

Proof Assistants

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Michaelmas 2024

Part I

Isabelle

Material

- Isabelle part of this course based on book “Concrete Semantics with Isabelle/HOL” (2014) by Tobias Nipkow and Gerwin Klein

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- Slides shamelessly copied from Tobias Nipkow (errors are my own)

Chapter 1

Programming and Proving in Isabelle/HOL

- ① Overview of Isabelle/HOL
- ② Type and function definitions
- ③ Induction Heuristics
- ④ Simplification

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- Equalities (*term* = *term*)

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- Equalities (*term* = *term*), e.g. $1 + 2 = 4$
- Later: \wedge , \vee , \longrightarrow , \forall , \dots

① Overview of Isabelle/HOL

Types and terms

By example: types *bool*, *nat* and *list*

Types

Basic syntax:

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Convention: $\tau_1 \Rightarrow \tau_2 \Rightarrow \tau_3 \equiv \tau_1 \Rightarrow (\tau_2 \Rightarrow \tau_3)$

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This language of terms is known as the *λ -calculus*.

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- Isabelle performs β -reduction automatically.

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User can help with *type annotations* inside the term.

Example: $f(x::nat)$

Overview_Demo.thy

(including an example of how to define a simple function and prove a lemma about it)

① Overview of Isabelle/HOL

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By example: types *bool*, *nat* and *list*

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if-and-only-if: $=$ or \longleftrightarrow

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! Numbers and arithmetic operations are overloaded:

0,1,2,... :: 'a, + :: 'a \Rightarrow 'a \Rightarrow 'a

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unless the context is unambiguous: *Suc* *z*

Nat_Demo.thy

An informal proof

Lemma $\text{add } m \ 0 = m$

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Induction on natural numbers

To prove $P(n)$ for all natural numbers n , prove

- $P(0)$ and
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- `[]` = *Nil*: empty list

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- $[x_1, \dots, x_n] = x_1 \# \dots \# x_n \# []$

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List_Demo.thy

An informal proof

Lemma $app (app\ xs\ ys)\ zs = app\ xs\ (app\ ys\ zs)$

Proof by induction on xs .

- Case *Nil*: $app (app\ Nil\ ys)\ zs = app\ ys\ zs = app\ Nil\ (app\ ys\ zs)$ holds by definition of *app*.
- Case *Cons* $x\ xs$: We assume $app (app\ xs\ ys)\ zs = app\ xs\ (app\ ys\ zs)$ (IH), and we need to show $app (app (Cons\ x\ xs)\ ys)\ zs = app (Cons\ x\ xs)\ (app\ ys\ zs)$.

The proof is as follows:

$$\begin{aligned} & app (app (Cons\ x\ xs)\ ys)\ zs \\ &= Cons\ x\ (app (app\ xs\ ys)\ zs) && \text{by definition of } app \\ &= Cons\ x\ (app\ xs\ (app\ ys\ zs)) && \text{by IH} \\ &= app (Cons\ x\ xs)\ (app\ ys\ zs) && \text{by definition of } app \end{aligned}$$

Large library: HOL/List.thy

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Large library: HOL/List.thy

Included in Main.

Don't reinvent, reuse!

Predefined: *xs* @ *ys* (append), *length*, and *map*

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② Type and function definitions

Type definitions

Function definitions

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Introduces a *synonym name* for type τ

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Type synonyms are expanded after parsing
and are not present in internal representation and output

datatype — the general case

$$\begin{array}{rcl} \mathbf{datatype} \ (\alpha_1, \dots, \alpha_n) t & = & C_1 \ \tau_{1,1} \dots \tau_{1,n_1} \\ & & | \quad \dots \\ & & C_k \ \tau_{k,1} \dots \tau_{k,n_k} \end{array}$$

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- *Injectivity*: $(C_i x_1 \dots x_{n_i} = C_i y_1 \dots y_{n_i}) = (x_1 = y_1 \wedge \dots \wedge x_{n_i} = y_{n_i})$

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- *Injectivity*: $(C_i \ x_1 \dots x_{n_i} = C_i \ y_1 \dots y_{n_i}) = (x_1 = y_1 \wedge \dots \wedge x_{n_i} = y_{n_i})$

Distinctness and injectivity are applied automatically
Induction must be applied explicitly

Case expressions

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(case xs of [] \Rightarrow ... | y#ys \Rightarrow ... y ... ys ...)

