

Compiler Construction

Lecture 5: Foundations of LR parsing

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Lent 2023

Q: what about constructing parse trees? (We want *parsers*, not just *recognizers*.)

input

stack

action

S

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input	stack	action
$(x + y)\$$	S	$M[S, (] = E\$$

S
|
 E

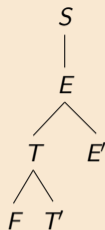
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input	stack	action
$(x + y)\$$	S	$M[S, () = E\$$
$(x + y)\$$	$E\$$	$M[E, () = TE'$



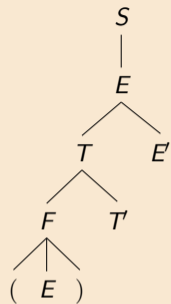
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$(x + y)\$$	$TE'\$$	$M[T, () = FT'$



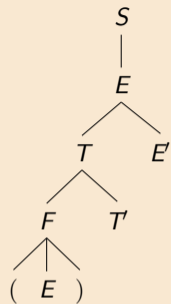
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$(x + y)\$$	$FT'E'\$$	$M[F, () = (E)$



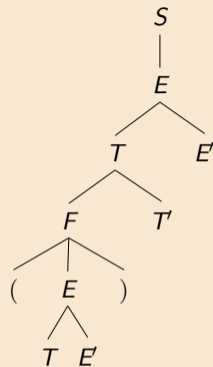
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$(x + y)\$$	$FT'E'\$$	$M[F, () = (E)$
$(x + y)\$$	$(E)T'E'\$$	match



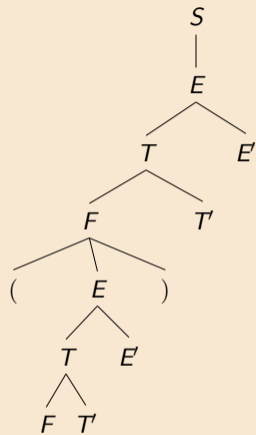
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$(x + y)\$$	$FT'E'\$$	$M[F, () = (E)$
$(x + y)\$$	$(E)T'E'\$$	match
$x + y)\$$	$E)T'E'\$$	$M[E, id] = TE'$



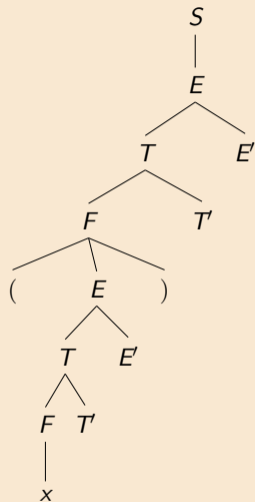
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$(x + y)\$$	$(E)T'E'\$$	match
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$x + y)\$$	$TE')T'E'\$$	$M[T, id] = FT'$



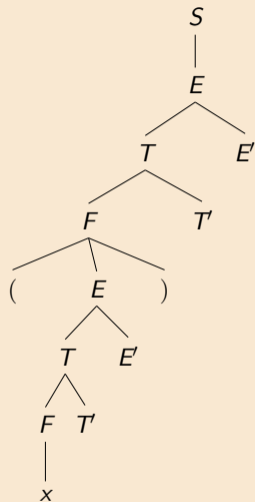
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$(x + y)\$$	$FT'E'\$$	$M[F, (] = (E)$
$(x + y)\$$	$(E)T'E'\$$	match
$x + y)\$$	$E)T'E'\$$	$M[E, id] = TE'$
$x + y)\$$	$TE)T'E'\$$	$M[T, id] = FT'$
$x + y)\$$	$FT'E)T'E'\$$	$M[F, id] = id$



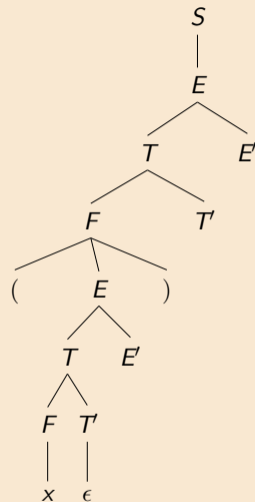
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$(x + y)\$$	$(E)T'E'\$$	match
$x + y)\$$	$E)T'E'\$$	$M[E, id] = TE'$
$x + y)\$$	$TE')T'E'\$$	$M[T, id] = FT'$
$x + y)\$$	$FT'E')T'E'\$$	$M[F, id] = id$
$x + y)\$$	$idT'E')T'E'\$$	match



