

# Uncountable Cardinality

Theorem: The sets

$$\mathcal{P}(\mathbb{N}) \cong (\mathbb{N} \Rightarrow [2]) \cong (\mathbb{N} \Rightarrow [1])$$

are not countable.

NB: Suppose  $\mathbb{N} \xrightarrow{e} \mathcal{P}(\mathbb{N})$   
Then  $\mathbb{N} \xrightarrow{e'} (\mathbb{N} \Rightarrow [2])$   
 $e \searrow \cong$   
 $\mathcal{P}(\mathbb{N})$

$$\mathbb{N} \xrightarrow{e} (\mathbb{N} \Rightarrow [2])$$

$$s^k$$

Then  $\exists k \in \mathbb{N}. e(k) = s$

$$e(k)(k) = s(k) = \overline{e(k)(k)}$$

$$s(0) \quad s(1) \quad \dots \quad s(n) \quad \dots$$

$n \in \mathbb{N}$

|          |           |           |         |           |         |
|----------|-----------|-----------|---------|-----------|---------|
| $e(0)$   | $e(0)(0)$ | $e(0)(1)$ | $\dots$ | $e(0)(n)$ | $\dots$ |
| $e(1)$   | $e(1)(0)$ | $e(1)(1)$ | $\dots$ | $e(1)(n)$ | $\dots$ |
| $\vdots$ |           |           |         |           |         |
| $e(n)$   | $e(n)(0)$ | $e(n)(1)$ | $\dots$ | $e(n)(n)$ | $\dots$ |
| $\vdots$ |           |           |         |           |         |

Let  $s: \mathbb{N} \rightarrow [2]$

$$s(n) = \text{def } \overline{e(n)(n)}$$

Also There is no  $\mathbb{N} \rightarrow \mathcal{P}(\mathbb{N})$  ↗

Exercise: Show it  
by diagonalisation

Corollary: The sets

$$[0, 1] \cong \mathbb{R}$$

are not countable.

$$(\mathbb{N} \Rightarrow [2]) \xrightarrow{\cong} [0, 1]$$

$$s \longmapsto \sum_i s(i) \frac{1}{2^{i+1}}$$

$s(i) = 1$

# Unbounded cardinality

**Theorem 156 (Cantor's diagonalisation argument)** For every set  $A$ , no surjection from  $A$  to  $\mathcal{P}(A)$  exists.

PROOF:

$$A \xrightarrow{e} \mathcal{P}(A)$$

$$S^e = \{a \in A \mid a \notin e(a)\}$$

$$\exists \alpha \in A. e(\alpha) = S$$

$$\alpha \in e(\alpha) \Leftrightarrow \alpha \in S \Leftrightarrow \alpha \notin e(\alpha) \quad \swarrow$$



Corollary: For all sets  $A$ , There is no surjection  
from  $A$  to  $(A \Rightarrow [2])$

Because

$$(A \Rightarrow [2]) \cong \mathcal{P}(A)$$

**Definition 157** A fixed-point of a function  $f : X \rightarrow X$  is an element  $x \in X$  such that  $f(x) = x$ .

**Theorem 158 (Lawvere's fixed-point argument)** For sets  $A$  and  $X$ , if there exists a surjection  $A \twoheadrightarrow (A \Rightarrow X)$  then every function  $X \rightarrow X$  has a fixed-point; and hence  $X$  is a singleton.

PROOF:

$$e: A \twoheadrightarrow (A \Rightarrow X)$$

Let  $f: X \rightarrow X$  and define

$$s: A \rightarrow X, \quad s(a) = f(e(a)(a))$$

$$\exists \alpha. e(\alpha) = s$$

$$e(\alpha)(\alpha) = s(\alpha) = f(e(\alpha)(\alpha))$$



If every function on  $X$  has a fixed-point then  $X$  is a singleton.

$$x_1 \in X, x_2 \in X$$

$$x_1 \neq x_2$$

Define  $X \rightarrow X$ :

$$\begin{cases} x_1 \mapsto x_2 \\ x \neq x_1 \mapsto x_1 \end{cases}$$

**Corollary 159** *The sets*

$$\mathcal{P}(\mathbb{N}) \cong (\mathbb{N} \Rightarrow [2]) \cong [0, 1] \cong \mathbb{R}$$

