# Advanced Topics in Category Theory

Lectured by Marcelo Fiore and Jamie Vicary

Department of Computer Science, University of Cambridge Lent Term 2023

#### **Topics.** We will cover these topics:

- Monoidal categories
- Higher categories
- Graphical calculus
- Linear structure
- Duality
- Monoids and comonoids
- Frobenius and Hopf structures

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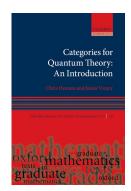
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**Class Hand-In.** On Moodle by 9am the day before the class.



# Chapter 0

**Basic ideas** 

### **Basic ideas**

Chapter 0 of the notes covers some simple topics that are a good background for the course:

- Section 0.1: Category theory
- Section 0.2: Hilbert spaces
- Section 0.3: Quantum information

We will cover in the lectures everything that we need directly, but you may find these sections useful if you have not studied these topics before.

# Chapter 1

**Monoidal categories** 

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- physical systems, and physical processes governing them;
- data types, and algorithms manipulating them;
- algebraic structures, and structure-preserving functions;
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#### Monoidal category theory adds the idea of *parallelism*:

- independent physical systems evolve simultaneously;
- running computer algorithms in parallel;
- products or sums of algebraic or geometric structures;
- using separate proofs of *P* and *Q* to construct a proof of the conjunction (*P* and *Q*).

#### 7/313

## 1.1 Monoidal structure

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- How do we treat trivial systems?
- What should the relationship be between  $A \otimes B$  and  $B \otimes A$ ?

#### 8/313

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• and a right unitor natural isomorphism

$$A \otimes I \xrightarrow{\rho_A} A$$
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This data must satisfy the *triangle* and *pentagon* equations, for all objects *A*, *B*, *C* and *D*:

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\left((A \otimes B) \otimes C\right) \otimes D & & A \otimes \left(B \otimes (C \otimes D)\right) \\
& \xrightarrow{\alpha_{A \otimes B,C,D}} \left(A \otimes B\right) \otimes \left(C \otimes D\right) & \xrightarrow{\alpha_{A,B,C \otimes D}}
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To appreciate this, try to prove  $\lambda_I = \rho_I$  (see exercises.)

#### 10/313

## 1.1 Monoidal structure

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**Definition 1.4**. The monoidal structure on the category **Set**, and also by restriction on **FSet**, is defined as follows:

• the tensor product is Cartesian product of sets, written  $\times$ , acting on functions  $A \xrightarrow{f} B$  and  $C \xrightarrow{g} D$  as  $(f \times g)(a,c) = (f(a);g(c))$ 

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Other tensor products exist, but this one plays a canonical role in our interpretation of classical reality.

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**Theorem 1.7** (Interchange). Any morphisms  $A \xrightarrow{f} B$ ,  $B \xrightarrow{g} C$ ,  $D \xrightarrow{h} E$  and  $E \xrightarrow{j} F$  in a monoidal category satisfy the interchange law:

$$(g \circ f) \otimes (j \circ h) = (g \otimes j) \circ (f \otimes h)$$

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**Proof.** This holds because of properties of the category  $C \times C$ , and from the fact that  $\otimes : C \times C \to C$  is a functor:

$$(g \circ f) \otimes (j \circ h) \equiv \otimes (g \circ f, j \circ h)$$

$$= \otimes ((g, j) \circ (f, h)) \qquad \text{(composition in } \mathbf{C} \times \mathbf{C})$$

$$= (\otimes (g, j)) \circ (\otimes (f, h)) \qquad \text{(functoriality of } \otimes)$$

$$= (g \otimes j) \circ (f \otimes h)$$

Remember the functoriality property:  $F(g \circ f) = F(g) \circ F(f)$ .

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For morphisms  $A \xrightarrow{f} B$  and  $C \xrightarrow{g} D$ , we draw their tensor product  $A \otimes C \xrightarrow{f \otimes g} B \otimes D$  like this:

$$\begin{array}{c|cc}
B & D \\
\hline
f & g \\
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The idea is that *f* and *g* represent distinct processes taking place at the same time.

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Inputs are drawn at the bottom, and outputs are drawn at the top; in this sense, "time" runs upwards.

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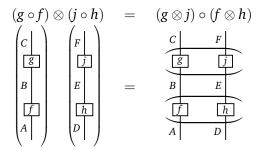


The coherence of  $\alpha$ ,  $\lambda$  and  $\rho$  is essential for the graphical calculus to function. Since there can only be a single morphism built from their components of any given type, it *doesn't matter* that their graphical calculus encodes no information.

Now let's look at the interchange law (1.4):

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$$\begin{pmatrix} C \\ g \\ B \\ A \end{pmatrix} \begin{pmatrix} F \\ j \\ E \\ h \\ D \end{pmatrix} = \begin{pmatrix} G \\ g \\ j \\ A \end{pmatrix} \begin{pmatrix} F \\ j \\ B \\ A \end{pmatrix} \begin{pmatrix} G \\ G \\ D \end{pmatrix}$$

Graphically it's trivial.

The apparent complexity of the theory of monoidal categories— $\alpha$ ,  $\lambda$ ,  $\rho$ , coherence, interchange—was in fact complexity of the *geometry of the plane*. So when we use a geometrical notation, the complexity vanishes.

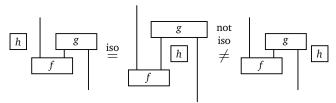
Two diagrams are *planar isotopic* when one can be deformed continuously into the other, such that:

- diagrams remain confined to a rectangular region of the plane;
- input and output wires terminate at the lower and upper boundaries of the rectangle;
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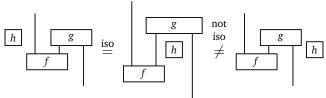
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Here are examples of isotopic and non-isotopic diagrams:



We will allow heights of the diagrams to change, and allow input and output wires to slide horizontally along the boundary, although they must never change order.

We can now state the correctness theorem.

**Theorem 1.8** (Correctness of the graphical calculus for monoidal categories). A well-formed equation between morphisms in a monoidal category follows from the axioms if and only if it holds in the graphical language up to planar isotopy.

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Let f and g be morphisms such that the equation f = g is well-formed, and consider the following statements:

- P(f,g) = 'under the axioms of a monoidal category, f=g'
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Completeness is the reverse assertion, that for all such f and g,  $Q(f,g) \Rightarrow P(f,g)$ . It is hard to prove; one must show that planar isotopy is generated by a finite set of moves, each being implied by the monoidal axioms.

The category **Hilb** has a canonical monoidal structure, given by quantum theory.

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**Definition 1.3**. The monoidal structure on the category **Hilb**, and also by restriction on **FHilb**, is defined in the following way:

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- **associators**  $(H \otimes J) \otimes K \xrightarrow{\alpha_{H,J,K}} H \otimes (J \otimes K)$  are the unique linear maps satisfying  $(u \otimes v) \otimes w \mapsto u \otimes (v \otimes w)$  for all  $u \in H$ ,  $v \in J$  and  $w \in K$ ;

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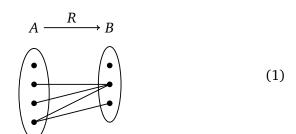
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**Definition 0.4**. Given sets *A* and *B*, a *relation*  $A \xrightarrow{R} B$  is a subset  $R \subseteq A \times B$ .

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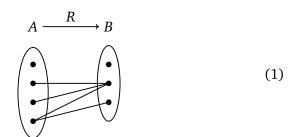
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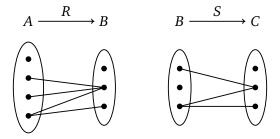
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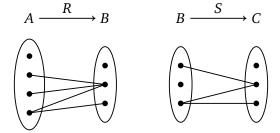


This is nondeterministic, because an element of *A* can be related to more than one element of *B*, or to none.

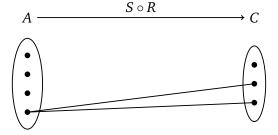
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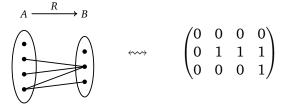
Suppose we have a pair of head-to-tail relations:



Then our interpretation gives a natural notion of composition:



We can write relations as (0,1)-valued matrices:



Composition of relations is then ordinary matrix multiplication, with logical disjunction (OR) and conjunction (AND) for + and  $\times$ .

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## 1.1 Monoidal structure

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Define the category **FRel** to be the restriction of **Rel** to finite sets.

While **Set** is a setting for classical physics, and **Hilb** is a setting for quantum physics, **Rel** is somewhere in the middle.

It seems like **Rel** should be a lot like **Set**, but we will discover it behaves a lot more like **Hilb**.

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**Definition 1.5**. The monoidal structure on the category **Rel** is defined in the following way:

• the tensor product is Cartesian product of sets, written  $\times$ , acting on relations  $A \xrightarrow{R} B$  and  $C \xrightarrow{S} D$  by setting  $(a,c)(R \times S)(b,d)$  if and only if aRb and cSd;

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The Cartesian product is *not* a categorical product in **Rel**, so although this monoidal structure looks like that of **Set**, it is more similar to the structure on **Hilb**.

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We draw a state  $I \stackrel{a}{\rightarrow} A$  like this:



**Example 1.11**. Let's examine the states in our example categories.

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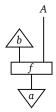
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We can use states, effects and other morphisms to build up interesting diagrams, which give 'histories' for a family of systems:



We can interpret an effect as a *property observation* of a system. Overall this composite gives a state of *A*.

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**Definition 1.13**<sub>1</sub> A joint state  $I \xrightarrow{c} A \otimes B$  is a *product state* when it is of the form  $I \xrightarrow{\lambda_I} I \otimes I \xrightarrow{a \otimes b} A \otimes B$ :

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**Definition 1.13**. A joint state is *entangled* when it is not a product state.

**Example 1.14**. Let's investigate joint states, product states, and entangled states in our example categories.

- In **Hilb**:
  - **joint states** of *H* and *K* are elements of  $H \otimes K$ ;
  - product states are factorizable states;
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- In Rel:
  - **joint states** of *A* and *B* are subsets of  $A \times B$ ;
  - **product states** are subsets  $U \subseteq A \times B$  such that, for some  $V \subseteq A$  and  $W \subseteq B$ ,  $(v, w) \in U$  if and only if  $v \in V$ ,  $w \in W$ ;
  - entangled states are subsets that aren't of this form.

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satisfying the following hexagon equations:

$$A \otimes (B \otimes C) \xrightarrow{\sigma_{A,B} \otimes C} (B \otimes C) \otimes A \qquad (A \otimes B) \otimes C \xrightarrow{\sigma_{A \otimes B,C}} C \otimes (A \otimes B)$$

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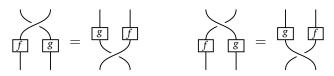


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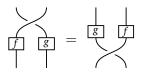
Invertibility takes the following graphical form:



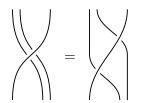
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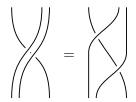


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The hexagon equations look like this:





So braiding with a tensor product of two objects is the same as braiding with one then the other separately.

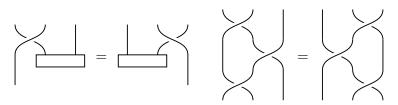
Braided monoidal categories have a sound and complete graphical calculus, as established by the following theorem.

**Theorem 1.18** (Correctness of graphical calculus for braided monoidal categories). A well-formed equation between morphisms in a braided monoidal category follows from the axioms if and only if it holds in the graphical language up to 3-dimensional isotopy.

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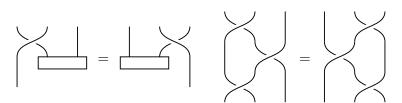
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The coherence theorem is very powerful. Try to show that the following equations hold (Exercise 1.4.4):



The second equation is called the *Yang–Baxter equation*, which plays an important role in the mathematical theory of knots.

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**Definition 1.19**. The monoidal categories **Hilb**, **Set** and **Rel** can all be equipped with a canonical braiding.

• In **Hilb**,  $H \otimes K \xrightarrow{\sigma_{H,K}} K \otimes H$  is the unique linear map extending  $a \otimes b \mapsto b \otimes a$  for all  $a \in H$  and  $b \in K$ .

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# 1.2 Braiding and symmetry

In Hilb, Rel and Set, the braidings satisfy an extra property.

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**Definition 1.20**. A braided monoidal category is *symmetric* when

$$\sigma_{B,A} \circ \sigma_{A,B} = \mathrm{id}_{A \otimes B}$$

for all objects A and B, in which case we call  $\sigma$  the *symmetry*.

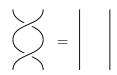
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**Lemma 1.21.** In a symmetric monoidal category  $\sigma_{A,B} = \sigma_{B,A}^{-1}$ , with the following graphical representation:

$$> := > = > <$$

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**Theorem.** Not every monoidal category is monoidally equivalent to a strict monoidal skeletal category.

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**Definition 0.36**. The skeletal category  $Mat_{\mathbb{C}}$  is defined as follows:

• **objects** are natural numbers 0, 1, 2, . . .;

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**Definition 1.27.** A monoidal functor  $F \colon \mathbf{C} \to \mathbf{D}$  between monoidal categories is a functor equipped with natural isomorphisms

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### 1.3 Coherence

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**Proof.** We define *R* like this:

$$R(n) := \mathbb{C}^n$$
 $R(n \xrightarrow{f} m) := f$  as a linear map
 $(R_2)_{m,n} : |i\rangle \otimes |j\rangle \mapsto |ni + j\rangle$ 
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**Proof sketch.** Let **C** be a monoidal category, and define **D** like this:

• an object is  $F: \mathbf{C} \to \mathbf{C}$  equipped with a natural isomorphism

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• a morphism  $(F, \gamma) \rightarrow (F', \gamma')$  is  $\theta : F \Longrightarrow F'$  such that:

$$F(A) \otimes B \xrightarrow{\gamma_{A,B}} F(A \otimes B)$$

$$\theta_A \otimes \mathrm{id}_B \downarrow \qquad \qquad \downarrow \theta_{A \otimes B}$$

$$F'(A) \otimes B \xrightarrow{\gamma'_{A,B}} F'(A \otimes B)$$

#### Proof sketch (continued).

• the tensor product is  $(F, \gamma) \otimes (F', \gamma') := (F \circ F', \delta)$ , where  $\delta$  is

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We can then calculate these products:

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Now build a monoidal functor  $L \colon \mathbf{C} \to \mathbf{D}$  in the following way:

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Finally, restrict  $\mathbf{D}$  to the strict monoidal subcategory containing objects isomorphic to those in the image of L. Then L is a monoidal equivalence of  $\mathbf{C}$  with a strict monoidal category.

The final topic in this chapter is *coherence*: any well-formed equation built from  $\alpha$ ,  $\alpha^{-1}$ ,  $\lambda$ ,  $\lambda^{-1}$ ,  $\rho$ ,  $\rho^{-1}$ , id,  $\otimes$  and  $\circ$  holds.

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An equation is *well-formed* when it does not make use of any 'accidental equalities' of objects. For example, suppose that  $(A \otimes A) \otimes A = A \otimes (A \otimes A) = A$ . Then

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To make this precise, let a *bracketing* be a fixed way to bracket a list of objects of a given length, including empty brackets. For example, we could define the following bracketings v, w:

$$v(A, B, C, D) = ((A \otimes B) \otimes ()) \otimes (C \otimes D)$$
  
$$w(A, B, C, D) = (() \otimes (A \otimes (B \otimes C))) \otimes (() \otimes (() \otimes D))$$

Then we can consider transformations of bracketings  $\theta, \theta' : \nu \Rightarrow \mu$ .

We now give a proof of the coherence theorem.

**Theorem 1.39** (Coherence for monoidal categories). Let v, w be bracketings; then any two transformations  $\theta, \theta' \colon v \Rightarrow w$  built from  $\alpha, \alpha^{-1}, \lambda, \lambda^{-1}, \rho, \rho^{-1}$ , id,  $\otimes$ , and  $\circ$  are equal.

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**Proof.** We can define a canonical morphism

$$\nu(L(A),\ldots,L(Z)) \xrightarrow{L_{\nu}} L(\nu(A,\ldots,Z))$$

using the fact that L is a monoidal functor, and similarly for w.

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\nu(L(A),\ldots,L(Z)) \xrightarrow{\theta_{(L(A),\ldots,L(Z))}} w(L(A),\ldots,L(Z)) \\
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But  $\theta_{(L(A),\dots,L(Z))}=\theta'_{(L(A),\dots,L(Z))}=\operatorname{id}!$  So  $L(\theta_{(A,\dots,Z)})=L(\theta'_{(A,\dots,Z)}),$  and hence  $\theta_{(A,\dots,Z)}=\theta'_{(A,\dots,Z)},$  since L is faithful.  $\square$ 

# Chapter 2

Linear structure

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# 2.1 Scalars

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We can use this to replicate linear algebra in any monoidal category.

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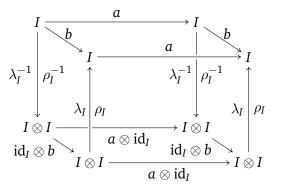
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**Proof.** Consider the following diagram, for any two scalars  $I \xrightarrow{a,b} I$ :



The four side cells use naturality of  $\lambda_I$  and  $\rho_I$ , the bottom cell commutes by the interchange law, and the vertical arrows use coherence. Hence we have ab = ba.

We draw a scalar  $I \stackrel{a}{\rightarrow} I$  as a circle:

(a)

(2)

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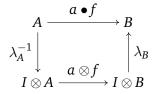
The diagrams are isotopic, so it follows from correctness of the graphical calculus that scalars are commutative.

Again, a nontrivial property of monoidal categories follows straightforwardly from the graphical calculus.

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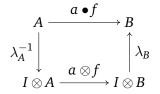
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**Lemma 2.6** (Scalar multiplication). In a monoidal category, the following properties hold for scalars  $I \xrightarrow{a,b} I$  and morphisms  $A \xrightarrow{f} B$ ,  $B \xrightarrow{g} C$ :

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- In **Set**, scalar multiplication is trivial: if  $A \xrightarrow{f} B$  is a function, then id<sub>1</sub> f = f is again the same function.
- In **Rel**: for any relation  $A \xrightarrow{R} B$ , true R = R, and false  $R = \emptyset$ .

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**Lemma 2.9**. Initial, terminal and zero objects are unique up to unique isomorphism.

**Lemma 2.10**. Composition with a zero morphism always gives a zero morphism; that is, for any objects A, B and C, and any morphism  $A \xrightarrow{f} B$ , we have the following:

$$f \circ 0_{C,A} = 0_{C,B} \qquad \qquad 0_{B,C} \circ f = 0_{A,C}$$

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- In **Set**, the empty set is an initial object, and the one-element set is a terminal object. As they are not isomorphic, **Set** cannot have a zero object.

**Definition 2.12.** An operation  $(f,g) \mapsto f + g$ , that is defined for morphisms  $A \xrightarrow{f,g} B$  between any objects A and B, is a *superposition rule* if it has the following properties:

• Commutativity:

$$f + g = g + f$$

• Associativity:

$$(f+g) + h = f + (g+h)$$

• Units: for all A, B there is a unit morphism  $A \xrightarrow{u_{A,B}} B$  such that:

$$f + u_{A,B} = f$$

• Addition is compatible with composition:

$$(g+g') \circ f = (g \circ f) + (g' \circ f)$$
$$g \circ (f+f') = (g \circ f) + (g \circ f')$$

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**Lemma 2.14**. In a category with a zero object and a superposition rule,  $u_{A,B} = 0_{A,B}$  for any objects A and B.

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**Lemma 2.14**. In a category with a zero object and a superposition rule,  $u_{A,B} = 0_{A,B}$  for any objects A and B.

**Proof.** Since units are compatible with composition,  $u_{A,B} = u_{0,B} \circ u_{A,0}$ . But by definition of zero morphisms, this equals  $0_{A,B}$ .

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We can see this is true for our example categories.

**Lemma 2.15**. If a monoidal category has a zero object and a superposition rule, its scalars form a *commutative semiring with an absorbing zero*:

$$(a+b)c = ac + bc$$

$$a(b+c) = ab + ac$$

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- In **Hilb**, the scalar semiring is the field  $\mathbb C$  with its usual multiplication and addition.
- In **Rel**, it is the Boolean semiring {true, false}, with multiplication given by logical conjunction (AND) and addition given by logical disjunction (OR).

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$$0 \xrightarrow{\lambda_0^{-1}} I \otimes 0 \xrightarrow{0_{I,0} \otimes id_0} 0 \otimes 0$$
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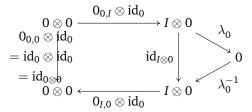
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Composing in one direction we obtain a morphism of type  $0 \rightarrow 0$ , necessarily the identity. The other composite is also the identity:



This completes the proof.

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This generalizes to an arbitrary finite number of objects.

**Lemma 2.19**. If  $A \oplus B$  is a biproduct with structure maps

$$A \xrightarrow{i_A} A \oplus B \xleftarrow{i_B} B$$
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**Proof.** We will verify the universal property for products. Let  $X \xrightarrow{f} A$  and  $X \xrightarrow{g} B$  be arbitrary morphisms. Make the following definition:

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Then we compute as follows (and similarly for  $p_B$ ):

$$p_A \circ {f \choose g} = p_A \circ (i_A \circ f + i_B \circ g)$$
  
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Now suppose  $X \xrightarrow{x} A \oplus B$  satisfies  $p_A \circ x = f$  and  $p_B \circ x = g$ :

$$x = (i_A \circ p_A + i_B \circ p_B) \circ x = i_A \circ p_A \circ x + i_B \circ p_B \circ x = i_A \circ f + i_B \circ g$$

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So *x* is unique satisfying these constraints. The coproduct proof is the same, just with all the arrows reversed.