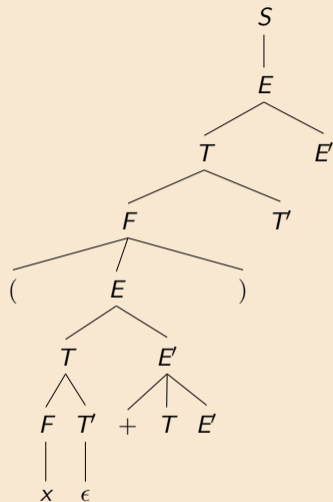
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$(x + y)\$$	$(E)T'E'\$$	match
$x + y)\$$	$E)T'E'\$$	$M[E, id] = TE'$
$x + y)\$$	$TE')T'E'\$$	$M[T, id] = FT'$
$x + y)\$$	$FT'E')T'E'\$$	$M[F, id] = id$
$x + y)\$$	$idT'E')T'E'\$$	match
$+y)\$$	$T'E')T'E'\$$	$M[T', +] = \epsilon$



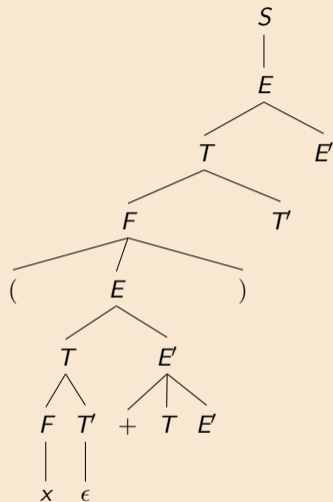
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$(x + y)\$$	$FT'E'\$$	$M[F, (] = (E)$
$(x + y)\$$	$(E)T'E'\$$	match
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$x + y)\$$	$TE')T'E'\$$	$M[T, id] = FT'$
$x + y)\$$	$FT'E')T'E'\$$	$M[F, id] = id$
$x + y)\$$	$idT'E')T'E'\$$	match
$+y)\$$	$T'E')T'E'\$$	$M[T', +] = \epsilon$
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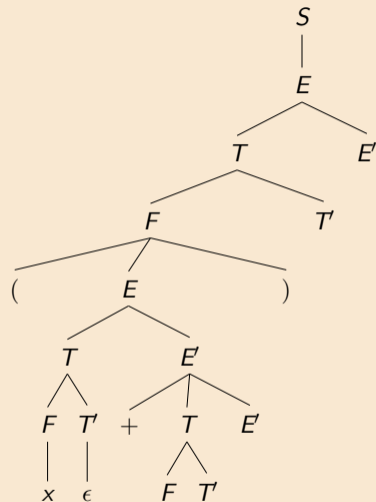
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$x + y)\$$	$FT'E')T'E'\$$	$M[F, id] = id$
$x + y)\$$	$idT'E')T'E'\$$	match
$+y)\$$	$T'E')T'E'\$$	$M[T', +] = \epsilon$
$+y)\$$	$E)T'E'\$$	$M[E', +] = +TE'$
$+y)\$$	$+TE')T'E'\$$	match