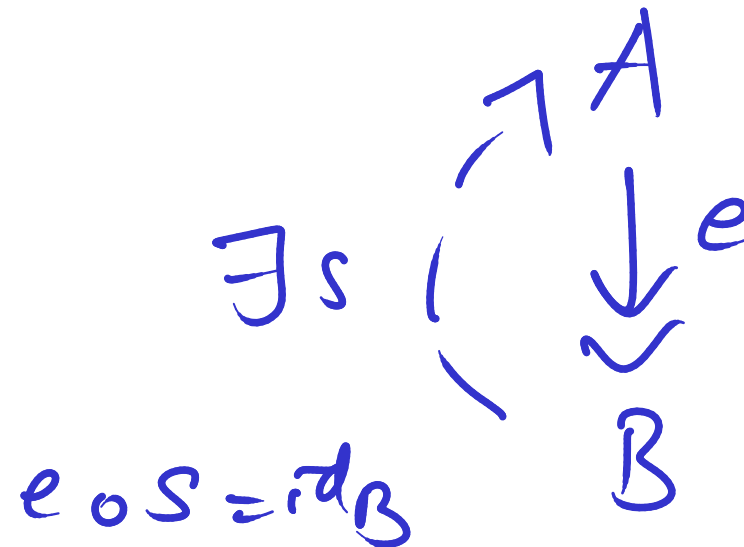
*are not enumerable.*

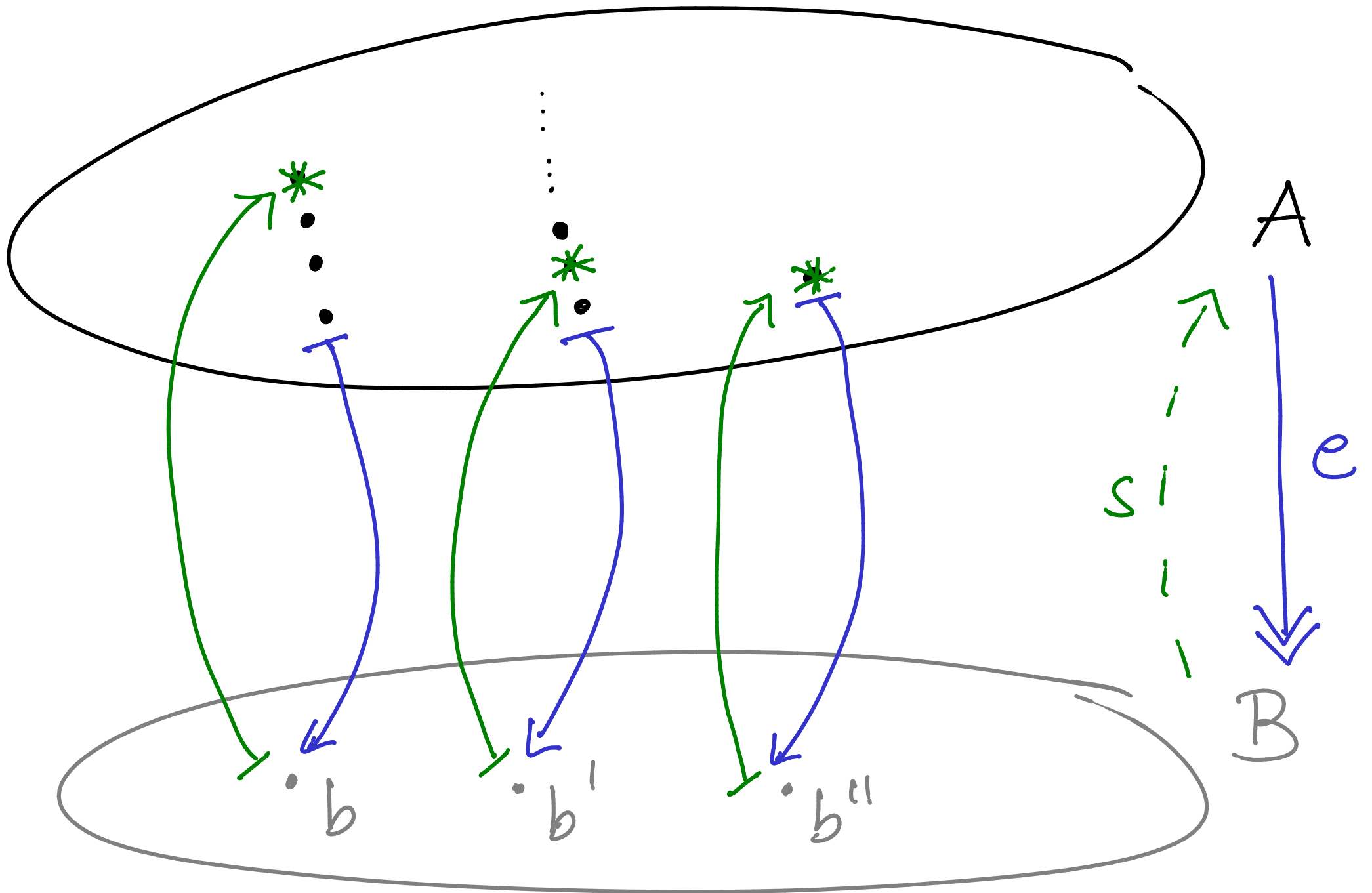
**Corollary 160** *There are non-computable infinite sequences of bits.*