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- In **Rel**, the disjoint union  $A \sqcup B$  of sets provides biproducts. Projections  $A \sqcup B \to A$  and  $A \sqcup B \to B$  are given by  $a \sim a$  and  $b \sim b$ . Injections  $A \to A \sqcup B$  and  $B \to A \sqcup B$  are given by  $a \sim a$  and  $b \sim b$ .

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**Lemma 2.21** (Unique superposition). If a category has biproducts and a zero object, then it has a unique superposition rule.

**Proof.** Write + and  $\boxplus$  for the two superposition rules, and use a biproduct structure  $A \xrightarrow{i_1,i_2} A \oplus A \xrightarrow{p_1,p_2} A$ . Then for  $A \xrightarrow{\hat{f},g} B$ :

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**Lemma 2.21** (Unique superposition). If a category has biproducts and a zero object, then it has a unique superposition rule.

**Proof.** Write + and  $\boxplus$  for the two superposition rules, and use a biproduct structure  $A \xrightarrow{i_1,i_2} A \oplus A \xrightarrow{p_1,p_2} A$ . Then for  $A \xrightarrow{f,g} B$ :

$$f + g = (f \boxplus 0_{A,B}) + (0_{A,B} \boxplus g)$$

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Note we don't actually use the full biproduct structure.

In a category with biproducts, we can use a matrix notation. For example, given  $A \xrightarrow{f} C$ ,  $A \xrightarrow{g} D$ ,  $B \xrightarrow{h} C$  and  $B \xrightarrow{j} D$ , we can write

$$A \oplus B \xrightarrow{\begin{pmatrix} f & h \\ g & j \end{pmatrix}} C \oplus D$$

as shorthand for the following map:

$$A \oplus B \xrightarrow{(i_C \circ f \circ p_A) + (i_D \circ g \circ p_A) + (i_C \circ h \circ p_B) + (i_D \circ j \circ p_B)} C \oplus D$$

Matrices with any finite number of rows and columns are defined in a similar way.

**Lemma 2.26** (Matrix representation). Every morphism  $\bigoplus_{m=1}^{M} A_m \xrightarrow{f} \bigoplus_{n=1}^{N} B_n$  has a matrix representation.

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**Proof.** We construct a matrix representation explicitly, for clarity just in the case when the source and target are biproducts of two objects only:

$$f = \mathrm{id}_{C \oplus D} \circ f \circ \mathrm{id}_{A \oplus B}$$

$$= ((i_C \circ p_C) + (i_D \circ p_D)) \circ f \circ ((i_A \circ p_A) + (i_B \circ p_B))$$

$$= i_C \circ (p_C \circ f \circ i_A) \circ p_A + i_C \circ (p_C \circ f \circ i_B) \circ p_B$$

$$+ i_D \circ (p_D \circ f \circ i_A) \circ p_A + i_D \circ (p_D \circ f \circ i_B) \circ p_B$$

$$= \begin{pmatrix} p_C \circ f \circ i_A & p_C \circ f \circ i_B \\ p_D \circ f \circ i_A & p_D \circ f \circ i_B \end{pmatrix}$$

This gives an explicit matrix representation for f. The general case is similar.

Composition of matrices is just like ordinary matrix composition, except with morphism composition instead of multiplication:

$$\begin{pmatrix} s & p \\ q & r \end{pmatrix} \circ \begin{pmatrix} f & g \\ h & j \end{pmatrix} = \begin{pmatrix} (s \circ f) + (p \circ h) & (s \circ g) + (p \circ j) \\ (q \circ f) + (r \circ h) & (q \circ g) + (r \circ j) \end{pmatrix}$$

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**Example 2.29.** Consider matrices in our example categories.

- In **Hilb**, the matrix notation gives block matrices between direct sums of Hilbert spaces, and ordinary matrix multiplication.
- In **Rel**, we can think of relations as {false, true}—valued matrices, as explored in Section 0.1.3.

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#### 2.3 Dagger structure

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Also, we can recover the inner products from this functor:

$$(\mathbb{C} \xrightarrow{w} H \xrightarrow{\nu^{\dagger}} \mathbb{C}) \equiv \nu^{\dagger}(w(1)) = \langle 1 | \nu^{\dagger}(w(1)) \rangle = \langle \nu | w \rangle$$

So  $\dagger$  and  $\langle -|-\rangle$  encode *equivalent* information.

This inspires the following abstract definition.

**Definition 2.32.** A *dagger functor* on a category C is an involutive contravariant functor  $\uparrow: C \rightarrow C$  that is the identity on objects. A *dagger category* is a category equipped with a dagger functor.

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- **FVect** *can* be equipped with a dagger functor (e.g. by assigning an inner product to objects and constructing adjoints.) But there is no *canonical* dagger functor.

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### 2.3 Dagger structure

A different use of daggers is in classical probability theory, to construct the *Bayesian converse* of conditional distributions.

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- morphisms  $(A, p) \xrightarrow{f} (B, q)$  are conditional probability distributions, functions  $f : A \times B \to \mathbb{R}^{\geq 0}$  such that  $\forall a \sum_{b \in B} f(a, b) = 1$  and  $\forall b \sum_{a \in A} p(a) f(a, b) = q(b)$ ;

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- **composition** is composition of probability distributions as matrices of real numbers;
- the **dagger functor** is the *Bayesian converse*, acting on  $f: A \times B \to \mathbb{R}^{\geq 0}$  to give  $f^{\dagger}: B \times A \to \mathbb{R}^{\geq 0}$ , defined as  $f^{\dagger}(b,a) := f(a,b)p(a)/q(b)$ .

The Bayesian converse is always well-defined since we require our prior probability distributions to be nonzero at every point.

In a dagger category we give special names to some basic properties of morphisms. These generalize terms usually reserved for bounded linear maps between Hilbert spaces.

**Definition 2.34.** A morphism  $A \xrightarrow{f} B$  in a dagger category is:

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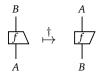
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- *positive* when  $f = g^{\dagger} \circ g$  for some morphism  $H \stackrel{g}{\rightarrow} K$ .

We depict taking daggers in the graphical calculus by flipping the graphical representation about a horizontal axis.



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To help differentiate between these morphisms, we draw morphisms in a way that breaks their symmetry.

We also drop the label † from the morphism box.

We use this notation for states:



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A dagger functor gives a correspondence between states and effects.

We can apply this notation to compute the inner product between two states:

$$\langle v|w\rangle = \bigvee_{w} = \bigvee_{w}$$

The right-hand side is a rotated form of Dirac's bra-ket notation. So the graphical calculus for dagger categories can be seen as a *generalized* Dirac notation.

The adjoint of a matrix is the conjugate transpose. This follows abstractly given the existence of dagger biproducts.

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**Example 2.40**. Let's investigate dagger biproducts in our examples.

- In **Rel**, every biproduct is a dagger biproduct.
- In **Hilb**, dagger biproducts are *orthogonal* direct sums. The notion of orthogonality relies on the inner product.

**Lemma 2.41**. In a dagger category with dagger biproducts, the adjoint of a matrix is its conjugate transpose:

$$\begin{pmatrix} f_{11} & f_{12} & \cdots & f_{1n} \\ f_{21} & f_{22} & \cdots & f_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ f_{m1} & f_{m2} & \cdots & f_{mn} \end{pmatrix}^{\dagger} = \begin{pmatrix} f_{11}^{\dagger} & f_{21}^{\dagger} & \cdots & f_{m1}^{\dagger} \\ f_{12}^{\dagger} & f_{22}^{\dagger} & \cdots & f_{m2}^{\dagger} \\ \vdots & \vdots & \ddots & \vdots \\ f_{1n}^{\dagger} & f_{2n}^{\dagger} & \cdots & f_{mn}^{\dagger} \end{pmatrix}$$

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**Lemma 2.42**. In a dagger category with dagger biproducts, daggers distribute over addition:

$$(f+g)^{\dagger} = f^{\dagger} + g^{\dagger}$$

**Proof.** We perform the following calculation:

$$(f+g)^{\dagger} = \left( (f \quad g) \circ \begin{pmatrix} \mathrm{id}_B \\ \mathrm{id}_B \end{pmatrix} \right)^{\dagger} = \left( \begin{matrix} \mathrm{id}_B \\ \mathrm{id}_B \end{matrix} \right)^{\dagger} \circ \left( f \quad g \right)^{\dagger}$$

$$= \left( \mathrm{id}_B \quad \mathrm{id}_B \right) \circ \left( \begin{matrix} f^{\dagger} \\ g^{\dagger} \end{matrix} \right) = f^{\dagger} + g^{\dagger}$$

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# 2.3 Dagger structure

We can require a dagger functor to be compatible with the monoidal structure.

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**Definition 2.37.** A monoidal dagger category is a dagger category that is also monoidal, such that:

- $(f \otimes g)^{\dagger} = f^{\dagger} \otimes g^{\dagger}$  for all morphisms f and g;
- the natural isomorphisms  $\alpha$ ,  $\lambda$  and  $\rho$  are unitary at every stage.

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A *symmetric monoidal dagger category* is a braided monoidal dagger category for which the braiding is a symmetry.

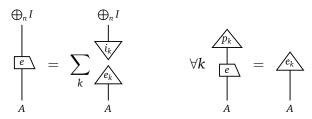
### 2.4 Measurements

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$$\bigoplus_{k} I \qquad \bigoplus_{k} I \qquad \qquad \forall k \qquad \stackrel{p_{k}}{\downarrow} \qquad \qquad \forall k \qquad \stackrel{e}{\downarrow} \qquad \qquad \downarrow A \qquad \qquad A$$

This is a process that 'observes' a system, and converts it into classical information.

To ensure that some effect always takes place, we can require e to have zero kernel.

# Chapter 3

**Dual objects** 

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Dual objects have two basic interpretations:

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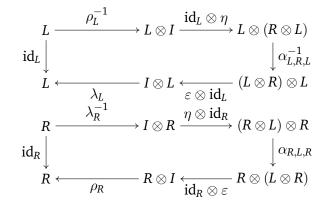
- Topologically, they allow wires to bend
- Quantum mechanically, they model full-rank entangled states

**Definition 3.1** (Dual object). An object *L* is *left-dual* to an object *R*, and *R* is *right-dual* to *L*, written  $L \dashv R$ , when there is a unit morphism  $I \stackrel{\eta}{\longrightarrow} R \otimes L$  and a counit morphism  $L \otimes R \stackrel{\varepsilon}{\longrightarrow} I$  such that:

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We draw an object *L* as a wire with an upward-pointing arrow, and a right dual *R* as a wire with a downward-pointing arrow.



The unit  $I \xrightarrow{\eta} R \otimes L$  and counit  $L \otimes R \xrightarrow{\varepsilon} I$  are drawn as bent wires:



This notation is chosen because of the attractive form it gives to the duality equations:



They are also called the *snake equations*.

The monoidal category **FHilb** has all duals. Every finite-dimensional Hilbert space H is both right dual and left dual to its dual Hilbert space  $H^*$ , in a canonical way.

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The counit  $H \otimes H^* \xrightarrow{\varepsilon} \mathbb{C}$  is defined like this:

$$\varepsilon \colon |\phi\rangle \otimes \langle \psi| \mapsto \langle \psi|\phi\rangle$$

The unit  $\mathbb{C} \xrightarrow{\eta} H^* \otimes H$  is defined like so, for any orthonormal basis  $|i\rangle$ :

$$\eta\colon 1\mapsto \sum_i \langle i|\otimes |i\rangle$$

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These definitions sit together rather oddly:  $\eta$  seems basis-dependent, while  $\varepsilon$  is clearly not.

In fact the same value of  $\eta$  is obtained whatever orthonormal basis is used, as we will see in Lemma 3.5 below.

Infinite-dimensional spaces do not have duals. We will prove this later.

In **Rel**, every object is its own dual, even sets of infinite cardinality. The unit  $1 \xrightarrow{\eta} S \times S$  and counit  $S \times S \xrightarrow{\varepsilon} 1$  can be defined like this:

• 
$$\sim_{\eta} (s,s)$$
 for all  $s \in S$   
 $(s,s) \sim_{\varepsilon}$  • for all  $s \in S$ 

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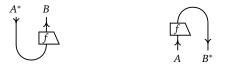
• 
$$\sim_{\eta} (s,s)$$
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In  $\mathbf{Mat}_{\mathbb{C}}$ , every object n is its own dual, with a canonical choice of  $\eta$  and  $\varepsilon$  given as follows:

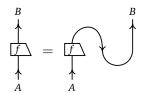
$$\eta: 1 \mapsto \sum_i \ket{i} \otimes \ket{i} \qquad \qquad arepsilon: \ket{i} \otimes \ket{j} \mapsto \delta_{ij} 1$$

The category **Set** only has duals for sets of size 1. Let's see why.

**Definition 3.3.** In a monoidal category with dualities  $A \dashv A^*$  and  $B \dashv B^*$ , given a morphism  $A \xrightarrow{f} B$ , we define its *name*  $I \xrightarrow{\lceil f \rceil} A^* \otimes B$  and *coname*  $A \otimes B^* \xrightarrow{\lfloor f \rfloor} I$  as the following morphisms:



Morphisms can be recovered from their names or conames:



In **Set** 1 is terminal, and so all conames  $A \otimes B^* \xrightarrow{\bot f \bot} 1$  must be equal. If **Set** had duals this would imply all functions  $A \to B$  were equal.

We first show duals are well-defined up to canonical isomorphism.

**Lemma 3.4**. In a monoidal category with  $L \dashv R$ , then  $L \dashv R'$  if and only if  $R \simeq R'$ . Similarly, if  $L \dashv R$ , then  $L' \dashv R$  if and only if  $L \simeq L'$ .

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**Proof.** If  $L \dashv R$  and  $L \dashv R'$ , define maps  $R \rightarrow R'$  and  $R' \rightarrow R$  as follows:



The snake equations imply that these are inverse.

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**Proof.** If  $L \dashv R$  and  $L \dashv R'$ , define maps  $R \rightarrow R'$  and  $R' \rightarrow R$  as follows:



The snake equations imply that these are inverse. Conversely, if  $L \dashv R$  and  $R \xrightarrow{f} R'$  is invertible, we can construct a duality  $L \dashv R'$ :





Given a duality, the unit determines the counit, and vice-versa.

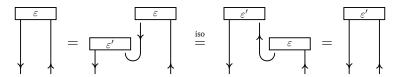
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**Lemma 3.5.** In a monoidal category, if  $(L, R, \eta, \varepsilon)$  and  $(L, R, \eta, \varepsilon')$  both exhibit a duality, then  $\varepsilon = \varepsilon'$ . Similarly, if  $(L, R, \eta, \varepsilon)$  and  $(L, R, \eta', \varepsilon)$  both exhibit a duality, then  $\eta = \eta'$ .

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**Proof.** For the first case, we use the following graphical argument.



The second case is similar.

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The following lemma shows that dual objects interact well with the monoidal structure.

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**Lemma 3.6**. In a monoidal category,  $I \dashv I$ .

**Proof.** Taking  $\eta = \lambda_I^{-1} : I \to I \otimes I$  and  $\varepsilon = \lambda_I : I \otimes I \to I$  shows that  $I \dashv I$ . The snake equations follow from the coherence theorem.

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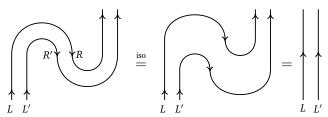
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**Lemma 3.7**. In a monoidal category,

 $L\dashv R, L'\dashv R' \Rightarrow L\otimes L'\dashv R'\otimes R.$ 

**Proof.** Suppose that  $L \dashv R$  and  $L' \dashv R'$ . We make the new unit and counit maps from the old ones, and compute as follows:



The other snake equation follows similarly.

If the monoidal category has a braiding then a duality  $L \dashv R$  gives rise to a duality  $R \dashv L$ , as the next lemma investigates.

**Lemma 3.8**. In a braided monoidal category,  $L \dashv R \Rightarrow R \dashv L$ .

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**Lemma 3.8**. In a braided monoidal category,  $L \dashv R \Rightarrow R \dashv L$ .

**Proof.** Construct a new duality as follows:



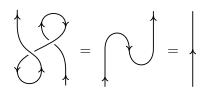
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**Lemma 3.8**. In a braided monoidal category,  $L \dashv R \Rightarrow R \dashv L$ .

**Proof.** Construct a new duality as follows:



We can then test the snake equations:



The other snake equation can be proved in a similar way.

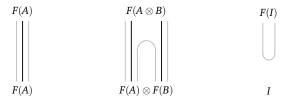
Next we will prove some nice theorems showing the relationship between duals and monoidal functors.

To understand them, we will need to develop a graphical calculus for monoidal functors.

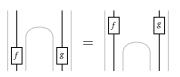
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We depict a monoidal functor  $F \colon \mathbf{C} \to \mathbf{D}$  and the isomorphisms  $(F_2)_{A,B} \colon F(A) \otimes F(B) \to F(A \otimes B)$  and  $F_0 \colon I \to F(I)$  like this:



Naturality means that morphisms can pass through the gaps:



Naturality means that morphisms can pass through the gaps:

The coherence equations look like this:

They have a nice topological flavour.

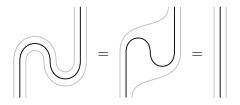
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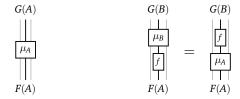
**Theorem 3.14**. Monoidal functors preserve duals.

**Proof.** If we apply our monoidal functor to the unit and counit, we can show that the duality equations are still satisfied:

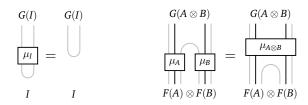


The other duality equation can be proved in a similar way.

Given two functors  $F, G : \mathbf{C} \to \mathbf{D}$  and a natural transformation  $\mu \colon F \Longrightarrow G$ , we can denote it like this:

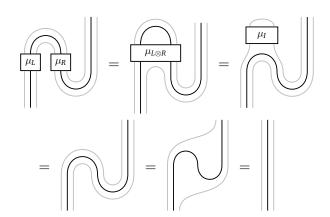


If **C**, **D**, F, G and  $\mu$  are monoidal, then we have following extra properties:



**Theorem 3.15**. Let  $\mu \colon F \Longrightarrow G$  be a monoidal natural transformation. If  $A \in \mathrm{Ob}(\mathbf{C})$  has a left or a right dual,  $F(A) \xrightarrow{\mu_A} G(A)$  is invertible.

**Proof.** Choose A = L with  $L \dashv R$  in **C**. Then we perform the following computation:

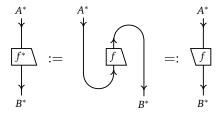


The rest of the proof uses similar techniques.

Choosing duals for objects extends functorially to morphisms.

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**Definition 3.9.** For a morphism  $A \xrightarrow{f} B$  and chosen dualities  $A \dashv A^*$ ,  $B \dashv B^*$ , the *right dual*  $B^* \xrightarrow{f^*} A^*$  is defined in the following way:



We represent this graphically by rotating the box representing f, as shown in the third image above.

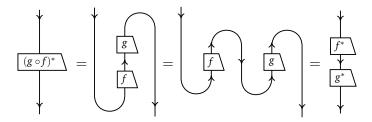
The dual can 'slide' along the unit and counit.

**Lemma 3.12**. In a monoidal category with chosen dualities  $A \dashv A^*$  and  $B \dashv B^*$ , the following equations hold for all morphisms  $A \xrightarrow{f} B$ :

**Proof.** Let's write it out on the board.

**Lemma 3.11**. If a monoidal category has assigned right duals, the right-duals construction  $(-)^*$  defines a functor.

**Proof.** Let  $A \xrightarrow{f} B$  and  $B \xrightarrow{g} C$ . Then we perform the following calculation:



Similarly,  $(id_A)^* = id_{A^*}$  follows from the snake equations.

**Example 3.13**. Let's see how the right duals functor acts for our example categories, with chosen right duals as given by Example 3.2.

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• In **FVect** and **FHilb**, the right dual of a morphism  $V \xrightarrow{f} W$  is  $W^* \xrightarrow{f^*} V^*$ , acting as  $f^*(e) := e \circ f$ , where  $W \xrightarrow{e} \mathbb{C}$  is an arbitrary element of  $W^*$ .

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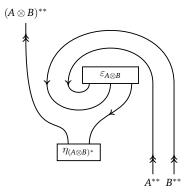
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- In  $Mat_{\mathbb{C}}$ , the dual of a matrix is its transpose.

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- In  $Mat_{\mathbb{C}}$ , the dual of a matrix is its transpose.
- In **Rel**, the dual of a relation is its converse. So the right duals functor and the dagger functor have the same action:  $R^* = R^{\dagger}$  for all relations R.

**Lemma 3.16**. For a monoidal category with chosen right duals for objects, the double duals functor  $(-)^{**}: \mathbf{C} \to \mathbf{C}$  is monoidal.

**Proof.** The isomorphism  $A^{**} \otimes B^{**} \simeq (A \otimes B)^{**}$  looks like this:

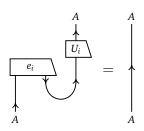


Showing this satisfies the monoidal functor axioms is a monster!

Dual objects give a nice way to model quantum teleportation.