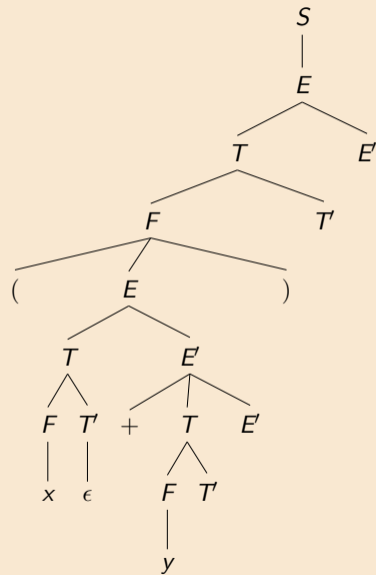
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$(x + y)\$$	$(E)T'E'\$$	match
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$x + y)\$$	$TE)T'E'\$$	$M[T, id] = FT'$
$x + y)\$$	$FT'E)T'E'\$$	$M[F, id] = id$
$x + y)\$$	$idT'E)T'E'\$$	match
$+y)\$$	$T'E)T'E'\$$	$M[T', +] = \epsilon$
$+y)\$$	$E)T'E'\$$	$M[E', +] = +TE'$
$+y)\$$	$+TE)T'E'\$$	match
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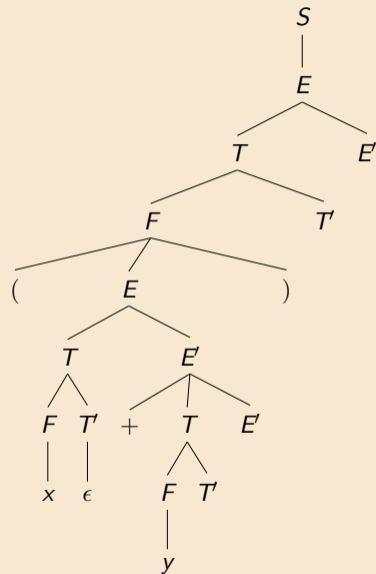
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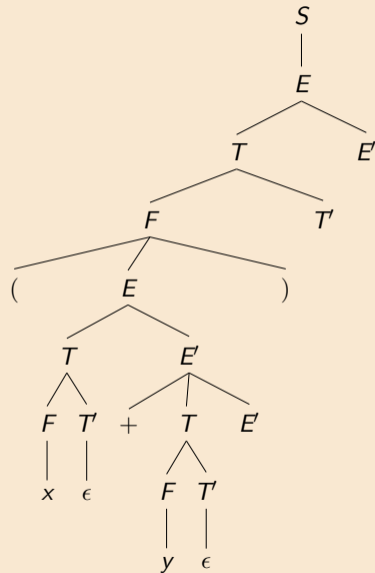
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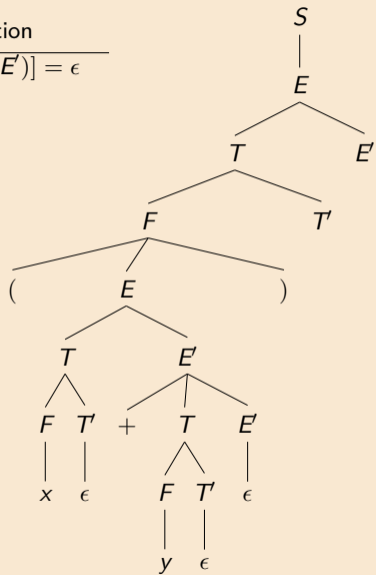
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$+y)\$$	$+TE)T'E'\$$	match
$y)\$$	$TE)T'E'\$$	$M[T, id] = FT'$
$y)\$$	$FT'E)T'E'\$$	$M[F, id] = id$
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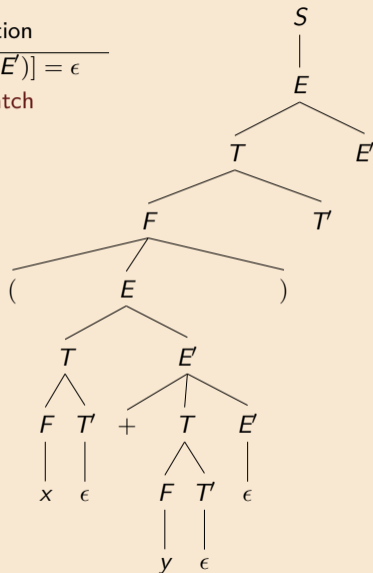
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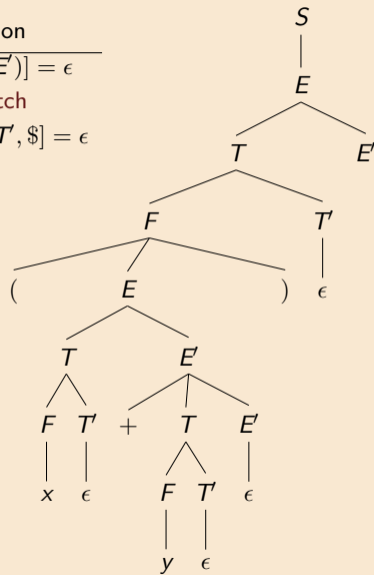
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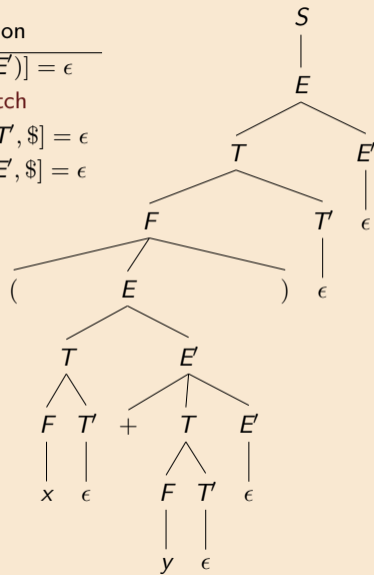
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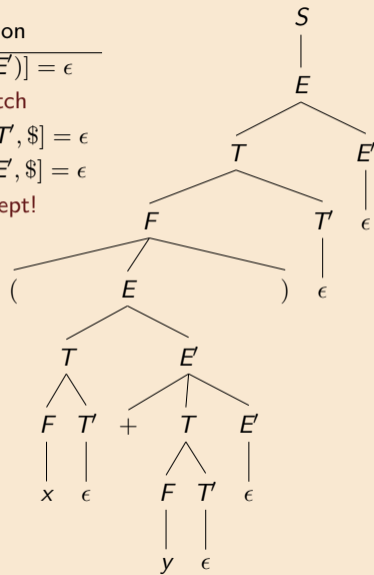
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$(x + y)\$$	$TE'\$$	$M[T, (] = FT'$	$\$$	$T'E'\$$	$M[T', \$] = \epsilon$
$(x + y)\$$	$FT'E'\$$	$M[F, (] = (E)$	$\$$	$E'\$$	$M[E', \$] = \epsilon$
$(x + y)\$$	$(E)T'E'\$$	match			
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$y)\$$	$TE)T'E'\$$	$M[T, id] = FT'$			
$y)\$$	$FT'E)T'E'\$$	$M[F, id] = id$			
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$x + y)\$$	$TE)T'E'\$$	$M[T, id] = FT'$
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$)\$$	$)T'E'\$$	match
$\$$	$T'E'\$$	$M[T', \$] = \epsilon$
$\$$	$E'\$$	$M[E', \$] = \epsilon$
$\$$	$\$$	accept!



