneqq X * Y; X \coloneqq X - 1) \rrbracket$$

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should be some w such that:

$$w = F_{\llbracket X > 0 \rrbracket, \llbracket Y := X \star Y; X := X - 1 \rrbracket}(w).$$

$$\llbracket \text{while } X > 0 \text{ do } (Y \coloneqq X * Y; X \coloneqq X - 1) \rrbracket$$

should be some *w* such that:

$$w = F_{\llbracket X > 0 \rrbracket, \llbracket Y := X \star Y; X := X - 1 \rrbracket}(w).$$

That is, we are looking for a fixed point of the following $F: D \rightarrow D$, where D is (State \rightarrow State):

$$F(w)([X \mapsto x, Y \mapsto y]) = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x \le 0\\ w([X \mapsto x - 1, Y \mapsto x \cdot y]) & \text{if } x > 0. \end{cases}$$

Partial order \sqsubseteq on D (= State \rightarrow State):

 $w \sqsubseteq w'$ if for all $s \in$ State, if w is defined at s then so is w' and moreover w(s) = w'(s).

if the graph of w is included in the graph of w'.

Partial order \sqsubseteq on D (= State \rightarrow State):

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 - if the graph of w is included in the graph of w'.

Least element $\bot \in D$:

- ⊥ = totally undefined partial function
 - = partial function with empty graph

Define
$$w_n = F^n(w)$$
, that is
$$\begin{cases} w_0 &= \bot \\ w_{n+1} &= F(w_n) \end{cases}$$

1

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$$\begin{cases} w_0 &= \bot \\ w_{n+1} &= F(w_n) \end{cases}$$
$$w_1[X \mapsto x, Y \mapsto y] = F(\bot)[X \mapsto x, Y \mapsto y] = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x \le 0 \\ \text{undefined} & \text{if } x \ge 1 \end{cases}$$

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$$w_2[X \mapsto x, Y \mapsto y] = F(w_1)[X \mapsto x, Y \mapsto y] = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x \le 0 \\ [X \mapsto 0, Y \mapsto y] & \text{if } x = 1 \\ \text{undefined} & \text{if } x \ge 2 \end{cases}$$

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$$w_3[X \mapsto x, Y \mapsto y] = F(w_2)[X \mapsto x, Y \mapsto y] = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x \le 0 \\ [X \mapsto 0, Y \mapsto y] & \text{if } x = 1 \\ [X \mapsto 0, Y \mapsto 2y] & \text{if } x = 2 \\ \text{undefined} & \text{if } x \ge 3 \end{cases}$$

D

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$$w_n[X \mapsto x, Y \mapsto y] = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x < 0 \\ [X \mapsto 0, Y \mapsto (x!) \cdot y] & \text{if } 0 \le x < n \\ \text{undefined} & \text{if } x \ge n \end{cases}$$

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 $w_0 \sqsubseteq w_1 \sqsubseteq \ldots \sqsubseteq w_n \sqsubseteq \ldots$

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$$w_0 \sqsubseteq w_1 \sqsubseteq \ldots \sqsubseteq w_n \sqsubseteq \ldots \sqsubseteq w_\infty$$
?

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 $w_n[X \mapsto x, Y \mapsto y] = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x < 0 \\ [X \mapsto 0, Y \mapsto (x!) \cdot y] & \text{if } 0 \le x < n \\ \text{undefined} & \text{if } x \ge n \end{cases}$
 $w_0 \sqsubseteq w_1 \sqsubseteq \dots \sqsubseteq w_n \sqsubseteq \dots \sqsubseteq w_{\infty}$
 $([Y \mapsto x, Y \mapsto x]) = [Y \mapsto x]$

$$w_{\infty}[X \mapsto x, Y \mapsto y] = \bigsqcup_{i \in \mathbb{N}} w_i = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x < 0\\ [X \mapsto 0, Y \mapsto (x!) \cdot y] & \text{if } x \ge 0 \end{cases}$$

$F(w_{\infty})[X \mapsto x, Y \mapsto y]$

$$F(w_{\infty})[X \mapsto x, Y \mapsto y] = \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x \le 0 \\ w_{\infty}[X \mapsto x - 1, Y \mapsto x \cdot y] & \text{if } x > 0 \end{cases}$$

(by definition of F)

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$$= \begin{cases} [X \mapsto x, Y \mapsto y] & \text{if } x \leq 0 \\ [X \mapsto 0, Y \mapsto (x - 1)! \cdot x \cdot y] & \text{if } x > 0 \end{cases}$$
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(by definition of w_{∞})
$$= w_{\infty}[X \mapsto x, Y \mapsto y]$$

- w_{∞} is a fixed point
- \cdot which moreover agrees with the operational semantics (!)