# Axiom of choice

Every surjection has a section.





NB: Every section-retraction pair



is such that

- the retraction  $r: A \rightarrow B$  is a surjection

and

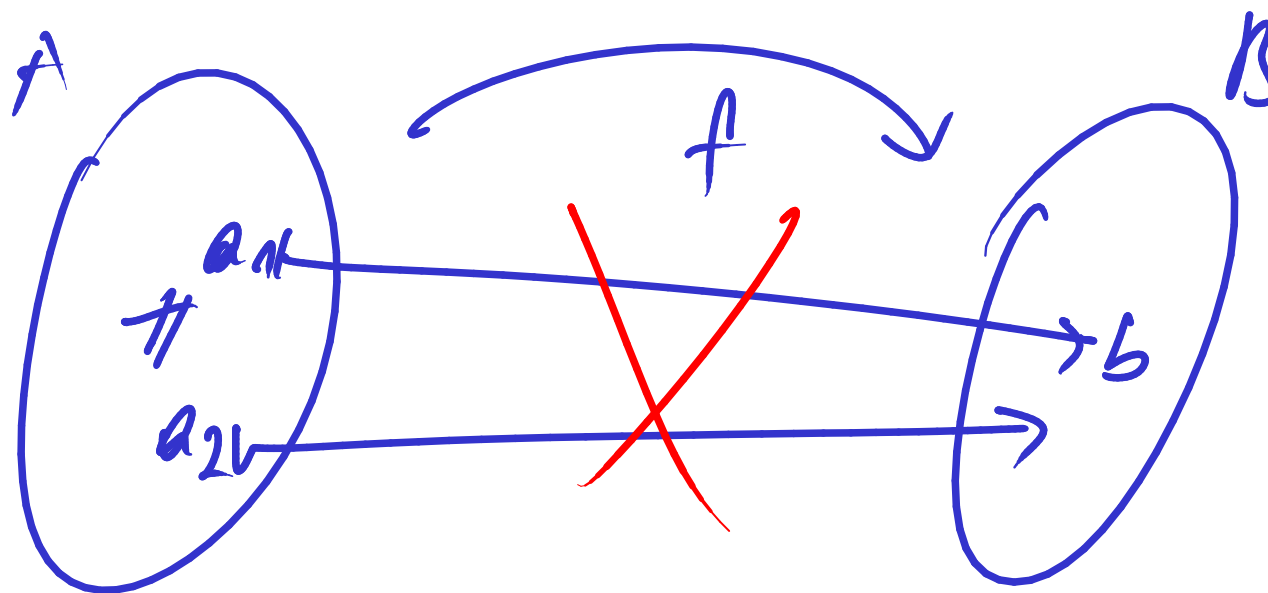
- the section  $s: B \rightarrow A$  is an injection

$$S(a_1) = S(a_2) \Rightarrow r(S(a_1)) = r(S(a_2))$$

$\parallel$  Injections  $\parallel$   
 $a_1$    $a_2$

**Definition 145** A function  $f : A \rightarrow B$  is said to be injective, or an injection, and indicated  $f : A \rightarrow B$  whenever

$$\forall a_1, a_2 \in A. (f(a_1) = f(a_2)) \implies a_1 = a_2 .$$

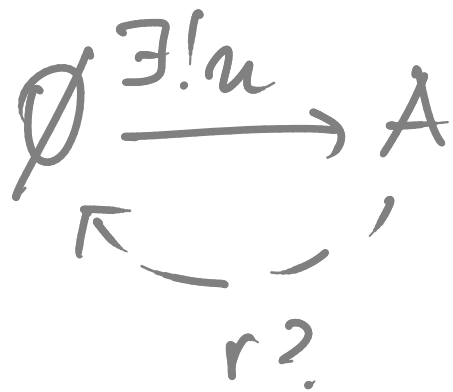


Proposition: Every section is an injection.

