

# Type Systems

## Lecture 12: Introduction to the Theory of Dependent Types

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## Setting the stage

- In the last lecture, we introduced *dependent types*
- These are types which permit *program terms* to occur inside types
- This enables proving the correctness of programs through type checking

# Syntax of Dependent Types

Terms  $A, e ::= x$   
|  $\langle \rangle$  |  $1$   
|  $e e'$  |  $\lambda x : A. e$  |  $\Pi x : A. B$   
|  $\text{refl } e$  |  $\text{subst}[x : A. B](e, e')$  |  $(e = e' : A)$

Contexts  $\Gamma ::= \cdot$  |  $\Gamma, x : A$

- Types and expression grammars are merged
- Use judgements to decide whether something is a type or a term!

# Judgements of Dependent Type Theory

Judgement	Description
$\Gamma \vdash A \text{ type}$	$A$ is a type
$\Gamma \vdash e : A$	$e$ has type $A$
$\Gamma \vdash A \equiv B \text{ type}$	$A$ and $B$ are identical types
$\Gamma \vdash e \equiv e' : A$	$e$ and $e'$ are equal terms of type $A$
$\Gamma \text{ ok}$	$\Gamma$ is a well-formed context

# The Unit Type

Type Formation

$$\overline{\Gamma \vdash 1 \text{ type}}$$

Introduction

$$\overline{\Gamma \vdash \langle \rangle : 1}$$

(No Elimination)

# Function Types

## Type Formation

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, x : A \vdash B \text{ type}}{\Gamma \vdash \Pi x : A. B \text{ type}}$$

## Introduction

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, x : A \vdash e : B}{\Gamma \vdash \lambda x : A. e : \Pi x : A. B}$$

## Elimination

$$\frac{\Gamma \vdash e : \Pi x : A. B \quad \Gamma \vdash e' : A}{\Gamma \vdash e e' : [e'/x]B}$$

# Equality Types

## Type Formation

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma \vdash e : A \quad \Gamma \vdash e' : A}{\Gamma \vdash (e = e' : A) \text{ type}}$$

## Introduction

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash \text{refl } e : (e = e : A)}$$

## Elimination

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, x : A \vdash B \text{ type} \quad \Gamma \vdash e : (e_1 = e_2 : A) \quad \Gamma \vdash e' : [e_1/x]B}{\Gamma \vdash \text{subst}[x : A. B](e, e') : [e_2/x]B}$$

(Equality elimination not the most general form!)

$$\frac{x : A \in \Gamma}{\Gamma \vdash x : A} \text{VAR}$$

$$\frac{\Gamma \vdash e : A \quad \Gamma \vdash A \equiv B \text{ type}}{\Gamma \vdash e : B}$$



## What Is Judgmental Equality For?

$$\frac{\Gamma \vdash e : A \quad \Gamma \vdash A \equiv B \text{ type}}{\Gamma \vdash e : B}$$

- *THE* typing rule that makes dependent types expressive
- *THE* typing rule that makes dependent types difficult
- It enables computation inside of types

## Example of Judgemental Equality

```
1 data Vec (A : Set) : Nat → Set where
2   [] : Vec A z
3   _,_ : {n : Nat} → A → Vec A n → Vec A (s n)
4
5   _+_ : Nat → Nat → Nat
6   z   + m = m
7   s n + m = s (n + m)
8
9   append : {A : Set} → {n m : Nat} →
10          Vec A n → Vec A m → Vec A (n + m)
11   append [] ys = ys
12   append (x , xs) ys = (x , append xs ys)
```

## Example

Suppose we have:

1 `xs : Vec A (s (s z))`

2 `ys : Vec A (s (s z))`

3

4 `zs : Vec A (s (s (s (s z))))`

5 `zs = append xs ys`

- Why is this well-typed?
- The signature tells us  
`append xs ys : Vec A ((s (s z)) + (s (s z)))`
- This is well-typed because `(s (s z)) + (s (s z))` *evaluates to*  
`(s (s (s (s z))))`

