

WHERE WE'RE AT

Domain theory ✓

Now is the time to ask questions!

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Denotational semantics for Pcf ←

Time to fire those Chekov's guns

PCF

PCF

TERMS AND TYPES

SYNTAX OF PCF

Types:

$$\tau ::= \text{nat} \mid \text{bool} \mid \tau \rightarrow \tau$$

SYNTAX OF PCF

let rec f (x: nat) : nat =
 . t.

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$\tau ::= \text{nat} \mid \text{bool} \mid \tau \rightarrow \tau$

fix(fun f : mat → mat .
 fun x : mat .
 t)

Terms:

$t ::= 0 \mid \text{succ}(t) \mid \text{pred}(t) \mid$
 $\text{true} \mid \text{false} \mid \text{zero?}(t) \mid \text{if } t \text{ then } t \text{ else } t$
 $x \mid \text{fun } x : \tau. t \mid t t \mid \text{fix}(t)$

SYNTAX OF PCF

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$$\tau ::= \text{nat} \mid \text{bool} \mid \tau \rightarrow \tau$$

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- λ -calculus + base types/functions + **fix**
- tiny ML (without references, ADTs, polymorphism...)

VARIABLES, SUBSTITUTIONS AND CONTEXTS

Variables: up to α -equivalence

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Substitution: $t[u/x]$

Contexts: \cdot and $\Gamma, x:\tau$

- partial maps from variable to types
- finite lists $x_1:\tau_1, \dots, x_n:\tau_n$

TYPING FOR PCF (I)

$\boxed{\Gamma \vdash t : \tau}$ The term t has type τ in context Γ

$$\text{ZERO} \quad \frac{}{\Gamma \vdash 0 : \text{nat}}$$

$$\text{SUCC} \quad \frac{\Gamma \vdash t : \text{nat}}{\Gamma \vdash \text{succ}(t) : \text{nat}}$$

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$$\text{TRUE} \quad \frac{}{\Gamma \vdash \text{true} : \text{bool}}$$

$$\text{FALSE} \quad \frac{}{\Gamma \vdash \text{false} : \text{bool}}$$

$$\text{ISZ} \quad \frac{\Gamma \vdash t : \text{nat}}{\Gamma \vdash \text{zero?}(t) : \text{bool}}$$

$$\text{IF} \quad \frac{\begin{array}{c} \Gamma \vdash b : \text{bool} \\ \Gamma \vdash t : \tau \quad \Gamma \vdash t' : \tau \end{array}}{\Gamma \vdash \text{if } b \text{ then } t \text{ else } t' : \tau}$$

Typing for PCF (II)

$$\text{VAR} \quad \frac{\Gamma(x) = \tau}{\Gamma \vdash x : \tau}$$

$$\text{FUN} \quad \frac{\Gamma, x:\sigma \vdash t : \tau}{\Gamma \vdash \text{fun } x:\sigma. t : \sigma \rightarrow \tau}$$

$$\text{APP} \quad \frac{\Gamma \vdash f : \sigma \rightarrow \tau \quad \Gamma \vdash u : \sigma}{\Gamma \vdash f u : \tau}$$

$$\text{FIX} \quad \frac{\Gamma \vdash f : \tau \rightarrow \tau}{\Gamma \vdash \text{fix}(f) : \tau}$$

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$$\text{PCF}_{\Gamma,\tau} \stackrel{\text{def}}{=} \{t \mid \Gamma \vdash t : \tau\}$$

$$\text{PCF}_\tau \stackrel{\text{def}}{=} \text{PCF}_{.,\tau}$$

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$$\text{PCF}_\tau \stackrel{\text{def}}{=} \text{PCF}_{.,\tau}$$

The **only** programs we care about!

If $\Gamma \vdash t : \tau$ and $\Gamma, x:\tau \vdash t' : \tau'$ both hold, then so does $\Gamma \vdash t'[t/x] : \tau'$.

PCF

OPERATIONAL SEMANTICS

PCF VALUES

Values:

$$v ::= \underbrace{0 \mid \text{succ}(v)}_n \mid \text{true} \mid \text{false} \mid \underbrace{\text{fun } x : \tau. t}_{\text{All functions } (< \text{fun } >)}$$

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We will only evaluate **closed term** to **values**.