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Wildcards: *_*

(case m of 0 \Rightarrow Suc 0 | Suc _ \Rightarrow 0)

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$(\text{case } xs \text{ of } [] \Rightarrow \dots \mid y\#ys \Rightarrow \dots y \dots ys \dots)$

Wildcards: $_$

$(\text{case } m \text{ of } 0 \Rightarrow Suc\ 0 \mid Suc\ _ \Rightarrow 0)$

Nested patterns:

$(\text{case } xs \text{ of } [0] \Rightarrow 0 \mid [Suc\ n] \Rightarrow n \mid _ \Rightarrow 2)$

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$$(case\ m\ of\ 0 \Rightarrow Suc\ 0 \mid Suc\ _ \Rightarrow 0)$$

Nested patterns:

$$(case\ xs\ of\ [0] \Rightarrow 0 \mid [Suc\ n] \Rightarrow n \mid _ \Rightarrow 2)$$

Complicated patterns mean complicated proofs!

Case expressions

Datatype values can be taken apart with *case*:

$(\text{case } xs \text{ of } [] \Rightarrow \dots \mid y\#ys \Rightarrow \dots y \dots ys \dots)$

Wildcards: $_$

$(\text{case } m \text{ of } 0 \Rightarrow Suc\ 0 \mid Suc\ _ \Rightarrow 0)$

Nested patterns:

$(\text{case } xs \text{ of } [0] \Rightarrow 0 \mid [Suc\ n] \Rightarrow n \mid _ \Rightarrow 2)$

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Need $(\)$ in context

Tree_Demo.thy

The *option* type

datatype 'a *option* = *None* | *Some* 'a

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 (*if* *a* = *x* **then** *Some b* **else** *lookup ps x*)

② Type and function definitions

Type definitions

Function definitions

Non-recursive definitions

Example

definition $sq :: nat \Rightarrow nat$ **where** $sq\ n = n*n$

Non-recursive definitions

Example

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No pattern matching, just $f\ x_1 \dots x_n = \dots$

The danger of nontermination

How about $f\ x = f\ x + 1$?

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Subtract $f\ x$ on both sides.

$$\implies 0 = 1$$

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! All functions in HOL must be total !

Key features of **fun**

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- Order of equations matters
- Termination must be provable automatically by size measures
- Proves customized induction schema

Example: separation

fun *sep* :: 'a \Rightarrow 'a list \Rightarrow 'a list **where**
 sep a (*x* # *y* # *zs*) = *x* # a # *sep* a (*y* # *zs*) |
 sep a *xs* = *xs*