LEAST FIXED POINTS

Least Fixed Points

POSETS AND MONOTONE FUNCTIONS

A partial order on a set D is a binary relation \sqsubseteq that is

reflexive: $\forall d \in D. \ d \sqsubseteq d$ transitive: $\forall d, d', d'' \in D. \ d \sqsubseteq d' \sqsubseteq d'' \Rightarrow d \sqsubseteq d''$ anti-symmetric: $\forall d, d' \in D. \ d \sqsubseteq d' \sqsubseteq d \Rightarrow d = d'.$ A partial order on a set D is a binary relation \sqsubseteq that is

reflexive: $\forall d \in D. \ d \sqsubseteq d$ transitive: $\forall d, d', d'' \in D. \ d \sqsubseteq d' \sqsubseteq d'' \Rightarrow d \sqsubseteq d''$ anti-symmetric: $\forall d, d' \in D. \ d \sqsubseteq d' \sqsubseteq d \Rightarrow d = d'.$

REFL
$$\frac{x \sqsubseteq y}{x \sqsubseteq x}$$
 Trans $\frac{x \sqsubseteq y}{x \sqsubseteq z}$ $y \sqsubseteq z$ Asym $\frac{x \sqsubseteq y}{x \sqsupseteq y}$

Underlying set: partial functions f with domain of definition $dom(f) \subseteq X$ and taking values in Y;

Underlying set: partial functions f with domain of definition $dom(f) \subseteq X$ and taking values in Y; Order: $f \sqsubseteq g$ if $dom(f) \subseteq dom(g)$ and $\forall x \in dom(f)$. f(x) = g(x), *i.e.* if $graph(f) \subseteq graph(g)$.

A function $f: D \rightarrow E$ between posets is monotone if

$$\forall d, d' \in D. \ d \sqsubseteq d' \Rightarrow f(d) \sqsubseteq f(d').$$

A function $f: D \rightarrow E$ between posets is **monotone** if

$$\forall d, d' \in D. \ d \sqsubseteq d' \Rightarrow f(d) \sqsubseteq f(d').$$

$$Mon \ \frac{x \sqsubseteq y}{f(x) \sqsubseteq f(y)}$$

LEAST FIXED POINTS LEAST ELEMENTS AND PRE-FIXED POINTS

An element $d \in S$ is the **least** element of S if it satisfies

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If it exists, it is unique , and is written \perp_S , or simply \perp .

$$LEAST \frac{x \in S}{\perp_S \sqsubseteq x}$$

An element $d \in S$ is the **least** element of S if it satisfies

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If it exists, it is unique , and is written \perp_S , or simply \perp .

$$\underset{\text{LEAST}}{\text{LEAST}} \frac{x \in S}{\perp_{S} \sqsubseteq x} \qquad \qquad \underset{\text{ASYM}}{\text{ASYM}} \frac{\underset{L_{S} \sqsubseteq \perp'_{S}}{\perp_{S} \sqsubseteq \perp'_{S}}}{\underset{L_{S} = \perp'_{S}}{\text{LEAST}} \frac{\underset{L_{S} \in S}{\perp_{S} \sqsubseteq \perp_{S}}}{\underset{L_{S} = \perp'_{S}}{\text{LEAST}}}$$

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fix(f)

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The least pre-fixed point of f, if it exists, will be written

fix(f)

It is thus (uniquely) specified by the two properties:

f(J) = J

 $^{\text{LFP-FIX}} \overline{f(\operatorname{fix}(f)) \sqsubseteq \operatorname{fix}(f)}$

The least pre-fixed point is a fixed point.

To prove $\operatorname{fix}(f) \sqsubseteq d$, it is enough to show $f(d) \sqsubseteq d$.

Application: least pre-fixed points of monotone functions are (least) fixed points.

$${}_{\mathsf{LFP-FIX}} \frac{f(d) \sqsubseteq d}{f(\operatorname{fix}(f)) \sqsubseteq \operatorname{fix}(f)}$$

Application: least pre-fixed points of monotone functions are (least) fixed points.

$$ASYM \frac{\underset{\mathsf{LFP-FIX}}{\overset{\mathsf{LFP-FIX}}{\overline{f(\operatorname{fix}(f)) \sqsubseteq \operatorname{fix}(f)}}}{f(\operatorname{fix}(f)) \sqsubseteq \operatorname{fix}(f)} \xrightarrow{\underset{\mathsf{LFP-LEAST}}{\overset{\mathsf{MON}}{\overline{f(f(\operatorname{fix}(f))) \sqsubseteq f(\operatorname{fix}(f))}}}{\operatorname{fix}(f) \sqsubseteq f(\operatorname{fix}(f))}}{f(\operatorname{fix}(f))}$$