# Complexity Theory

Lecture 9

Anuj Dawar

http://www.cl.cam.ac.uk/teaching/2223/Complexity

### **Primality**

In 2002, Agrawal, Kayal and Saxena showed that PRIME is in P.

If a is co-prime to p,

$$(x-a)^p \equiv (x^p-a) \pmod{p}$$

if, and only if, p is a prime.

Checking this equivalence would take to long. Instead, the equivalence is checked *modulo* a polynomial  $x^r - 1$ , for "suitable" r.

The existence of suitable small r relies on deep results in number theory.

#### **Factors**

Consider the language Factor

$$\{(x, k) \mid x \text{ has a factor } y \text{ with } 1 < y < k\}$$

Factor  $\in NP \cap co-NP$ 

Certificate of membership—a factor of x less than k.

Certificate of disqualification—the prime factorisation of x.

### Graph Isomorphism

Given two graphs 
$$G_1=(V_1,E_1)$$
 and  $G_2=(V_2,E_2)$ , is there a *bijection*  $\iota:V_1\to V_2$ 

such that for every  $u, v \in V_1$ ,

$$(u, v) \in E_1$$
 if, and only if,  $(\iota(u), \iota(v)) \in E_2$ .

### Graph Isomorphism

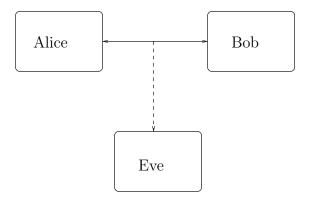
#### Graph Isomorphism is

- in NP
- not known to be in P
- not known to be in co-NP
- not known (or *expected*) to be NP-complete
- shown to be in quasi-polynomial time, i.e. in

$$\text{TIME}(n^{(\log n)^k})$$

for a constant k.

### Cryptography



Alice wishes to communicate with **Bob** without **Eve** eavesdropping.

### Private Key

In a private key system, there are two secret keys e – the encryption key d – the decryption key and two functions D and E such that:

for any x, D(E(x,e),d) = x.

For instance, taking d = e and both D and E as exclusive or, we have the one time pad:

$$(x \oplus e) \oplus e = x$$

### One Time Pad

The one time pad is provably secure, in that the only way Eve can decode a message is by knowing the key.

If the original message x and the encrypted message y are known, then so is the key:

$$e = x \oplus y$$

### Public Key

In public key cryptography, the encryption key e is public, and the decryption key d is private. We still have.

for any x,

$$D(E(x, e), d) = x$$

If *E* is polynomial time computable (and it must be if communication is not to be painfully slow), then the following language is in NP:

$$\{(y,z) \mid y = E(x,e) \text{ for some } x \text{ with } x \leq_{\mathsf{lex}} z\}$$

Thus, public key cryptography is not *provably secure* in the way that the one time pad is. It relies on the assumption that  $P \neq NP$ .

## One Way Functions

A function *f* is called a *one way function* if it satisfies the following conditions:

- 1. f is one-to-one.
- 2. for each x,  $|x|^{1/k} \le |f(x)| \le |x|^k$  for some k.
- 3. f is computable in polynomial time.
- 4.  $f^{-1}$  is **not** computable in polynomial time.

We cannot hope to prove the existence of one-way functions without at the same time proving  $P \neq NP$ .

It is strongly believed that the RSA function:

$$f(x, e, p, q) = (x^e \mod pq, pq, e)$$

is a one-way function.

#### UP

Though one cannot hope to prove that the RSA function is one-way without separating P and NP, we might hope to make it as secure as a proof of NP-completeness.

#### Definition

A nondeterministic machine is *unambiguous* if, for any input x, there is at most one accepting computation of the machine.

UP is the class of languages accepted by unambiguous machines in polynomial time.

#### UP

Equivalently, UP is the class of languages of the form

$$\{x \mid \exists y R(x,y)\}$$

Where R is polynomial time computable, polynomially balanced, and for each x, there is at most one y such that R(x, y).

### **UP One-way Functions**

We have

$$\mathsf{P}\subseteq\mathsf{UP}\subseteq\mathsf{NP}$$

It seems unlikely that there are any NP-complete problems in UP.

One-way functions exist if, and only if,  $P \neq UP$ .

## One-Way Functions Imply $P \neq UP$

Suppose f is a *one-way function*.

Define the language  $L_f$  by

$$L_f = \{(x, y) \mid \exists z (z \leq x \text{ and } f(z) = y)\}.$$

We can show that  $L_f$  is in UP but not in P.