Example: Ackermann

```
fun ack :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat where  
ack 0          n          = Suc n |  
ack (Suc m) 0          = ack m (Suc 0) |  
ack (Suc m) (Suc n) = ack m (ack (Suc m) n)
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Terminates because the arguments decrease
lexicographically with each recursive call:

- $(\text{Suc } m, 0) > (m, \text{Suc } 0)$
- $(\text{Suc } m, \text{Suc } n) > (\text{Suc } m, n)$
- $(\text{Suc } m, \text{Suc } n) > (m, _)$

- ① Overview of Isabelle/HOL
- ② Type and function definitions
- ③ Induction Heuristics**
- ④ Simplification

Basic induction heuristics

Theorems about recursive functions
are proved by induction

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Theorems about recursive functions
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Induction on argument number i of f
if f is defined by recursion on argument number i

A tail recursive reverse

Our initial reverse:

fun *rev* :: 'a list \Rightarrow 'a list **where**

rev [] = [] |

rev (x#xs) = *rev* xs @ [x]

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```
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lemma *itrev* xs [] = *rev* xs

Induction_Demo.thy

Generalisation

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- Replace constants by variables

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- Generalize free variables
 - by *arbitrary* in induction proof
 - (or by universal quantifier in formula)

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In each recursive call, 1 constructor is removed.

Now: induction for complex recursion patterns.

Computation Induction

Example

fun *div2* :: *nat* \Rightarrow *nat* **where**

div2 0 = 0 |

div2 (*Suc* 0) = 0 |

div2 (*Suc*(*Suc* *n*)) = *Suc*(*div2* *n*)

Computation Induction

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div2 (*Suc*(*Suc* *n*)) = *Suc*(*div2* *n*)

\rightsquigarrow induction rule *div2.induct*:

$$\frac{P(0) \quad P(\text{Suc } 0) \quad P(n) \Longrightarrow P(\text{Suc}(\text{Suc } n))}{P(m)}$$

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prove $P(e)$ assuming $P(r_1), \dots, P(r_k)$.

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Induction follows course of (terminating!) computation
Motto: properties of f are best proved by rule $f.induct$

How to apply *f.induct*

If $f :: \tau_1 \Rightarrow \dots \Rightarrow \tau_n \Rightarrow \tau'$:

How to apply $f.induct$

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(*induction* $a_1 \dots a_n$ *rule: f.induct*)

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(induction $a_1 \dots a_n$ rule: $f.induct$)

Heuristic:

- there should be a call $f\ a_1 \dots a_n$ in your goal

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Heuristic:

- there should be a call $f\ a_1 \dots a_n$ in your goal
- ideally the a_i should be variables.

Induction_Demo.thy

Computation Induction

- ① Overview of Isabelle/HOL
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- ③ Induction Heuristics
- ④ Simplification

Simplification means . . .

Using equations $l = r$ from left to right

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Terminology: equation \rightsquigarrow *simplification rule*

Simplification means ...

Using equations $l = r$ from left to right

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Simplification = (Term) Rewriting

An example

$$0 + n = n \quad (1)$$

$$(Suc\ m) + n = Suc\ (m + n) \quad (2)$$

Equations: $(Suc\ m \leq Suc\ n) = (m \leq n) \quad (3)$

$$(0 \leq m) = True \quad (4)$$

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Simplification rules can be conditional:

$$\llbracket P_1; \dots; P_k \rrbracket \Longrightarrow l = r$$

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Example

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$$\begin{array}{lcl} p(0) & = & \textit{True} \\ p(x) \Longrightarrow f(x) & = & g(x) \end{array}$$

We can simplify $f(0)$ to $g(0)$ but
we cannot simplify $f(1)$ because $p(1)$ is not provable.

Termination

Simplification may not terminate.

Isabelle uses *simp*-rules (almost) blindly from left to right.

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$$n < m \Longrightarrow (n < \text{Suc } m) = \text{True}$$

$$\text{Suc } n < m \Longrightarrow (n < m) = \text{True}$$

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$$n < m \Longrightarrow (n < \text{Suc } m) = \text{True} \quad \text{YES}$$

$$\text{Suc } n < m \Longrightarrow (n < m) = \text{True} \quad \text{NO}$$

Proof method *simp*

Goal: 1. $\llbracket P_1; \dots; P_m \rrbracket \Longrightarrow C$

apply(*simp add: eq₁ ... eq_n*)

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Variations:

- (*simp ... del: ...*) removes *simp*-lemmas
- *add* and *del* are optional

auto versus *simp*

- *auto* acts on all subgoals
- *simp* acts only on subgoal 1

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- *auto* applies *simp* and more
- *auto* can also be modified:
(*auto simp add: ... simp del: ...*)

Rewriting with definitions

Definitions (**definition**) must be used **explicitly**:

(simp add: f_def ...)

Rewriting with definitions

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$$(simp\ add: f_def \dots)$$

f is the function whose definition is to be unfolded.

Case splitting with *simp/auto*

Automatic:

$$\begin{aligned} &P \text{ (if } A \text{ then } s \text{ else } t) \\ &= \\ &(A \longrightarrow P(s)) \wedge (\neg A \longrightarrow P(t)) \end{aligned}$$

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By hand:

$$\begin{aligned} &P \text{ (case } e \text{ of } 0 \Rightarrow a \mid \text{Suc } n \Rightarrow b) \\ &= \\ &(e = 0 \longrightarrow P(a)) \wedge (\forall n. e = \text{Suc } n \longrightarrow P(b)) \end{aligned}$$

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Proof method: (*simp split: nat.split*)

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Proof method: (*simp split: nat.split*)

Or *auto*. Similar for any datatype *t*: *t.split*

Simp_Demo.thy

Chapter 2

Case Study: IMP Expressions

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This section introduces

arithmetic and boolean expressions

of our imperative language IMP.

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of our imperative language IMP.

IMP *commands* are introduced later.

⑤ Case Study: IMP Expressions

- Arithmetic Expressions
- Boolean Expressions

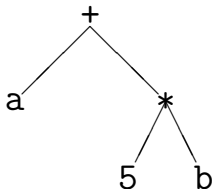
Concrete and abstract syntax

Concrete syntax: strings, eg "a+5*b"

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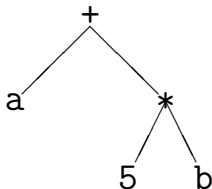
Abstract syntax: trees, eg



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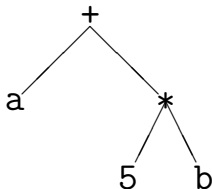


Parser: function from strings to trees

Concrete and abstract syntax

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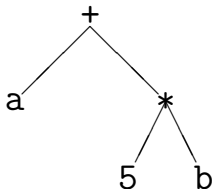