Derivations

Recap: example grammars

Derivations

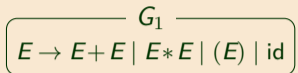


Formalisation

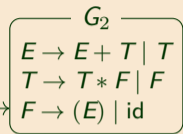
Shift & reduce

Items

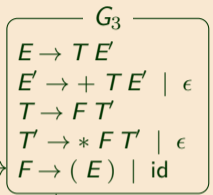
Key idea



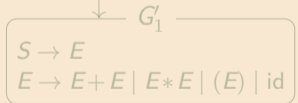
eliminate ambiguity



eliminate left recursion

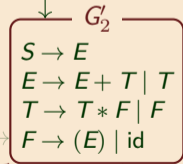


add S



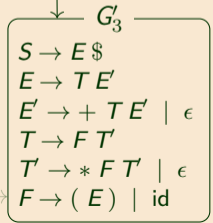
eliminate ambiguity

add S



eliminate left recursion

add S, \$



Today's running example

Leftmost vs rightmost derivations

Derivations



Formalisation

Leftmost derivation step:

$$wA\alpha \Rightarrow_{lm} w\beta\alpha$$

(basis of top-down (**LL**) parsing)

Rightmost derivation step:

$$\alpha Aw \Rightarrow_{rm} \alpha\beta w$$

(basis of bottom-up (**LR**) parsing)

Shift & reduce

where

$$w \in T^*$$

$$\alpha, \beta \in (N \cup T)^*$$

$$A \rightarrow \beta \in P$$

Items

Key idea

Bottom-up parsers perform the derivation in reverse

Derivations

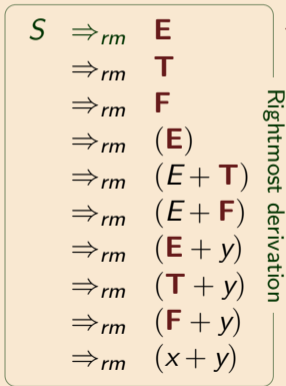


Formalisation

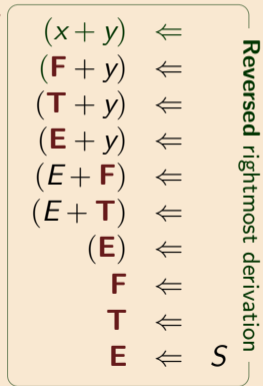
Shift & reduce

Items

Key idea



flip!



— parsing direction —



Backwards derivation \rightsquigarrow stack machine execution

Derivations



Formalisation

$(x + y) \Leftarrow$

$(F + y) \Leftarrow$

$(T + y) \Leftarrow$

$(E + y) \Leftarrow$

$(E + F) \Leftarrow$

$(E + T) \Leftarrow$

$(E) \Leftarrow$

$F \Leftarrow$

$T \Leftarrow$

$E \Leftarrow S$

View reversed derivation
as a stack machine



stack	input
\$	$(x + y) \$$
$\$(F$	$+y) \$$
$\$(T$	$+y) \$$
$\$(E$	$+y) \$$
$\$(E + F$)\$
$\$(E + T$)\$
$\$(E)$	\$
$\$F$	\$
$\$T$	\$
$\$E$	\$
$\$S$	\$

Key idea

Formalisation

Derivations

Formalisation



Shift &
reduce

Items

Key idea

An **LR parser configuration** has the form



The configuration is **valid** when there exists a rightmost derivation of the form

$$S \Rightarrow_{rm}^* \alpha w$$

(NB: stacks now grow rightwards.)

Derivations

Formalisation

Shift &
reduce

Items

Key idea

Suppose

$$\alpha Ax \Rightarrow_{rm} \alpha \beta Bzx$$

One possible step between configurations replaces βBz with A on top of the stack:

$$\$ \alpha \beta Bz, x \$ \xrightarrow[A \rightarrow \beta Bz]{\text{reduce}} \$ \alpha A, x \$$$

This action is called a **reduction** using production $A \rightarrow \beta Bz$.

Reductions are not sufficient

Derivations

Formalisation



Shift &
reduce

Items

Key idea

Suppose we have the derivation:

$$\begin{aligned} & \alpha Ax \\ \Rightarrow_{rm} & \alpha \beta Bzx \quad (\text{using } B \rightarrow \gamma) \\ \Rightarrow_{rm} & \alpha \beta \gamma zx \quad (\text{using } A \rightarrow \beta Bx) \end{aligned}$$

The reverse simulation gets stuck:

$$\begin{aligned} & \$\alpha\beta\gamma, zx\$ \\ \xrightarrow[B \rightarrow \gamma]{\text{reduce}} & \$\alpha\beta B, zx\$ \\ \xrightarrow{???} & ??? \end{aligned}$$

We have βB on top of the stack, but we want βBz on top of the stack.