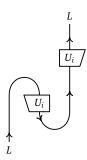
**Definition.** In a monoidal category with biproducts and right duals, a *teleportation procedure* is a finite family of effects  $e_i : A \otimes A^* \to I$  and unitaries  $U_i : A \to A$  such that:

- the biproduct effect  $\sum_{k=1}^{N} i_k \circ e_k : A \otimes A^* \to I^{\oplus N}$  has zero kernel;
- the following equation holds for each *i*:

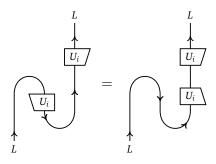


This can be solved to give = =  $U_i$ 

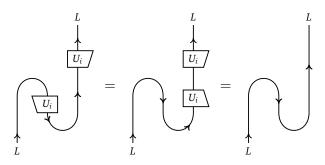
We can use the graphical calculus to simplify the history:



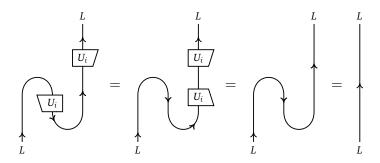
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So if the original history occurs, the result is for the state of the original system to be transmitted faithfully.

If the biproduct effect has zero kernel, then it will always succeed: there is no prior history which yields the null process.

Let's examine this in **Hilb**. Choose  $L = R = \mathbb{C}^2$  and  $\eta^{\dagger} = \varepsilon = \begin{pmatrix} 1 & 0 & 0 & 1 \end{pmatrix}$ , and the following unitaries  $U_i$ :

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \qquad \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \qquad \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \qquad \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

This gives rise to the following family of effects:

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This is traditional qubit teleportation.

We can also implement teleportation in **Rel**. Choose  $L=R=\{0,1\}$  and  $\eta^\dagger=\varepsilon=\begin{pmatrix}1&0&0&1\end{pmatrix}$ , and the following unitaries:

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This gives rise to the following family of effects:

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These form a complete set of effects.

This is classical encrypted communication with a one-time pad.

We now investigate interaction between duals and linear structure.

**Lemma 3.19**. In a monoidal category with a zero object 0:

- (a)  $0 \dashv 0$ ;
- (b) if  $L \dashv R$ , then  $L \otimes 0 \simeq R \otimes 0 \simeq 0 \simeq 0 \otimes L \simeq 0 \otimes R$ .

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**Proof.** For (a), because  $0 \otimes 0 \simeq 0$ , there are unique morphisms  $I \xrightarrow{\eta} 0 \otimes 0$  and  $0 \otimes 0 \xrightarrow{\varepsilon} I$ . It also follows that  $0 \otimes (0 \otimes 0) \simeq 0$ , so that both sides of the snake equation must equal  $0 \to 0$ .

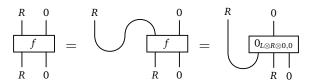
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For (b), let  $R \otimes 0 \xrightarrow{f} R \otimes 0$  be an arbitrary morphism. Then:



So there is only one morphism  $R \otimes 0 \to R \otimes 0$ , hence  $R \otimes 0 \simeq 0$ . The other claims follow similarly.

This lets us prove the following lemma.

**Lemma 3.20**. In a monoidal category with  $A \xrightarrow{f} B$  a morphism, if one of A or B has either a left or a right dual, then:

$$f \otimes 0_{C,D} = 0_{A \otimes C, B \otimes D}$$
  
 $0_{C,D} \otimes f = 0_{C \otimes A, D \otimes B}$ 

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**Proof.** Suppose *A* has a left or a right dual; then  $A \otimes 0 \simeq 0$ , and so  $f \otimes 0_{C,D}$  is a zero morphism. A similar argument holds for *B*.

The next result is harder to prove.

**Theorem 3.22.** In a monoidal category with biproducts and a zero object, let  $A \xrightarrow{f} B$  and  $C \xrightarrow{g,h} D$  be morphisms. If A has a left or a right dual, then:

$$(f \otimes g) + (f \otimes h) = f \otimes (g+h)$$
$$(g \otimes f) + (h \otimes f) = (g+h) \otimes f$$

**Proof.** See the notes!

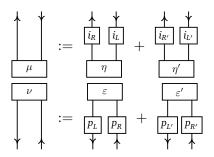
Finally, we show that taking biproducts preserves dual objects.

**Lemma 3.23**. In a monoidal category with duals and biproducts,  $L \dashv R$  and  $L' \dashv R'$  imply  $L \oplus L' \dashv R \oplus R'$ .

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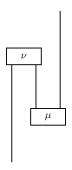
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**Proof.** Define the following candidates for the duality  $L \oplus L' \dashv R \oplus R'$ :



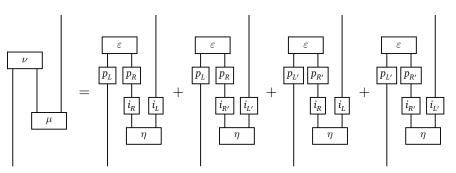
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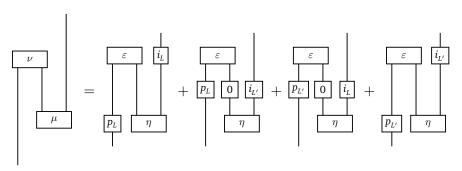
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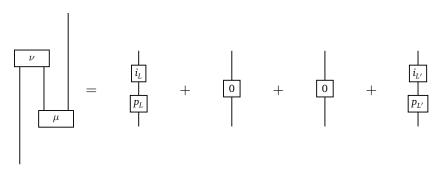
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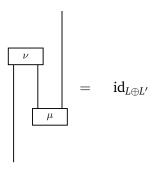
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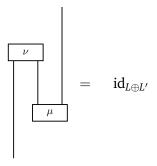
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**Proof.** The first snake equation can then be established like this:



The second snake equation can be proved similarly.

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In a pivotal category, we extend the graphical calculus:



We can use this to rotate boxes arbitrarily.

**Lemma.** In a pivotal category, the following equations hold for all morphisms  $A \xrightarrow{f} B$ :



**Proof.** Let's write it out on the board.

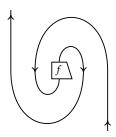
We can formalize this as follows.

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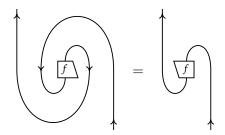
The new feature is the word *oriented*. The wires of our diagram have arrows, and an isotopy must preserve them:



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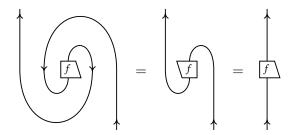
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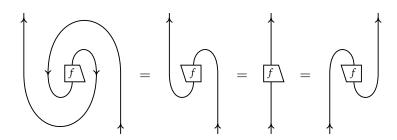
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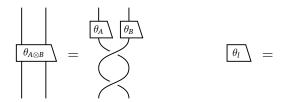
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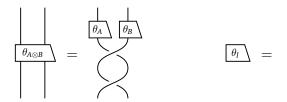


**Definition 3.29**. A braided monoidal category is *balanced* when it is equipped with a natural isomorphism  $\theta_A : A \to A$  called a *twist*, satisfying the following equations:



The second equation here says  $\theta_I = id_I$ .

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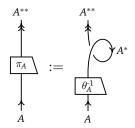
The second equation here says  $\theta_I = id_I$ .

These equations look strange—we will see later what they mean!

**Theorem 3.33.** For a braided monoidal category with duals, a pivotal structure uniquely induces a twist structure, and vice versa.

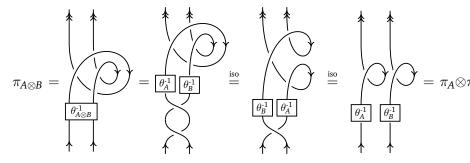
**Theorem 3.33.** For a braided monoidal category with duals, a pivotal structure uniquely induces a twist structure, and vice versa.

**Proof.** Suppose we have a twist structure  $\theta_A : A \rightarrow A$ . Then define a pivotal structure as follows:



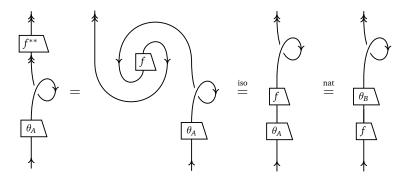
We must verify that it is a monoidal natural transformation, and that it is natural.

For the monoidal property, perform the following calculation:



For simplicity we have ignored the isomorphism  $(A \otimes B)^{**} \simeq A^{**} \otimes B^{**}$ .

To check naturality, we perform the following calculation:



Conversely, we can use a pivotal structure to define a twist.

A symmetric monoidal category with duals has a canonical twist.

**Definition 3.34.** A *compact category* is a pivotal symmetric monoidal category with duals where the canonical twist is the identity  $\theta_A = id_A$ .

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An example is **SuperHilb**, where  $\theta_F = -id_F$ .

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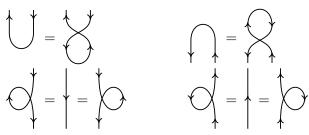
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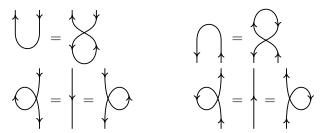
An example is **SuperHilb**, where  $\theta_F = -id_F$ .

In general the twist is nontrivial extra data: for **Fib**,  $\theta_{\tau}=e^{4\pi i/5}\cdot \mathrm{id}_{\tau}$ .

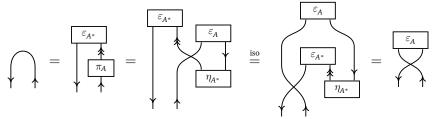
**Lemma 3.37**. In a compact category, the following equations hold:



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**Proof.** Let's prove the second equation in the top row:



The others can be proved in a similar way.

In a braided pivotal category, we must be careful with loops:



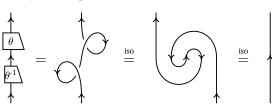
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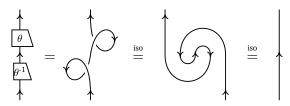
In fact, a loop on a single strand is directly related to the twist.

**Lemma 3.38**. In a braided pivotal category, the following hold:

**Proof.** Let's verify the expression for  $\theta^{-1}$ :

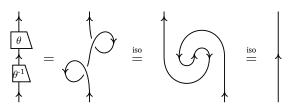


**Proof.** Let's verify the expression for  $\theta^{-1}$ :



The equation  $\theta \circ \theta^{-1} = \mathrm{id}$  can be checked in a similar way. Since inverses in a category are unique, this proves  $\theta^{-1}$  is correct.

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We demonstrate the graphical form of  $\theta^*$  as follows:

The rest of the theorem can be proved similarly.

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**Definition 3.39.** A *ribbon* or *tortile* category is a balanced monoidal category with duals, such that  $(\theta_A)^* = \theta_{A^*}$ .

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**Lemma ??**. In a ribbon category, the following equations hold:

These are the equations we would expect to be satisfied by *ribbons*.

**Theorem 3.28**. A well-formed equation between morphisms in a ribbon category follows from the axioms if and only if it holds in the graphical language up to framed isotopy in three dimensions.

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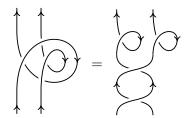
'Framed isotopy' is the name for the version of isotopy where the strands are thought of as ribbons, rather than just wires.

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**Theorem 3.28**. A well-formed equation between morphisms in a ribbon category follows from the axioms if and only if it holds in the graphical language up to framed isotopy in three dimensions.

'Framed isotopy' is the name for the version of isotopy where the strands are thought of as ribbons, rather than just wires.

To get a feeling for framed isotopy, find some ribbons, or make some by cutting long, thin strips from a piece of paper. Verify (109) and (3.31), and also (3.24) specialized to ribbon categories:



**Lemma 3.45**. In a monoidal dagger category,  $L \dashv R \Leftrightarrow R \dashv L$ .

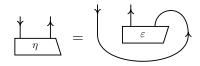
**Lemma 3.45**. In a monoidal dagger category,  $L \dashv R \Leftrightarrow R \dashv L$ .

**Proof.** Follows directly from the axiom  $(f \otimes g)^{\dagger} = f^{\dagger} \otimes g^{\dagger}$  of a monoidal dagger category.

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**Definition 3.46**. In a dagger category with a pivotal structure, a *dagger dual* is a duality  $A \dashv A^*$  witnessed by morphisms  $I \xrightarrow{\eta} A^* \otimes A$  and  $A \otimes A^* \xrightarrow{\varepsilon} I$ , satisfying the following condition:



We can describe maximally entangled states like this.

**Definition 3.47**. In a dagger category with a pivotal structure, a *maximally entangled state* is a bipartite state with this property:





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$$\begin{array}{c}
\uparrow \\
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\eta \\
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**Proof.** We give the following graphical argument:

$$\begin{array}{c} \begin{array}{c} \begin{array}{c} \\ \\ \\ \\ \end{array} \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \begin{array}{c} \\ \\ \end{array} \end{array} \begin{array}{c} \\ \\ \end{array} \end{array} \begin{array}{c} \\ \\ \end{array}$$

The rest of the proof is similar.

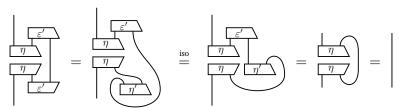
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**Proof.** Given dagger duals  $(L \vdash R, \eta, \varepsilon)$  and  $(L \vdash R', \eta', \varepsilon')$ , we construct an isomorphism  $R \simeq R'$  as for Lemma 3.4 as follows:



To establish the first part of the unitarity condition, we perform the following calculation:



The rest is similar.

We can use this to prove an important result about maximally-entangled states.

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**Theorem 3.50.** In a dagger category with a pivotal structure, for any two maximally entangled states  $I \xrightarrow{\eta,\eta'} A \otimes B$  there is a unique unitary  $A \xrightarrow{f} A$  satisfying the following equation:

$$\begin{array}{c|c} \hline f \\ \hline \eta \\ \hline \end{array} = \begin{array}{c|c} \hline \eta' \\ \hline \end{array}$$

The proof follows from what we have just seen.

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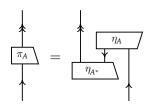
The proof follows from what we have just seen.

So maximally-entangled states are unique up to a unique unitary.

**Definition 3.51.** A *dagger pivotal category* is a dagger monoidal category with a pivotal structure, such that the chosen duals are all dagger duals.

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Proof. See notes.

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**Lemma 3.52**. In a pivotal dagger category, the pivotal structure is this:

$$\begin{array}{c} \uparrow \\ \hline \pi_A \\ \hline \\ \uparrow \\ \hline \end{array} = \begin{array}{c} \uparrow \\ \hline \eta_A \\ \hline \\ \hline \\ \eta_{A^*} \\ \hline \end{array}$$

Proof. See notes.

**Theorem.** In a dagger pivotal category,  $\pi_A$  is unitary.

Dagger pivotal categories have a good graphical calculus.

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**Lemma 3.54.** In a dagger pivotal category, the following equations hold:

$$\left( \begin{array}{c} \downarrow \\ \downarrow \end{array} \right)^{\dagger} = \left( \begin{array}{c} \downarrow \\ \downarrow \end{array} \right)$$

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**Proof.** We prove the first of these in the following way:

The second then follows by uniqueness of counits.

**Lemma 3.55**. In a dagger pivotal category, every morphism satisfies the following equation:

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**Proof.** We compute both sides as follows:

$$\frac{\downarrow}{(f^*)^{\dagger}} = \left( \begin{array}{c} \downarrow \\ f \end{array} \right)^{\dagger} = \left( \begin{array}{c} f \\ f \end{array} \right)^{\dagger}$$

$$\frac{\downarrow}{(f^{\dagger})^*} = \left( \begin{array}{c} f \\ f \end{array} \right)^{\dagger}$$

These are isotopic, and hence equal by correctness of the graphical calculus for pivotal categories.

**Definition 3.56.** On a dagger pivotal category, *conjugation*  $(-)_*$  is defined as the composite of the dagger functor and the right-duals functor:

$$(-)_* := (-)^{*\dagger} = (-)^{\dagger *}$$

Since taking daggers is the identity on objects we have  $A_* := A^*$ .

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We denote conjugation by flipping the morphism about a vertical axis:

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We denote conjugation by flipping the morphism about a vertical axis:

$$f := f_*$$

Since  $(-)^*$  and  $\dagger$  are contravariant,  $(-)_*$  is covariant.

**Definition 3.57**. A *dagger compact category* is a symmetric dagger pivotal category with unitary symmetry, and  $\theta = id$ .

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**Example 3.58.** Our example categories **FHilb**,  $Mat_{\mathbb{C}}$  and **Rel** are all dagger compact categories.

- On **FHilb**, the conjugation functor gives the conjugate of a linear map.
- On Mat<sub>C</sub>, the conjugation functor gives the conjugate of a matrix, with each matrix entry replaced by its conjugate as a complex number.
- On **Rel**, the conjugation functor is the identity.

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**Definition 3.60**. In a pivotal category, the dimension of an object *A* is the scalar  $dim(A) := Tr_A(id_A)$ .

The trace in **FHilb** is the ordinary matrix trace.

We can prove the cyclic property abstractly.

**Lemma 3.61**. In a pivotal category,  $\operatorname{Tr}_A(g \circ f) = \operatorname{Tr}_B(f \circ g)$ .

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**Lemma 3.61**. In a pivotal category,  $\operatorname{Tr}_A(g \circ f) = \operatorname{Tr}_B(f \circ g)$ .

**Proof.** We can show this graphically in the following way:

The morphism g slides around the circle, and ends up underneath the morphism f.

Many more properties also follow.

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Many more properties also follow.

**Lemma 3.63**. In a pivotal category, the trace has the following properties:

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$$Tr_A(f + g) = Tr_A(f) + Tr_A(g)$$
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- (c)  $\operatorname{Tr}_I(s) = s$ ;
- (d)  $Tr_A(0_{A,A}) = 0_{I,I}$ ;
- (e)  $\operatorname{Tr}_{A\otimes B}(f\otimes g)=\operatorname{Tr}_A(f)\circ\operatorname{Tr}_B(g)$  in a braided pivotal category;
- (f)  $(\operatorname{Tr}_A(f))^{\dagger} = \operatorname{Tr}_A(f^{\dagger})$  in a dagger pivotal category.

**Proof.** See notes.

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Proof. See notes.

Using these results, we can give a simple argument that infinite-dimensional Hilbert spaces cannot have duals.

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But this is a contradiction, since there is no complex number with that property.

This argument would not apply in **Rel**, since we have  $id_1 + id_1 = id_1$  in that category. And indeed, every set has a dual in **Rel**, even those of infinite cardinality.

# Chapter 4

Monoids and comonoids

#### 125/313

## 4.1 Monoids and comonoids

Consider how to formalize a 'copying' operation on an object *A*.

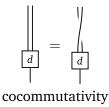
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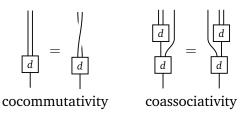
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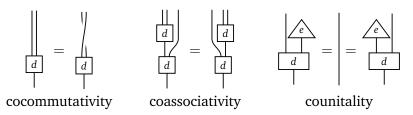
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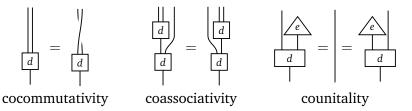
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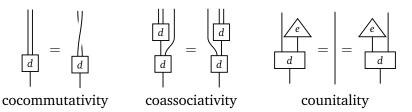


**Definition 4.1.** In a monoidal category, a *comonoid* is a triple  $(A, d: A \rightarrow A \otimes A, e: A \rightarrow I)$  satisfying coassociativity and counitality.

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**Definition 4.1.** In a monoidal category, a *comonoid* is a triple  $(A, d: A \rightarrow A \otimes A, e: A \rightarrow I)$  satisfying coassociativity and counitality. It is *cocommutative* when it satisfies the extra axiom.

**Example 4.2**. Here are some comonoids in our example categories.

• In **Set**, the tensor product is a Cartesian product. Every object carries a unique comonoid with comultiplication  $a \mapsto (a, a)$  and counit  $a \mapsto \bullet$ , which is cocommutative.

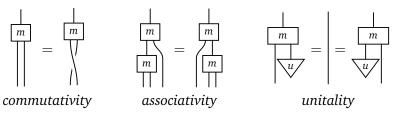
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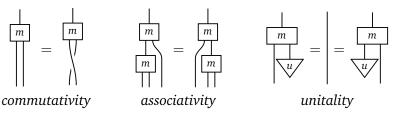
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- In **FHilb**, a basis choice  $\{e_i\}$  for a Hilbert space gives a cocommutative comonoid, with comultiplication  $e_i \mapsto e_i \otimes e_i$  and counit  $e_i \mapsto 1$ .

We can dualize these concepts:

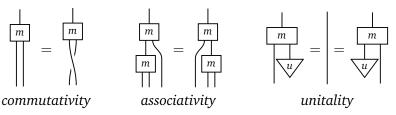


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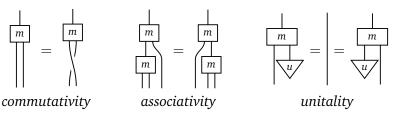


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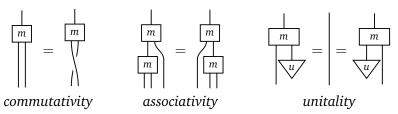


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- A monoid in **Set** is just an ordinary monoid; e.g. any group.
- A monoid in **Vect** is an *algebra*: a set where we can add vectors and multiply with scalars, and also multiply vectors bilinearly. E.g.  $\mathbb{C}^n$  under pointwise multiplication and unit (1, 1, ..., 1). E.g. vector space of n-by-n matrices with matrix multiplication.