Parser: function from strings to trees

Linear view of trees: terms, eg *Plus a (Times 5 b)*

Concrete and abstract syntax

Concrete syntax: strings, eg "a+5*b"

Abstract syntax: trees, eg



Parser: function from strings to trees

Linear view of trees: terms, eg *Plus a (Times 5 b)*

Abstract syntax trees/terms are datatype values!

Concrete syntax is defined by a context-free grammar, eg

$$a ::= n \mid x \mid (a) \mid a + a \mid a * a \mid \dots$$

where n can be any natural number and x any variable.

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$$a ::= n \mid x \mid (a) \mid a + a \mid a * a \mid \dots$$

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We focus on *abstract* syntax
which we introduce via datatypes.

Datatype *aexp*

Variable names are strings, values are integers:

type_synonym *vname* = *string*

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x+y	<i>Plus (V "x") (V "y")</i>
2+(z+3)	<i>Plus (N 2) (Plus (V "z") (N 3))</i>

Warning

This is syntax, not (yet) semantics!

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$$N\ 0 \neq Plus\ (N\ 0)\ (N\ 0)$$

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- The value of all variables is recorded in the *state*.
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type_synonym *val* = *int*

type_synonym *state* = *vname* \Rightarrow *val*

Function update notation

If $f :: \tau_1 \Rightarrow \tau_2$ and $a :: \tau_1$ and $b :: \tau_2$ then

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$$f(a := b) = (\lambda x. \text{if } x = a \text{ then } b \text{ else } f\ x)$$

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Some states:

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Nicer notation defined in `AExp.thy`:

$$<"a" := 5, "x" := 3, "y" := 7>$$

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Nicer notation defined in `AExp.thy`:

$$<"a" := 5, "x" := 3, "y" := 7>$$

Maps everything to 0, but $"a"$ to 5, $"x"$ to 3, etc.

AExp.thy

⑤ Case Study: IMP Expressions

Arithmetic Expressions

Boolean Expressions

BExp.thy

This was easy.

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This was easy.

Because evaluation of expressions always terminates.

But execution of programs may *not* terminate.

Hence we cannot define it by a total recursive function.

We need more logical machinery
to define program execution and reason about it.

Chapter 3

Logic and Proof Beyond Equality

⑥ Logical Formulas

⑦ Proof Automation

⑧ Single Step Proofs

⑨ Inductive Definitions

⑥ Logical Formulas

⑦ Proof Automation

⑧ Single Step Proofs

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Syntax (in decreasing precedence):

$$\begin{array}{l|l|l} \textit{form} ::= & (\textit{form}) & \textit{term} = \textit{term} \quad | \quad \neg \textit{form} \\ & \textit{form} \wedge \textit{form} & \textit{form} \vee \textit{form} \quad | \quad \textit{form} \longrightarrow \textit{form} \\ & \forall x. \textit{form} & \exists x. \textit{form} \end{array}$$

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$$\neg A \wedge B \vee C \equiv ((\neg A) \wedge B) \vee C$$

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$$A \wedge B = B \wedge A \equiv A \wedge (B = B) \wedge A$$

$$\forall x. P x \wedge Q x \equiv \forall x. (P x \wedge Q x)$$

Input syntax: \longleftrightarrow (same precedence as \longrightarrow)

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Similarly for \exists and λ .

Warning

Quantifiers have low precedence
and need to be parenthesized (if in some context)

$$! \quad P \wedge \forall x. Q \, x \rightsquigarrow P \wedge (\forall x. Q \, x) \quad !$$

Mathematical symbols

and their ascii representations

\forall	<code>\<forall></code>	ALL
\exists	<code>\<exists></code>	EX
λ	<code>\<lambda></code>	%
\longrightarrow	<code>--></code>	
\longleftrightarrow	<code><-></code>	
\wedge	<code>/\</code>	&
\vee	<code>\/</code>	
\neg	<code>\<not></code>	~
\neq	<code>\<noteq></code>	~=

Sets over type $'a$

$'a$ set

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- $\{\}, \quad \{e_1, \dots, e_n\}$

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- ...

\in	<code>\<in></code>	:
\subseteq	<code>\<subseteq></code>	<code><=</code>
\cup	<code>\<union></code>	<code>Un</code>
\cap	<code>\<inter></code>	<code>Int</code>

Set comprehension

- $\{x. P\}$ where x is a variable

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Set comprehension

- $\{x. P\}$ where x is a variable
- But not $\{t. P\}$ where t is a proper term
- Instead: $\{t \mid x \ y \ z. P\}$
is short for $\{v. \exists x \ y \ z. v = t \wedge P\}$
where x, y, z are the free variables in t

⑥ Logical Formulas

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simp and *auto*

simp: rewriting and a bit of arithmetic

auto: rewriting and a bit of arithmetic, logic and sets

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- Show you where they got stuck

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- Extensible with new *simp*-rules

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Exception: *auto* acts on all subgoals

fastforce

- rewriting, logic, sets, relations and a bit of arithmetic.

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- **incomplete** but better than *auto*.

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blast

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- proves linear formulas (no “ $*$ ”)
- complete for quantifier-free *real* arithmetic
- complete for first-order theory of *nat* and *int* (Presburger arithmetic)

Sledgehammer



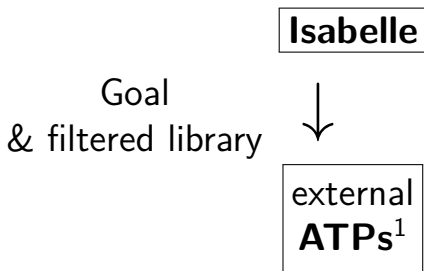
Architecture:

Isabelle

external
ATPs¹

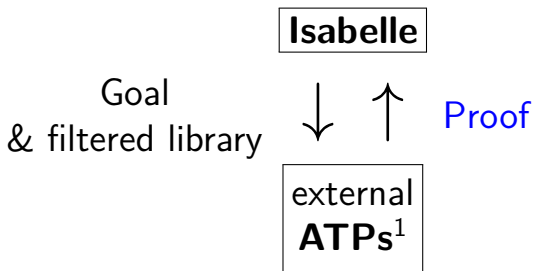
¹Automatic Theorem Provers

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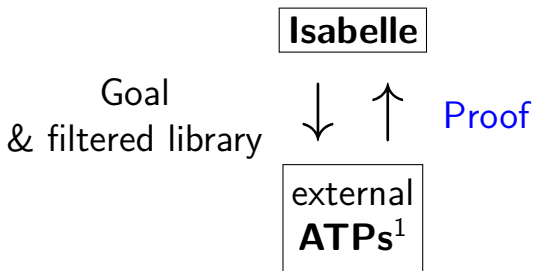
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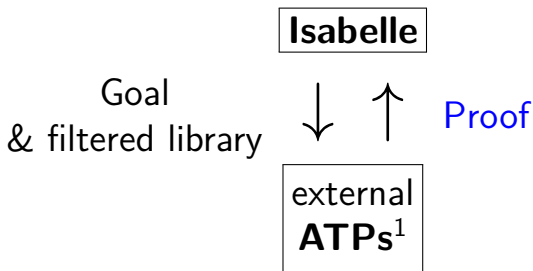


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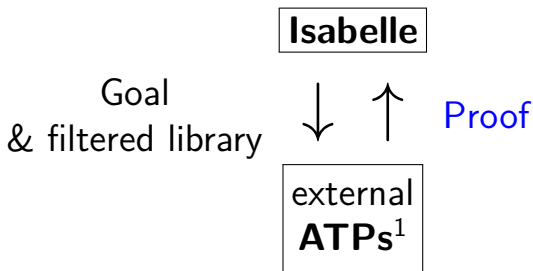


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Do you feel lucky?

¹Automatic Theorem Provers

by(*proof-method*)

\approx

apply(*proof-method*)
done

Auto_Proof_Demo.thy

⑥ Logical Formulas

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⑨ Inductive Definitions

Step-by-step proofs can be necessary if automation fails and you have to explore where and why it failed by taking the goal apart.

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“Backchaining”

Typical backwards rules

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They are known as **introduction rules** because they *introduce* a particular connective.

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$(blast\ intro: r)$

allows *blast* to backchain on r during proof search.

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Can greatly increase the search space!

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Example: theorem refl: $?t = ?t$