A **shift** action shifts a terminal onto the stack.

	αAx		$\$ \alpha \beta \gamma, zx \$$
		$\xrightarrow{\text{reduce}}$	$\$ \alpha \beta B, zx \$$
		$B \rightarrow \gamma$	
\Rightarrow_{rm}	$\alpha \beta Bzx$ (using $B \rightarrow \gamma$)	$\xrightarrow{\text{shift}}$	$\$ \alpha \beta Bz, x \$$
		z	
\Rightarrow_{rm}	$\alpha \beta \gamma zx$ (using $A \rightarrow \beta Bx$)	$\xrightarrow{\text{reduce}}$	$\$ \alpha A, x \$$
		$A \rightarrow \beta Bz$	

Q: *How do we know when to stop shifting?*
 (e.g. here we don't want to shift x)

Derivations

Formalisation



Shift &
reduce

Items

Key idea

Derivation

$\alpha BxAz$
 $\Rightarrow_{rm} \alpha Bxyz$ (using $A \rightarrow y$)
 $\Rightarrow_{rm} \alpha \gamma xyz$ (using $B \rightarrow \gamma$)

Our parser's possible actions:

$\alpha \gamma, xyz$
 $\xrightarrow[\substack{\text{reduce} \\ B \rightarrow \gamma}]{} \alpha B, xyz$
 $\xrightarrow{\text{shift}} \alpha Bx, yz$
 $\xrightarrow{\text{shift}} \alpha Bxy, z$
 $\xrightarrow[\substack{\text{reduce} \\ A \rightarrow y}]{} \alpha BxA, z$

Again: how do we know when to reduce and when to stop shifting?

Shift & reduce

Shift and reduce are sufficient

Derivations

It appears that if we have a derivation

$$S \Rightarrow_{rm}^* w$$

Formalisation

we can always “replay” it in reverse using shift/reduce actions:

$$$, w\$ \rightarrow^* \$S, \$$$

i.e. **shift and reduce are sufficient**.

Shift &
reduce



Items

However, we have used the desired derivation to guide the “replay”.
When parsing there is no derivation available in advance.

So our parser is non-deterministic: it must *guess* what the future holds.

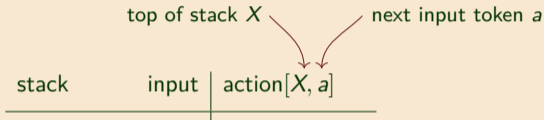
Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid \text{id}$



Shift &
reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid \text{id}$

top of stack X next input token a

stack	input	action[X, a]
\$	$(x + y) \$$	shift (

Shift &
reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
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top of stack X next input token a

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\$	$(x + y)\$$	shift (
$\$($	$x + y)\$$	shift x

Shift &
reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

Shift &
reduce



Items

Key idea

$$\begin{aligned} S &\rightarrow E \$ \\ E &\rightarrow E + T \mid T \\ T &\rightarrow T * F \mid F \\ F &\rightarrow (E) \mid \text{id} \end{aligned}$$

top of stack X next input token a

stack	input	action[X, a]
\$	$(x + y)\$$	shift (
$\$($	$x + y)\$$	shift x
$\$(x$	$+y)\$$	reduce $F \rightarrow \text{id}$

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

Shift &
reduce



Items

Key idea

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
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$\$($	$x + y) \$$	shift x
$\$(x$	$+y) \$$	reduce $F \rightarrow id$
$\$(F$	$+y) \$$	reduce $T \rightarrow F$

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

Shift &
reduce



Items

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$\$(F$	$+y) \$$	reduce $T \rightarrow F$
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Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

Shift &
reduce



Items

Key idea

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 $E \rightarrow E + T \mid T$
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 $F \rightarrow (E) \mid \text{id}$

top of stack X next input token a

stack	input	action[X, a]
\$	$(x + y) \$$	shift (
$\$($	$x + y) \$$	shift x
$\$(x$	$+y) \$$	reduce $F \rightarrow \text{id}$
$\$(F$	$+y) \$$	reduce $T \rightarrow F$
$\$(T$	$+y) \$$	reduce $E \rightarrow T$
$\$(E$	$+y) \$$	shift +

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

Shift &
reduce



Items

Key idea

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid \text{id}$

top of stack X next input token a

stack	input	action[X, a]
\$	$(x + y) \$$	shift (
$\$($	$x + y) \$$	shift x
$\$(x$	$+y) \$$	reduce $F \rightarrow \text{id}$
$\$(F$	$+y) \$$	reduce $T \rightarrow F$
$\$(T$	$+y) \$$	reduce $E \rightarrow T$
$\$(E$	$+y) \$$	shift +
$\$(E+$	$y) \$$	shift y