Will abbreviate comultiplication to  $\forall$ , counit to  $\Diamond$ , and multiplication to  $\spadesuit$ , unit to  $\blacklozenge$ . Use colour to differentiate.

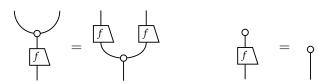
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Choice of bases  $\{d_i\}$  and  $\{e_j\}$  for H and K in **FHilb** makes them into comonoids. The functions  $f: \{d_i\} \rightarrow \{e_j\}$  play a special role: they respect the comultiplication and counit.

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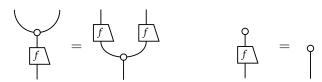
**Definition 4.5.** A *comonoid homomorphism* from a comonoid  $(A, \forall, 9)$  to a comonoid  $(B, \forall, 9)$  is a morphism  $A \xrightarrow{f} B$  such that:



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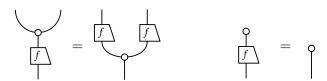


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Dual notion: monoid homomorphism.

Given a monoidal category, we can build new category with objects (co)monoids, and morphisms (co)monoid homomorphisms.

**Example 4.6.** Consider again our examples of comonoids.

• In **Set**, any function  $A \xrightarrow{f} B$  is a comonoid homomorphism:  $(f \times f)(a, a) = (f(a), f(a))$ , and  $f(a) = \bullet$ .

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- In **FHilb**, any function  $\{a_i\} \xrightarrow{f} \{b_j\}$  between bases extends linearly to a comonoid homomorphism:  $d'(f(a_i)) = f(a_i) \otimes f(a_i)$  and  $e'(f(a_i)) = 1 = e(a_i)$ .

Can combine two (co)monoids to single one on tensor product.

**Lemma 4.8**. In a braided monoidal category, given a pair of comonoids, we can produce a new comonoid:





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- In **FHilb**, the product of comonoids on H and K that copy bases  $\{d_i\}$  and  $\{e_i\}$  is the comonoid copying basis  $\{d_i \otimes e_i\}$  of  $H \otimes K$ .

In a monoidal dagger category there is duality between monoids and comonoids.

**Lemma 4.10.** In a monoidal dagger category, (A,d,e) is a comonoid if and only if  $(A,d^{\dagger},e^{\dagger})$  is a monoid.

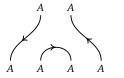
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This relates our previous examples in Rel:

• Dagger in **Rel** constructs converse relation. Comultiplication  $g \sim (h, h^{-1}g)$  for group G turns into multiplication  $(g, h) \sim gh$ .

**Lemma 4.11**. If  $A \dashv A^*$  are dual objects in a monoidal category, then  $A^* \otimes A$  is a monoid as follows:





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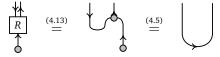
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**Proof.** *R* preserves units:

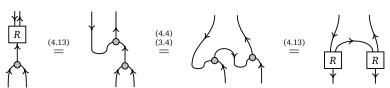


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**Proof.** *R* preserves units:

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Finally, R has a retraction given by  $\varphi$ .

# **4.2** Uniform deleting and copying 135/313

Counit  $A \stackrel{e}{\rightarrow} I$  tells us we can 'delete' A if we want to.

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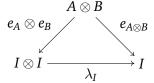
Counit  $A \stackrel{e}{\longrightarrow} I$  tells us we can 'delete' A if we want to. What does it mean to have deletion *systematically* on every object?

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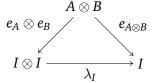
**Definition 4.14.** A monoidal category has *uniform deleting* if there is a natural transformation  $A \xrightarrow{e_A} I$  with  $e_I = \mathrm{id}_I$ , such that:



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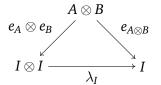


**Proposition 4.15**. A monoidal category has uniform deleting just when *I* is a terminal object.

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**Proposition 4.15**. A monoidal category has uniform deleting just when *I* is a terminal object.

**Proof.** Uniform deleting gives a morphism  $A \xrightarrow{e_A} I$  for each object A. Naturality and  $e_I = \mathrm{id}_I$  then show any morphism  $A \xrightarrow{f} I$  equals  $e_A$ . Conversely, if I is terminal, choose  $e_A : A \to I$  uniquely.

# **4.2** Uniform deleting and copying 136/313

Uniform deleting makes compact categories collapse.

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**Theorem 4.20**. If a monoidal category with duals has uniform deleting, then it is a preorder.

**Proof.** Let  $A \xrightarrow{f,g} B$  be morphisms. Naturality of *e* gives:

$$A \otimes B^* \xrightarrow{e_{A \otimes B^*}} I$$
 $\downarrow f \downarrow \downarrow id_I$ 
 $I \xrightarrow{e_I = id_I} I$ 

So  $\bot f \bot = e_{A \otimes B^*}$ , and similarly  $\bot g \bot = e_{A \otimes B^*}$ . Hence f = g.

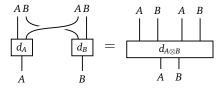
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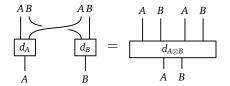
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Naturality and  $d_I = \rho_I$  look like this for arbitrary  $A \xrightarrow{f} B$ :

$$\begin{array}{ccc}
B & B & BB \\
\hline
f & f \\
\hline
d_A & & A
\end{array} = 
\begin{array}{cccc}
BBB \\
\hline
d_B \\
\hline
f \\
\hline
d_A
\end{array} = 
\begin{array}{ccccc}
d_I & = 
\end{array}$$

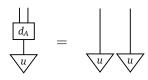
## 4.2 Uniform deleting and copying $\frac{138}{313}$

**Example 4.22.** The monoidal category **Set** has uniform copying, with maps  $a \mapsto (a, a)$ . We see that  $d_1(\bullet) = (\bullet, \bullet) = \rho_1(\bullet)$ , and both maps  $A \times B \to A \times B \times A \times B$  are  $(a, b) \mapsto (a, b, a, b)$ .

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**Definition 4.23.** In a braided monoidal category, a state  $I \xrightarrow{u} A$  is copyable with respect to a map  $A \xrightarrow{d_A} A \otimes A$  when:



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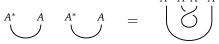
**Proof.** If there is uniform copying, then, by naturality of the copying maps, we have  $d_A \circ u = (u \otimes u) \circ \rho_I$  for each state  $I \xrightarrow{u} A$ .

# **4.2** Uniform deleting and copying 139/313

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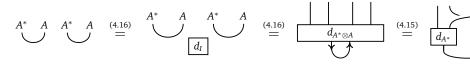
## **4.2** Uniform deleting and copying $\frac{139}{313}$

We now investigate braided monoidal categories with duals and uniform copying.

**Lemma 4.25.** If a braided monoidal category with duals has uniform copying, then:  $A = A A A^* A$ 

$$A^* \quad A \quad A^* \quad A \quad = \quad \left(\begin{array}{c} A \quad A \quad A \quad A \\ \end{array}\right)$$

**Proof.** First, consider the following equality (\*):



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$$A^*$$
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Then:
$$A^* \quad A \quad A^* \quad A \quad \overset{(*)}{=} \quad \stackrel{(4.1)}{d_{A^*}} \quad \stackrel{(4.1)}{d_{A}} \quad \overset{(*)}{=} \quad \stackrel{(*)}{=} \quad$$

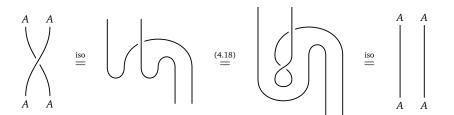
# **4.2** Uniform deleting and copying 140/313

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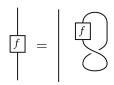
**Proof.** We show this as follows:



This completes the proof.

# **4.2** Uniform deleting and copying 141/313

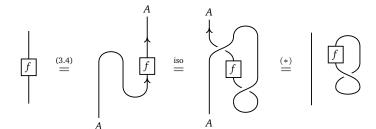
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This completes the proof.

#### 4.4 Products

**Theorem 4.28**. The following are equivalent for a symmetric monoidal category:

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For the converse, need to prove  $A \otimes B$  is a product of A, B. For  $C \xrightarrow{f} A$  and  $C \xrightarrow{g} B$ , define

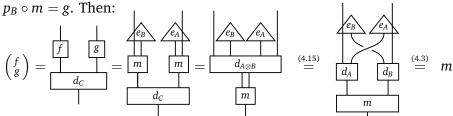
$$\begin{pmatrix} f \\ g \end{pmatrix} = (f \otimes g) \circ d$$

$$p_A = \rho_A \circ (\mathrm{id}_A \otimes e_B) : A \otimes B \to A$$

$$p_B = \lambda_B \circ (e_A \otimes \mathrm{id}_B) : A \otimes B \to B$$

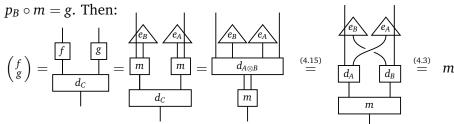
**Proof.** (continued) Suppose  $C \xrightarrow{m} A \otimes B$  satisfies  $p_A \circ m = f$  and  $p_B \circ m = g$ .

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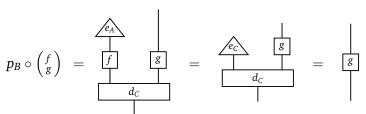
Hence mediating morphisms, if they exist, are unique.

**Proof.** (continued) Suppose  $C \xrightarrow{m} A \otimes B$  satisfies  $p_A \circ m = f$  and



Hence mediating morphisms, if they exist, are unique.

Finally, we show the universal morphism has the right properties:



A similar result holds for g.

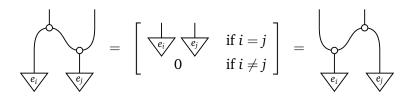
# Chapter 5

Frobenius structures

Orthonormal basis  $\{e_i\}$  for H in **FHilb** gives comonoid  $\forall$ :  $e_i \mapsto e_i \otimes e_i$ . Its adjoint  $\land$  is *comparison*:  $e_i \otimes e_i \mapsto e_i$  and  $e_i \otimes e_i \mapsto 0$  if  $i \neq j$ .

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These cooperate:



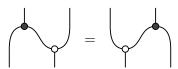
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These cooperate:

$$= \begin{bmatrix} \frac{1}{e_i} & \text{if } i = j \\ 0 & \text{if } i \neq j \end{bmatrix} = \begin{bmatrix} \frac{1}{e_i} & \frac{1}{e_i} & \frac{1}{e_i} \end{bmatrix}$$

This monoid/comonoid interaction is called the Frobenius law.

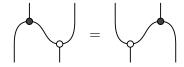
**Definition 5.1.** In a monoidal category, a *Frobenius structure* is a comonoid  $(A, \forall, 9)$  and monoid  $(A, , \bullet, \bullet)$  satisfying the *Frobenius law*:



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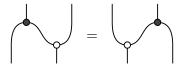
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Examples of dagger Frobenius structures:

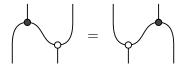
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- In FHilb: a Hilbert space equipped with an orthogonal basis
- In **FHilb**: let *G* be finite group, spanning Hilbert space *A*. Define *group algebra*  $\blacktriangle$ :  $g \otimes h \mapsto gh$ , and  $\bullet$ :  $z \mapsto z \cdot 1_G$ .



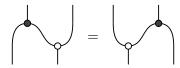
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- In **FHilb**: let *G* be finite group, spanning Hilbert space *A*. Define *group algebra*  $\spadesuit$ :  $g \otimes h \mapsto gh$ , and  $\bullet$ :  $z \mapsto z \cdot 1_G$ . Adjoint:  $\Psi$ :  $\sum_{h \in G} gh^{-1} \otimes h$ , and  $\Phi$ :  $1_G \mapsto g$  and  $1_G \neq g \mapsto 0$ .



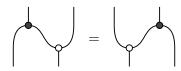
If  $\triangle = A$ , this is called *dagger Frobenius structure*.

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**Definition 5.1.** In a monoidal category, a *Frobenius structure* is a comonoid  $(A, \forall, 9)$  and monoid  $(A, , \bullet, \bullet)$  satisfying the *Frobenius law*:



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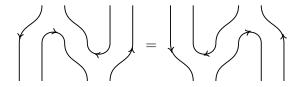
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**Lemma 5.9**. In a dagger pivotal category, if  $A \dashv A^*$ , the pair of pants monoid  $A^* \otimes A$  carries a dagger Frobenius structure.

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**Proof.** The adjunction properties follow from the graphical calculus for dagger pivotal categories.

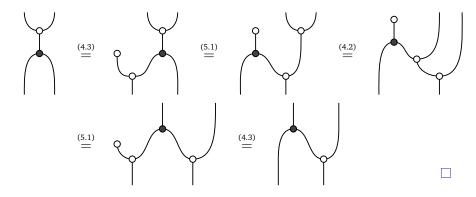
The Frobenius law is verified as follows:



Lemma 5.4. Any Frobenius structure satisfies:

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**Proof.** Let's prove the first equality:



**Theorem 5.15.** If  $(A, \, \forall, \, \diamond, \, \blacktriangle, \, \bullet)$  Frobenius structure in monoidal category, then  $A \dashv A$  is self-dual with:



**Theorem 5.15.** If  $(A, \forall, \Diamond, \blacktriangle, \bullet)$  Frobenius structure in monoidal category, then  $A \dashv A$  is self-dual with:



**Proof.** Snake equation:



**Proposition 5.16.** Monoid  $(A, \blacktriangle, \bullet)$  forms Frobenius structure with comonoid  $(A, \heartsuit, \circ)$  iff allows *nondegenerate form*: map  $\circ: A \to I$  with



part of self-duality  $A \dashv A$ .

**Proposition 5.16.** Monoid  $(A, \blacktriangle, \bullet)$  forms Frobenius structure with comonoid  $(A, \triangledown, \circ)$  iff allows *nondegenerate form*: map  $\circ: A \to I$  with



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Conversely, suppose  $I \stackrel{\eta}{\rightarrow} A \otimes A$  satisfies:

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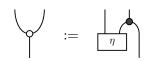


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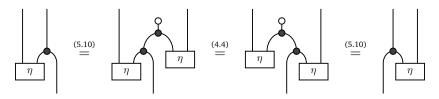
Conversely, suppose  $I \stackrel{\eta}{\rightarrow} A \otimes A$  satisfies:

Then define the comultiplication as follows:



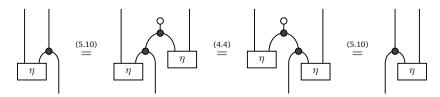
#### **Proof** (continued.)

Could have defined the comultiplication with  $\eta$  left or right:

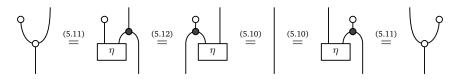


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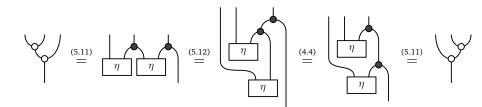


We can verify counitality:



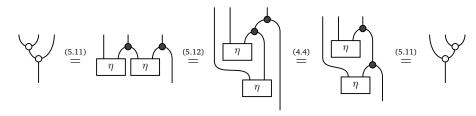
Proof (continued.)

Coassociativity is verified as follows:

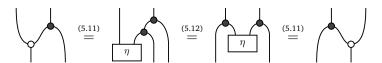


#### **Proof** (continued.)

Coassociativity is verified as follows:



Finally, we can verify the Frobenius law:



This completes the proof.

**Definition 5.18**. In a monoidal category, a *homomorphism of Frobenius structures* is morphism which is both a monoid homomorphism and a comonoid homomorphism.

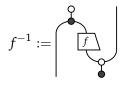
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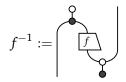
**Proof.** Given homomorphism  $A \xrightarrow{f} B$ , construct inverse as follows:



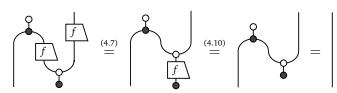
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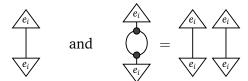
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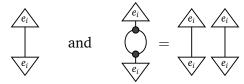
Let's verify that this is the inverse of f:



If  $\forall$  copies orthogonal basis  $\{e_i\}$ , can find (squared) norm of  $e_i$ :

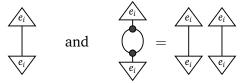


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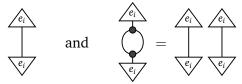


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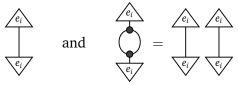


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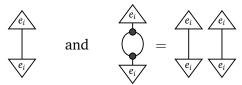
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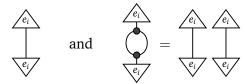
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We can consider this for the dagger Frobenius structures we know:

- Group algebra in FHilb is only special for trivial group
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  - Groupoid Frobenius structure in Rel is always special

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# **5.1 Frobenius structures**

**Definition 5.10**. In a braided monoidal dagger category, a *classical structure* is a special commutative dagger Frobenius structure.

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### Examples:

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- In **Rel**: abelian group

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#### Definition of classical structure redundant:

- (Co)commutativity implies half of (co)unitality
- Speciality and Frobenius law imply (co)associativity
- Dual object and Frobenius law imply (co)unitality

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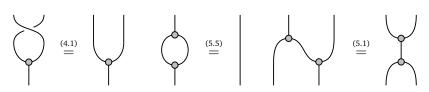
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#### Definition of classical structure redundant:

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To check that  $(A, \land, \diamond)$  is classical structure, only need:



Pair of pants hardly ever commutative. However:

**Definition 5.12**. In a braided monoidal category, a Frobenius structure is *symmetric* when:



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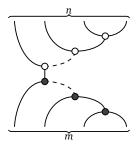
**Definition 5.12**. In a braided monoidal category, a Frobenius structure is *symmetric* when:

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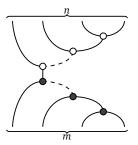
#### Examples:

- Pair of pants: in **FHilb** this says Tr(ab) = Tr(ba)
- Group algebras: inverses in groups are two-sided inverses
- Groupoid Frobenius structure: inverses are two-sided

**Lemma 5.20.** In a monoidal category, let  $(A, \blacktriangle, \bullet, \heartsuit, \circ)$  be a special Frobenius structure. Any connected morphism  $A^{\otimes m} \to A^{\otimes n}$  built out of finitely many pieces  $\blacktriangle, \bullet, \lor, \circ$ , and id, using  $\circ$  and  $\otimes$ , equals:



**Lemma 5.20.** In a monoidal category, let  $(A, \blacktriangle, \blacklozenge, \heartsuit, \heartsuit)$  be a special Frobenius structure. Any connected morphism  $A^{\otimes m} \to A^{\otimes n}$  built out of finitely many pieces  $\blacktriangle, \blacklozenge, \heartsuit, \heartsuit$ , and id, using  $\circ$  and  $\otimes$ , equals:



**Proof.** Strategy is induction on the number of dots.

**Proof.** (continued.)

Base case. Trivial, as the diagram must be one of  $\wedge$ ,  $\bullet$ ,  $\forall$ ,  $\circ$ .

**Proof.** (continued.)

Base case. Trivial, as the diagram must be one of ♠, ♦, ♥, 9.

*Induction step.* Assume all diagrams with at most n dots can be brought in normal form, and consider a diagram with n + 1 dots.

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Use naturality to write the diagram in a form where there is a topmost dot.

• Topmost dot is γ: use counitality to eliminate it.

#### **Proof.** (continued.)

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- Topmost dot is 9: use counitality to eliminate it.
- Topmost dot is \(\psi\): use coassociativity to reach normal form.

#### **Proof.** (continued.)

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- Topmost dot is **\( \Lappa \)**: the most interesting case.

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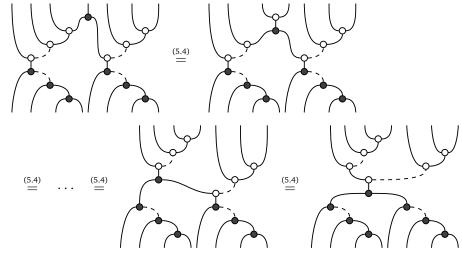
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- Topmost dot is A: the most interesting case.

Is the diagram underneath the A connected? If so, use coassociativity and speciality.

**Proof.** (continued.)

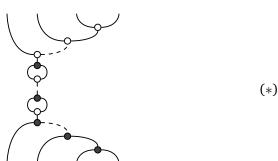
Suppose instead the rest of the diagram is disconnected:



This completes the proof.

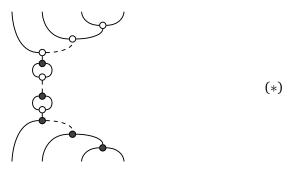
There are normal forms for other sorts of Frobenius structures.

**Theorem 5.21.** In a monoidal category, let  $(A, \blacktriangle, \blacklozenge, \heartsuit, \heartsuit)$  be a Frobenius structure. Any connected morphism  $A^{\otimes m} \to A^{\otimes n}$  built out of finitely many pieces  $\blacktriangle, \blacklozenge, \heartsuit, \heartsuit$ , and id, using  $\circ$  and  $\otimes$ , equals (\*).



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**Theorem 5.21.** In a monoidal category, let  $(A, \blacktriangle, \blacklozenge, \heartsuit, \heartsuit)$  be a Frobenius structure. Any connected morphism  $A^{\otimes m} \to A^{\otimes n}$  built out of finitely many pieces  $\blacktriangle, \blacklozenge, \heartsuit, \diamondsuit$ , and id, using  $\circ$  and  $\otimes$ , equals (\*).

