

# *Topic 8*

Full Abstraction

## Proof principle

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For all types  $\tau$  and closed terms  $M_1, M_2 \in \text{PCF}_\tau$ ,

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket \implies M_1 \cong_{\text{ctx}} M_2 : \tau .$$

Hence, to prove

$$M_1 \cong_{\text{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket .$$

## Full abstraction

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A denotational model is said to be *fully abstract* whenever denotational equality characterises contextual equivalence.

- ▶ The domain model of PCF is *not* fully abstract.  
In other words, there are contextually equivalent PCF terms with different denotations.

## Failure of full abstraction, idea

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We will construct two closed terms

$$T_1, T_2 \in \text{PCF}_{(bool \rightarrow (bool \rightarrow bool)) \rightarrow bool}$$

such that

$$T_1 \cong_{\text{ctx}} T_2$$

and

$$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$$

► Recall That

$$T_1 \equiv_{\text{ctx}} T_2 : (\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})) \rightarrow \text{bool}$$

If

$$\forall M : \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}. \quad \forall V : \text{PCF}_{\text{bool}}.$$

$$T_1 M \Downarrow_{\text{bool}} V \iff T_2 M \Downarrow_{\text{bool}} V$$

► In particular, we will achieve  $T_1 \equiv_{\text{ctx}} T_2$  by making sure that

$$\forall M : \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}.$$

$$T_1 M \not\Downarrow_{\text{bool}} \quad \text{and} \quad T_2 M \not\Downarrow_{\text{bool}}$$

- We achieve  $T_1 \cong_{\text{ctx}} T_2$  by making sure that

$$\forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})} ( T_1 M \not\downarrow_{\text{bool}} \& T_2 M \not\downarrow_{\text{bool}} )$$

Hence,

$$[\![T_1]\!](\![M]\!) = \perp = [\![T_2]\!](\![M]\!)$$

for all  $M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}$ .

- We achieve  $T_1 \cong_{\text{ctx}} T_2$  by making sure that

$$\forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})} (T_1 M \not\downarrow_{\text{bool}} \& T_2 M \not\downarrow_{\text{bool}})$$

$$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket : (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)) \rightarrow \mathbb{B}_\perp$$

If

$$\llbracket T_1 \rrbracket(f) \neq \llbracket T_2 \rrbracket(f)$$

for some  $f \in (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp))$

that is necessarily not definable,  
in the sense that

$$f \neq \llbracket M \rrbracket \quad \forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}$$

- We achieve  $T_1 \cong_{\text{ctx}} T_2$  by making sure that

$$\forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})} ( T_1 M \not\downarrow_{\text{bool}} \& T_2 M \not\downarrow_{\text{bool}} )$$

Hence,

$$[\![T_1]\!](\![M]\!) = \perp = [\![T_2]\!](\![M]\!)$$

for all  $M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}$ .

- We achieve  $\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$  by making sure that

$$\llbracket T_1 \rrbracket(\text{por}) \neq \llbracket T_2 \rrbracket(\text{por})$$

for some *non-definable* continuous function

$$\text{por} \in (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)) .$$

## Parallel-or function

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is the unique continuous function  $\text{por} : \mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)$  such that

$$\text{por } \text{true } \perp = \text{true}$$

$$\text{por } \perp \text{ true} = \text{true}$$

$$\text{por } \text{false } \text{ false} = \text{false}$$

In which case, it necessarily follows by monotonicity that

$$\text{por } \text{true } \text{ true} = \text{true}$$

$$\text{por } \text{false } \perp = \perp$$

$$\text{por } \text{true } \text{ false} = \text{true}$$

$$\text{por } \perp \text{ false} = \perp$$

$$\text{por } \text{false } \text{ true} = \text{true}$$

$$\text{por } \perp \perp = \perp$$

## Undefinability of parallel-or

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**Proposition.** *There is no closed PCF term*

$$P : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$$

*satisfying*

$$\llbracket P \rrbracket = \text{por} : \mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp) .$$

## Parallel-or test functions

NB: One may define a program  $T \in \text{PCF}(\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})) \rightarrow \text{bool}$  that tests whether its input behaves as `or` and loops otherwise.

