

## Pre-fixed points

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Let  $D$  be a poset and  $f : D \rightarrow D$  be a function.

An element  $d \in D$  is a **pre-fixed point of  $f$**  if it satisfies  $f(d) \sqsubseteq d$ .

The least pre-fixed point of  $f$ , if it exists, will be written

$$\boxed{\text{fix}(f)}$$

It is thus (uniquely) specified by the two properties:

$$f(\text{fix}(f)) \sqsubseteq \text{fix}(f) \quad (\text{lfp1})$$

$$\forall d \in D. f(d) \sqsubseteq d \Rightarrow \text{fix}(f) \sqsubseteq d. \quad (\text{lfp2})$$

## Proof principle

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1.

$$\frac{}{f(\text{fix}(f)) \sqsubseteq \text{fix}(f)}$$

2. Let  $D$  be a poset and let  $f : D \rightarrow D$  be a function with a least pre-fixed point  $\text{fix}(f) \in D$ .

For all  $x \in D$ , to prove that  $\text{fix}(f) \sqsubseteq x$  it is enough to establish that  $f(x) \sqsubseteq x$ .

$$\frac{f(x) \sqsubseteq x}{\text{fix}(f) \sqsubseteq x} \text{ (lfp2)}$$

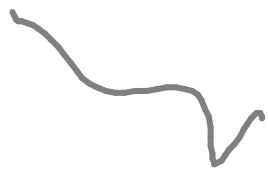
$(\mathbb{N}, \leq)$        $\text{succ} : \mathbb{N} \rightarrow \mathbb{N} : n \mapsto n+1$   
It is monotone but does not have  
a least pre-fixed point.

### **Least pre-fixed points are fixed points**

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If it exists, the least pre-fixed point of a monotone function on a partial order is necessarily a fixed point.

$$\frac{x \leq y}{f(x) \leq f(y)}$$



(f monotone)