# Judgmental Type Equality

$$\frac{}{\Gamma \vdash 1 \equiv 1 \text{ type}}$$
$$\frac{\Gamma \vdash A \equiv X \text{ type} \quad \Gamma, x : A \vdash B \equiv Y \text{ type}}{\Gamma \vdash \Pi x : A. B \equiv \Pi x : X. Y \text{ type}}$$
$$\frac{\Gamma \vdash e_1 : A \quad \Gamma \vdash e_2 : A \quad \Gamma \vdash e_1' : A' \quad \Gamma \vdash A \equiv A' \text{ type} \quad \Gamma \vdash e_1 \equiv e_1' : A \quad \Gamma \vdash e_2 \equiv e_2' : A}{\Gamma \vdash (e_1 = e_2 : A) \equiv (e_1' = e_2' : A') \text{ type}}$$

# Judgmental Term Equality: Equivalence Relation

$$\frac{\Gamma \vdash e : A}{\Gamma \vdash e \equiv e : A}$$

$$\frac{\Gamma \vdash e \equiv e' : A}{\Gamma \vdash e' \equiv e : A}$$

$$\frac{\Gamma \vdash e \equiv e' : A \quad \Gamma \vdash e' \equiv e'' : A}{\Gamma \vdash e \equiv e'' : A}$$

# Judgmental Term Equality: Congruence Rules

$$\frac{}{\Gamma \vdash \langle \rangle \equiv \langle \rangle : 1} \quad \frac{x : A \in \Gamma}{\Gamma \vdash x \equiv x : A} \quad \frac{\Gamma \vdash e_1 \equiv e'_1 : \Pi x : A. B \quad \Gamma \vdash e_2 \equiv e'_2 : A}{\Gamma \vdash e_1 e_2 \equiv e'_1 e'_2 : [e_1/x]B}$$
$$\frac{\Gamma \vdash A \equiv A' \text{ type} \quad \Gamma, x : A \vdash e \equiv e' : B}{\Gamma \vdash \lambda x : A. e \equiv \lambda x : A'. e' : \Pi x : A. B} \quad \frac{\Gamma \vdash e \equiv e' : A}{\Gamma \vdash \text{refl } e \equiv \text{refl } e' : (e = e : A)}$$
$$\frac{\Gamma, x : A \vdash B \equiv B' \text{ type} \quad \Gamma \vdash A \equiv A' \text{ type} \quad \Gamma \vdash e_1 \equiv e'_1 : (e = e' : A) \quad \Gamma \vdash e_2 \equiv e'_2 : [e/x]B}{\Gamma \vdash \text{subst}[x : A. B](e_1, e_2) \equiv \text{subst}[x : A'. B'](e'_1, e'_2) : [e'/x]B}$$

## Judgemental Equality: Conversion rules

$$\frac{\Gamma \vdash \lambda x : A. e : \Pi x : A. B \quad \Gamma \vdash e' : A \quad \Gamma \vdash [e'/x]e : [e'/x]B}{\Gamma \vdash (\lambda x : A. e) e' \equiv [e'/x]e : [e'/x]B}$$

$$\frac{\Gamma \vdash \text{subst}[x : A. B](\text{refl } e', e) : [e'/x]B \quad \Gamma \vdash e : [e'/x]B}{\Gamma \vdash \text{subst}[x : A. B](\text{refl } e', e) \equiv e : [e'/x]B}$$

$$\frac{\Gamma \vdash e \equiv e' : A \quad \Gamma \vdash A \equiv B \text{ type}}{\Gamma \vdash e \equiv e' : B}$$

$$\frac{}{\cdot \text{ ok}}$$
$$\frac{\Gamma \text{ ok} \quad \Gamma \vdash A \text{ type}}{\Gamma, x : A \text{ ok}}$$



**Lemma:** If  $\Gamma \vdash C$  type, then

1. If  $\Gamma, \Gamma' \vdash A$  type then  $\Gamma, z : C, \Gamma' \vdash A$  type
2. If  $\Gamma, \Gamma' \vdash e : A$  then  $\Gamma, z : C, \Gamma' \vdash e : A$
3. If  $\Gamma, \Gamma' \vdash A \equiv B$  type then  $\Gamma, z : C, \Gamma' \vdash A \equiv B$  type
4. If  $\Gamma, \Gamma' \vdash e \equiv e' : A$  then  $\Gamma, z : C, \Gamma' \vdash e \equiv e' : A$
5. If  $\Gamma, \Gamma'$  ok then  $\Gamma, z : C, \Gamma'$  ok