```
conjI[OF refl[of "a"]]
```

Forward proof: OF

If r is a theorem $A \implies B$

and s is a theorem that unifies with A then

$$r[OF\ s]$$

is the theorem obtained by proving A with s .

Example: theorem `refl`: $?t = ?t$

`conjI[OF refl[of "a"]]`

\rightsquigarrow

$$?Q \implies a = a \wedge ?Q$$

The general case:

If r is a theorem $\llbracket A_1; \dots; A_n \rrbracket \implies A$
and r_1, \dots, r_m ($m \leq n$) are theorems then

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$$a = a \wedge b = b$$

From now on: ? mostly suppressed on slides

Single_Step_Demo.thy

\Longrightarrow versus \longrightarrow

\Longrightarrow is part of the Isabelle framework. It structures theorems and proof states: $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$

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Phrase theorems like this $\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A$
not like this $A_1 \wedge \dots \wedge A_n \longrightarrow A$

- ⑥ Logical Formulas
- ⑦ Proof Automation
- ⑧ Single Step Proofs
- ⑨ Inductive Definitions

Example: even numbers

Informally:

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In Isabelle/HOL:

inductive $ev :: nat \Rightarrow bool$

where

$ev\ 0 \quad |$

$ev\ n \Longrightarrow ev\ (n + 2)$

An easy proof: *ev 4*

$$ev\ 0 \implies ev\ 2 \implies ev\ 4$$

Consider