$$\frac{}{f(\text{fix } f) \leq \text{fix } f} \text{ lfp}_1$$

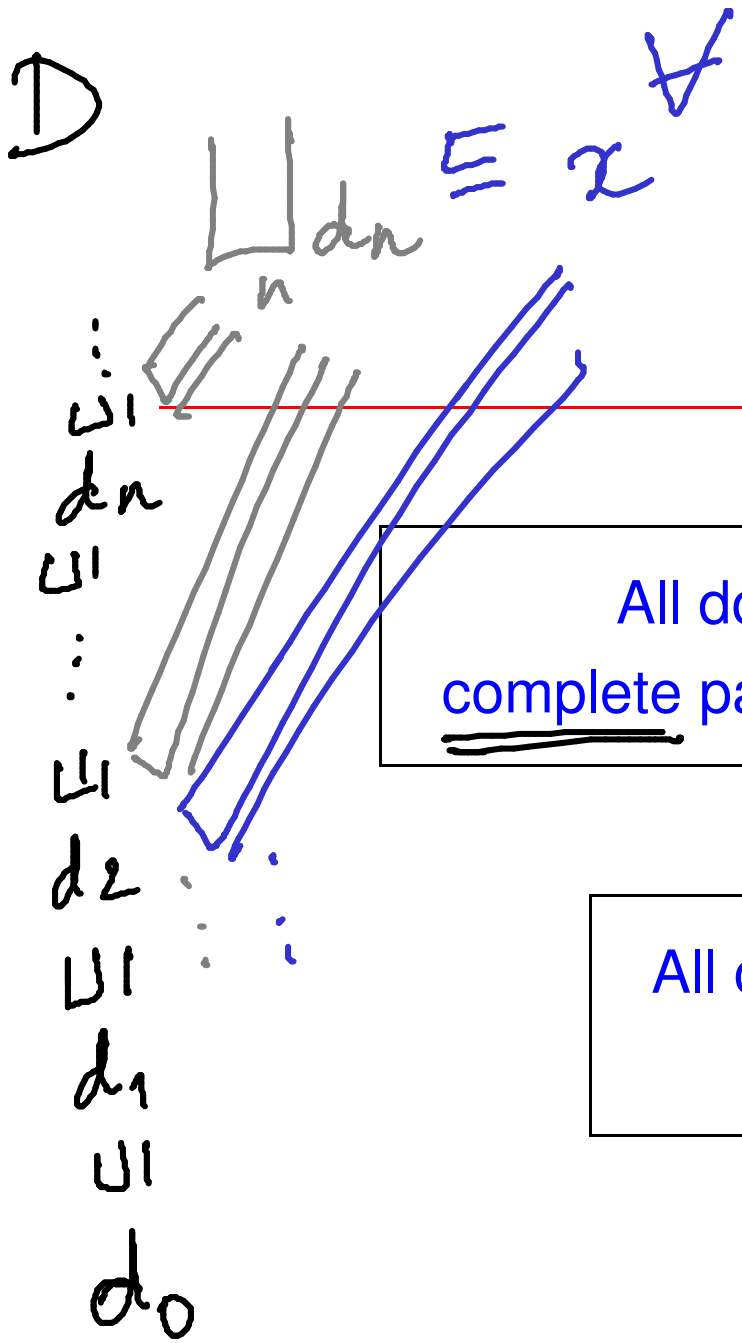
lfp<sub>1</sub>

$$\frac{f(f(\text{fix } f)) \leq f(\text{fix } f)}{\text{fix } f \leq f(\text{fix } f)} \text{ lfp}_2$$

$$f(\text{fix } f) \leq \text{fix } f$$

$$\text{fix } f \leq f(\text{fix } f)$$

$$f(\text{fix } f) = \text{fix } f$$



**Thesis\***

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All domains of computation are complete partial orders with a least element.

All computable functions are continuous.

## Cpo's and domains

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A **chain complete poset**, or **cpo** for short, is a poset  $(D, \sqsubseteq)$  in which all countable increasing chains  $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \dots$  have least upper bounds,  $\bigsqcup_{n \geq 0} d_n$ :

$$\forall m \geq 0 . d_m \sqsubseteq \bigsqcup_{n \geq 0} d_n \quad (\text{lub1})$$

$$\forall d \in D . (\forall m \geq 0 . d_m \sqsubseteq d) \Rightarrow \bigsqcup_{n \geq 0} d_n \sqsubseteq d. \quad (\text{lub2})$$

A **domain** is a cpo that possesses a least element,  $\perp$ :

$$\forall d \in D . \perp \sqsubseteq d.$$

$$\overline{\perp \sqsubseteq x}$$

$$\overline{x_i \sqsubseteq \bigsqcup_{n \geq 0} x_n} \quad (i \geq 0 \text{ and } \langle x_n \rangle \text{ a chain})$$

$$\frac{\forall n \geq 0. x_n \sqsubseteq x}{\bigsqcup_{n \geq 0} x_n \sqsubseteq x} \quad (\langle x_i \rangle \text{ a chain})$$

## Domain of partial functions, $X \rightarrow Y$

**Underlying set:** all partial functions,  $f$ , with domain of definition  $dom(f) \subseteq X$  and taking values in  $Y$ .

**Partial order:**

$$\begin{aligned} f \sqsubseteq g & \text{ iff } dom(f) \subseteq dom(g) \text{ and } \forall x \in dom(f). f(x) = g(x) \\ & \text{ iff } graph(f) \subseteq graph(g) \end{aligned} \quad = \bigcup_{n \geq 0} graph(f_n)$$

**Lub of chain**  $f_0 \sqsubseteq f_1 \sqsubseteq f_2 \sqsubseteq \dots$  is the partial function  $f$  with  $dom(f) = \bigcup_{n \geq 0} dom(f_n)$  and

$$f(x) = \begin{cases} f_n(x) & \text{if } x \in dom(f_n), \text{ some } n \\ \text{undefined} & \text{otherwise} \end{cases}$$

$\bigcup_{n \geq 0} f_n$  is the partial function  $f$  s.t.