**Proof:** By mutual induction on derivations in 1-4, and a subsequent induction on derivations in 5

## Metatheory: Substitution

If  $\Gamma \vdash e' : C$ , then

1. If  $\Gamma, z : C, \Gamma' \vdash A$  type then  $\Gamma, [e'/z]\Gamma' \vdash [e'/z]A$  type
2. If  $\Gamma, z : C, \Gamma' \vdash e : A$  then  $\Gamma, [e'/z]\Gamma' \vdash [e'/z]e : [e'/z]A$
3. If  $\Gamma, z : C, \Gamma' \vdash A \equiv B$  type then  $\Gamma, [e'/z]\Gamma' \vdash [e'/z]A \equiv [e'/z]B$  type
4. If  $\Gamma, z : C, \Gamma' \vdash e_1 \equiv e_2 : A$  then  $\Gamma, [e'/z]\Gamma' \vdash [e'/z]e_1 \equiv [e'/z]e_2 : [e'/z]A$
5. If  $\Gamma, z : C, \Gamma'$  ok then  $\Gamma, [e'/z]\Gamma'$  ok

**Proof:** By mutual induction on derivations in 1-4, and a subsequent induction on derivations in 5

**Lemma:** If  $\Gamma \vdash C \equiv C'$  type then

1. If  $\Gamma, z : C, \Gamma' \vdash A$  type then  $\Gamma, z : C', \Gamma' \vdash A$  type
2. If  $\Gamma, z : C, \Gamma' \vdash e : A$  then  $\Gamma, z : C', \Gamma' \vdash e : A$
3. If  $\Gamma, z : C, \Gamma' \vdash A \equiv B$  type then  $\Gamma, z : C', \Gamma' \vdash A \equiv B$  type
4. If  $\Gamma, z : C, \Gamma' \vdash e_1 \equiv e_2 : A$  then  $\Gamma, z : C', \Gamma' \vdash e_1 \equiv e_2 : A$
5. If  $\Gamma, z : C, \Gamma'$  ok then  $\Gamma, z : C', \Gamma'$  ok

**Proof:** By mutual induction on derivations in 1-4, and a subsequent induction on derivations in 5

**Lemma:** If  $\Gamma$  ok then:

1. If  $\Gamma \vdash e : A$  then  $\Gamma \vdash A$  type.
2. If  $\Gamma \vdash A \equiv B$  type then  $\Gamma \vdash A$  type and  $\Gamma \vdash B$  type.

**Proof:** By mutual induction on the derivations.

## Reflections on Regularity

Calculus	Difficulty of Regularity Proof
STLC	Trivial
System F	Easy
Dependent Type Theory	A Lot of Work!

- Dependent types make all judgements mutually recursive
- Dependent types introduce new judgements (eg, judgemental equality)
- This makes establishing basic properties a lot of work

## Advice on Language Design

- In your career, you will probably design at least a few languages
- Even a configuration file with notion of variable is a programming language
- Much of the pain in programming is dealing with the “accidental languages” that grew up around bigger languages (eg, shell scripts, build systems, package manager configurations, etc)

# A Failure Mode

```
Lectures=1 2 3 4 5 6 7 8 9 10 11 12  
LectureNames=$(patsubst %, lec-%.pdf, ${Lectures})  
HandoutNames=$(patsubst %, lec-%-handout.pdf, ${Lectures})
```

```
lec-%-handout.pdf: lec-%.tex lec-%.pdf defs.tex  
  cat handout-header.tex $< > $(patsubst %.pdf, %.tex, $@)  
  xelatex -shell-escape $(patsubst %.pdf, %.tex, $@)  
  xelatex -shell-escape $(patsubst %.pdf, %.tex, $@)
```

- Observe the specialized variable bindings %, \$< etc
- Even ordinary variables \${foo} are recursive
- Makes it hard to read, and hard to remember!

# Takeaway Principles

The highest value ideas in this course are the most basic:

1. Figure out the abstract syntax tree up front
2. Design with contexts to figure out what variable scoping looks like
3. Sketch a substitution lemma to figure out if your notion of variable is right
4. Sketch a type safety argument