```
fun evn :: nat  $\Rightarrow$  bool where  
  evn 0 = True |  
  evn (Suc 0) = False |  
  evn (Suc (Suc n)) = evn n
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Rule induction for ev

To prove

$$ev\ n \Longrightarrow P\ n$$

by *rule induction* on $ev\ n$ we must prove

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Rule $ev.induct$:

$$\frac{ev\ n \quad P\ 0 \quad \bigwedge n. \llbracket ev\ n; P\ n \rrbracket \Longrightarrow P(n+2)}{P\ n}$$

Format of inductive definitions

inductive $I :: \tau \Rightarrow \textit{bool}$ **where**

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Note:

- I may have multiple arguments.

Format of inductive definitions

inductive $I :: \tau \Rightarrow bool$ **where**

$$\begin{array}{l} \llbracket I\ a_1; \dots ; I\ a_n \rrbracket \Longrightarrow I\ a \mid \\ \vdots \end{array}$$

Note:

- I may have multiple arguments.
- Each rule may also contain *side conditions* not involving I .

Rule induction in general

To prove

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! Rule induction is absolutely central to (operational) semantics and the rest of this lecture course !

Inductive_Demo.thy

Inductively defined sets

inductive_set $I :: \tau$ *set* **where**

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Difference to **inductive**: I can later be used with set theoretic operators, eg $I \cup \dots$

Chapter 4

Isar: A Language for Structured Proofs

Apply scripts

- unreadable

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- unreadable
- hard to maintain

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No structure!

Apply scripts versus Isar proofs

Apply script = assembly language program

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Apply script = assembly language program

Isar proof = structured program with assertions

Apply scripts versus Isar proofs

Apply script = assembly language program

Isar proof = structured program with assertions

But: **apply** still useful for proof exploration

A typical Isar proof

```
proof  
  assume  $formula_0$   
  have  $formula_1$  by simp  
   $\vdots$   
  have  $formula_n$  by blast  
  show  $formula_{n+1}$  by ...  
qed
```


A typical Isar proof

proof

assume $formula_0$

have $formula_1$ **by** *simp*

\vdots

have $formula_n$ **by** *blast*

show $formula_{n+1}$ **by** \dots

qed

proves $formula_0 \implies formula_{n+1}$

Isar core syntax

proof = **proof** [method] step* **qed**
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fact = name | ...

Isar_Demo.thy

Isar by example

Further reading

- More detailed Isar introduction in Chapter 5 of "Concrete Semantics"
- Isabelle/Isar reference manual (`isar-ref.pdf`), in particular Chapter 6