$T = \text{fn } f : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$

if ( $f$  true  $\Omega$ )  
then if ( $f$   $\Omega$  true)  
then if ( $f$  false false)  
then else  $\Omega$   
else  $\Omega$

.... input behaves  
like `or` ...

else  $\Omega$

In particular,

$\top M \neq_{\text{bool}} \top M : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$

and we may define two versions of  $\top$ ,  
say  $\top_1$  and  $\top_2$ , that are contextually  
equivalent but for which

$$\llbracket \top_1 Y \rrbracket(\text{per}) \neq \llbracket \top_2 Y \rrbracket(\text{per})$$

by giving different outputs when the test  
succeeds.

## Parallel-or test functions

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For  $i = 1, 2$  define

$$T_i \stackrel{\text{def}}{=} \begin{aligned} & \mathbf{fn} \ f : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool}) . \\ & \mathbf{if} \ (f \ \mathbf{true} \ \Omega) \ \mathbf{then} \\ & \quad \mathbf{if} \ (f \ \Omega \ \mathbf{true}) \ \mathbf{then} \\ & \quad \quad \mathbf{if} \ (f \ \mathbf{false} \ \mathbf{false}) \ \mathbf{then} \ \Omega \ \mathbf{else} \ B_i \\ & \quad \mathbf{else} \ \Omega \\ & \mathbf{else} \ \Omega \end{aligned}$$

where  $B_1 \stackrel{\text{def}}{=} \mathbf{true}$ ,  $B_2 \stackrel{\text{def}}{=} \mathbf{false}$ ,  
and  $\Omega \stackrel{\text{def}}{=} \mathbf{fix}(\mathbf{fn} \ x : \text{bool} . \ x)$ .

## Failure of full abstraction

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**Proposition.**

$$T_1 \cong_{\text{ctx}} T_2 : (\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})) \rightarrow \text{bool}$$

$$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket \in (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)) \rightarrow \mathbb{B}_\perp$$

## PCF+por

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Expressions       $M ::= \dots \mid \text{por}(M, M)$

Typing      
$$\frac{\Gamma \vdash M_1 : \text{bool} \quad \Gamma \vdash M_2 : \text{bool}}{\Gamma \vdash \text{por}(M_1, M_2) : \text{bool}}$$

Evaluation

$$\frac{M_1 \Downarrow_{\text{bool}} \text{true} \qquad M_2 \Downarrow_{\text{bool}} \text{true}}{\text{por}(M_1, M_2) \Downarrow_{\text{bool}} \text{true} \qquad \text{por}(M_1, M_2) \Downarrow_{\text{bool}} \text{true}}$$
$$\frac{M_1 \Downarrow_{\text{bool}} \text{false} \quad M_2 \Downarrow_{\text{bool}} \text{false}}{\text{por}(M_1, M_2) \Downarrow_{\text{bool}} \text{false}}$$

## Plotkin's full abstraction result

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The denotational semantics of PCF+por is given by extending that of PCF with the clause

$$\llbracket \Gamma \vdash \mathbf{por}(M_1, M_2) \rrbracket(\rho) \stackrel{\text{def}}{=} \text{por}\left(\llbracket \Gamma \vdash M_1 \rrbracket(\rho)\right)\left(\llbracket \Gamma \vdash M_2 \rrbracket(\rho)\right)$$

*This denotational semantics is fully abstract for contextual equivalence of PCF+por terms:*

$$\Gamma \vdash M_1 \cong_{\text{ctx}} M_2 : \tau \Leftrightarrow \llbracket \Gamma \vdash M_1 \rrbracket = \llbracket \Gamma \vdash M_2 \rrbracket.$$

# Dok is not definable

• Domains not bool  $\sigma \rightarrow \tau$ .  $\sigma \times \tau$

stable

$\omega$  cpo

meets of [consistent] elements

[bounded]