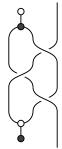


**Theorem 5.22.** In a symmetric monoidal category, let  $(A, \blacktriangle, \blacklozenge, \lor, \lor)$  be a commutative Frobenius structure. Any connected morphism  $A^{\otimes m} \to A^{\otimes n}$  built out of finitely many pieces  $\blacktriangle, \blacklozenge, \lor, \lor,$  id, and  $\Join$ , using  $\circ$  and  $\otimes$ , equals (\*).

**Proposition 5.23**. In a braided non-symmetric monoidal category, there is no normal form for commutative Frobenius structures.

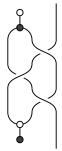
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**Proof.** Regard the following diagram as a piece of string on which an overhand knot is tied:



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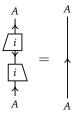


The Frobenius structure axioms induce homotopy equivalences ('deformations') of the corresponding graph. Such moves are clearly not able to untie the knot.

**Lemma 5.24.** In a dagger pivotal category, if (A, m, u) is a monoid, then  $(A^*, m_*, u_*)$  is monoid.

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**Definition 5.25.** In a dagger pivotal category, an *involution* for a monoid  $(A, \diamondsuit, \diamond)$  is a monoid homomorphism  $A \xrightarrow{i} A^*$  satisfying  $i_* \circ i = \mathrm{id}_A$ .



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A morphism of involutive monoids is monoid homomorphism  $A \xrightarrow{f} B$  satisfying  $i_B \circ f = f_* \circ i_A$ .

### Examples:

• *Matrix algebra*.  $\mathbb{M}_n$  is an involutive monoid in **FHilb**. Opposite monoid  $\mathbb{M}_n^*$ : multiplication ab in  $\mathbb{M}_n^*$  is ba in  $\mathbb{M}_n$ . Canonical involution  $\mathbb{M}_n \to \mathbb{M}_n^*$  given by  $f \mapsto f^{\dagger}$ .

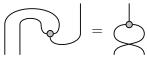
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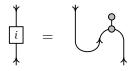
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- *Pair of pants.*  $A^* \otimes A$  involutive in a dagger pivotal category. Identity map as involution, because of conventions:

• *Groupoid Frobenius structure.* **G** in **Rel** is involutive. Opposite monoid: induced by opposite groupoid **G**<sup>op</sup>



Canonical involution  $G \rightarrow G^*$  given by  $g \sim g^{-1}$ .

**Theorem 5.28.** In a dagger pivotal category, a monoid  $(A, \land, \diamond)$  is dagger Frobenius if and only if i is an involution:



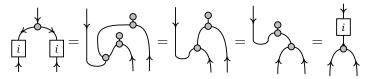
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• *i* preserves multiplication:



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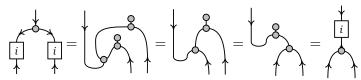
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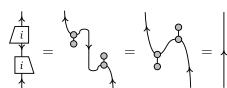
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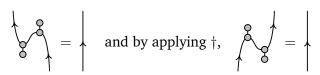
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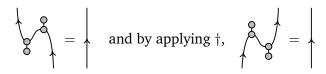
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The condition that *i* preserves multiplication gives:

So the form definition gives rise to the correct comultiplication.

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Here is a 'wild' Frobenius structure on  $\mathbb{C}[1,X]$ , with unit u,  $m: \mathbb{C}[1,X] \otimes \mathbb{C}[1,X] \to \mathbb{C}[1,X]$  and  $f: \mathbb{C}[1,X] \to \mathbb{C}$ :

$$m(1,1) = 1$$
  $u = 1$   
 $m(1,X) = X$   
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However, we can classify them in various cases, when we add sufficient adjectives.

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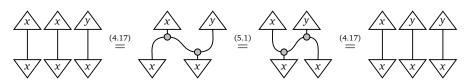
So if the underlying Hilbert space is H, we have  $H \simeq \mathbb{C} \oplus \cdots \oplus \mathbb{C}$ , which is exactly the choice of a basis.

The Frobenius laws then follow, choosing the comultiplication to copy this chosen basis.

**Lemma.** Given a basis for a finite-dimensional Hilbert space, its comonoid in **FHilb** is dagger Frobenius just when the basis is orthogonal.

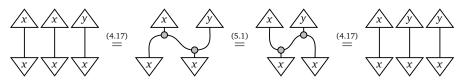
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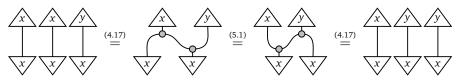
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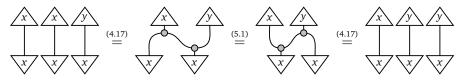


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Hence  $\langle x - y | x - y \rangle = \langle x | x \rangle - \langle x | y \rangle - \langle y | x \rangle + \langle y | y \rangle = 0$ , so x = y.

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By the previous lemma, the only restriction on these states is that they are orthogonal.

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By the previous theorem, they must correspond to orthogonal bases with some additional property.

The specialness condition says exactly that the basis elements are normalized.

We can compare these classification theorems:

<b>Commutative Frobenius structure</b>	Basis
Special	Arbitrary
Dagger	Orthogonal
Special dagger	Orthonormal

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How can this make sense?

The comultiplications are different.

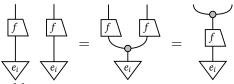
For an arbitrary basis, the dagger structure plays no role.

For the other bases, the comultiplication is the adjoint of the multiplication.

**Corollary 5.37**. In **FHilb**, a morphism between two commutative dagger Frobenius structures acts as a function on copyable states if and only if it is a comonoid homomorphism.

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**Proof.** Suffices to see about basis of copyable states  $\{e_i\}$ .



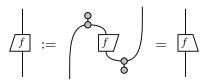
Hence  $f(e_i)$  copyable.

**Corollary 5.37**. In **FHilb**, a morphism between two commutative dagger Frobenius structures acts as a function on copyable states if and only if it is a comonoid homomorphism.

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Hence  $f(e_i)$  copyable.

**Lemma 5.38**. In **FHilb**, comonoid homomorphisms between commutative dagger Frobenius structures are self-conjugate:



**Proof.** Verify they have the same matrix entries.

We now consider the classification in **Rel**.

**Theorem 5.41.** Special dagger Frobenius structures in **Rel** correspond exactly to groupoids.

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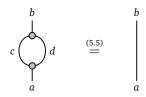
**Proof.** Write  $A \times A \xrightarrow{M} A$  for multiplication,  $U \subseteq A$  for unit.

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**Theorem 5.41.** Special dagger Frobenius structures in **Rel** correspond exactly to groupoids.

**Proof.** Write  $A \times A \xrightarrow{M} A$  for multiplication,  $U \subseteq A$  for unit.

*M* is single-valued: by speciality  $a(M \circ M^{\dagger})b$  iff a = b:



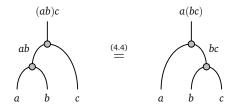
So: if (c,d)Ma and (c,d)Mb, must have a = b.

May simply write ab for unique c with (a, b)Mc.

Remember: *ab* not always defined!

#### **Proof.** (continued)

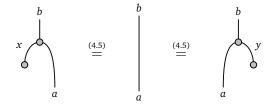
Associativity:



So ab and (ab)c defined exactly when bc and a(bc) are defined, and then (ab)c = a(bc).

#### **Proof.** (continued)

*Unitality:* for units  $x, y \in U$ 



So: a, b allow  $x \in U$  with xa = b iff a = b.

And: a, b allow  $y \in U$  with ay = b iff a = b.

If  $z \in U$  then xz = x for some  $x \in U$ . But then x = z!

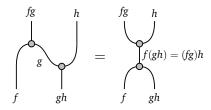
Units idempotent; multiplication of different ones undefined.

If xa = a = x'a, then a = xa = x(x'a) = (xx')a, so x = x'. So every element has unique left/right identity.

#### **Proof.** (continued)

Category: U set of objects, A set of morphisms.

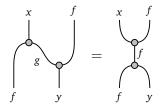
If fg defined and gh defined, want (fg)h = f(gh) defined too:



If fg and gh defined then LHS defined, so RHS defined too.

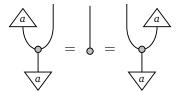
**Proof.** (continued)

*Inverses*: for  $f \in A$  with left unit x and right unit y:



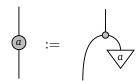
That completes the proof.

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Its *(right) phase shift* is the following morphism  $A \rightarrow A$ :



#### Examples:

• For classical structure in **FHilb** copying basis  $\{e_i\}$ , vector  $a = a_1e_1 + \cdots + a_ne_n$  is phase iff each  $a_i$  on unit circle:  $|a_i|^2 = 1$ .

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**Lemma 5.46**. In a dagger pivotal category, phases for a pair of pants structure  $(A^* \otimes A, \land \land, \hookrightarrow)$  correspond to unitary morphisms.

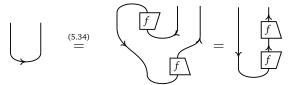
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**Proof.** The name of an morphism  $A \xrightarrow{f} A$  is a phase when:



But this means  $f \circ f^{\dagger} = id_A$ ; similarly  $f^{\dagger} \circ f = id_A$ .

**Example 5.47.** Phases of Frobenius structure  $\mathbb{M}_n$  in **FHilb** form set U(n) of n-by-n unitary matrices. Hence phases of  $\mathbb{M}_{k_1} \oplus \cdots \oplus \mathbb{M}_{k_n}$  range over  $U(k_1) \times \cdots \times U(k_n)$ .

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Special case: classical structure  $\mathbb{C}^n$  copying basis  $\{e_1, \dots, e_n\}$ . Phases are elements of  $U(1) \times \dots \times U(1)$ ; phase shift  $\mathbb{C}^n \to \mathbb{C}^n$  is accompanying unitary matrix.

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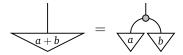
**Example 5.48**. The phases of a Frobenius structure in **Rel** induced by a group *G* are elements of that group *G* itself.

**Proof.** For a subset  $a \subseteq G$ , equation defining phases reads

$$\{g^{-1}h \mid g, h \in a\} = \{1_G\} = \{hg^{-1} \mid g, h \in a\}.$$

So if  $g \in G$ , then  $a = \{g\}$  is a phase. But if a contains two distinct elements  $g \neq h$  of G, then it cannot be a phase. Similarly,  $a = \emptyset$  is not a phase. Hence a is a phase precisely when it is a singleton  $\{g\}$ .

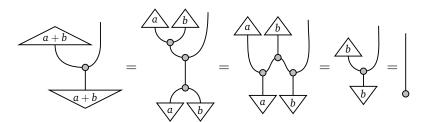
**Proposition 5.49**. In a monoidal dagger category, the phases for a dagger Frobenius structure form a group, with unit ♦ and:



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$$a+b$$
 =  $a$ 

**Proof.** This is again a well-defined phase:

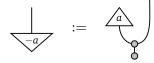


The flipped equation follows similarly.

Associativity is clear, hence phases form a monoid.

**Proof.** (continued)

Left-inverse of phase *a* is:

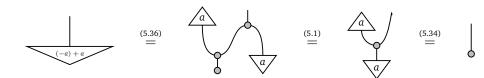


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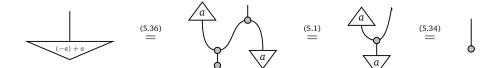


#### **Proof.** (continued)

Left-inverse of phase *a* is:

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Left-inverse of a is -a:



Similarly there is right-inverse. But in monoids, left and right inverses are equal: l = l(xr) = (lx)r = r.

This group is called the *phase group*.

#### Examples:

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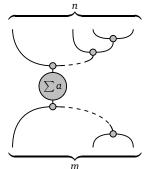
- In **FHilb**, the phase group for the pair of pants Frobenius structure is the unitary group.
- Phase addition in the Frobenius structure  $\mathbb{M}_{k_1} \oplus \cdots \oplus \mathbb{M}_{k_n}$  in **FHilb** is entrywise multiplication in  $U(k_1) \times \cdots \times U(k_n)$ . In particular, phase addition in a classical structure in **FHilb** is multiplication of diagonal matrices.

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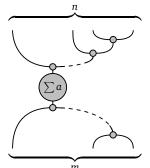
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- In **Rel**, the phase group induced by a group *G* is the group itself.

**Corollary 5.51.** Let  $(A, \land, \diamond)$  be classical structure in braided monoidal dagger category. Any connected morphism  $A^{\otimes m} \to A^{\otimes n}$  built of finitely many  $\land$ ,  $\diamond$ , id,  $\sigma$  and phases using  $\circ$ ,  $\otimes$ , and  $\dagger$ , equals



where a ranges over all the phases used in the diagram.

**Corollary 5.51.** Let  $(A, \diamondsuit, \diamond)$  be classical structure in braided monoidal dagger category. Any connected morphism  $A^{\otimes m} \to A^{\otimes n}$  built of finitely many  $\diamondsuit, \diamond, \operatorname{id}, \sigma$  and phases using  $\circ, \otimes$ , and  $\dagger$ , equals



where a ranges over all the phases used in the diagram.

**Proof.** Using braidings to have all phases dangle at the bottom. Apply Spider Theorem. Use phase addition to reduce to single phase  $\sum a$  on bottom right. Apply Spider Theorem again.

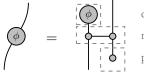
*Quantum state transfer protocol*: transfer state of Hilbert space H from one system to another, with success probability  $1/\dim(H)^2$ .

May be lax in drawing, e.g. projection  $H \otimes H \rightarrow H \otimes H$ :

The procedure looks like this:



Extra challenge: apply phase gate while transferring state

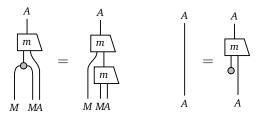


condition on first qubit measurement projection prepare second qubit

Modules give us a more sophisticated way to model measurement.

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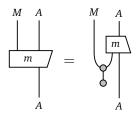


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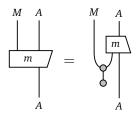
**Definition 5.52.** In a monoidal category, a *module* for a monoid  $(M, \triangle, \diamond)$  is an object A equipped with  $M \otimes A \xrightarrow{m} A$  satisfying:

The morphism m is called an action of the monoid on the object A. We will only consider  $left\ modules$ .

**Definition 5.55.** *Dagger module* for dagger Frobenius structure  $(M, \land, \diamond)$  in monoidal dagger category is module  $M \otimes A \xrightarrow{m} A$  with:



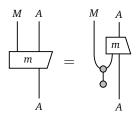
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- Let group *G* induce group algebra *A* in **FHilb**.

Modules  $A \otimes \mathbb{C}^n \to \mathbb{C}^n$  are representations of G.

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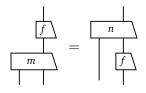
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Special case m = A gives a nondegenerate measurement.

After measurement, only allowed *controlled operations*: unitary maps that do not affect the measurement result.

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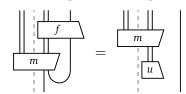
**Definition 5.60.** Given monoid  $(M, \land, \diamond)$  in monoidal category and module actions  $M \otimes A \xrightarrow{m} A$  and  $M \otimes B \xrightarrow{n} B$ , a module homomorphism  $m \xrightarrow{f} n$  is a morphism  $A \xrightarrow{f} B$  satisfying the following condition:



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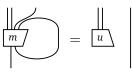
We can use this to formalize quantum teleportation:



Here  $(A \otimes A^*, m, u)$  is a classical structure, f is module homomorphism.

Can now treat teleportation without biproducts, purely graphically.

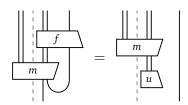
**Proposition 5.64.** In a dagger monoidal category, a classical structure  $(A \otimes A^*, m, u)$  describes measurement in a teleportation protocol if and only if:



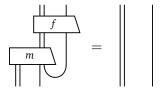
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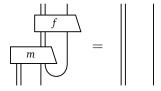
**Proof.** Successful execution of quantum teleportation means:



**Proof.** (continued.) Bend down the top-left  $A \otimes A$  wires:



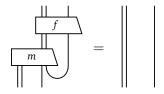
**Proof.** (continued.) Bend down the top-left  $A \otimes A$  wires:



Compose both sides with  $f^{\dagger}$  at the top:

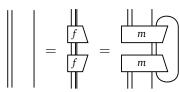


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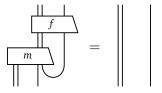


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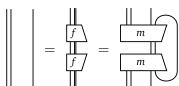
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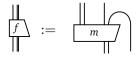
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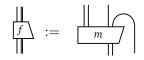


Finally, compose with u on bottom-left to obtain desired formula.

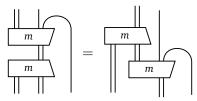
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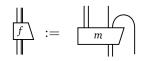
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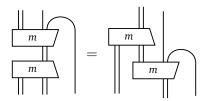
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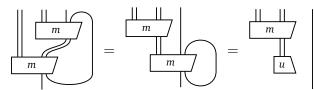
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It correctly implements quantum teleportation:



# Chapter 6

Complementarity

Measure qubit in basis  $\{\begin{pmatrix} 1\\0 \end{pmatrix}, \begin{pmatrix} 0\\1 \end{pmatrix}\}$ , then in  $\{\frac{1}{\sqrt{2}}\begin{pmatrix} 1\\1 \end{pmatrix}, \frac{1}{\sqrt{2}}\begin{pmatrix} 1\\-1 \end{pmatrix}\}$ .

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This is a simple form of Heisenberg's *uncertainty principle*.

We formalize this as follows.

**Definition 6.1.** For a finite-dimensional Hilbert space H, two orthogonal bases  $\{a_i\}$  and  $\{b_j\}$  are *complementary*, or *unbiased*, when there is some constant  $c \in \mathbb{C}$  such that the following holds:

$$\langle a_i|b_j\rangle\langle b_j|a_i\rangle=c$$

That is, the inner products have constant absolute value.

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# **6.1** Complementarity

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**Proof.** We perform the following computation:

$$\langle b_j | b_j \rangle = \sum_i \frac{\langle b_j | a_i \rangle \langle a_i | b_j \rangle}{\langle a_i | a_i \rangle} \stackrel{\text{(6.1)}}{=} \sum_i \frac{c}{\langle a_i | a_i \rangle}$$

In the first equality, we insert the identity as a sum over the complete family of projectors  $|a_i\rangle\langle a_i|/\langle a_i|a_i\rangle$ .

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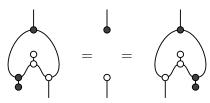
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The final expression is independent of j as required.

A similar argument holds for the  $\{a_i\}$  basis.

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Black and white not obviously interchangeable. But by symmetry:



So could have added two more equalities.

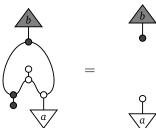
**Proposition 6.4**. In **FHilb**, the following are equivalent for two commutative dagger Frobenius structures on the same object:

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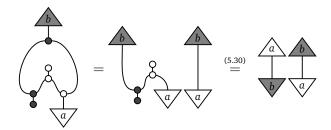
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- as bases, they are complementary with constant c = 1.

**Proof.** The complementarity equation (6.4) holds if and only if the following equation holds for all a in the white basis, and b in the black basis:



#### **Proof.** (continued.)

The left-hand side can be simplified as follows:

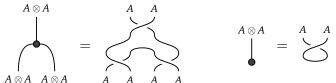


The right-hand side expands to 1.

**Lemma 6.6**. In a braided dagger pivotal category, if *A* is self-dual, then the following Frobenius structures on  $A \otimes A$  are complementary: pair of pants, and transport across braiding.

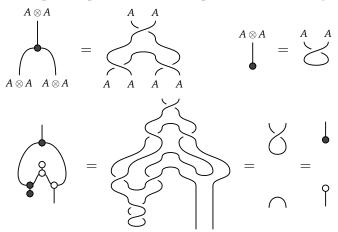
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**Example 6.5**. Three mutually complementary bases of  $\mathbb{C}^2$ :

$$X \text{ basis} \quad \left\{ \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix}, \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-1 \end{pmatrix} \right\}$$

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- Largest family of complementary bases for  $\mathbb{C}^2$ : no four bases all mutually unbiased.
- What is the maximum number of mutually complementary bases in a given dimension?
- Only known for prime power dimensions  $p^n$ .

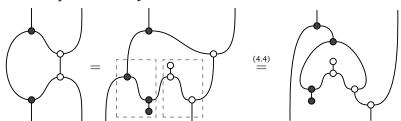
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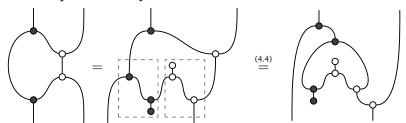
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**Proof.** Compose with adjoint:



Conversely, if is identity, compose with white counit on top right, black unit on bottom left, to get complementarity.

**Example 6.8**. Let *G* and *H* be nontrivial groups, and define:

- groupoid with objects  $g \in G$ , morphisms  $g \xrightarrow{(g,h)} g$ , composition  $g \xrightarrow{(g,h)} g \xrightarrow{(g,h')} g = g \xrightarrow{(g,hh')} g$ ;
- groupoid  $\circ$  with objects  $h \in H$ , morphisms  $h \xrightarrow{(g,h)} h$ , composition  $h \xrightarrow{(g,h)} h \xrightarrow{(g',h)} h = h \xrightarrow{(gh',h)} h$ ;

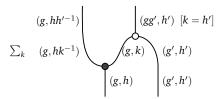
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Then **G** and **H** are complementary Frobenius structures.

