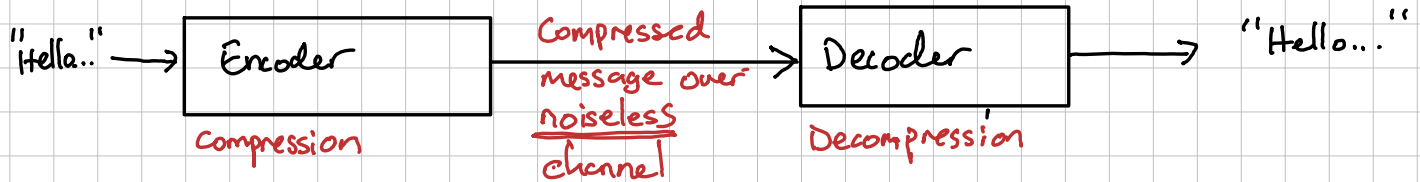


## Compression

Entropy is particularly important in understanding data compression.



We care about:

Lossless Compression

AKA. "Symbol coding"  
Guaranteed to reconstruct the original message perfectly.

Lossy Compression

Output approximates the input.  
E.g. JPEG image.

We will start looking at lossless compression.

# Symbol Coding (Lossless Compression)

Goal: Give each  $x \in A_x$  a code to represent it

E.g.  $A_x = \{a, b, c, \dots\}$  <sup>symbols</sup>

| $x$ | $c$ |
|-----|-----|
| a   | 01  |
| b   | 11  |
| ... |     |

w.l.o.g. we will use  
alphanumeric symbols and  
binary codes.

Fixed length codes are the simplest but they are  
only efficient if every input symbol is equiprobable...

# Variable Length Codes

Imagine a 4-symbol source  $\{a, b, c, d\}$  where

$$\begin{aligned} P(a) &= 0.98 \\ P(b) &= 0.01 \\ P(c) &= 0.005 \\ P(d) &= 0.005 \end{aligned}$$

Fixed code:

|   |    |
|---|----|
| a | 00 |
| b | 01 |
| c | 10 |
| d | 11 |

aaaaaba

→ 000000000000100

→ simpler to decode.

Variable code:

|   |     |
|---|-----|
| a | 0   |
| b | 10  |
| c | 110 |
| d | 111 |

→ 00000100

→ shorter

Probable symbol → small codeword  
Improbable " → long codeword.

Compression can make things longer!

Input  $N$  bits  $\xrightarrow{\text{compress}}$   $M$  bits.

$M < N$  for compression.

$\left. \begin{array}{l} \# \text{ inputs} = 2^N \\ \# \text{ outputs} = 2^M \end{array} \right\} \text{ If } M < N \text{ always for some}$   
comp. scheme some things must  
map to the same output.

$\therefore$  Not every string can be compressed in  
a given scheme

$\Rightarrow$  Some strings will get longer

# Decodability

Uniquely decodable codes give an unambiguous decode.

| $x$ | $C_3$ |
|-----|-------|
| a   | 1     |
| b   | 0     |
| c   | 00    |
| d   | 11    |

$$r = \frac{100011}{a}$$

Not uniquely decodable

| $x$ | $C_1$ |
|-----|-------|
| a   | 101   |
| b   | 00    |
| c   | 0001  |
| d   | 1     |