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

Shift &
reduce



Items

Key idea

$S \rightarrow E \$$
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 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid id$

top of stack X next input token a

stack	input	action[X, a]
\$	$(x + y) \$$	shift (
$\$($	$x + y) \$$	shift x
$\$(x$	$+y) \$$	reduce $F \rightarrow id$
$\$(F$	$+y) \$$	reduce $T \rightarrow F$
$\$(T$	$+y) \$$	reduce $E \rightarrow T$
$\$(E$	$+y) \$$	shift +
$\$(E+$	$y) \$$	shift y
$\$(E + y$	$) \$$	reduce $F \rightarrow id$

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow (E) \mid id$

top of stack X next input token a

stack	input	action[X, a]	stack	input	action[X, a]
\$	$(x + y) \$$	shift ($\$(E + F$	$) \$$	reduce $T \rightarrow F$
$\$($	$x + y) \$$	shift x			
$\$(x$	$+ y) \$$	reduce $F \rightarrow id$			
$\$(F$	$+ y) \$$	reduce $T \rightarrow F$			
$\$(T$	$+ y) \$$	reduce $E \rightarrow T$			
$\$(E$	$+ y) \$$	shift +			
$\$(E+$	$y) \$$	shift y			
$\$(E + y$	$) \$$	reduce $F \rightarrow id$			

Shift & reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$$S \rightarrow E \$$$

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$$F \rightarrow (E) \mid id$$

top of stack X next input token a

stack	input	action[X, a]	stack	input	action[X, a]
\$	$(x + y) \$$	shift ($\$(E + F)$)\$	reduce $T \rightarrow F$
$\$($	$x + y) \$$	shift x	$\$(E + T)$)\$	reduce $E \rightarrow E + T$
$\$(x$	$+y) \$$	reduce $F \rightarrow id$			
$\$(F$	$+y) \$$	reduce $T \rightarrow F$			
$\$(T$	$+y) \$$	reduce $E \rightarrow T$			
$\$(E$	$+y) \$$	shift +			
$\$(E+$	$y) \$$	shift y			
$\$(E + y$)\$	reduce $F \rightarrow id$			

Shift & reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

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$\$($	$x + y) \$$	shift x	$\$(E + T$	$) \$$	reduce $E \rightarrow E + T$
$\$(x$	$+y) \$$	reduce $F \rightarrow id$	$\$(E$	$) \$$	shift)
$\$(F$	$+y) \$$	reduce $T \rightarrow F$			
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$\$(E$	$+y) \$$	shift +			
$\$(E+$	$y) \$$	shift y			
$\$(E + y$	$) \$$	reduce $F \rightarrow id$			

Shift & reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

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top of stack X next input token a

stack	input	action[X, a]	stack	input	action[X, a]
\$	$(x + y) \$$	shift ($\$(E + F$)\$	reduce $T \rightarrow F$
$\$($	$x + y) \$$	shift x	$\$(E + T$)\$	reduce $E \rightarrow E + T$
$\$(x$	$+y) \$$	reduce $F \rightarrow id$	$\$(E$)\$	shift)
$\$(F$	$+y) \$$	reduce $T \rightarrow F$	$\$(E)$	\$	reduce $F \rightarrow (E)$
$\$(T$	$+y) \$$	reduce $E \rightarrow T$			
$\$(E$	$+y) \$$	shift +			
$\$(E+$	$y) \$$	shift y			
$\$(E + y$)\$	reduce $F \rightarrow id$			

Shift & reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$$\begin{aligned}
 S &\rightarrow E \$ \\
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \text{id}
 \end{aligned}$$

top of stack X next input token a

stack	input	action[X, a]	stack	input	action[X, a]
\$	$(x + y)\$$	shift ($\$(E + F)$)\$	reduce $T \rightarrow F$
$\$($	$x + y)\$$	shift x	$\$(E + T)$)\$	reduce $E \rightarrow E + T$
$\$(x$	$+y)\$$	reduce $F \rightarrow \text{id}$	$\$(E)$)\$	shift)
$\$(F$	$+y)\$$	reduce $T \rightarrow F$	$\$(E)$	\$	reduce $F \rightarrow (E)$
$\$(T$	$+y)\$$	reduce $E \rightarrow T$	$\$F$	\$	reduce $T \rightarrow F$
$\$(E$	$+y)\$$	shift +			
$\$(E+$	$y)\$$	shift y			
$\$(E + y$)\$	reduce $F \rightarrow \text{id}$			