Proposition: Let  $X$  be a set.

(i) The unique function from the empty set to  $X$  is an injection, and

(ii) it is a section iff  $X$  is empty.



NB: There are no functions from a non-empty set to the empty set.

**Theorem 146** *The identity function is an injection, and the composition of injections yields an injection.*

The set of injections from  $A$  to  $B$  is denoted

$$\text{Inj}(A, B)$$

and we thus have

$$\begin{array}{c}
 \text{Sur}(A, B) \\
 \cup \\
 \text{Bij}(A, B) \quad \subseteq \quad \text{Fun}(A, B) \subseteq \text{PFun}(A, B) \subseteq \text{Rel}(A, B) \\
 \cap \\
 \text{Inj}(A, B)
 \end{array}$$

with

$$\text{Bij}(A, B) = \text{Sur}(A, B) \cap \text{Inj}(A, B) \quad .$$

**Proposition 147** For all finite sets  $A$  and  $B$ ,

$$\# \text{Inj}(A, B) = \begin{cases} \binom{\#B}{\#A} \cdot (\#A)! & , \text{ if } \#A \leq \#B \\ 0 & , \text{ otherwise} \end{cases}$$

PROOF IDEA:

|       |                             |                             |       |  |
|-------|-----------------------------|-----------------------------|-------|--|
| $a_1$ | $a_2$                       | $a_3 \dots$                 | $a_m$ |  |
| ↓     | ↓                           | ↓                           | ↓     |  |
| $b_1$ | $b_1$                       | $b_1$                       | ⋮     |  |
| $b_2$ | $b_2$                       | ⋮                           |       |  |
| $b_i$ | <del><math>b_i</math></del> | <del><math>b_i</math></del> |       |  |
| $b_n$ | ⋮                           | ⋮                           |       |  |
|       | $b_n$                       | $b_n$                       |       |  |