are continuous

$$(\bigsqcup_i x_i) \wedge y = \bigsqcup_i (x_i \wedge y)$$

{ bounded }

Example:  $(X \Rightarrow Y)$  domain

$$f, g \in (X \Rightarrow Y)$$

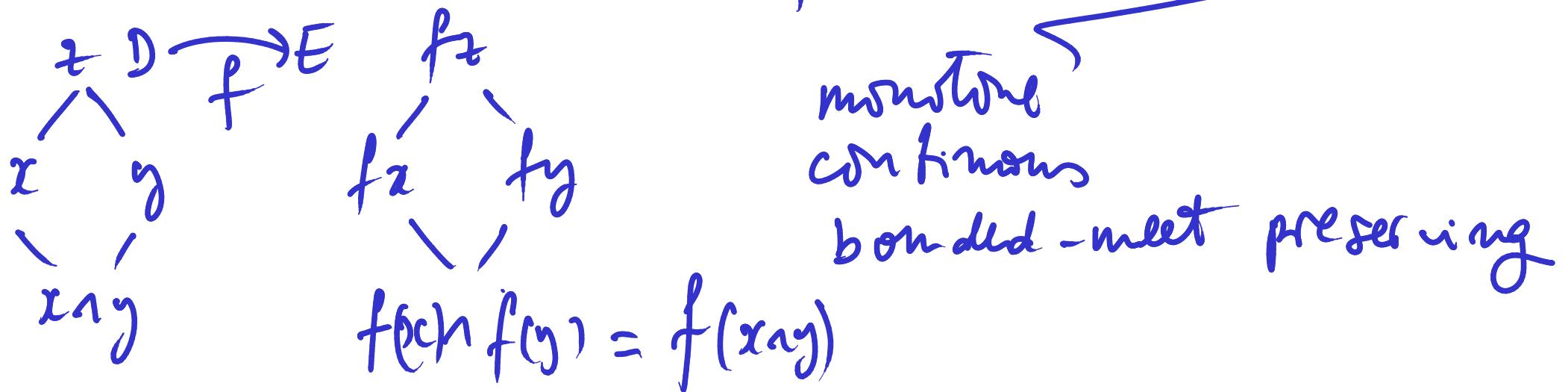
consistent iff def

$$\exists h \in (X \Rightarrow \tau).$$

bounded  
meet

$$\begin{matrix} h \\ \sqcap, \sqcup \\ f, g \\ f \sqcap g \end{matrix}$$

- $\llbracket \text{nat} \rrbracket = \mathbb{N}_1$        $\text{Thrd } Y. B_1$
- $D, E$  stable domains  $\Rightarrow D \times E$  stable domain.  
 {  
   with bounded meets  
   given pointwise.
- $D, E$  stable domains  
 $\Rightarrow$  There is a domain of stable functions.



$f, g: D \rightarrow E$  stable

$f \leq g$  iff.  $\forall d \in D \quad f(d) \leq g(d)$  in  $E$ .

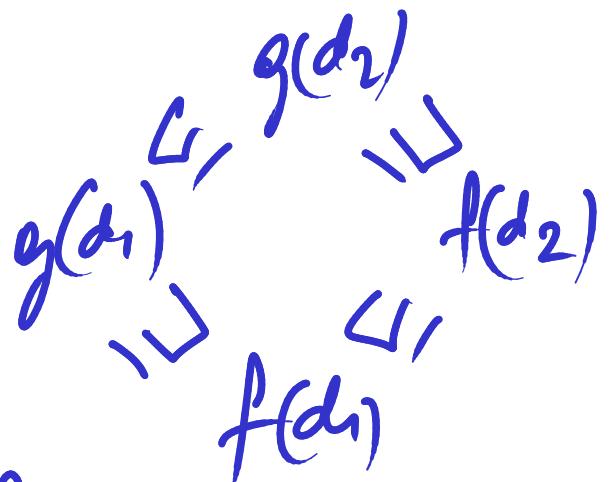


$\forall d_1, d_2 \in D.$

$d_1 \leq d_2$



$f(d_1) = g(d_1) \wedge f(d_2).$



gives a stable domain.

$$\text{eval}: (D \rightarrow E) \times D \xrightarrow[\text{stable}]{} E$$

Given

$$D \times E \xrightarrow[\text{stable}]{f} F$$

$$D \xrightarrow[\text{stable}]{\text{curry f}_1} (E \rightarrow F)$$

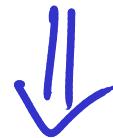
domain of stable  
functions.

$$(D \rightarrow D) \xrightarrow[\text{stable}]{\text{fix}} D$$

$$f \longmapsto \bigcup_n f^n(\perp).$$

- $\text{par} : \mathcal{B}_\perp \rightarrow \mathcal{B}_\perp \rightarrow \mathcal{B}_\perp$

is not stable!



is not PCF definable

- Still the stable model is not fully abstract.