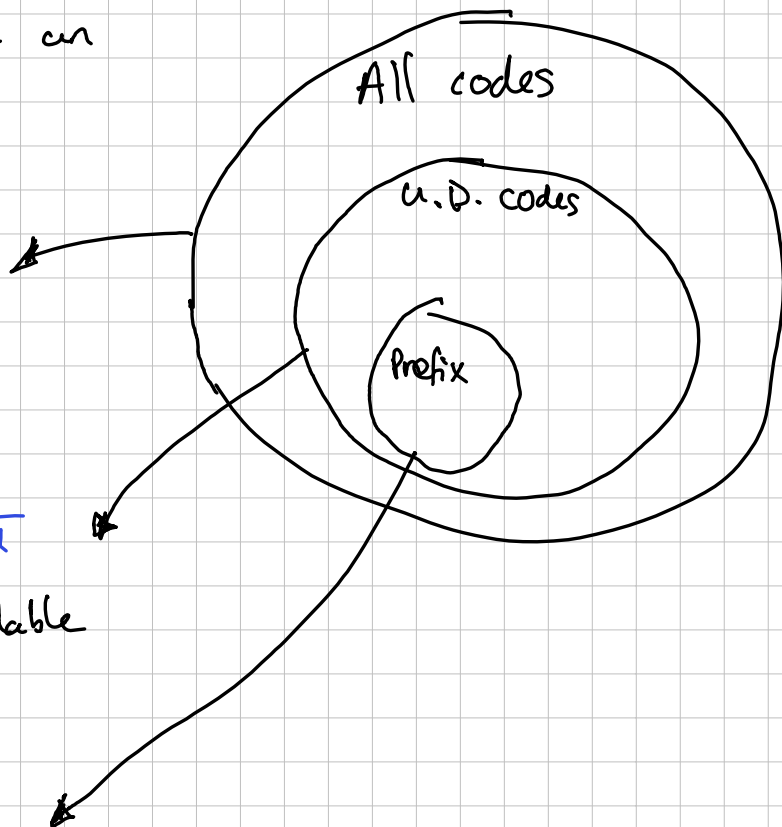
$$r = \frac{1010000011}{a \quad b \quad c \quad d}$$

uniquely decodable

| $x$ | $C_2$ |
|-----|-------|
| a   | 1     |
| b   | 01    |
| c   | 001   |
| d   | 000   |

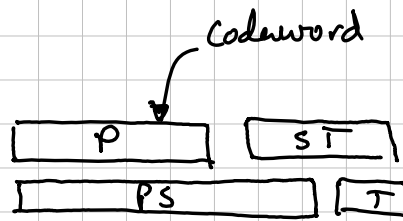
$$r = \frac{101001000}{a \quad b \quad c \quad d}$$

prefix code

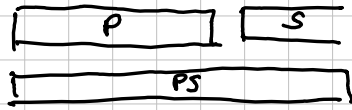


## Testing for u.D.

Ambiguity when eg.

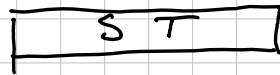


① Find PS



find all prefixes and note the remainders

② Look for suffixes to S



find all T for S in codewords.

③ If T is a codeword  $\Rightarrow$  not u.D.

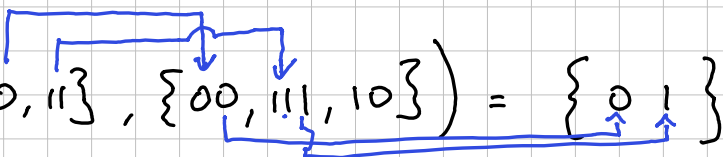
## Sardinas - Patterson

Take a set of codewords,  $C$

Define  $S(A, B) =$  The set of remainder suffixes from  $B$  using  $A$  as prefixes.

$$= \{ w \mid uv = w \text{ where } u \in A, uv \in B \}$$

E.g.  $S(\{0, 11\}, \{00, 111, 10\}) = \{0, 1\}$



$$S(\{1, 11, 111\}, \{0, 011\}) = \emptyset$$

$$S(\{10, 111, 000\}, \{1010, 11, 0001\}) = \{10, 1\}$$

$R_1 = \{ \text{Remainders of all prefixes in } C \}$

if  $R_1 = \emptyset$ :  
    return DECODABLE

for  $(i = 2, i < \infty, i++)$ :