$\frac{n!}{(n-m)!}$  injections

$n \times (n-1) \times (n-2) \times \dots \times (n-m+1)$



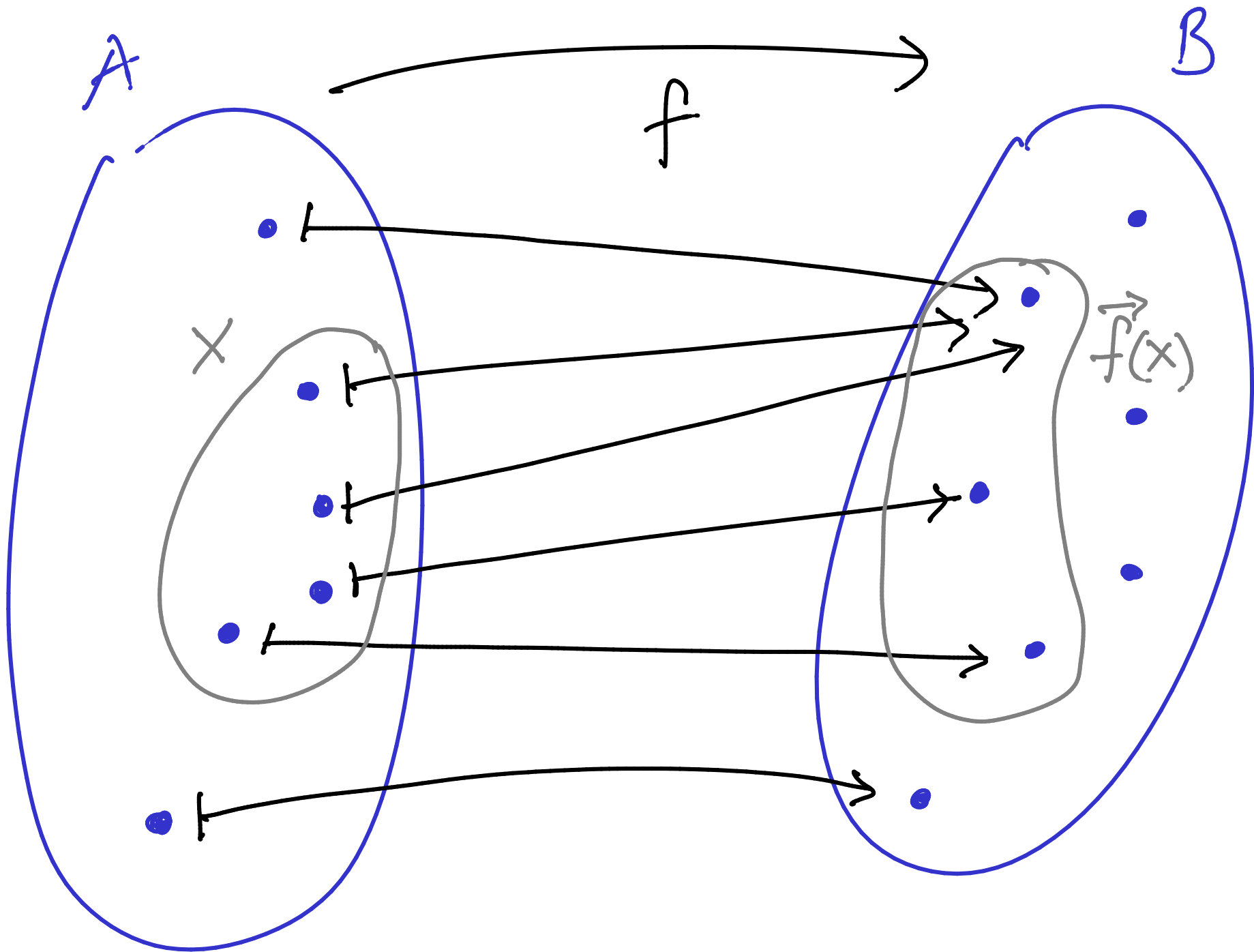
# DIRECT AND INVERSE IMAGES

# Functional Images

Definition Let  $f: A \rightarrow B$  be a function  
The direct image of  $X \subseteq A$  under  $f$   
is the set  $\vec{f}(X) \subseteq B$ , defined as

$$\vec{f}(X) = \{ b \in B \mid \exists x \in X. f(x) = b \}$$

$$= \{ f(x) \in B \mid x \in X \}$$



Proposition For all functions  $f: A \rightarrow B$ ,  
the mapping

$$A \ni a \mapsto f(a)$$

determines a function

$$f': A \rightarrow \vec{f}(A)$$

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ f' \searrow & & \swarrow U \\ & \vec{f}(A) & \end{array}$$

that is surjective.

Moreover, whenever  $f: A \rightarrow B$  is injective,

$f': A \rightarrow \vec{f}(A)$  is bijective.