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**Least element**  $\perp$  is the totally undefined partial function.

## Some properties of lubs of chains

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Let  $D$  be a cpo.

1. For  $d \in D$ ,  $\bigsqcup_n d = d$ .
2. For every chain  $d_0 \sqsubseteq d_1 \sqsubseteq \dots \sqsubseteq d_n \sqsubseteq \dots$  in  $D$ ,

$$\bigsqcup_n d_n = \bigsqcup_n d_{N+n}$$

for all  $N \in \mathbb{N}$ .

$$\boxed{?} \quad d_k \subseteq d_{N+k} ?$$

$$d_k \subseteq d_{k+1} \subseteq d_{k+2} \subseteq \dots \subseteq d_{k+N}$$

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$$d_n \subseteq d_{N+n} \quad d_{N+n} \subseteq \bigsqcup_k d_{N+k}$$

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$$\bigsqcup_n d_n = \bigsqcup_k d_{N+k}$$

$$e_0 \sqsubseteq e_1 \sqsubseteq \dots \sqsubseteq e_n \sqsubseteq \dots \sqsubseteq \bigsqcup_n e_n$$

$$\sqcup \quad \sqcup \quad \dots \quad \sqcup \quad \dots \quad \Rightarrow \quad \sqcup$$

3. For every pair of chains  $d_0 \sqsubseteq d_1 \sqsubseteq \dots \sqsubseteq d_n \sqsubseteq \dots$  and  $e_0 \sqsubseteq e_1 \sqsubseteq \dots \sqsubseteq e_n \sqsubseteq \dots$  in  $D$ ,

if  $d_n \sqsubseteq e_n$  for all  $n \in \mathbb{N}$  then  $\bigsqcup_n d_n \sqsubseteq \bigsqcup_n e_n$ .

$$\left( \underline{\text{Ch}}(D), \sqsubseteq \right)$$

$$\langle d_n \rangle_{n \in \mathbb{N}} \sqsubseteq \langle e_n \rangle_{n \in \mathbb{N}} \Leftrightarrow \forall n \in \mathbb{N} \quad d_n \sqsubseteq_D e_n$$

The set  
of chains  
in  $D$

$$\underline{\text{Ch}}(D) \xrightarrow{\sqcup} D : \langle d_n \rangle_{n \in \mathbb{N}} \mapsto \bigsqcup_n d_n$$

is monotone

$$\overbrace{d_n \in e_n}$$

$$\overbrace{e_n \in \bigcup_k e_k}$$

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$$d_n \in \bigcup_k e_k$$

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$$\bigcup_n d_n \in \bigcup_n e_n$$

3. For every pair of chains  $d_0 \sqsubseteq d_1 \sqsubseteq \dots \sqsubseteq d_n \sqsubseteq \dots$  and  $e_0 \sqsubseteq e_1 \sqsubseteq \dots \sqsubseteq e_n \sqsubseteq \dots$  in  $D$ ,  
 if  $d_n \sqsubseteq e_n$  for all  $n \in \mathbb{N}$  then  $\bigsqcup_n d_n \sqsubseteq \bigsqcup_n e_n$ .

$$\frac{\forall n \geq 0 . x_n \sqsubseteq y_n}{\bigsqcup_n x_n \sqsubseteq \bigsqcup_n y_n} \quad (\langle x_n \rangle \text{ and } \langle y_n \rangle \text{ chains})$$

$$\bigsqcup_m d_{m,0} \subseteq \bigsqcup_m d_{m,1} \subseteq \dots$$

$$\subseteq \bigsqcup_n \left( \bigsqcup_m d_{m,n} \right)$$

$$\begin{array}{ccc} \vdots & \vdots & \vdots \\ \sqcup & \sqcup & \sqcup \end{array}$$