**Proof.** Let's consider the following composite:



Every input element is related to a unique output element, so the structures are complementary by Proposition 6.7.

**Proposition 6.10**. In **Rel**, a groupoid allows a complementary one just when every object has the same number of morphisms out of it.

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#### **6.1** Complementarity

Complementary bases: copyable states for one *unbiased* for other. Abstractly: state is unbiased phase shift is unitary.

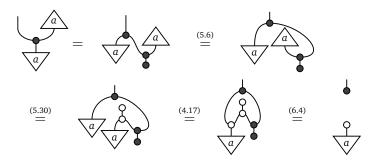
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#### Proof.



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- 'All or nothing' nature of Deutsch-Jozsa makes it amenable to categorical modelling.

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- *Balanced* if takes value 0 on exactly half the elements of *A*.
- You are promised that f is either constant or balanced.
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Quantum Deutsch–Jozsa uses f only once!

Quantum Deutsch–Jozsa uses f only *once*! How to access f? Can only apply unitary operators.

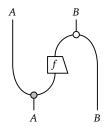
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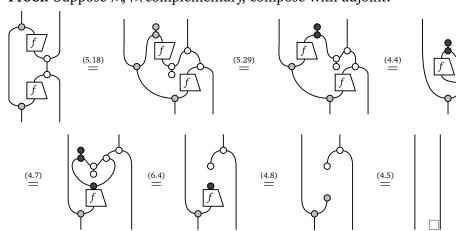
**Definition 6.12**. In a monoidal dagger category, given Frobenius structures  $(A, \land, \diamond)$  and  $(B, \land, \diamond)$ , an *oracle* is a morphism  $A \xrightarrow{f} B$  such that the following morphism is unitary:



**Proposition 6.14.** In a braided monoidal dagger category, let  $(A, \land)$ ,  $(B, \land)$  and  $(B, \land)$  be symmetric dagger Frobenius structures. Then if  $\land$ ,  $\land$  are complementary, a self-conjugate comonoid homomorphism  $(A, \land) \xrightarrow{f} (B, \land)$  gives an oracle.

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**Proof.** Suppose ♠, ♠ complementary, compose with adjoint:



Suppose |A| = n, and let  $A \xrightarrow{f} \{0, 1\}$  be the given function.

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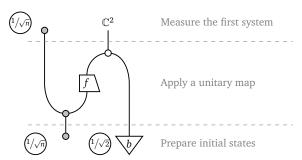
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**Definition 6.15**. The *Deutsch–Jozsa algorithm* is this morphism:

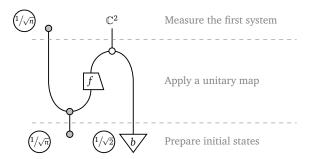


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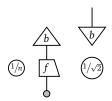
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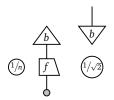


It describes a particular quantum history.

Lemma 6.16. The Deutsch–Jozsa algorithm (6.11) simplifies to:



**Lemma 6.16**. The Deutsch–Jozsa algorithm (6.11) simplifies to:



**Proof.** Duplicate copyable state b through white dot, and apply noncommutative spider theorem to cluster of gray dots.

# **6.2** The Deutsch-Jozsa algorithm 210/313

To prove correctness, distinguish two cases.

**Lemma 6.17** (The constant case). If  $A \xrightarrow{f} \{0, 1\}$  is constant, the Deutsch–Jozsa history is certain.

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#### 6.2 The Deutsch-Jozsa algorithm

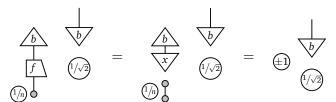
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$$f$$
 =  $x$ 

Hence we can express our history as follows:



This has norm 1, so the history is certain.

# **6.2** The Deutsch-Jozsa algorithm 211/313

**Lemma 6.18** (The balanced case). If  $A \xrightarrow{f} \{0, 1\}$  is balanced, the Deutsch–Jozsa history is impossible.

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Recall 
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Hence the final history equals 0.

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One standard way: let G be finite group, and consider Hilbert space with basis  $\{g \in G\}$ , with

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$$A: g \otimes h \mapsto gh$$

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Some nice relationships emerge between ♥ and ♠.

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A bialgebra is *commutative* when the underlying monoid and comonoid are commutative. In a braided monoidal dagger category, a *dagger bialgebra* is a bialgebra for which  $\blacktriangle = △$ .

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In the commutative case, interpretation in terms of counting paths. Leads to normal form.

#### Example 6.21.

• In any category with biproducts, any object *A* has bialgebra:

$$A \xrightarrow{\begin{pmatrix} id_A \\ id_A \end{pmatrix}} A \oplus A \qquad 0 \xrightarrow{0_{0,A}} A \qquad A \oplus A \xrightarrow{\begin{pmatrix} id_A & id_A \end{pmatrix}} A \qquad A \xrightarrow{0_{A,0}} 0$$

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• Any monoid *M* is a bialgebra in **Set**:

 $orall : m \mapsto (m,m) \quad ext{9: } m \mapsto ullet \quad ext{$\rlap/ \triangle$} : (m,n) \mapsto mn \quad ullet : ullet \mapsto 1_M.$ 

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 Symmetric monoidal functors FSet → FHilb, Set → Rel extend these examples to other categories.

Here is a nice characterization of the bialgebra laws.

**Lemma 6.22**. In a braided monoidal category, the following are equivalent:

- a comonoid  $(A, \forall, \circ)$  and monoid  $(A, \blacktriangle, \bullet)$  form a bialgebra;
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- \land and are comonoid homomorphisms;
- \(\forall \) and \(\gamma\) are monoid homomorphisms.

**Proof.** Unfold what it means for ▲ to be a comonoid homomorphism: comultiplication preservation gives the first of the bialgebra laws; counit preservation gives the second; and the last two come from requiring that • is a comonoid homomorphism. The case of monoid homomorphisms is analogous.

Frobenius structures and bialgebras are not compatible.

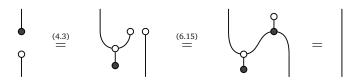
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**Theorem 6.23.** In a braided monoidal category, if a monoid  $(A, \blacktriangle, \bullet)$  and comonoid  $(A, \heartsuit, \circ)$  form a Frobenius structure and a bialgebra, then  $A \simeq I$ .

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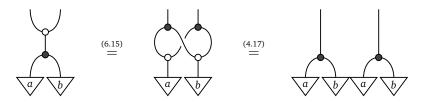
**Proof.** Will show  $\bullet$  and  $\circ$  are inverses. The bialgebra laws already require  $\circ \circ \bullet = \mathrm{id}_I$ . For the other composite:



**Lemma 6.24.** In a braided monoidal category, if a monoid  $\wedge$  and comonoid  $\forall$  interact as a bialgebra, then the copyable states for  $\forall$  are a monoid under  $\wedge$ .

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**Proof.** Associativity is immediate. Unitality comes down to third bialgebra law:  $\bullet$  is copyable for  $\forall$ . Have to prove well-definedness. Let a and b be copyable states for  $\forall$ .



Hence ∀-copyable states are indeed closed under ▲.

**Example 6.27.** Consider  $\mathbb{C}^2$  in **FHilb.** Computational basis  $\{\begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}\}$  gives dagger Frobenius structure  $\not$ . Orthogonal basis  $\{\begin{pmatrix} e^{i\varphi} \\ e^{i\theta} \end{pmatrix}, \begin{pmatrix} e^{i\varphi} \\ -e^{i\theta} \end{pmatrix}\}$  gives dagger Frobenius structure  $\not$ . Complementary, but only a bialgebra if  $\varphi = \theta = 0$ .

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**Definition 6.28**. In a braided monoidal dagger category, two dagger symmetric Frobenius structures are *strongly complementary* when they are complementary, and also form a bialgebra.

Strongly complementary pairs have extra nice properties.

**Theorem 6.29.** In a braided monoidal dagger category, given strongly complementary symmetric dagger Frobenius structures, the states that are self-conjugate, copyable and deletable for  $(\forall, \circ)$  form a group under  $\wedge$ .

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**Theorem 6.30**. In **FHilb**, strongly complementary symmetric dagger Frobenius structures, one of which is commutative, correspond to finite groups.

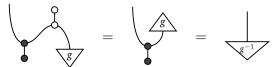
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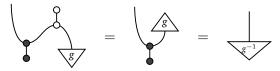
**Theorem 6.30**. In **FHilb**, strongly complementary symmetric dagger Frobenius structures, one of which is commutative, correspond to finite groups.

**Proof.** Suppose  $\forall$  is commutative. By Theorem 6.29 the states which are self-conjugate, copyable and deletable for  $(\forall, \circ)$  form a group for  $\spadesuit$ . But by the classification theorem for commutative dagger Frobenius structures, there is an entire basis of such states for  $\forall$ .

For symmetric dagger Frobenius structures in **FHilb**, one of which is commutative, the 'black-white snake' is linear extension of  $g \mapsto g^{-1}$ :



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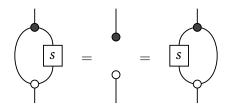
Same calculation for complementary Frobenius structures in Rel.

For symmetric dagger Frobenius structures in **FHilb**, one of which is commutative, the 'black-white snake' is linear extension of  $g \mapsto g^{-1}$ :



Same calculation for complementary Frobenius structures in Rel.

**Definition 6.31.** An *antipode* for a monoid  $(A, , \bullet, \bullet)$  and comonoid  $(A, \forall, \circ)$  in a monoidal category is a morphism  $A \xrightarrow{s} A$  satisfying



A *Hopf algebra* is a bialgebra with an antipode.

**Theorem ??.** In a braided monoidal category, given a Hopf algebra, the states which are copied by the comultiplication and deleted by the counit form a group under the multiplication.

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Similarly, acting by the antipode also gives a right inverse.

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**Corollary 6.34**. In **Set**, Hopf algebras are exactly groups.

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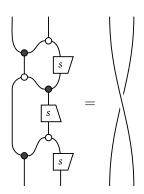
Corollary 6.34. In Set, Hopf algebras are exactly groups.

**Proof.** The only comonoids in **Set** are built from the diagonal and terminal morphisms, which copy and delete every element of the underlying set.

#### 6.4 Qubit gates

Graphical calculus can describe useful gates in quantum computing.

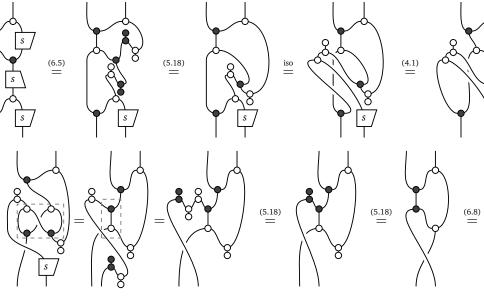
**Theorem 6.35.** In a braided monoidal dagger category, let  $(, \bullet)$  and  $(, \circ)$  be complementary classical structures. Then the following holds, if an only if the first bialgebra law holds:



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## 6.4 Qubit gates

**Proof.** We use the following graphical argument:



**Example 6.36**. In **FHilb**, fix *A* to be qubit  $\mathbb{C}^2$ ; let  $(\blacktriangle, \bullet)$  copy computational basis  $\{|0\rangle, |1\rangle\}$ , and  $(\forall, \circ)$  copy the *X* basis. Then the three antipodes *s* become identities.

The three unitaries indeed reduce to three CNOT gates: negate second qubit if the first (control) qubit is  $|1\rangle$ , do nothing otherwise.

$$CNOT = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

**Example 6.36.** In **FHilb**, fix *A* to be qubit  $\mathbb{C}^2$ ; let  $(, \bullet)$  copy computational basis  $\{|0\rangle, |1\rangle\}$ , and  $(\forall, \circ)$  copy the *X* basis. Then the three antipodes *s* become identities.

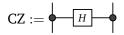
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$$CNOT = egin{pmatrix} 1 & 0 & 0 & 0 \ 0 & 1 & 0 & 0 \ 0 & 0 & 0 & 1 \ 0 & 0 & 1 & 0 \end{pmatrix}$$

Fix these two classical structures for the rest of this chapter. The relationship between them is  $|+\rangle = |0\rangle + |1\rangle$ , and  $|-\rangle = |0\rangle - |1\rangle$ . Hence they are transported into each other by the *Hadamard gate*:

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \boxed{\begin{matrix} H \end{matrix}}$$

Lemma 6.37. The CZ gate in FHilb can be defined as follows.



Lemma 6.37. The CZ gate in FHilb can be defined as follows.

$$CZ := H$$

**Proof.** Rewrite as:

$$CZ \stackrel{(5.13)}{=} \stackrel{H}{\longrightarrow}$$

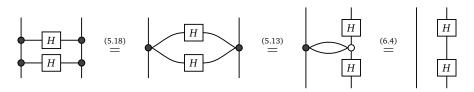
Hence

$$CZ = (id \otimes H) \circ CNOT \circ (id \otimes H) = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

**Proposition 6.39**. If  $(A, \bigstar)$  and  $(A, \forall)$  complementary classical structures in braided monoidal dagger category, and  $A \xrightarrow{H} A$  satisfies  $H \circ H = \mathrm{id}_A$ , then CZ makes sense and satisfies  $CZ \circ CZ = \mathrm{id}$ .

**Proposition 6.39.** If  $(A, \blacktriangle)$  and  $(A, \forall)$  complementary classical structures in braided monoidal dagger category, and  $A \xrightarrow{H} A$  satisfies  $H \circ H = \mathrm{id}_A$ , then CZ makes sense and satisfies  $CZ \circ CZ = \mathrm{id}$ .

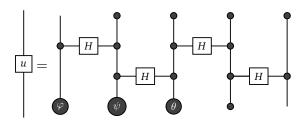
**Proof.** Easy graphical manipulation:



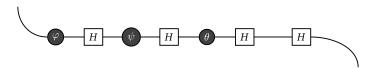
Single-qubit unitaries can be implemented via *Euler angles*: unitary  $\mathbb{C}^2 \xrightarrow{u} \mathbb{C}^2$  allows phases  $\varphi, \psi, \theta$  with  $u = Z_\theta \circ X_\psi \circ Z_\varphi$ , where  $Z_\theta$  is rotation in Z basis over angle  $\theta$ , and  $X_\varphi$  in X basis over angle  $\varphi$ .

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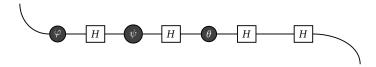
**Theorem 6.40**. If unitary  $\mathbb{C}^2 \stackrel{\omega}{\to} \mathbb{C}^2$  in **FHilb** has Euler angles  $\varphi, \psi, \theta$ ,



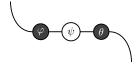
**Proof.** Use phased spider theorem to reduce to:



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But by transport lemma, this is just:



which equals u, by definition of the Euler angles.

# Chapter 7

**Complete positivity** 

Suppose machine produces quantum systems with Hilbert space  ${\cal H}.$ 

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Two buttons: one produces state  $v \in H$ , another state  $w \in H$ . You receive the system, but can't see machine operating. All you know is, a coin is flipped to decide which button to press.

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Taking this into account, the state of the system you receive can't be described by an element of *H*. The system is in a *mixed state*.

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**Definition 0.65.** A *density matrix* on a Hilbert space H is a positive map  $H \xrightarrow{\rho} H$ . It is *normalized* when  $Tr(\rho) = 1$ .

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**Definition 0.65.** A *density matrix* on a Hilbert space H is a positive map  $H \xrightarrow{\rho} H$ . It is *normalized* when  $Tr(\rho) = 1$ . It is *pure* when  $\rho = |\psi\rangle\langle\psi|$  for some  $\psi \in H$ ; otherwise, it is *mixed*.

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Set of density matrices is convex.

**Definition 0.71.** For Hilbert spaces H and K, the *partial trace over* K is the unique linear map  $\operatorname{Tr}_K \colon \mathbf{Hilb}(H \otimes K, H \otimes K) \to \mathbf{Hilb}(H, H)$  satisfying  $\operatorname{Tr}_K(\rho \otimes \sigma) = \operatorname{Tr}(\sigma) \cdot \rho$ .

Partial trace of pure state can be mixed.

Mixed version of measurement:

**Definition 0.69.** A positive operator-valued measure (POVM) on a Hilbert space H is a family of positive maps  $H \xrightarrow{f_i} H$  satisfying

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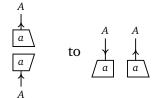
**Definition 0.63** (Born rule). For a positive operator–valued measure  $\{f_i\}$  on a system with normalized density matrix  $H \xrightarrow{\rho} H$ , the *probability of outcome i* is  $\langle \psi | f_i | \psi \rangle$ .

Will now develop mixed states *categorically*, in 4 steps. So far have defined *pure state* as morphism  $I \xrightarrow{a} A$ .

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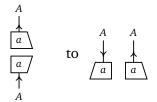
Step 1: consider  $p = a \circ a^{\dagger} : A \to A$  instead of  $I \xrightarrow{a} A$ . This is really just a switch of perspective: we can recover a from p up to a phase, which is physically unimportant.

Step 2: switch from



Instead of  $A \rightarrow A$ , may take names  $I \rightarrow A^* \otimes A$ , so no information lost.

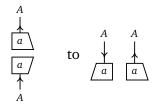
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Instead of  $A \rightarrow A$ , may take names  $I \rightarrow A^* \otimes A$ , so no information lost.

**Definition 7.1.** A *positive matrix* is a morphism  $I \xrightarrow{m} A^* \otimes A$  that is the name  $\lceil f^{\dagger} \circ f \rceil$  of a positive morphism for some  $A \xrightarrow{f} B$ . If we can choose B = I, we call m a *pure state*.

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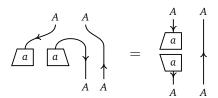


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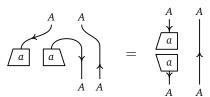
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Will sometimes write  $\sqrt{m}$  for f to indicate that m has a 'square root' and is hence positive. However,  $\sqrt{m}$  is by no means unique.

Step 3: move from positive matrix  $I \xrightarrow{m} A^* \otimes A$  to multiplication  $A^* \otimes A \rightarrow A^* \otimes A$  on left with m; compare Cayley embedding.

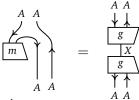


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Loses no information:

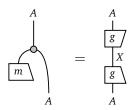
**Lemma 7.3**. In **FHilb**, if a morphism  $I \xrightarrow{m} A^* \otimes A$  satisfies



then it is a positive matrix.

Step 4: Recognize pants, upgrade to arbitrary Frobenius structure.

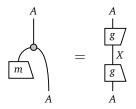
**Definition 7.4.** A *mixed state* of a dagger Frobenius structure  $(A, \diamond, \diamond)$  in a monoidal dagger category is a morphism  $I \xrightarrow{m} A$  with



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Will sometimes write  $\sqrt[6]{m}$  instead of g, even though not unique.

#### **Example 7.5**. Mixed states in our example categories:

• Recall pair of pants on  $A = \mathbb{C}^n$  in **FHilb** is n-by-n matrices. Mixed states are n-by-n matrices m satisfying  $m = \sqrt{m}^{\dagger} \circ \sqrt{m}$  for some n-by-m matrix  $\sqrt{m}$ : precisely *density matrices*.

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- Dagger Frobenius structures in **FHilb** are finite-dimensional C\*-algebras A. Mixed states  $I \rightarrow A$  are elements  $a \in A$  satisfying  $a = b^*b$  for some  $b \in A$ ; usually called the *positive* elements.

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- Special dagger Frobenius structure in **Rel** correspond to groupoids **G**. Mixed states are subsets R closed under inverses, and such that  $g \in R$  implies  $\mathrm{id}_{\mathrm{dom}(g)} \in R$ .

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Individual morphisms are physical processes; free or controlled time evolution, preparation, or measurement. So should take (mixed) states to (mixed) states, and be determined by behaviour on (mixed) states.

**Definition 7.6.** Let  $(A, \diamondsuit, \diamond)$  and  $(B, \diamondsuit, \diamond)$  be dagger Frobenius structures in dagger monoidal category. A *positive map* is morphism  $A \xrightarrow{f} B$  such that  $I \xrightarrow{f \circ m} B$  is mixed state when  $I \xrightarrow{m} A$  is mixed state.

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Warning: different from positive-semidefinite morphisms  $f = g^{\dagger} \circ g$ , abbreviated to positive morphisms.

Not yet the 'right' morphisms: forgot compound systems! If f and g are physical channels, then so is  $f \otimes g$ .

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Specifically,  $f \otimes \mathrm{id}_E$  should be positive map for any Frobenius structure E and any positive map  $A \xrightarrow{f} B$ . Might only be interested in A, but can never be sure it's isolated from environment E.

**Definition 7.7.** Let  $(A, \diamondsuit, \diamond)$  and  $(B, \diamondsuit, \diamond)$  be dagger Frobenius structures in a dagger monoidal category. A *completely positive map* is a morphism  $A \xrightarrow{f} B$  such that  $f \otimes \operatorname{id}_E$  is a positive map for any dagger Frobenius structure  $(E, \diamondsuit, \diamond)$ .

#### **Example 7.8.** Completely positive maps in **FHilb**:

• *Unitary evolution*: letting an n-by-n matrix m evolve freely along unitary u to  $u^{\dagger} \circ m \circ u$ ; can phrase it as  $A^* \otimes A \xrightarrow{u_* \otimes u} A^* \otimes A$  for  $A = \mathbb{C}^n$ .