Injective functions preserve cardinality

Corollary For an injective function

$$f: A \rightarrow B,$$

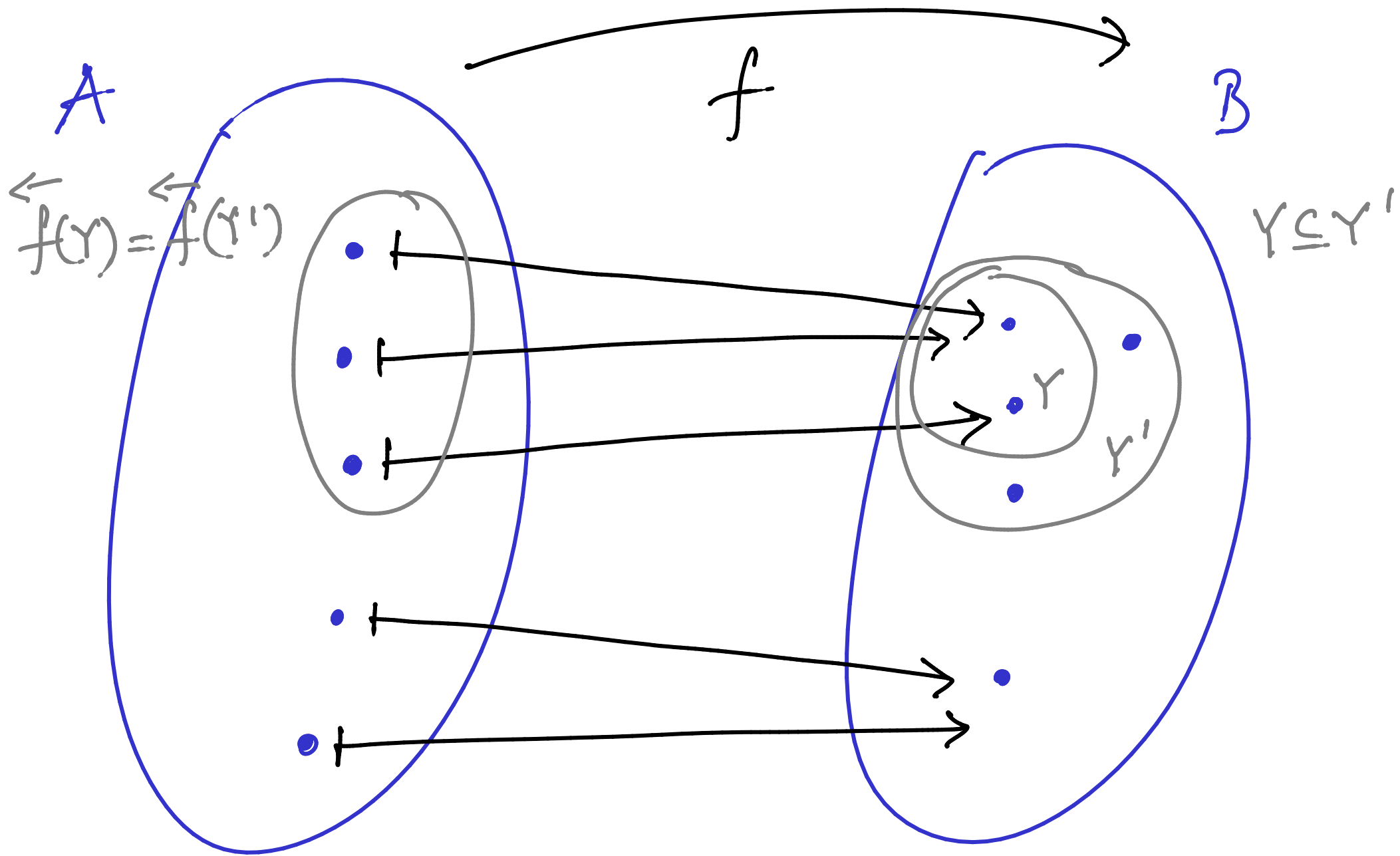
$$\forall X \subseteq A. \quad X \cong \vec{f}(X) .$$

Definition: Let  $f: A \rightarrow B$  be a function.

The inverse image of  $Y \subseteq B$  is the set

$f^{-1}(Y) \subseteq A$  defined as

$$f^{-1}(Y) = \{a \in A \mid f(a) \in Y\}.$$



Proposition: For  $f: A \rightarrow B$ , the mapping

$$B \supseteq Y \longmapsto \overset{\leftarrow}{f}(Y) \subseteq A$$

determines a function

$$\mathcal{P}(B) \longrightarrow \mathcal{P}(A)$$

that preserves the Boolean algebra structure of power sets.



E.g.  $\overset{\leftarrow}{f}(Y^c) = \{ a \in A \mid f(a) \in Y^c \}$   
 $= \{ a \in A \mid f(a) \notin Y \}$   
 $= \{ a \in A \mid f(a) \in Y \}^c$   
 $= (\overset{\leftarrow}{f}(Y))^c$

# Replacement axiom

The direct image of every definable functional property on a set is a set.

From a mapping

$$i \mapsto f(i)$$

The replacement axiom allows the construction of a set

$$\{f(i) \mid i \in I\}$$

for  $i$  ranging over an indexing set  $I$ .

