

**Complexity Theory**  
Easter 2023  
Suggested Exercises 1

1. In the lecture, a proof was sketched showing a  $\Omega(n \log n)$  lower bound on the complexity of the sorting problem. It was also stated that a similar analysis could be used to establish the same bound for the Travelling Salesman Problem. Give a detailed sketch of such an argument. Can you think of a way to improve the lower bound?
2. Say we are given a set  $V = \{v_1, \dots, v_n\}$  of vertices and a cost matrix  $c : V \times V \rightarrow \mathbb{N}$ . For a set  $S \subseteq V$ , let  $t_{S,i}$  denote the cost of the shortest path that starts at  $v_1$ , visits all vertices in  $S$  and ends at  $v_i$ . Describe a *dynamic programming* algorithm that computes  $t_{S,i}$  for all sets  $S$  and all  $i$ . Show that your algorithm can be used to solve the Travelling Salesman Problem in time  $O(n^2 2^n)$ .
3. Consider the language **Unary-Prime** in the one letter alphabet  $\{a\}$  defined by **Unary-Prime** =  $\{a^n \mid n \text{ is prime}\}$ . Show that this language is in P.
4. Suppose  $S \subseteq \mathbb{N}$  is a set of natural numbers and consider the language **Unary-S** in the one letter alphabet  $\{a\}$  defined by **Unary-S** =  $\{a^n \mid n \in S\}$ , and the language **Binary-S** in the two letter alphabet  $\{0, 1\}$  consisting of those strings starting with a 1 which are the binary representation of a number in  $S$ . Show that if **Unary-S** is in P then **Binary-S** is in **TIME**( $2^{cn}$ ) for some constant  $c$ .
5. We say that a propositional formula  $\phi$  is in **2CNF** if it is a conjunction of clauses, each of which contains exactly 2 literals. The point of this problem is to show that the satisfiability problem for formulas in **2CNF** can be solved by a polynomial time algorithm.

First note that any clause with 2 literals can be written as an implication in exactly two ways. For instance  $(p \vee \neg q)$  is equivalent to  $(q \rightarrow p)$  and  $(\neg p \rightarrow \neg q)$ , and  $(p \vee q)$  is equivalent to  $(\neg p \rightarrow q)$  and  $(\neg q \rightarrow p)$ .

For any formula  $\phi$ , define the directed graph  $G_\phi$  to be the graph whose set of vertices is the set of all literals that occur in  $\phi$ , and in which there is an edge from literal  $x$  to literal  $y$  if, and only if, the implication  $(x \rightarrow y)$  is equivalent to one of the clauses in  $\phi$ .

- (a) If  $\phi$  has  $n$  variables and  $m$  clauses, give an upper bound on the number of vertices and edges in  $G_\phi$ .

- (b) Show that  $\phi$  is *unsatisfiable* if, and only if, there is a literal  $x$  such that there is a path in  $G_\phi$  from  $x$  to  $\neg x$  and a path from  $\neg x$  to  $x$ .
  - (c) Give an algorithm for verifying that a graph  $G_\phi$  satisfies the property stated in (b) above. What is the complexity of your algorithm?
  - (d) From (c) deduce that there is a polynomial time algorithm for testing whether or not a 2CNF propositional formula is satisfiable.
  - (e) Why does this idea not work if we have 3 literals per clause?
6. A clause (i.e. a disjunction of literals) is called a *Horn* clause, if it contains *at most one* positive literal. Such a clause can be written as an implication:  $(x \vee (\neg y) \vee (\neg w) \vee (\neg z))$  is equivalent to  $((y \wedge w \wedge z) \rightarrow x)$ . **HORNSAT** is the problem of deciding whether a given Boolean expression that is a conjunction of Horn clauses is satisfiable.
- (a) Show that there is a polynomial time algorithm for solving **HORNSAT**. (Hint: if a variable is the only literal in a clause, it must be set to **true**; if all the negative variables in a clause have been set to **true**, then the positive one must also be set to **true**. Continue this procedure until a contradiction is reached or a satisfying truth assignment is found).
  - (b) In the proof of the NP-completeness of **SAT** it was shown how to construct, for every nondeterministic machine  $M$ , integer  $k$  and string  $x$  a Boolean expression  $\phi$  which is satisfiable if, and only if,  $M$  accepts  $x$  within  $n^k$  steps. Show that, if  $M$  is deterministic, then  $\phi$  can be chosen to be a conjunction of Horn clauses.
  - (c) Conclude from (b) that the problem **HORNSAT** is P-complete under L-reductions.
7. We define the complexity class of *quasi-polynomial-time* problems **Quasi-P** by:

$$\text{Quasi-P} = \bigcup_{k=1}^{\infty} \text{Time}(n^{(\log n)^k}).$$

Show that if  $L_1 \leq_P L_2$  and  $L_2 \in \text{Quasi-P}$ , then  $L_1 \in \text{Quasi-P}$ .

8. In general  $k$ -colourability is the problem of deciding, given a graph  $G = (V, E)$ , whether there is a colouring  $\chi : V \rightarrow \{1, \dots, k\}$  of the vertices such that if  $(u, v) \in E$ , then  $\chi(u) \neq \chi(v)$ . That is, adjacent vertices do not have the same colour.
- (a) Show that there is a polynomial time algorithm for solving 2-colourability.
  - (b) Show that, for each  $k$ ,  $k$ -colourability is reducible to  $k + 1$ -colourability. What can you conclude from this about the complexity of 4-colourability?