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**Definition 7.9.** Let *G* and *H* be the sets of morphisms of groupoids **G** and **H**. A relation  $G \rightarrow H$  is said to *respect inverses* when  $g \sim h$  implies  $g^{-1} \sim h^{-1}$  and  $\mathrm{id}_{\mathrm{dom}(g)} \sim \mathrm{id}_{\mathrm{dom}(h)}$ .

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**Proposition 7.10**. A morphism  $G \xrightarrow{R} H$  in **Rel** is completely positive if and only if it respects inverses.

Definition of completely positive map was *operational*, will now reformulate in *structural* form.

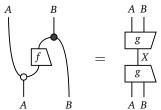
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**Lemma 7.14.** In a positively monoidal braided dagger category, if  $f:(A, \land, \diamond) \to (B, \spadesuit, \bullet)$  is completely positive, then

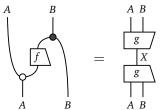


for some object *X* and some morphism  $A \otimes B \stackrel{g}{\longrightarrow} X$ .

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This is called the *CP*–condition.

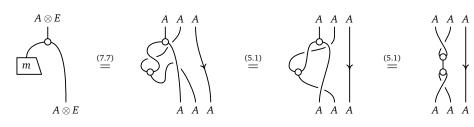
**Proof.** Let  $E = A \otimes A^*$  be pair of pants, define  $I \xrightarrow{m} A \otimes E$  as:



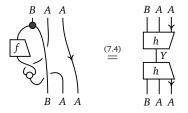
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Then m is a mixed state:

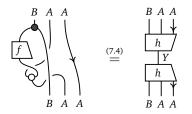


Since f is completely positive, so  $(f \otimes id_E) \circ m$  is a mixed state:

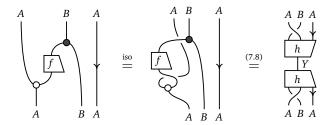


for some object Y and morphism h.

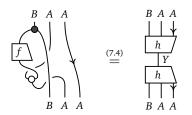
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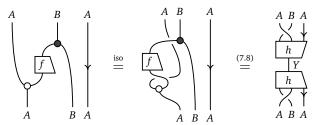
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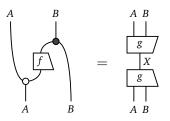


for some object *Y* and morphism *h*. Hence:



CP-condition then follows from positively monoidal.

#### CP-condition:



Striking similarity to oracles, Frobenius law.

Object *X* is also called the *ancilla system*.

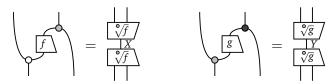
Map g is called a *Kraus morphism*, written  $\sqrt[6]{f}$  although not unique.

Will now prove converse; need to show CP-condition well-behaved.

**Lemma 7.16** (CP maps compose). In a monoidal dagger category, let  $(A, \land, \diamond)$ ,  $(B, \land, \diamond)$ , and  $(C, \land, \bullet)$  be special dagger Frobenius structures. If  $A \xrightarrow{f} B$  and  $B \xrightarrow{g} C$  satisfy the CP condition, so does  $g \circ f$ .

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**Proof.** Since *f* and *g* satisfy the CP condition:



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**Proof.** Since *f* and *g* satisfy the CP condition:

$$=\frac{\begin{vmatrix} 1 \\ \sqrt[6]{f} \end{vmatrix}}{\begin{vmatrix} \sqrt{g} \\ \sqrt[6]{g} \end{vmatrix}} = \frac{\begin{vmatrix} \sqrt[6]{g} \\ \sqrt[6]{g} \end{vmatrix}}{\begin{vmatrix} \sqrt{g} \\ \sqrt[6]{g} \end{vmatrix}}$$

Then we perform the following calculation:

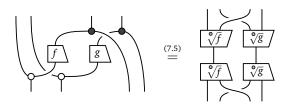


This uses the special law to insert a "handle"  $d \bullet \bullet$ .

**Lemma 7.17** (Product CP maps).If  $(A, \land, \diamond) \xrightarrow{f} (B, \land, \bullet)$  and  $(C, \land, \diamond) \xrightarrow{g} (D, \land, \bullet)$  are maps between dagger Frobenius structures in a braided monoidal dagger category that satisfy CP–condition, then so is  $(A, \land, \diamond) \otimes (C, \land, \diamond) \xrightarrow{f \otimes g} (B, \land, \diamond) \otimes (D, \land, \diamond)$ .

**Lemma 7.17** (Product CP maps).If  $(A, \land, \diamond) \xrightarrow{f} (B, \land, \bullet)$  and  $(C, \land, \diamond) \xrightarrow{g} (D, \land, \bullet)$  are maps between dagger Frobenius structures in a braided monoidal dagger category that satisfy CP–condition, then so is  $(A, \land, \diamond) \otimes (C, \land, \diamond) \xrightarrow{f \otimes g} (B, \land, \diamond) \otimes (D, \land, \diamond)$ .

**Proof.** Suppose  $\sqrt[6]{f}$  and  $\sqrt[6]{g}$  are Kraus morphisms for f and g. Then:



Can now show that the CP–condition characterizes completely positive maps.

**Theorem 7.18.** Let  $(A, \diamondsuit, \diamond)$  and  $(B, \diamondsuit, \diamond)$  be special dagger Frobenius structures,  $A \xrightarrow{f} B$  morphism in braided monoidal dagger category that is positively monoidal. The following are equivalent:

- (a) *f* is completely positive;
- (b)  $f \otimes id_E$  is positive map for all  $E = (X^* \otimes X, \land \land, \checkmark)$ ;
- (c) *f* satisfies the CP–condition.

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- (c) *f* satisfies the CP–condition.

**Proof.** (a)  $\Rightarrow$  (b) clear; (b)  $\Rightarrow$  (c) already shown; (c)  $\Rightarrow$  (a) follows from previous two lemmas.

Main construction: turn compact dagger category  $\mathbf{C}$  modeling pure states into new compact dagger category  $\mathrm{CP}[\mathbf{C}]$  of mixed states.

**Definition ??.** Let C be a monoidal dagger category. Define a new category  $\mathrm{CP}[C]$  as follows: objects are special dagger Frobenius structures in C, and morphisms are completely positive maps.

**Proposition 7.22** (CP preserves tensors). If  $\bf C$  is a braided monoidal dagger category, then  ${\rm CP}[\bf C]$  is a monoidal category:

the tensor product of objects is product comonoid;

If C is a symmetric monoidal category, then so is CP[C].

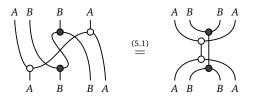
- the tensor product of morphisms is well-defined by lemma;
- the tensor unit is I with multiplication  $I \otimes I \xrightarrow{\rho_I} I$  and unit  $I \xrightarrow{\operatorname{id}_I} I$ ;
- the coherence isomorphisms  $\alpha$ ,  $\lambda$ , and  $\rho$  are inherited from **C**.

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- the tensor unit is *I* with multiplication  $I \otimes I \xrightarrow{\rho_I} I$  and unit  $I \xrightarrow{\operatorname{id}_I} I$ ;
- the coherence isomorphisms  $\alpha$ ,  $\lambda$ , and  $\rho$  are inherited from **C**.

If C is a symmetric monoidal category, then so is CP[C].

**Proof.** If **C** symmetric, swap maps are CP by Frobenius:

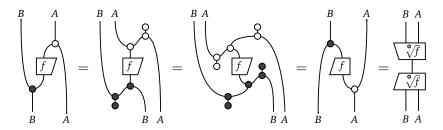


Hence, in that case, CP[C] is symmetric monoidal.

**Lemma 7.25** (CP preserves daggers). Let  $(A, \land, \diamond)$  and  $(B, \land, \bullet)$  be special dagger Frobenius structures in a braided monoidal dagger category. If  $A \xrightarrow{f} B$  satisfies CP–condition, so does  $B \xrightarrow{f^{\dagger}} A$ .

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#### Proof.



**Lemma 7.24** (CP preserves duals). Let  $(A, \land, \diamond)$  be a special dagger Frobenius structure in a braided monoidal dagger category **C**, and:



Then  $(A, \triangle, \diamond) \dashv (A, \blacktriangle, \bullet)$  in  $\mathrm{CP}[\mathbf{C}]$ . If **C** symmetric monoidal, both objects are dagger dual in  $\mathrm{CP}[\mathbf{C}]$ .

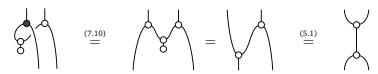
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$$\bigwedge_{A}^{A} := \bigwedge_{A}^{A} \qquad \qquad \bigwedge_{A}^{A} := \bigwedge_{A}^{A}$$

Then  $(A, \diamondsuit, \diamond) \dashv (A, \diamondsuit, \bullet)$  in  $CP[\mathbf{C}]$ .

If C symmetric monoidal, both objects are dagger dual in  $\mathrm{CP}[C]$ .

**Proof.** Define  $\smile := \forall : I \rightarrow R \otimes L$ .



Also  $\stackrel{\triangleright}{\sim} := \&: L \otimes R \rightarrow I$  is CP.

Because composition in  $CP[\mathbf{C}]$  is as in  $\mathbf{C}$ , snake equations come down precisely to the Frobenius law. Thus  $L \dashv R$  in  $CP[\mathbf{C}]$ .

If C symmetric,

are CP: composition of CP swap map and adjoint of CP map. So L and R dagger dual objects in  $CP[\mathbf{C}]$ .

#### Summary:

Theorem 7.26 (CP is compact).

If C braided manaidal dagger CP[C] manaidal dagger CP[C]

If **C** braided monoidal dagger, CP[C] monoidal dagger with duals. If **C** symmetric monoidal dagger, CP[C] compact dagger.

Duals fabricated out of thin air?

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- CP[**FHilb**]: fin-dim C\*-algebras and completely positive maps
- CP[**Rel**]: groupoids and inverse-respecting relations

# 7.2 Categories of completely positive maps $^{252/313}$

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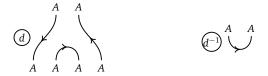
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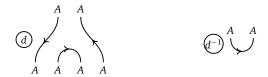
Next: look at subcategories of quantum/classical structures.

**Definition 7.34.** A *quantum structure* is a dagger Frobenius structure on  $A^* \otimes A$  in a monoidal dagger category of the form



for an object *A* and an invertible scalar  $I \xrightarrow{d} I$ .

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for an object *A* and an invertible scalar  $I \xrightarrow{d} I$ .

As far away from classical structures as possible:

- In FHilb: matrix algebras  $M_n$ ; normalizing scalar is (necessarily)  $d = \frac{1}{\sqrt{n}}$ .
- In **Rel**: *indiscrete groupoids*; normalizing scalar is (necessarily) d = 1.

**Remark 7.36.** Not quite pair of pants; normalizing scalar bit ugly. But can pass to *monoidally equivalent* category without it.

arrows: completely positive maps, objects: *normalizable* dagger Frobenius structures



for some invertible scalar  $I \stackrel{d}{\rightarrow} I$ .

**Remark 7.36.** Not quite pair of pants; normalizing scalar bit ugly. But can pass to *monoidally equivalent* category without it.

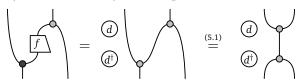
arrows: completely positive maps,

objects: normalizable dagger Frobenius structures

$$\left\langle \begin{array}{c} d \\ d^{\dagger} \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d^{\dagger} \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; = \; \left\langle \begin{array}{c} d \\ d \end{array} \right\rangle \; 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for some invertible scalar  $I \xrightarrow{d} I$ .

**Proof.** Rescale normalizable Frobenius structure  $(A, \land, \diamond, d)$  to special one  $(A, d \bullet \land, d^{-1} \bullet \diamond)$ . Isomorphism  $A \xrightarrow{d \bullet \mathrm{id}_A} A$ .



**Remark 7.36**. Not quite pair of pants; normalizing scalar bit ugly. But can pass to *monoidally equivalent* category without it.

arrows: completely positive maps,

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$$\left\langle \begin{array}{c} \overrightarrow{d} \\ \overrightarrow{d^{\dagger}} \end{array} \right| = \left\langle \begin{array}{c} \overrightarrow{d} \end{array} \right|$$

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So can pretend all Frobenius structures are special as long as *A positive-dimensional*:

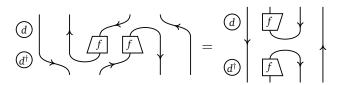
Pure is special case of mixed.

**Proposition 7.37** (CP embeds **C**). Let **C** be braided monoidal dagger category that is positive-dimensional. There is functor  $\overline{P} \colon \mathbf{C} \to \overline{\mathrm{CP}}[\mathbf{C}]$  defined by letting  $\overline{P}(A)$  be the quantum structure on  $A^* \otimes A$ , and  $\overline{P}(f) = f_* \otimes f$  on morphisms. It is a monoidal functor that preserves daggers.

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**Proof.** Let  $A \xrightarrow{f} B$  in **C**. Check  $\overline{P}(f)$  is completely positive.



Daggers and tensor products in  $\overline{CP}[\mathbf{C}]$  are by definition as in  $\mathbf{C}$ . The only other subtlety is that we have to fix a choice of scalar d for each object A.

Well, embedding not quite faithful: only up to phase.

**Lemma 7.38** (CP kills phases). If  $\overline{P}(f) = \overline{P}(g)$  for  $A \xrightarrow{f,g} B$ , there are  $I \xrightarrow{s,t} I$  with  $s \bullet f = t \bullet g$  and  $s^{\dagger} \bullet s = t^{\dagger} \bullet t$ .

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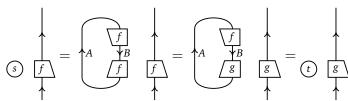
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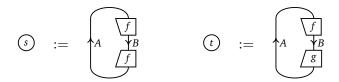
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And:

**Definition 7.39.** Let  $\mathrm{CP}_q[\mathbf{C}]$  be subcategory of  $\mathrm{CP}[\mathbf{C}]$  of quantum structures. Can abbreviate objects  $A^* \otimes A$  to just A itself; CP–condition simplifies to positivity of



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As before: if **C** is compact dagger category, so is  $CP_q[\mathbf{C}]$ .

- $\operatorname{CP}_q[\mathbf{FHilb}]$ : finite-dimensional Hilbert spaces H, completely positive maps  $H^* \otimes H \to K^* \otimes K$ .
- $\operatorname{CP}_q[\mathbf{Rel}]$ : sets A, relations  $A \times A \to B \times B$  with  $(a,a) \sim (b,b)$  and  $(a',a) \sim (b',b)$  when  $(a,a') \sim (b,b')$ .

Any object A in  $\operatorname{CP_q}[\mathbf{C}]$  has 'discarding' map  $A \to I$ , namely  $\nwarrow$ ; in  $\operatorname{CP_q}[\mathbf{FHilb}]$  this is the trace.

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**Definition 7.41**. *Environment structure* for compact dagger **C**<sup>pure</sup> is:

- a compact dagger category C of which C<sup>pure</sup> is a compact dagger subcategory with the same objects;
- for each object A, a morphism  $\stackrel{=}{\top}: A \rightarrow I$  in  $\mathbb{C}$ ;

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(a) 
$$\frac{\dot{=}}{I} = , \frac{\dot{=}}{A} \frac{\dot{=}}{B} = \frac{\dot{=}}{A}$$

Any object A in  $\operatorname{CP_q}[\mathbf{C}]$  has 'discarding' map  $A \to I$ , namely f; in  $\operatorname{CP_q}[\mathbf{FHilb}]$  this is the trace. Leads to axiomatization of  $\operatorname{CP_q}[\mathbf{C}]$ .

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(a) 
$$\frac{\dot{\underline{}}}{1} = , \frac{\dot{\underline{}}}{A} = \overline{1} = \overline{1}$$

(b) for all  $A \xrightarrow{f} X$  and  $A \xrightarrow{g} Y$  in  $\mathbb{C}^{\text{pure}}$ :

$$\begin{array}{ccc}
A & & & A \\
\hline
f & & & & \\
X & & & & \\
f & & & & \\
\hline
f & & & & \\
A & & & & \\
\end{array}$$
in  $\mathbf{C}^{\text{pure}}$   $\iff$  
$$\begin{array}{c}
\overset{\dot{=}}{\xrightarrow{+}} \\
f & & \\
A & & \\
\end{array}$$
 in  $\mathbf{C}^{\text{pure}}$ 

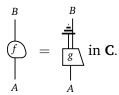
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(a) 
$$\frac{\dot{\underline{=}}}{I} =$$
,  $\frac{\dot{\underline{=}}}{A} \frac{\dot{\underline{=}}}{B} = \frac{\dot{\underline{=}}}{A} \frac{\dot{\underline{=}}}{B}$ 

- (b) for all  $A \xrightarrow{f} X$  and  $A \xrightarrow{g} Y$  in  $\mathbb{C}^{pure}$ :
- (c) for each  $A \xrightarrow{f} B$  in **C** there is  $A \xrightarrow{g} X \otimes B$  in **C**<sup>pure</sup> such that:



**Theorem 7.42.** If compact dagger category  $\mathbf{C}^{\text{pure}}$  comes with environment structure, there is invertible functor  $\operatorname{CP_q}[\mathbf{C}^{\text{pure}}] \xrightarrow{F} \mathbf{C}$  with F(A) = A on objects and  $F(f \otimes g) = F(f) \otimes F(g)$  on morphisms.

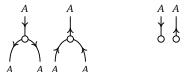
**Proof.** Define F(A) = A on objects, and on morphisms:

$$F\begin{pmatrix} B \\ \downarrow f \\ \uparrow \\ A \end{pmatrix} := \begin{bmatrix} \frac{\dot{-}}{\sqrt{f}} \\ \downarrow f \\ \downarrow A \end{bmatrix}$$

Functoriality:

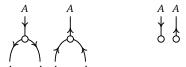
$$F(g \circ f) \stackrel{(7.22)}{=} \stackrel{\overset{\doteq}{\sqrt{f}}}{\bigvee_{R}} \stackrel{\circ}{\sqrt{g}} \qquad \stackrel{(7.19)}{=} \stackrel{\overset{\doteq}{\sqrt{f}}}{\bigvee_{R}} \stackrel{\circ}{\sqrt{g}} \qquad \stackrel{(7.22)}{=} F(g) \circ F(f)$$

**Lemma 7.45.** If  $(A, \triangle, \diamond)$  is a Frobenius structures in a braided monoidal category **C**, then

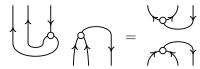


is a Frobenius structure in  ${\rm CP_q}[{\bf C}]$ . If two Frobenius structures in  ${\bf CP_q}[{\bf C}]$  are complementary, so are the two Frobenius structures in  ${\rm CP_q}[{\bf C}]$ .

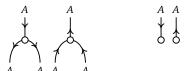
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#### **Proof.** CP-condition:



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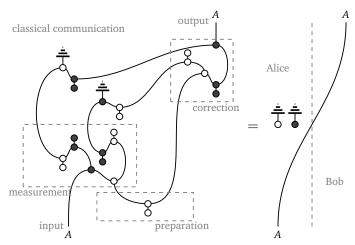
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Classical communication:



is channel that carries classical information encoded in  $\triangle$ . In CP[**FHilb**]:  $|i\rangle\langle i|$  undisturbed, but  $|i\rangle\langle j|\mapsto 0$  if  $i\neq j$ . *Decoherence*: only information encoded in  $\triangle$  survives.

**Theorem 7.46** (Quantum teleportation of mixed states). If  $(A, \land, \diamond)$  and  $(A, \land, \bullet)$  are complementary symmetric dagger Frobenius structures in a braided monoidal dagger category  $\mathbf{C}$ , of which  $\land$  is commutative, then the following equation holds in  $\mathrm{CP_q}[\mathbf{C}]$ :



**Theorem 7.46** (Quantum teleportation of mixed states). If  $(A, \triangle, \diamond)$  and  $(A, \triangle, \bullet)$  are complementary symmetric dagger Frobenius structures in a braided monoidal dagger category  $\mathbf{C}$ , of which  $\triangle$  is commutative, then the following equation holds in  $\mathrm{CP}_q[\mathbf{C}]$ :

- Can handle mixed states
- 'Classical communication': only in sense of 'copied' by Frobenius structures, one of which may be noncommutative
- 'Two bits' of classical communication: two channels used, may have more than two copyable states
- Used tensor product and composition only

**Definition 7.28.** Let C be a braided monoidal dagger category. The category  $\mathrm{CP_c}[C]$  has as objects classical structures in C. Its morphisms are completely positive maps.

Again, if C is compact, so is  $\mathrm{CP_c}[C]$ . In fact, any object in  $\mathrm{CP_c}[C]$  is self-dual.

If C models pure state quantum mechanics, and  $\mathrm{CP}[C]$  mixed state quantum mechanics, then  $\mathrm{CP}_{\mathrm{c}}[C]$  models statistical mechanics.

**Example 7.29.** The category  $CP_c[\mathbf{FHilb}]$  is monoidally equivalent to: objects are natural numbers, morphisms are m-by-n matrices with nonnegative real entries. The maps that preserve counit correspond to those matrices whose rows sum up to one, *i.e.* stochastic matrices.

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Consistent with morphisms of classical structures of Chapter 5:

- Comonoid homomorphisms between classical structures: every column has single entry 1 and 0s elsewhere
- These are deterministic maps within stochastic setting
- These are *self-conjugate*: matrix entries are real numbers.