# Set-indexed constructions

For every mapping associating a set  $A_i$  to each element of a set  $I$ , we have the set

$$\bigcup_{i \in I} A_i = \bigcup \{A_i \mid i \in I\} = \{a \mid \exists i \in I. a \in A_i\} .$$

## Examples:

1. Indexed disjoint unions:

$$\bigsqcup_{i \in I} A_i = \bigcup_{i \in I} \{i\} \times A_i$$

2. Finite sequences on a set  $A$ :

$$A^* = \bigsqcup_{n \in \mathbb{N}} A^n$$

3. Finite partial functions from a set  $A$  to a set  $B$ :

$$(A \rightrightarrows_{\text{fin}} B) = \bigsqcup_{S \in \mathcal{P}_{\text{fin}}(A)} (S \Rightarrow B)$$

where

$$\mathcal{P}_{\text{fin}}(A) = \{ S \subseteq A \mid S \text{ is finite} \}$$

4. Non-empty indexed intersections: for  $I \neq \emptyset$ ,

$$\bigcap_{i \in I} A_i = \{ x \in \bigcup_{i \in I} A_i \mid \forall i \in I. x \in A_i \}$$

5. Indexed products:

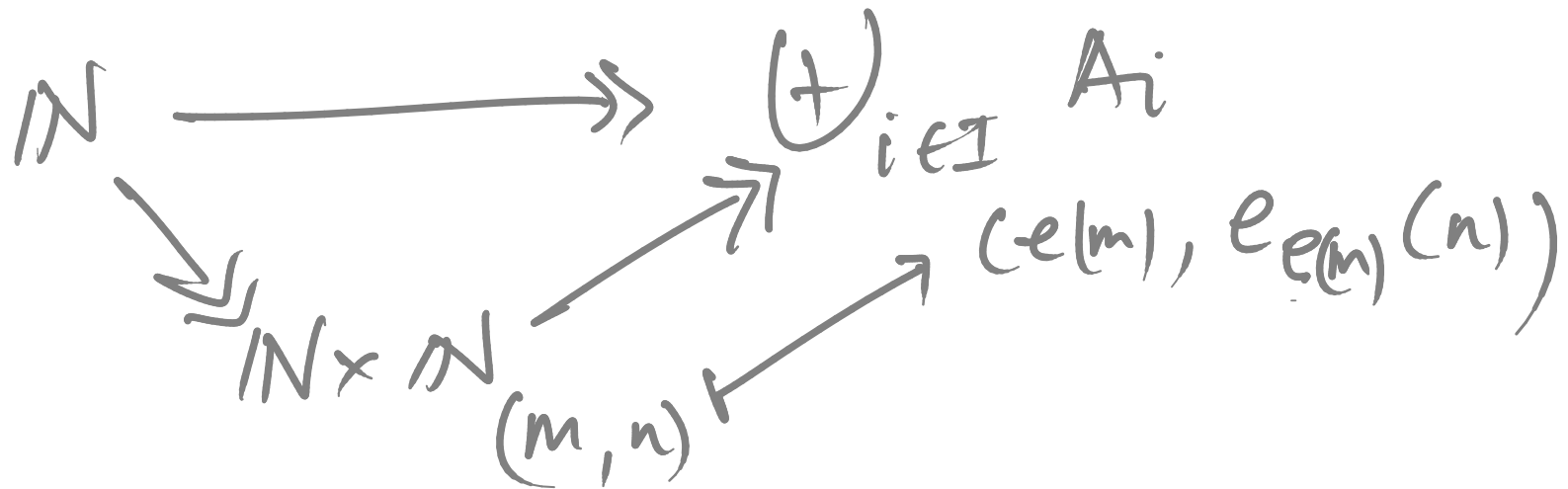
$$\prod_{i \in I} A_i = \left\{ \alpha \in (I \Rightarrow \bigcup_{i \in I} A_i) \mid \forall i \in I. \alpha(i) \in A_i \right\}$$

**Proposition 153** *An enumerable indexed disjoint union of enumerable sets is enumerable.*

PROOF:

$$e: \mathbb{N} \rightarrow I$$

$$e_i: \mathbb{N} \rightarrow A_i \quad i \in I$$



**Corollary 155** *If  $X$  and  $A$  are countable sets then so are  $A^*$ ,  $\mathcal{P}_{\text{fin}}(A)$ , and  $(X \Rightarrow_{\text{fin}} A)$ .*

There are non-computable  
infinite sequences of bits.

$\text{Prog} \subseteq \Sigma^*$  countable  $\Sigma$  finite  
{ countable.

## Foundation axiom

The membership relation is well-founded.

Thereby, providing a

*Principle of  $\in$ -Induction* .