

**MPhil Advanced Computer Science**  
**Topics in Logic and Complexity**

Lent 2023

Anuj Dawar

Exercise Sheet 2

1. In the lecture we saw an illustration of a construction to show that *acyclicity* of graphs is not definable in first-order logic. Write out a proof of this result.

Prove that *acyclicity* is not definable in  $\text{Mon.}\Sigma_1^1$ . Is it definable in  $\text{Mon.}\Pi_1^1$ ?

2. Prove (using Hanf's theorem or otherwise) that 3-colourability of graphs is not definable in first-order logic.

Graph 3-colourability (and, indeed, 2-colourability) are definable in  $\text{Mon.}\Sigma_1^1$ . Can you show they are not definable in  $\text{Mon.}\Pi_1^1$ ? Are they definable in *universal second-order logic*?

3. Prove the lemma stated in the lecture that any formula that is positive in the relation symbol  $R$  defines a monotone operator.

4. Prove that the formula of LFP  $\neg[\mathbf{lfp}_{R,\mathbf{x}}\neg\phi(R/\neg R)](\mathbf{x})$ , where  $\phi(R/\neg R)$  denotes the result of replacing all occurrences of  $R$  in  $\phi$  by  $\neg R$ , defines the greatest fixed point of the operator defined by  $\phi$ .

5. In the lectures, we saw how definitions by simultaneous induction can be replaced by a single application of the **lfp** operator. In this exercise, you are asked to show the same for *nested* applications of the **lfp** operator.

Suppose  $\phi(\mathbf{x}, \mathbf{y}, S, T)$  is a formula in which the relational variables  $S$  (of arity  $s$ ) and  $T$  (of arity  $t$ ) only appear positively, and  $\mathbf{x}$  and  $\mathbf{y}$  are tuples of variables of length  $s$  and  $t$  respectively. Show that (for any  $t$ -tuple of terms  $\mathbf{t}$ ) the predicate expression

$$[\mathbf{lfp}_{S,\mathbf{x}}([\mathbf{lfp}_{T,\mathbf{y}}\phi](\mathbf{t}))]$$

is equivalent to an expression with just one application of **lfp**.

6. Consider a vocabulary consisting of two unary relations  $P$  and  $O$ , one binary relation  $E$  and two constants  $s$  and  $t$ . We say that a structure  $\mathbb{A} = (A, P, O, E, s, t)$  in this vocabulary is an *arena* if  $P \cup O = A$  and  $P \cap O = \emptyset$ . That is,  $P$  and  $O$  partition the universe into two disjoint sets.

An arena defines the following game played between a *player* and an *opponent*. The game involves a *token* that is initially placed on the element  $s$ . At each move, if the token is currently on an element of  $P$  it is *player* who plays and if it is on an element of  $O$ , it is *opponent* who plays. At each move, if the token is on an element  $a$ , the one who plays chooses an element  $b$  such that  $(a, b) \in E$  and moves the token from  $a$  to  $b$ . If the token reaches  $t$  at any point then *player* has won the game.

We define **GAME** to be the class of arenas for which *player* has a strategy for winning the game. Note that in an arena  $\mathbb{A} = (A, P, O, E, s, t)$ , *player* has a strategy to win from an element  $a$  if *either*  $a \in P$  and there is some move from  $a$  so that *player* still has a strategy to win after that move *or*  $a \in O$  and for every move from  $a$ , *player* can win after that move.

- (a) Give a sentence of LFP that defines the class of structures **GAME**.

We say that a collection  $\mathcal{C}$  of decision problems is *closed under logarithmic space reductions* if whenever  $A \in \mathcal{C}$  and  $B \leq_L A$  (i.e.  $B$  is reducible to  $A$  by a logarithmic-space reduction) then  $B \in \mathcal{C}$ .

The class of structures **GAME** defined above is known to be P-complete under logarithmic-space reductions.

- (b) Explain why this, together with (a) implies that the class of problems definable in LFP is *not* closed under logarithmic-space reductions.

7. Give a sentence of LFP that defines the class of linear orders with an even number of elements.
8. The *directed graph reachability problem* is the problem of deciding, given a structure  $(V, E, s, t)$  where  $E$  is an arbitrary binary relation on  $V$ , and  $s, t \in V$ , whether  $(s, t)$  is in the reflexive-transitive closure of  $E$ . This problem is known to be decidable in NL.