Shift &
reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$$\begin{aligned}
 S &\rightarrow E \$ \\
 E &\rightarrow E + T \mid T \\
 T &\rightarrow T * F \mid F \\
 F &\rightarrow (E) \mid \text{id}
 \end{aligned}$$

top of stack X next input token a

stack	input	action[X, a]	stack	input	action[X, a]
\$	$(x + y) \$$	shift ($\$(E + F$	$) \$$	reduce $T \rightarrow F$
$\$($	$x + y) \$$	shift x	$\$(E + T$	$) \$$	reduce $E \rightarrow E + T$
$\$(x$	$+ y) \$$	reduce $F \rightarrow \text{id}$	$\$(E$	$) \$$	shift)
$\$(F$	$+ y) \$$	reduce $T \rightarrow F$	$\$(E)$	\$	reduce $F \rightarrow (E)$
$\$(T$	$+ y) \$$	reduce $E \rightarrow T$	$\$F$	\$	reduce $T \rightarrow F$
$\$(E$	$+ y) \$$	shift +	$\$T$	\$	reduce $F \rightarrow E$
$\$(E+$	$y) \$$	shift y			
$\$(E + y$	$) \$$	reduce $F \rightarrow \text{id}$			

Shift & reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$$S \rightarrow E \$$$

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

top of stack X next input token a

stack	input	action[X, a]	stack	input	action[X, a]
\$	$(x + y) \$$	shift ($\$(E + F)$)\$	reduce $T \rightarrow F$
$\$($	$x + y) \$$	shift x	$\$(E + T)$)\$	reduce $E \rightarrow E + T$
$\$(x$	$+y) \$$	reduce $F \rightarrow id$	$\$(E)$)\$	shift)
$\$(F$	$+y) \$$	reduce $T \rightarrow F$	$\$(E)$	\$	reduce $F \rightarrow (E)$
$\$(T$	$+y) \$$	reduce $E \rightarrow T$	$\$F$	\$	reduce $T \rightarrow F$
$\$(E$	$+y) \$$	shift +	$\$T$	\$	reduce $F \rightarrow E$
$\$(E+$	$y) \$$	shift y	$\$E$	\$	reduce $S \rightarrow E$
$\$(E + y$)\$	reduce $F \rightarrow id$			

Shift & reduce



Items

Key idea

Replay parsing of $(x + y)$ using shift/reduce actions

Derivations

Formalisation

$S \rightarrow E \$$
 $E \rightarrow E + T \mid T$
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 $F \rightarrow (E) \mid \text{id}$

top of stack X next input token a

stack	input	action[X, a]	stack	input	action[X, a]
\$	$(x + y) \$$	shift ($\$(E + F)$)\$	reduce $T \rightarrow F$
$\$($	$x + y) \$$	shift x	$\$(E + T)$)\$	reduce $E \rightarrow E + T$
$\$(x$	$+y) \$$	reduce $F \rightarrow \text{id}$	$\$(E)$)\$	shift)
$\$(F$	$+y) \$$	reduce $T \rightarrow F$	$\$(E)$	\$	reduce $F \rightarrow (E)$
$\$(T$	$+y) \$$	reduce $E \rightarrow T$	$\$F$	\$	reduce $T \rightarrow F$
$\$(E$	$+y) \$$	shift +	$\$T$	\$	reduce $F \rightarrow E$
$\$(E+$	$y) \$$	shift y	$\$E$	\$	reduce $S \rightarrow E$
$\$(E + y$)\$	reduce $F \rightarrow \text{id}$	$\$S$	\$	accept!

Shift & reduce



Items

Key idea

How do we decide when to shift or reduce?

Derivations

Suppose $A \rightarrow \beta\gamma$ is a production. In the configuration

$$\$ \alpha \beta \gamma, x \$$$

we *might* want to reduce with $A \rightarrow \beta\gamma$.

However, if we have

$$\$ \alpha \beta, x \$$$

we *might* want to continue parsing,
hoping to eventually have $\beta\gamma$ on top of the stack,
so that we can then reduce to A .

Shift &
reduce



Items

Key idea

Items

Derivations

LR(0) items record how much of a production's RHS is already parsed.

Formalisation

For every grammar production

$$A \rightarrow \beta\gamma \quad (\beta, \gamma \in (N \cup T)^*)$$

Shift &
reduce

there is an LR(0) item

$$A \rightarrow \beta \bullet \gamma$$

Items



$A \rightarrow \beta \bullet \gamma$ means: we've parsed input x derivable from β
we *might* next see input derivable from γ .

Key idea

LR(0) items for G_2

Derivations

Formalisation

Shift &
reduce

Items



Key idea

$S \rightarrow \bullet E$
 $S \rightarrow E \bullet$

$E \rightarrow \bullet E + T$
 $E \rightarrow E \bullet + T$
 $E \rightarrow E + \bullet T$
 $E \rightarrow E + T \bullet$

$E \rightarrow \bullet T$
 $E \rightarrow T \bullet$

$T \rightarrow \bullet T * F$
 $T \rightarrow T \bullet * F$
 $T \rightarrow T * \bullet F$
 $T \rightarrow T * F \bullet$

$T \rightarrow \bullet F$
 $T \rightarrow F \bullet$

$F \rightarrow \bullet (E)$
 $F \rightarrow (\bullet E)$
 $F \rightarrow (E \bullet)$
 $F \rightarrow (E) \bullet$