$$\bigsqcup_{k \sqcup i} d_{k,k} = \bigsqcup_m \left( \bigsqcup_n d_{m,n} \right)$$

$$d_{m,0} \subseteq d_{m,1} \subseteq d_{m,2} \subseteq \dots \subseteq d_{m,n} \subseteq \dots$$

$$\begin{array}{ccc} \sqcup & \sqcup & \sqcup \\ \vdots & \vdots & \vdots \end{array}$$

$$d_{2,2} \subseteq \dots \subseteq d_{k,k} \subseteq \dots$$

$$\begin{array}{ccc} \sqcup & \vdots & \sqcup \\ \vdots & \vdots & \vdots \\ \sqcup & \vdots & \sqcup \end{array}$$

$$d_{1,0} \subseteq d_{1,1} \subseteq d_{1,2} \subseteq \dots \subseteq d_{1,n} \subseteq \dots$$

$$\bigsqcup_n d_{1,n}$$

$$d_{0,0} \subseteq d_{0,1} \subseteq d_{0,2} \subseteq \dots \subseteq d_{0,n} \subseteq \dots$$

$$\bigsqcup_n d_{0,n}$$

$$\sqcup_k d_{R,k}$$

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$$d_{m,n} \subseteq d_{\max(n,n), \max(m,n)}$$


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$$d_{m,n} \subseteq \sqcup_k d_{R,k}$$


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$$\sqcup_n d_{m,n} \subseteq \sqcup_k d_{R,k}$$


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$$\sqcup_m (\sqcup_n d_{m,n}) \subseteq \sqcup_k d_{R,k}$$


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$$d_{R,k} \subseteq \sqcup_n d_{R,n} \subseteq \sqcup_m (\sqcup_n d_{m,n})$$


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$$\sqcup_m (\sqcup_n d_{m,n}) = \sqcup_k d_{R,k}$$



## Diagonalising a double chain

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**Lemma.** Let  $D$  be a cpo. Suppose that the doubly-indexed family of elements  $d_{m,n} \in D$  ( $m, n \geq 0$ ) satisfies

$$m \leq m' \ \& \ n \leq n' \Rightarrow d_{m,n} \sqsubseteq d_{m',n'}. \quad (\dagger)$$

Then

$$\bigsqcup_{n \geq 0} d_{0,n} \sqsubseteq \bigsqcup_{n \geq 0} d_{1,n} \sqsubseteq \bigsqcup_{n \geq 0} d_{2,n} \sqsubseteq \dots$$

and

$$\bigsqcup_{m \geq 0} d_{m,0} \sqsubseteq \bigsqcup_{m \geq 0} d_{m,1} \sqsubseteq \bigsqcup_{m \geq 0} d_{m,2} \sqsubseteq \dots$$

Moreover

$$\bigsqcup_{m \geq 0} \left( \bigsqcup_{n \geq 0} d_{m,n} \right) = \bigsqcup_{k \geq 0} d_{k,k} = \bigsqcup_{n \geq 0} \left( \bigsqcup_{m \geq 0} d_{m,n} \right).$$

$$f\left(\bigsqcup_n d_n\right) \sqsubseteq \bigsqcup_n (f d_n)$$

## Continuity and strictness

- If  $D$  and  $E$  are cpo's, the function  $f$  is **continuous** iff
  1. it is monotone, and
  2. it preserves lubs of chains, i.e. for all chains  $d_0 \sqsubseteq d_1 \sqsubseteq \dots$  in  $D$ , it is the case that