PCF EVALUATION

$$\text{VAL} \frac{\vdash v : \tau}{v \Downarrow_{\tau} v}$$

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$$\text{ZEROZ} \frac{t \Downarrow_{\text{nat}} 0}{\text{zero?}(t) \Downarrow_{\text{bool}} \text{true}}$$

$$\text{ZEROS} \frac{t \Downarrow_{\text{nat}} \text{succ}(v)}{\text{zero?}(t) \Downarrow_{\text{bool}} \text{false}}$$

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$$\text{IFT} \frac{b \Downarrow_{\text{bool}} \text{true} \quad t_1 \Downarrow_{\tau} v}{\text{if } b \text{ then } t_1 \text{ else } t_2 \Downarrow_{\tau} v}$$

$$\text{IFF} \frac{b \Downarrow_{\text{bool}} \text{false} \quad t_2 \Downarrow_{\tau} v}{\text{if } b \text{ then } t_1 \text{ else } t_2 \Downarrow_{\tau} v}$$

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$$\text{FUN} \frac{t \Downarrow_{\sigma \rightarrow \tau} \text{fun } x : \sigma. t' \quad t'[u/x] \Downarrow_{\tau} v}{t u \Downarrow_{\tau} v}$$

$$\text{FIX} \frac{t (\text{fix}(t)) \Downarrow_{\tau} v}{\text{fix}(t) \Downarrow_{\tau} v}$$

EXAMPLES

```
plus   $\stackrel{\text{def}}{=}$  fun x:nat. fix(fun(p:nat → nat)(y:nat).  
           if zero?(y) then x else succ(p pred(y)))
```

```
plus 3 1 ↓nat 4
```

EVALUATION (I)

$$\text{FUN} \frac{\text{plus} \Downarrow \text{plus} \quad \text{plus}_3 \underline{1} \Downarrow \underline{4}}{\text{plus } \underline{3} \underline{1} \Downarrow_{\text{nat}} \underline{4}}$$

$\text{plus}_x \stackrel{\text{def}}{=} \text{fix}(\text{fun}(p: \text{nat} \rightarrow \text{nat})(y: \text{nat}).$
 $\quad \text{if zero?}(y) \text{ then } x \text{ else succ}(p \text{ pred}(y)))$

EVALUATION (I)

$$\text{FUN} \frac{\begin{array}{c} \text{plus} \Downarrow \text{plus} \quad \text{plus}_3 \underline{1} \Downarrow \underline{4} \\ \end{array}}{\text{plus} \underline{3} \underline{1} \Downarrow_{\text{nat}} \underline{4}}$$

$\text{plus}_x \stackrel{\text{def}}{=} \text{fix}(\text{fun}(p: \text{nat} \rightarrow \text{nat})(y: \text{nat}).$
 $\quad \quad \quad \text{if zero?}(y) \text{ then } x \text{ else succ}(p \text{ pred}(y)))$

$$\text{Fix} \frac{\begin{array}{c} \text{VAL} \frac{}{(\text{fun } p: \text{nat} \rightarrow \text{nat}. \dots) \Downarrow r} \quad \text{VAL} \frac{}{(\text{fun } y: \text{nat}. \dots)[p/\text{plus}_x] \Downarrow r_x} \\ \text{FUN} \frac{}{(\text{fun}(p: \text{nat} \rightarrow \text{nat})(y: \text{nat}). \dots) \text{ plus}_x \Downarrow r_x} \\ \text{plus}_x \Downarrow \underbrace{\text{fun } y: \text{nat}. \text{ if zero?}(y) \text{ then } x \text{ else succ}(\text{plus}_x \text{ pred}(y))}_{r_x} \end{array}}{}$$

EVALUATION (II)

$$\begin{array}{c}
 \text{plus}_3 \Downarrow r_3 \\
 \text{FUN} \quad \frac{\text{IFF} \quad \frac{\text{ZERO}_S \quad \frac{\text{VAL} \quad \frac{\underline{1} \Downarrow \underline{1}}{\text{zero?}(\underline{1}) \Downarrow \text{true} \cancel{\text{false}}} \quad \text{SUCC} \quad \frac{\text{plus}_3 \text{ pred}(\underline{1}) \Downarrow \underline{3}}{\text{succ}(\text{plus}_3 \text{ pred}(\underline{1})) \Downarrow \underline{4}}}{\text{if zero?}(\underline{1}) \text{ then } \underline{3} \text{ else succ}(\text{plus}_3 \text{ pred}(\underline{1})) \Downarrow \underline{4}}}{\text{plus}_3 \underline{1} \Downarrow_{\text{nat}} \underline{4}}
 \end{array}$$

PRED $\frac{\dots}{\text{pred}(\underline{1}) \Downarrow 0}$
 ZEROZ $\frac{\dots}{\text{zero?}(\text{pred}(\underline{1})) \Downarrow \cancel{\text{false}} \text{ true}}$
 ...
 plus₃ $\frac{\dots}{\text{pred}(\underline{1}) \Downarrow 3}$
 succ $\frac{\dots}{\text{succ}(\text{plus}_3 \text{ pred}(\underline{1})) \Downarrow 4}$