$F \rightarrow \bullet id$
 $F \rightarrow id \bullet$

Derivations

Definition: item $A \rightarrow \beta \bullet \gamma$ is **valid for** $\phi\beta$ if there exists a derivation

$$\begin{aligned} & S \\ \Rightarrow_{rm}^* & \phi A w \\ \Rightarrow_{rm} & \phi \beta \gamma w \end{aligned}$$

Formalisation

Shift &
reduce

If

$$A \rightarrow \beta \bullet \gamma \text{ is valid for } \phi\beta$$

then

parser can use $A \rightarrow \beta \bullet \gamma$ as a guide in configuration $\$ \phi \beta, w \$$

Items



Key idea

Using items as parsing guides

Derivations

Suppose parser is in config $\$ \phi \beta, cz \$$ and $A \rightarrow \beta \bullet c \gamma$ is valid for $\phi \beta$.
Then we *might* shift c onto the stack:

$$\$ \phi \beta, cz \$ \xrightarrow{\text{shift } c} \$ \phi \beta c, z \$$$

Shift &
reduce

Suppose parser is in config $\$ \phi \beta, z \$$ and $A \rightarrow \beta \bullet$ is valid for $\phi \beta$.
Then we *might* perform a reduction

$$\$ \phi \beta, z \$ \xrightarrow[A \rightarrow \beta]{\text{reduce}} \$ \phi A, z \$$$

Items



Key idea

Using items as parsing guides (continued)

Derivations

Suppose parser is in valid config $\$ \phi \beta, w \$$ (so $S \Rightarrow_{rm}^* \phi \beta w$).

Suppose $A \rightarrow \beta \bullet \gamma$ is valid for $\phi \beta$.

Formalisation

Then γ *might* capture the future of our parse (the past of the derivation).

Shift &
reduce

That is, it *might* be that

If so, our parser *might* proceed like so:

$$\begin{aligned} & S \\ \Rightarrow_{rm}^* & \phi A x \\ \Rightarrow_{rm} & \phi \beta \gamma x \\ \Rightarrow_{rm}^* & \phi \beta y x = \phi \beta w \end{aligned}$$

$$\begin{aligned} \$ \phi \beta, y x \$ & = \$ \phi \beta, w \$ \\ & \xrightarrow{*} \$ \phi \beta \gamma, x \$ \\ & \xrightarrow{\text{reduce}} \$ \phi A, x \$ \end{aligned}$$

i.e. our parser could guess that γ will derive a prefix of the remaining input w .

Items

Key idea

Key idea

The key idea in LR parsing

Derivations

Formalisation

Shift &
reduce

Items

Idea: Augment shift/reduce parser so that in every configuration α, w it can derive the set of items valid for α .

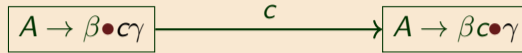
At each step parser can (non-deterministically) select an item to use as a guide.

Key idea

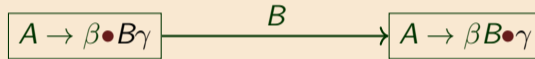


NFA with LR(0) items as states

Derivations



Formalisation



Shift & reduce



Items

Initial state is item constructed from unique starting production, e.g.:

$$q_0 = S \rightarrow \bullet E$$

Let δ_G be the transition function of this NFA (and every state be accepting).

Key idea



Derivations

Formalisation

Shift &
reduce

Items

Theorem:

$$A \rightarrow \beta \bullet \gamma \in \delta_G(q_0, \phi\beta)$$

if and only if

$$A \rightarrow \beta \bullet \gamma \text{ is valid for } \phi\beta.$$

(NB: The set of words $\phi\beta$ is a *regular* language!)

Key idea



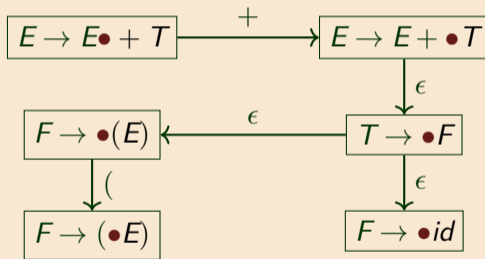
A few NFA transitions for grammar G_2

Derivations

Formalisation

Shift &
reduce

Items



Key idea



A non-deterministic LR parsing algorithm

Derivations

$c := \text{NextToken}()$

while true:

$\alpha :=$ the stack

if $A \rightarrow \beta \bullet c \gamma \in \delta_G(q_0, \alpha)$
then **SHIFT** c ; $c := \text{NextToken}()$

if $A \rightarrow \beta \bullet \in \delta_G(q_0, \alpha)$
then **REDUCE** via $A \rightarrow \beta$

if $S \rightarrow \beta \bullet \in \delta_G(q_0, \alpha)$
then **ACCEPT** (if no more input)

if none of the above
then **ERROR**

non-deterministic

since multiple “if”
conditions can be
true and multiple
items can match any
condition

Shift &
reduce

Items

Key idea



Next time: SLR(1) & LR(1)