Compact dagger categories have no uniform copying/deleting. However, doesn't yet mean they model quantum mechanics. Classical mechanics might have copying, and quantum mechanics might not, but statistical mechanics has no copying either; rather: impossibility of *broadcasting* unknown mixed states.

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First make sure that there exist 'discarding' maps  $A \rightarrow I$  in CP[C]:

**Lemma 7.30.** Let  $(A, \land, \diamond)$  be a dagger Frobenius structure in a braided monoidal dagger category **C**. Then  $\diamond$  is completely positive. If  $(A, \land, \diamond)$  is a classical structure, then  $\land$  is completely positive.

**Proof.** Verifying CP–condition for 6 is easy. CP–condition for commutative △ can be rewritten into positive form easily using noncommutative spider theorem.

**Definition 7.31.** let **C** be a braided monoidal dagger category. A *broadcasting map* for an object  $(A, \land, \diamond)$  of  $CP[\mathbf{C}]$  is a morphism  $A \xrightarrow{B} A \otimes A$  in  $CP[\mathbf{C}]$  satisfying:

$$\begin{bmatrix} B \\ B \end{bmatrix} = \begin{bmatrix} B \\ B \end{bmatrix}$$

Object  $(A, \diamondsuit, \diamond)$  is *broadcastable* if it allows a broadcasting map.

Note: concerns just single object, so weaker than uniform copying.

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Not so in **Rel**! Call category *skeletal* when only morphisms are endomorphisms.

**Lemma 7.33**. Broadcastable objects in CP[**Rel**] are precisely skeletal groupoids.

**Proof.** If **G** is skeletal, then  $G \xrightarrow{B} G \times G$  given by

$$B = \{ (g, (\mathrm{id}_{\mathrm{dom}(g)}, g)) \mid g \in G) \} \cup \{ (g, (g, \mathrm{id}_{\mathrm{dom}(g)})) \mid g \in G \}$$

is broadcasting map.

Converse: use that broadcasting means

$$\{ (g,g) \mid g \in G \} = \{ (g,h) \mid (g,(\mathrm{id}_{\mathrm{cod}(h)},h)) \in B \}$$

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# 7.5 Interaction with linear structure $^{268/313}$

**Theorem 7.51**. If a braided monoidal dagger category C with duals has biproducts, then so does  $\mathrm{CP}[C]$ .

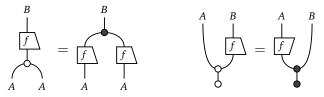
**Proof.** Main idea: show that  $A \xrightarrow{i_A} A \oplus B$ ,  $B \xrightarrow{i_B} A \oplus B$ ,  $A \oplus B \xrightarrow{p_A} A$ , and  $A \oplus B \xrightarrow{p_B} B$  are homomorphisms of involutive monoids.

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**Definition 7.48.** An *involutive homomorphism* is a morphism  $(A, \land, \diamond) \xrightarrow{f} (B, \land, \bullet)$  between dagger Frobenius structures in a monoidal dagger category satisfying:



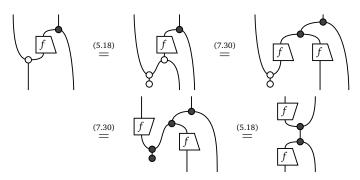
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**Lemma 7.49**. Involutive homomorphisms are completely positive.

**Proof.** Verify CP-condition:



#### 7 Complete positivity

- Completely positive maps: pure states/evolutions vs mixed ones
- Categories of completely positive maps: everything happily in one category
- Quantum structures: axiomatization, teleportation
- Classical structures: operational view, broadcasting
- Interaction with linear structure

# **Chapter 8**

**Monoidal 2-categories** 

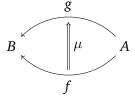
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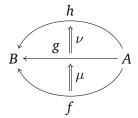
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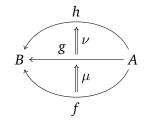
- a collection Ob(**C**) of *objects*;
- for any two objects A, B, a category  $\mathbf{C}(A, B)$ , with objects called 1-morphisms drawn as  $A \xrightarrow{f} B$ , and morphisms  $\mu$  called 2-morphisms drawn as  $f \xrightarrow{\mu} g$ , or in full form as follows:



• for 2-morphisms  $f \stackrel{\mu}{\Longrightarrow} g$  and  $g \stackrel{\nu}{\Longrightarrow} h$ , an operation called *vertical composition* given by their composite as morphisms in  $\mathbf{C}(A,B)$ :

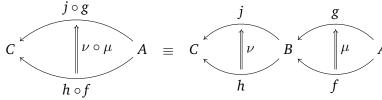


• for 2-morphisms  $f \stackrel{\mu}{\Longrightarrow} g$  and  $g \stackrel{\nu}{\Longrightarrow} h$ , an operation called *vertical composition* given by their composite as morphisms in  $\mathbf{C}(A,B)$ :



• for any triple of objects A, B, C a horizontal composition functor:

$$\circ: \mathbf{C}(A,B) \times \mathbf{C}(B,C) \longrightarrow \mathbf{C}(A,C)$$



• for any object A, a 1-morphism  $A \xrightarrow{id_A} A$  called the *identity* 1-morphism;

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A 2-category is *strict* just when every  $\lambda_f$ ,  $\rho_f$ ,  $\alpha_{h,g,f}$  is an identity.

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One shipet 2 actorows

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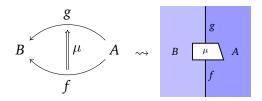
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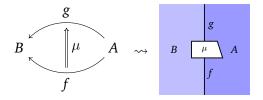
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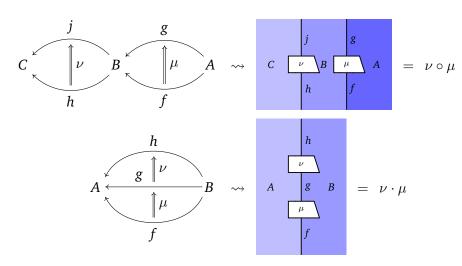


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The graphical calculus is the *dual* of the pasting diagram notation.

Horizontal and vertical composition is represented like this:



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If we have only a single object *A*, which we may as well denote by a region coloured white, then the graphical calculus is identical to that of a monoidal category.

We can use the graphical calculus to define equivalence.

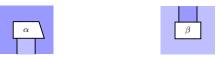
**Definition.** In a 2-category, an *equivalence* is a pair of 1-morphisms  $A \xrightarrow{F} B$  and  $B \xrightarrow{G} A$ , and 2-morphisms  $G \circ F \xrightarrow{\alpha} \mathrm{id}_A$  and  $\mathrm{id}_B \xrightarrow{\beta} F \circ G$ :



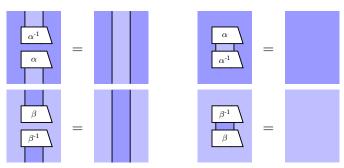


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They must satisfy the following equations:



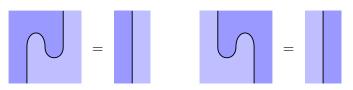
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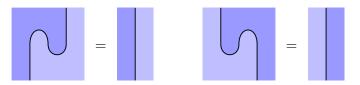
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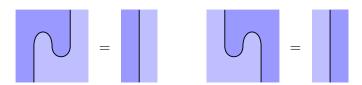


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It may seem that adjunctions have largely been absent from this course. But now we see they have been everywhere!

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We now prove a nontrivial theorem relating equivalences and duals.

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### 8.1 Monoidal 2-categories

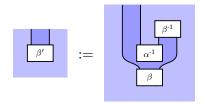
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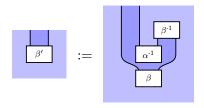
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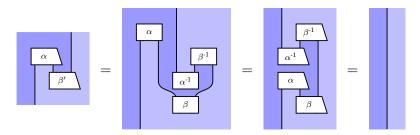
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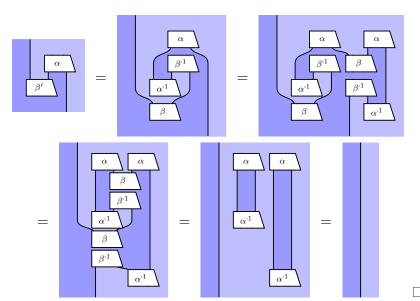
Since  $\alpha'$  is composed from invertible 2-morphisms it must itself be invertible, and so it is clear that  $\alpha'$  and  $\beta$  still give an equivalence.

We now demonstrate that the adjunction equations are satisfied.

The first adjunction equation takes following form:



The second is demonstrated as follows:



Since monoidal categories are just 2-categories with one object, we immediately have the following corollary.

**Corollary.** In a monoidal category, if  $A \otimes B \simeq B \otimes A \simeq I$ , then  $A \dashv B$  and  $B \dashv A$ .

#### 285/313

#### 8.1 Monoidal 2-categories

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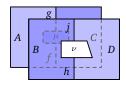
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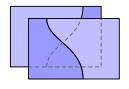
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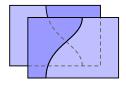
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**Tensor product.** Given 2-morphisms  $f \stackrel{\mu}{\Longrightarrow} g$  and  $h \stackrel{\nu}{\Longrightarrow} j$ , the their *tensor product* 2-morphism  $\mu \boxtimes \nu$  is given like this:



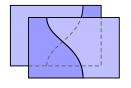
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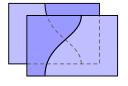




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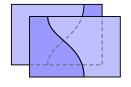


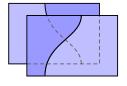


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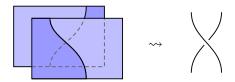




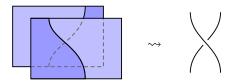
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**Unit object.** A monoidal 2-category has a *unit object I*, represented by a 'blank' region.

Something interesting happens when we combine interchangers and the unit object. Consider the interchanger diagram, but with all 4 planar regions labelled by the unit object:



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We obtain the graphical representation of a *braiding*.

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**Conjecture.** A symmetric monoidal category is a 4-category with one object, one 1-morphism and one 2-morphism.

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We can now extend this as follows.

**Theorem.** A braided monoidal category is a monoidal 2-category with one object.

We can put this into context with notions of higher category.

**Theorem.** A monoidal 2-category is a 3-category with one object.

**Corollary.** A braided monoidal category is a 3-category with one object and one 1-morphism.

**Conjecture.** A symmetric monoidal category is a 4-category with one object, one 1-morphism and one 2-morphism.

The emerging pattern here is called the *periodic table*, and was predicted by Baez and Dolan in 1995.

**Definition.** In a monoidal 2-category, an object *A* has a *right dual B* when it can be equipped with 1-morphisms called *folds* 

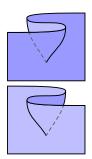


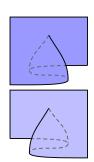
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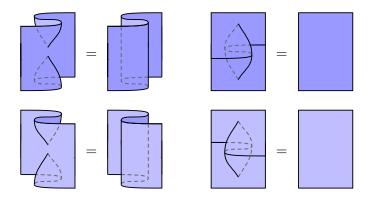


and invertible 2-morphisms called cusps:





The invertibility equations look like this:

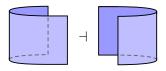


It's just like deforming a piece of fabric!

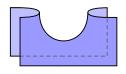
To capture all the structure of oriented manifolds, we must require that our fold morphisms *themselves* have duals.

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To see what happens, let's investigate this duality:

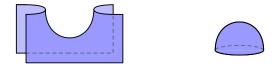


It has a unit and counit, which we draw like this:

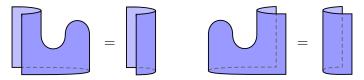




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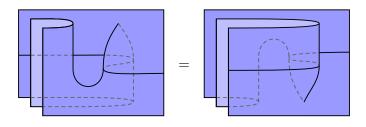


The snake equations for the duality then look like this:



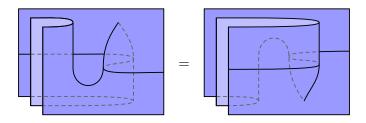
Again, this makes sense in terms of deformations of surfaces!

There is only one set of equations left to completely specify the behaviour of oriented surfaces. They look like this:



#### 8.1 Monoidal 2-categories

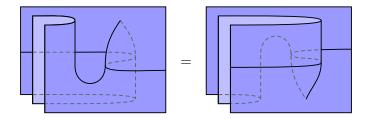
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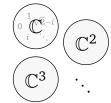
These are called the *cusp-flip equations*.

The *Cobordism Hypothesis* says that you can describe n-dimensional manifolds in a similar way.

$$\begin{smallmatrix}1&\sqrt{2}-i\\0&&\ddots\\i&&\ddots\end{smallmatrix}$$

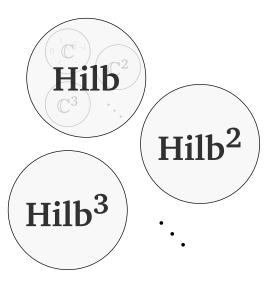
294/313



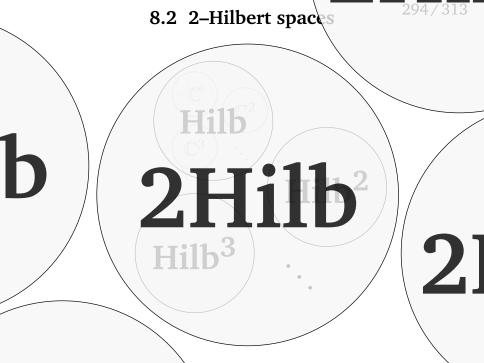


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**Definition.** A 2–Hilbert space is *finite-dimensional* when it has a finite basis.

There are many analogies between Hilbert spaces and 2–Hilbert spaces.

▶ every finite-dimensional Hilbert space is of the form  $\mathbb{C}^n$  up to isomorphism, while every finite-dimensional Hilbert space is of the form **FHilb**<sup>n</sup> up to equivalence;

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- ▶ in a Hilbert space we can multiply an element by any complex number, while in a 2-Hilbert space we can multiply an object by any Hilbert space;
- ► Hilbert spaces have an equality  $\langle v|w\rangle = \langle w|v\rangle$ , while 2–Hilbert spaces have an isomorphism  $\mathbf{H}(A,B)^* \simeq H(B,A)$ ;

**Definition.** The symmetric monoidal 2-category **2Hilb** is built from the following structures:

▶ 0-cells are finite-dimensional 2–Hilbert spaces;

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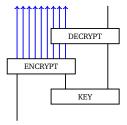
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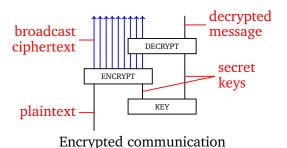
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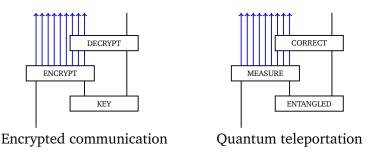
**Definition.** An *extended 2d TQFT* is a symmetric monoidal functor:

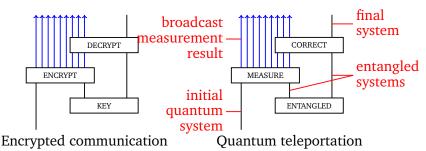
 $Z: \mathbf{Cob_{012}} \rightarrow \mathbf{2Hilb}$ 

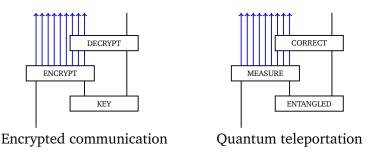


**Encrypted communication** 



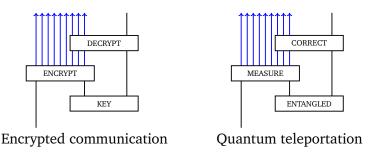






## **8.3** Modelling quantum procedures $^{299/313}$

We will now consider a new perspective on quantum teleportation.

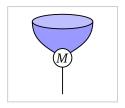


**New idea.** We can make this precise using *defects* between topological quantum field theories.

Surfaces carry a commutative dagger Frobenius structure, so they describe the behaviour of classical information.

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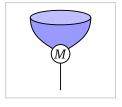
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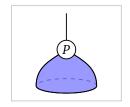
Measurement

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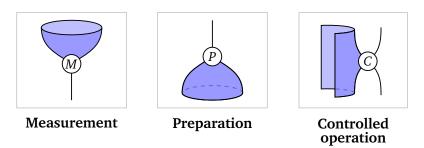




Preparation

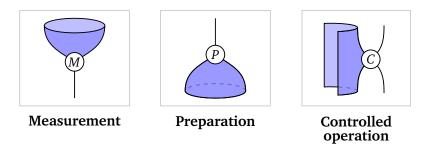
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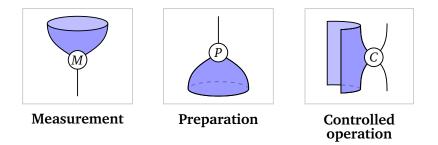
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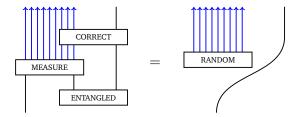
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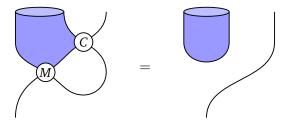
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This is a 123 TQFT with defects.

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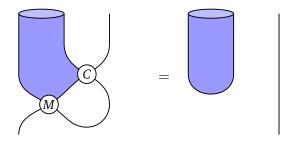


We make it rigorous with this equation between topological defects.

We can use the topological formalism to prove interesting things.

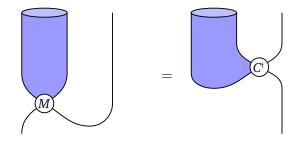
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We begin with the definition of quantum teleportation:



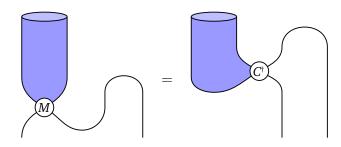
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#### Apply $C^{\dagger}$ :



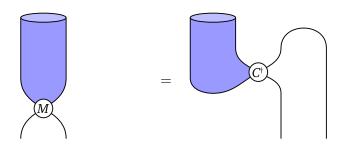
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Bend down a wire:



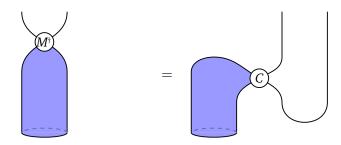
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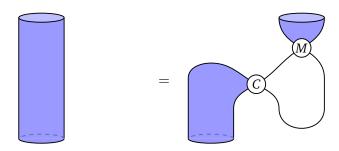
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Take adjoints:

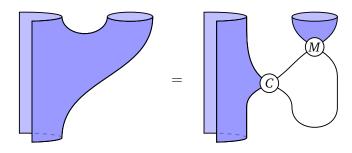


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#### Apply M:

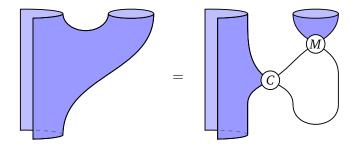


We can use the topological formalism to prove interesting things. Bend up the surface:



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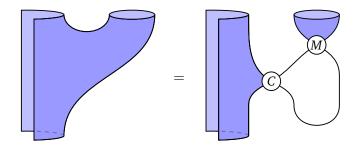
Bend up the surface:



This is *dense coding*, another famous quantum procedure.

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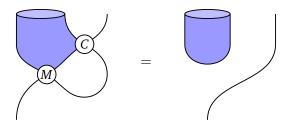
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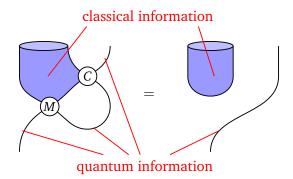
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We have a *topological* proof of equivalence with teleportation, independent of the Hilbert space formalism.

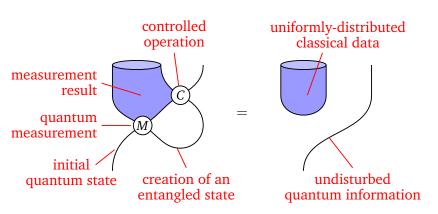
**Theorem.** Solutions to the teleportation equation in **2Hilb** correspond exactly to quantum teleportation schemes.



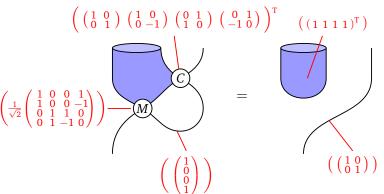
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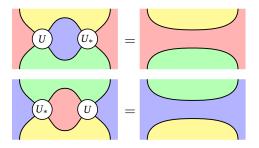


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This is exactly the data that would appear in a quantum information textbook.

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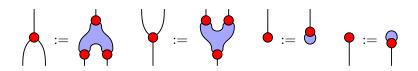
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**Definition.** In a pivotal dagger 2-category, a 4-valent vertex is *horizontally unitary* when the following equations hold:

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**Theorem.** A measurement vertex forms part of a teleportation protocol if and only if it is horizontally unitary.

Given a measurement 2-morphism, we can define these composites:

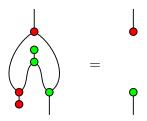


These form a commutative dagger Frobenius structure, since they are the transport of the pair of pants across a unitary.

**Theorem**. Given a pair of measurement defects on the same wire, the following properties are equivalent:

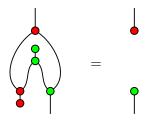
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• The complementarity condition holds:



**Theorem**. Given a pair of measurement defects on the same wire, the following properties are equivalent:

• The complementarity condition holds:



• This is horizontally unitary:



#### Proof.