DIVERGENCE

Divergence ($t \uparrow\!\!\downarrow_{\tau}$):

$$t : \tau \quad \wedge \quad \nexists v. t \Downarrow_{\tau} v$$

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$$\Omega_{\tau} \stackrel{\text{def}}{=} \text{fix}(\text{fun } x : \tau. x)$$

$$\Omega_{\tau} \uparrow\!\!\uparrow_{\tau} \quad (\text{diverges})$$

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$\Omega_{\tau} \uparrow\!\!\uparrow_{\tau}$ (diverges)

$$\frac{\begin{array}{c} \mathcal{P} \\ \text{fun } x : \tau. x \Downarrow \text{fun } x : \tau. x \quad \text{fix}(\text{fun } x : \tau. x) \Downarrow v \\ \hline (\text{fun } x : \tau. x)(\text{fix}(\text{fun } x : \tau. x)) \Downarrow v \end{array}}{\text{fix}(\text{fun } x : \tau. x) \Downarrow v}$$

CALL-BY-NAME AND CALL-BY-VALUE

$$\text{FUN-CBN} \frac{t \Downarrow_{\sigma \rightarrow \tau} \text{fun } x : \sigma. t' \quad t'[u/x] \Downarrow_{\tau} v}{t u \Downarrow_{\tau} v \quad t'[v/x]}$$
$$\text{FUN-CBV} \frac{t \Downarrow_{\sigma \rightarrow \tau} \text{fun } x : \sigma. t' \quad t' \Downarrow_{\sigma} v'}{\cancel{t[u/x]} \Downarrow_{\tau} v}$$
$$t u \Downarrow_{\tau} v$$

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What does $(\text{fun } x : \text{nat}. \emptyset) \Omega_{\text{nat}}$ denote?

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What does $(\text{fun } x : \text{nat}. \emptyset) \Omega_{\text{nat}}$ denote?

In call-by-value, all functions are **strict**... but the least-fixed points of a strict function is **always \perp !**

SMALL-STEP SEMANTIC

Small-step $t \rightsquigarrow_{\tau} u$:

$$\frac{}{(\text{fun } x : \sigma. t) u \rightsquigarrow_{\tau} t[u/x]}$$

$$\frac{t \rightsquigarrow_{\sigma \rightarrow \tau} t'}{t u \rightsquigarrow_{\tau} t' u}$$

...

SMALL-STEP SEMANTIC

Small-step $t \rightsquigarrow_{\tau} u$:

$$\frac{}{(\text{fun } x : \sigma. t) u \rightsquigarrow_{\tau} t[u/x]}$$

$$\frac{t \rightsquigarrow_{\sigma \rightarrow \tau} t'}{t u \rightsquigarrow_{\tau} t' u}$$

...

We have $t \Downarrow_{\tau} v$ iff $t \rightsquigarrow_{\tau}^{\star} v$.

PCF is **Turing-complete**: for every partial recursive function ϕ , there is a PCF term $\underline{\phi} \in \text{PCF}_{\text{nat} \rightarrow \text{nat}}$ such that for all $n \in \mathbb{N}$, if $\phi(n)$ is defined then $\underline{\phi} \underline{n} \Downarrow_{\text{nat}} \underline{\phi(n)}$.

PcF is **Turing-complete**: for every partial recursive function ϕ , there is a PcF term $\underline{\phi} \in \text{PcF}_{\text{nat} \rightarrow \text{nat}}$ such that for all $n \in \mathbb{N}$, if $\phi(n)$ is defined then $\underline{\phi} \underline{n} \Downarrow_{\text{nat}} \underline{\phi(n)}$.

(Later on: $\phi = \llbracket \underline{\phi} \rrbracket$).

DETERMINISM

Evaluation in PCF is **deterministic**: if both $t \Downarrow_{\tau} v$ and $t \Downarrow_{\tau} v'$ hold, then $v = v'$.

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By (rule) induction on evaluation \Downarrow :

$$P(t, \tau, v) \stackrel{\text{def}}{=} \forall v' \in \text{PCF}_{\tau}. (t \Downarrow_{\tau} v' \Rightarrow v = v')$$

Intuition: there is always exactly one rule which applies.

PCF

CONTEXTUAL EQUIVALENCE

CONTEXTUAL EQUIVALENCE – INFORMAL

Two phrases of a programming language are **contextually equivalent** if any occurrences of the first phrase in a **complete program** can be replaced by the second phrase without affecting the **observable results** of executing the program.

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The intuitive notion of **program equivalence** for programmers.

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Two phrases of a programming language are **contextually equivalent** if any occurrences of the first phrase in a **complete program** can be replaced by the second phrase without affecting the **observable results** of executing the program.

