

# Foundations of Computer Science

## Lecture #3: Lists

Anil Madhavapeddy and Jeremy Yallop  
2021-2022

*Credits: original slides from Dr. Amanda Prorok*

## Warm-Up

**Question 1:** What does this return?

In: `3 + -0.2;;`

Out: **Error:** This expression has type float but an expression was expected of type int  
**Line 1, characters 2-3:** Hint: Did you mean to use ``+.'`?

**Question 2:** What is the complexity of matrix addition, given a square matrix of size  $n$ ?

$O(n^2)$

**Question 3:** What do we call a function whose computation does not nest?

Iterative or tail-recursive

## Warm-Up

**Question 4:** What is the time/space complexity of **sillySum**?

```
let rec sillySum n =  
  if n = 0 then  
    0  
  else  
    n + (sillySum (n - 1) + sillySum (n - 1)) / 2
```

Time complexity is  $O(2^n)$

Space complexity is  $O(n)$

*Consider the space usage as a sequential execution*

# Lists

- A list is a finite sequence of elements
- The elements may have any type
- All elements must have **same** type

```
[3; 5; 9] : int list
```

```
[[3.1]; []; [5.7; -0.6]] : (float list) list
```

# Lists

```
In[1]: let it = [3; 5; 9];;
```

```
Out[1]: val it : int list = [3; 5; 9]
```

append →

```
In[2]: it @ [2; 10];;
```

```
Out[2]: - : int list = [3; 5; 9; 2; 10]
```

reverse →

```
In[3]: List.rev [(1, "one"); (2, "two")];;
```

```
Out[3]: - : (int * string) list = [(2, "two"); (1, "one")]
```

# The List Primitives

- We build a list using two primitives

[ ]

::

The list [ 3 ; 5 ; 9 ] is constructed as:

$9 :: [] = [9]$

$5 :: [9] = [5; 9]$

$3 :: [5; 9] = [3; 5; 9]$

# The List Primitives

*The two kinds of list*

$[]$  is the empty list

$x :: l$  is the list with head  $x$  and tail  $l$

*List notation*

$$[x_1; x_2; \dots; x_n] \equiv \underbrace{x_1}_{\text{head}} :: \underbrace{(x_2 :: \dots (x_n :: []))}_{\text{tail}}$$

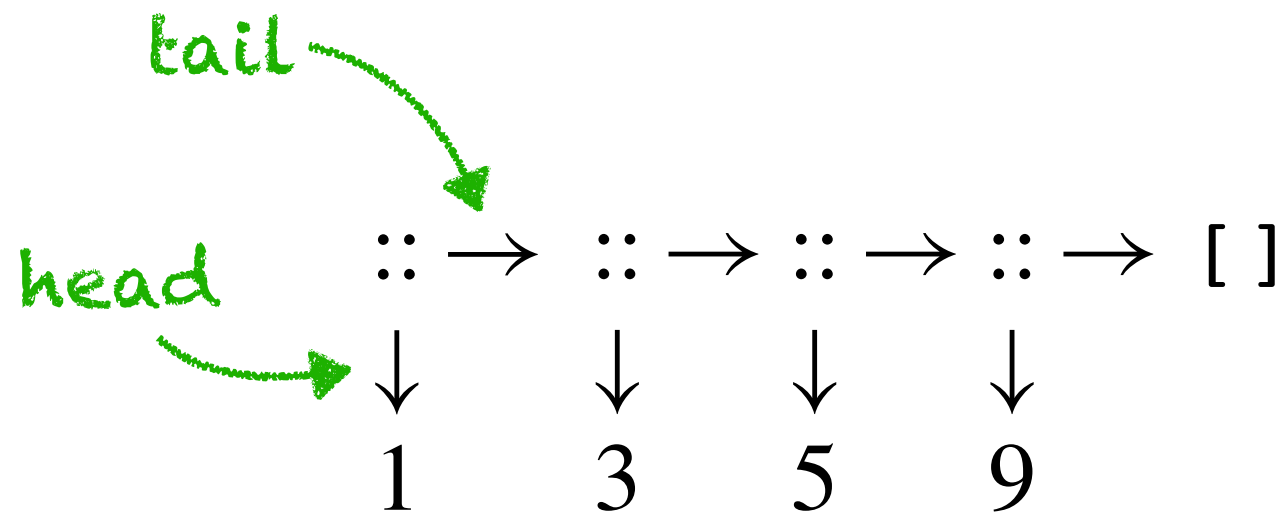
---

$a' :: a'$  list

head :: tail

# The List Primitives

- Internally: linked structure



*Note that :: is an  $O(1)$  operation*

Taking a list's head or tail takes constant time



## The List Primitives

```
In:  let rec up_to m n =  
      if m > n then []  
      else  
        m :: up_to (m + 1) n;;
```

```
Out: val up_to : int -> int -> int list = <fun>
```

```
In:  up_to 2 5;;  
Out: - : int list = [2; 3; 4; 5]
```

## Getting at the Head and Tail

In: `let hd (x::_) = x;;`

Out: **Warning** 8: this pattern-matching is not exhaustive.  
Here is an example of a case that is not matched:  
[]  
val hd : 'a list -> 'a = <fun>