$R_i = S(R_{i-1}, C) \cap S(C, R_{i-1})$

if  $R_i = \emptyset$ :  
    return DECODABLE

elif  $R_i \cap C \neq \emptyset$ :  
    return NOT-DECODABLE

elif  $R_i = R_{i-1}$ :  
    return DECODABLE

Eg. 3.1

C      11   00   01   110   001

C      11   00   110   001

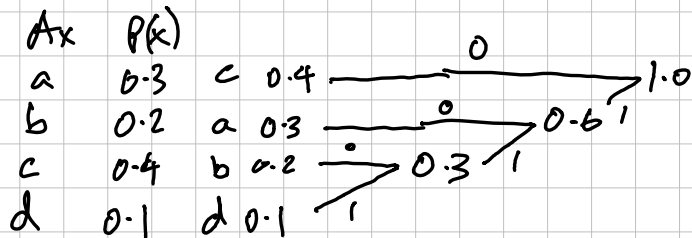
C 00 01 10 11

C 10 00 0001 1

## Prefix codes

A prefix or prefix-free code is one where no codeword is a prefix of another

## Huffman coding



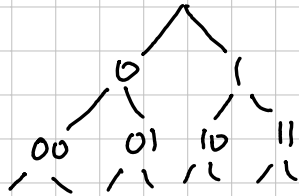
|   |     |
|---|-----|
| a | 11  |
| b | 110 |
| c | 0   |
| d | 111 |

1. write them out in desc prob. order
2. Combine lowest two into a pseudosymbol with prob. the sum of the two inputs
3. Repeat until tree full
4. Label each branch
5. Read code backwards

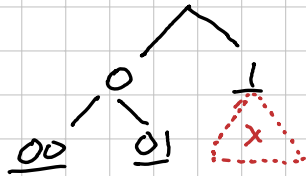
Eg. 3.2.

|   |      |
|---|------|
| a | 0.03 |
| b | 0.05 |
| c | 0.07 |
| d | 0.06 |
| e | 0.72 |
| f | 0.07 |

# The Kraft Inequality



Consider the binary tree of codewords.



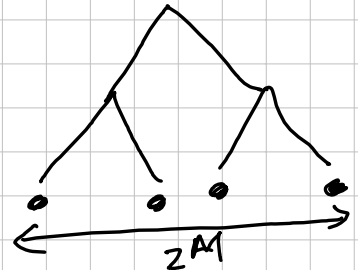
In a prefix code, a codeword removes the subtree beneath it.

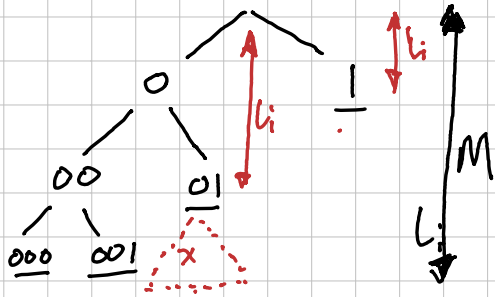
⇒ Codewords must be leaves.

Consider max. codeword length,  $M$

Max. # codewords when all  $l_i = M$

$$N_{\max} = 2^M$$





Each codeword of length  $l_i$  removes  $2^{M-l_i}$  from the depth  $M$  codewords

$$\begin{aligned} \text{Total removed} &= \sum_i 2^{M-l_i} \\ &\leq N_{\max} \\ &\leq 2^M \end{aligned}$$

$$\Rightarrow \sum_i 2^{M-l_i} \leq 2^M$$

$$\boxed{\sum_i 2^{-l_i} \leq 1}$$

Kraft Inequality

# Alternative Codeword Budget View

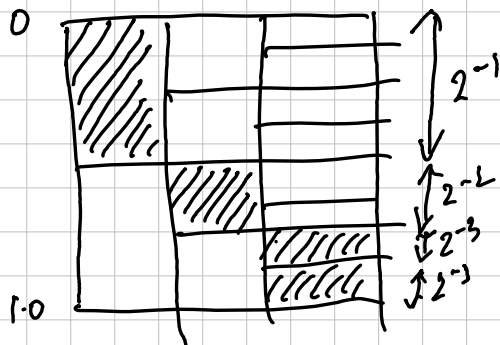


A prefix code requires that the selected codeword occupies the horizontal space uniquely.