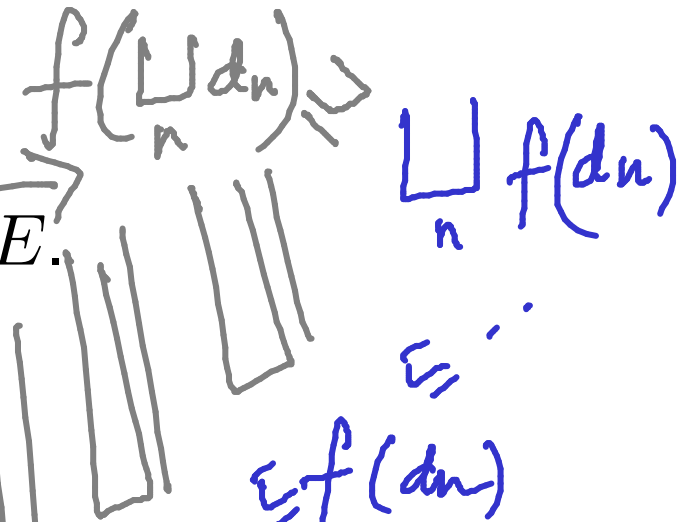
$$f\left(\bigsqcup_{n \geq 0} d_n\right) = \bigsqcup_{n \geq 0} f(d_n) \text{ in } E.$$

$$f: D \rightarrow E$$

$$d_0 \sqsubseteq d_1 \sqsubseteq \dots \sqsubseteq d_n \sqsubseteq \dots$$

by (1)

$$f(d_0) \sqsubseteq f(d_1) \sqsubseteq \dots \sqsubseteq f(d_n) \sqsubseteq \dots$$



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  1. it is monotone, and
  2. it preserves lubs of chains, *i.e.* for all chains  $d_0 \sqsubseteq d_1 \sqsubseteq \dots$  in  $D$ , it is the case that

$$f\left(\bigsqcup_{n \geq 0} d_n\right) = \bigsqcup_{n \geq 0} f(d_n) \quad \text{in } E.$$

- If  $D$  and  $E$  have least elements, then the function  $f$  is **strict** iff  $f(\perp) = \perp$ .

$$\perp \sqsubseteq f(\perp) \sqsubseteq f(f(\perp)) \sqsubseteq \dots \sqsubseteq f^n(\perp) \sqsubseteq \dots \sqsubseteq \bigsqcup_n f^n(\perp)$$

## Tarski's Fixed Point Theorem

|| def  
fix(f)!

Let  $f : D \rightarrow D$  be a continuous function on a domain  $D$ . Then

- $f$  possesses a least pre-fixed point, given by

$$\text{fix}(f) = \bigsqcup_{n \geq 0} f^n(\perp).$$

- Moreover,  $\text{fix}(f)$  is a fixed point of  $f$ , i.e. satisfies  $f(\text{fix}(f)) = \text{fix}(f)$ , and hence is the least fixed point of  $f$ .

is a  
least  
pre fixed  
point of  $f$

⇓

$f$  has a  
fixed point

$\llbracket \text{while } B \text{ do } C \rrbracket : \text{States} \rightarrow \text{States}$

$\llbracket \text{while } B \text{ do } C \rrbracket$

$$= \text{fix}(f_{\llbracket B \rrbracket, \llbracket C \rrbracket})$$

$$= \bigsqcup_{n \geq 0} f_{\llbracket B \rrbracket, \llbracket C \rrbracket}^n(\perp)$$

$$= \lambda s \in \text{State}.$$

$$\left\{ \begin{array}{ll} \llbracket C \rrbracket^k(s) & \text{if } k \geq 0 \text{ is such that } \llbracket B \rrbracket(\llbracket C \rrbracket^k(s)) = \text{false} \\ & \text{and } \llbracket B \rrbracket(\llbracket C \rrbracket^i(s)) = \text{true for all } 0 \leq i < k \\ \text{undefined} & \text{if } \llbracket B \rrbracket(\llbracket C \rrbracket^i(s)) = \text{true for all } i \geq 0 \end{array} \right.$$

$f_{\llbracket B \rrbracket, \llbracket C \rrbracket} : (\text{States} \rightarrow \text{States})$   
}  $\rightarrow (\text{State} \rightarrow \text{State})$   
continuous.