The intuitive notion of **program equivalence** for programmers.

But what's a complete program? What's an observable result?

“Term with a hole”:

$$\begin{aligned} \mathcal{C} ::= & \quad - \mid \text{succ}(\mathcal{C}) \mid \text{pred}(\mathcal{C}) \mid \text{zero?}(\mathcal{C}) \mid \\ & \text{if } \mathcal{C} \text{ then } t \text{ else } t \mid \text{if } t \text{ then } \mathcal{C} \text{ else } t \mid \text{if } t \text{ then } t \text{ else } \mathcal{C} \mid \\ & \text{fun } x:\tau. \mathcal{C} \mid \mathcal{C} t \mid t \mathcal{C} \mid \text{fix}(\mathcal{C}) \end{aligned}$$

EVALUATION CONTEXTS

“Term with a hole”:

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Typing extended to evaluation contexts: $\Gamma \vdash_{\Delta, \sigma} \mathcal{C} : \tau$.

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Typing extended to evaluation contexts: $\Gamma \vdash_{\Delta, \sigma} \mathcal{C} : \tau$.

$$\frac{}{\Gamma \vdash_{\Gamma, \tau} - : \tau} \quad \frac{\Gamma \vdash_{\Delta, \sigma} \mathcal{C} : \tau_1 \rightarrow \tau_2 \quad \Gamma \vdash u : \tau_1}{\Gamma \vdash_{\Delta, \sigma} \mathcal{C} u : \tau_2} \quad \dots$$

Given a type τ , a typing context Γ and terms $t, t' \in \text{PCF}_{\Gamma, \tau}$, **contextual equivalence**, written $\Gamma \vdash t \cong_{\text{ctx}} t' : \tau$ is defined to hold if for all evaluation contexts \mathcal{C} such that $\cdot \vdash_{\Gamma, \tau} \mathcal{C} : \gamma$, where γ is **nat** or **bool**, and for all values $v \in \text{PCF}_\gamma$,

$$\mathcal{C}[t] \Downarrow_\gamma v \Leftrightarrow \mathcal{C}[t'] \Downarrow_\gamma v.$$

When Γ is the empty context, we simply write $t \cong_{\text{ctx}} t' : \tau$ for $\cdot \vdash t \cong_{\text{ctx}} t' : \tau$.

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$$C = \text{fun } x : M. \quad \frac{t = x}{C[x] \Downarrow_\gamma v \Leftrightarrow C[t] \Downarrow_\gamma v} \quad C[t'] \Downarrow_\gamma v \Leftrightarrow C[t'] \Downarrow_\gamma v.$$

When Γ is the empty context, we simply write $t \cong_{\text{ctx}} t' : \tau$ for $\cdot \vdash t \cong_{\text{ctx}} t' : \tau$.

Divergence is implicitly covered.

$$C = (\text{fun } x : \text{mat}. \quad -) \perp \quad C[C] \Downarrow \perp \quad C[C'] \Downarrow \perp$$

PCF

INTRODUCING DENOTATIONAL SEMANTICS

THE AIMS OF DENOTATIONAL SEMANTICS

- a mapping of Pcf types τ to domains $[\![\tau]\!]$;
- a mapping of closed, well-typed Pcf terms $\cdot \vdash t : \tau$ to elements $[\![t]\!] \in [\![\tau]\!]$;
- denotation of open terms will be continuous functions.

$$\Gamma \vdash t : \tau \quad \{ \quad [\![\Gamma]\!] \rightarrow [\![\tau]\!]$$

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- a mapping of PCF types τ to domains $[\![\tau]\!]$;
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- denotation of open terms will be continuous functions.

Compositionality: $[\![t]\!] = [\![t']\!] \Rightarrow [\![c[t]]\!] = [\![c[t']]\!]$.

Soundness: for any type τ , $t \Downarrow_{\tau} v \Rightarrow [\![t]\!] = [\![v]\!]$.

Adequacy: for $\gamma = \text{bool}$ or nat , if $t \in \text{PCF}_{\gamma}$ and $[\![t]\!] = [\![v]\!]$ then $t \Downarrow_{\gamma} v$.

$$\begin{array}{c} [\![\text{true}]\!] = \text{true} \\ \text{if } B \\ \hline \end{array}$$

ADEQUACY FOR FUNCTION TYPES?

$$\Gamma(\text{fun } y : \text{nat}. y) \not\in T = \bigcup_{T_0} [T_0]$$

$v \stackrel{\text{def}}{=} \text{fun } x : \text{nat}. (\text{fun } y : \text{nat}. y) 0$ and $v' \stackrel{\text{def}}{=} \text{fun } x : \text{nat}. 0.$