In: `List.tl [7; 6; 5];;`

Out: `- : int list = [6; 5]`

In: `let null = function  
| [] -> true  
| _::_ -> false;;`

pattern-matching:

← 1st case  
← 2nd case

Out: `val null : 'a list -> bool = <fun>`

## Getting at the Head and Tail

*Note that these three functions are polymorphic*

null : 'a list -> bool

is a list empty?

hd : 'a list -> 'a

head of a non-empty list

tl : 'a list -> 'a list

tail of a non-empty list



alpha type: type variable

## Computing the Length of a List

```
In:  let rec nlength = function
      | []          -> 0
      | _ :: xs     -> 1 + nlength xs;;
```

```
Out:  val nlength : 'a list -> int = <fun>
```

nlength [3; 5; 9] is constructed as:


```
nlength [a; b; c] ⇒ 1 + nlength [b; c]
                  ⇒ 1 + (1 + nlength [c])
                  ⇒ 1 + (1 + (1 + nlength []))

                  ⇒ 1 + (1 + (1 + 0))
                  ⇒ ... ⇒ 3
```

base case!

*What is the time and space complexity of this function?*

## *Efficiently* Computing the Length of a List

In: `let rec addlen = function`  
| `(n, [])` `-> n`   
| `(n, _::xs)` `-> addlen (n + 1, xs);;`

Out: `val addlen : int * 'a list -> int = <fun>`

`addlen(0, [a; b; c])`  $\Rightarrow$  `addlen (1, [b; c])`  
 $\Rightarrow$  `addlen (2, [c])`  
 $\Rightarrow$  `addlen (3, [])` *base case!*  
 $\Rightarrow$  3

*What is the time and space complexity of this function?*

## *Efficiently* Computing the Length of a List

In: `let length xs = addlen (0, xs);;`

Out: `val length : 'a list -> int = <fun>`

## Append: List Concatenation

@

```
In:  let rec append = function
      | ([], ys)      -> ys
      | (x::xs, ys)   -> x :: append (xs, ys)
```

```
Out:  val append : 'a list * 'a list -> 'a list = <fun>
```

```
append([1; 2; 3],[4]) ⇒ 1 :: append ([2; 3],[4])
                      ⇒ 1 :: (2 :: append ([3],[4]))
                      ⇒ 1 :: (2 :: (3 :: append ([],[4])))
                      ⇒ 1 :: (2 :: (3 :: [4]))           base case!
                      ⇒ [1; 2; 3; 4]
```

*What is the time and space complexity of this function?*

## Reversing a List in $O(n^2)$

In: 

```
let rec nrev = function
| []      -> []
| x::xs   -> (nrev xs) @ [x];;
```

Out: 

```
val nrev : 'a list -> 'a list = <fun>
```

```
nrev [a; b; c] ⇒ nrev [b; c] @ [a]
                ⇒ (nrev [c] @ [b]) @ [a]
                ⇒ ((nrev [] @ [c]) @ [b]) @ [a]
                ⇒ ([ ] @ [c]) @ [b] @ [a] ⇒ ... ⇒ [c; b; a]
```

base case: [] is tail!

*What is the time and space complexity of this function?*



## Reversing a List in $O(n^2)$

```
In:  let rec nrev = function
      | []      -> []
      | x::xs   -> (nrev xs) @ [x];;
```

```
Out:  val nrev : 'a list -> 'a list = <fun>
```

```
nrev [a; b; c] ⇒ nrev [b; c] @ [a]
                ⇒ (nrev [c] @ [b]) @ [a]
                ⇒ ((nrev [] @ [c]) @ [b]) @ [a]
                ⇒ ([ ] @ [c]) @ [b] @ [a] ⇒ ... ⇒ [c; b; a]
```

1      +2      +3

*Recall:* append is  $O(n)$ , and we have  $n(n+1) / 2$  conses, which is  $O(n^2)$

## Reversing a List in $O(n)$

In: `let rec rev_app = function`  
    | `([], ys)`       $\rightarrow$  `ys`   
    | `(x::xs, ys)`  $\rightarrow$  `rev_app (xs, x::ys)`;;

Out: `val rev_app : 'a list * 'a list -> 'a list = <fun>`

```
rev_app ([a; b; c], [])  $\Rightarrow$  rev_app ([b; c], [a])  
                          $\Rightarrow$  rev_app ([c], [b; a])  
                          $\Rightarrow$  rev_app ([], [c; b; a])  
                          $\Rightarrow$  [c; b; a]
```

*What is the time complexity of this function?*

## Reversing a List in $O(n)$

In: `let rev xs = rev_app (xs, [])`

Out: `val rev : 'a list -> 'a list = <fun>`

# Lists, Strings, and Characters

character constants	'A'    '\"'    ...
string constants	" "    "B"    "Oh, no!"    ...
<code>String.length s</code>	<i>number of chars in string s</i>
$s_1^{\wedge}s_2$	<i>concatenation of strings <math>s_1</math> and <math>s_2</math></i>

Also:

The operators `<` `<=` `>` `>=` work for strings and yield lexicographic order

In:    `'a' < 'b';;`

Out:    `- : bool = true`