Transitive closure logic is the extension of first-order logic with an operator **tc** which allows us to form formulae

$$\phi \equiv [\mathbf{tc}_{\mathbf{x}, \mathbf{y}} \psi](\mathbf{t}_1, \mathbf{t}_2)$$

where  $\mathbf{x}$  and  $\mathbf{y}$  are  $k$ -tuples of variables and  $\mathbf{t}_1$  and  $\mathbf{t}_2$  are  $k$ -tuples of terms, for some  $k$ ; and all occurrences of variables  $\mathbf{x}$  and  $\mathbf{y}$  in  $\psi$  are bound in  $\phi$ . The semantics is given by saying, if  $\mathbf{a}$  is an interpretation for the free variables of  $\phi$ , then  $\mathcal{A} \models \phi[\mathbf{a}]$  just in case  $(\mathbf{t}_1^{\mathbf{a}}, \mathbf{t}_2^{\mathbf{a}})$  is in the reflexive-transitive closure of the binary relation defined by  $\psi(\mathbf{x}, \mathbf{y})$  on  $A^k$ .

- (a) Show that any class of structures definable by a sentence  $\phi$ , as above, where  $\psi$  is first-order, is decidable in NL.
- (b) Show that, if  $K$  is an isomorphism-closed class of structures in a relational signature including  $<$ , such that each structure in  $K$  interprets  $<$  as a linear order and

$$\{[\mathcal{A}]_{<} \mid \mathcal{A} \in K\}$$

is decidable in NL, then there is a sentence of transitive-closure logic that defines  $K$ .

9. For a binary relation  $E$  on a set  $A$ , define its *deterministic transitive closure* to be the set of pairs  $(a, b)$  for which there are  $c_1, \dots, c_n \in A$  such

that  $a = c_1$ ,  $b = c_n$  and for each  $i < n$ ,  $c_{i+1}$  is the *unique* element of  $A$  with  $(c_i, c_{i+1}) \in E$ .

Let DTC denote the logic formed by extending first-order logic with an operator **dtc** with syntax analogous to **tc** above, where  $[\mathbf{dte}_{\mathbf{x}, \mathbf{y}} \psi]$  defines the deterministic transitive closure of  $\psi(\mathbf{x}, \mathbf{y})$ .

- (a) Show that every sentence of DTC defines a class of structures decidable in L.
- (b) Show that, if  $K$  is an isomorphism-closed class of structures in a relational signature including  $<$ , such that each structure in  $K$  interprets  $<$  as a linear order and

$$\{[\mathcal{A}]_< \mid \mathcal{A} \in K\}$$

is decidable in L, then there is a sentence of DTC that defines  $K$ .

- 10. The *structure homomorphism problem* for a relational structure  $\sigma$  (with no function or constant symbols) is the problem of deciding, given two  $\sigma$ -structures  $\mathbb{A}$  and  $\mathbb{B}$  whether there is a homomorphism  $\mathbb{A} \rightarrow \mathbb{B}$ .
  - (a) Show that if  $\sigma$  contains only unary relations, then the structure homomorphism problem for  $\sigma$  is decidable in polynomial time.
  - (b) Show that if  $\sigma$  contains a relation of arity 2 or more, then the structure homomorphism problem for  $\sigma$  is NP-complete.

- 11. Schaefer's theorem (Handout 5, page 12) gives six conditions under which  $\text{CSP}(\mathbb{B})$  is in P, for  $\mathbb{B}$  a structure on domain  $\{0, 1\}$ . For each of the six conditions, show that indeed any  $\text{CSP}(\mathbb{B})$  is in P.

For the first five conditions, it is also the case that  $\text{CSP}(\mathbb{B})$  is definable in LFP. Prove this.

- 12. We saw (Handout 6, page 3) that for any  $\mathbb{B}$ ,  $\text{CSP}(\mathbb{B})$  is definable in MSO. Write out an MSO formula for 3-SAT as defined on page 9 of Handout 5.
- 13. Prove that if  $\text{CSP}(\mathbb{B})$  has bounded width, it is definable in LFP.
- 14. We saw in the lecture that  $\text{CSP}(K_2)$  has width 3. Prove that  $\text{CSP}(K_3)$  does not have width 3. (*Hint*: Consider the graph  $K_4$ ).