The only way we run out of budget ( $0 \rightarrow 1.0$ ) is if

$$\sum 2^{-l_i} > 1$$

$\therefore$  if  $\sum 2^{-l_i} \leq 1$  there is at least one prefix code that satisfies  $l_i$



What this means

Any set of integers  $\{l_i\}$  where  $\sum_j 2^{-l_j} \leq 1$   
can form a prefix code

E.g.  $\{1, 2, 2\}$

|   |    |
|---|----|
| 0 | 00 |
|   | 01 |
| 1 | 10 |
|   | 11 |

Not prefix

|   |    |
|---|----|
| 0 | 00 |
|   | 01 |
| 1 | 10 |
|   | 11 |

Prefix

Gibbs Inequality

$$\sum_i p_i \log \frac{1}{q_i} \geq \sum_i p_i \log \frac{1}{p_i}$$

Mackay pg 97

## Bounding Compression: Linking to Entropy

Ave code  
length

$$L(C, x) = \sum_i P_i l_i$$

Can we  
bound this?

Lower Limit

$$\text{Let } q_i = \frac{2^{-l_i}}{\sum_i 2^{-l_i}} = \frac{2^{-l_i}}{Z}$$

$$\text{Then } l_i = -\log q_i Z \text{ (rearrangement)}$$

$$\text{Then } L(C, x) = -\sum P_i \log q_i Z$$

$$= -\sum P_i \log \frac{1}{q_i} - \sum P_i \log Z$$

$$\sum P_i \log \frac{1}{q_i} \geq \sum P_i \log \frac{1}{P_i} \leftarrow$$

Gibb's Inequality  
Mackay pg. 97

$Z \leq 1$  by Kraft

$$\Rightarrow L(C, x) \geq H(x) + k \quad k \geq 0$$

$$\boxed{L(C, x) \geq H(x)}$$

Can't go below entropy

## Upper Limit

$L(C, x) = H(x)$  may be impossible since it may need fractional lengths of code words.

$$L(C, x) \geq H(x)$$

$$\text{Let } l_i = \lceil \log_2 \frac{1}{p_i} \rceil$$

Could this be a prefix code??  $\Rightarrow \sum 2^{-l_i} = \sum 2^{-\lceil \log_2 \frac{1}{p_i} \rceil} \leq \sum 2^{-\log_2 \frac{1}{p_i}}$

$$\leq \sum p_i$$

$$\leq 1$$

✓ could be a prefix by Kraft.

$$L(C, x) = \sum p_i l_i = \sum p_i \lceil \log_2 \frac{1}{p_i} \rceil$$

$$< \sum p_i \log_2 \frac{1}{p_i} + 1$$

$$< H(x) + 1$$

$$H(x) \leq L(c, x) < H(x) + 1$$

Source Coding  
Theorem for  
Prefix Codes

We can't squeeze below the entropy of our source  
but we're guaranteed there is a coding scheme within  
1 bit of it !!

## Shannon Coding

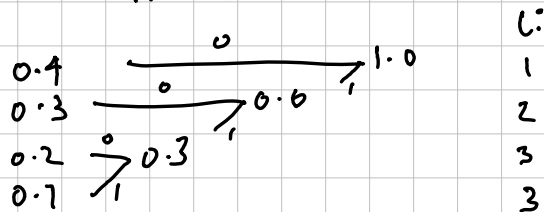
What if we just assigned codes according to  $-\log p_i$ , the Shannon Info.

|   | $p_i$ | $L_i$ | $\lceil L_i \rceil$ |
|---|-------|-------|---------------------|
| a | 0.4   | 1.32  | 2                   |
| b | 0.3   | 1.73  | 2                   |
| c | 0.2   | 2.32  | 3                   |
| d | 0.1   | 3.22  | 4                   |

$$L(c, x) = 2.4 \text{ bits.}$$

$$H(x) = 1.84 \quad (\text{so we still got } L(c, x) \leq H(x) + 1)$$

But Huffman:



$$L(c, x) = 1.9 \text{ bits.}$$