

Lecture 8

Semantics of STLC terms in a ccc

Given a cartesian closed category \mathbf{C} ,

given any function M mapping

- ▶ ground types G to \mathbf{C} -objects $M(G)$
(which extends to a function mapping all types to objects, $A \mapsto M[[A]]$, as we have seen)

Semantics of STLC terms in a ccc

Given a cartesian closed category \mathbf{C} ,

given any function M mapping

- ▶ ground types G to \mathbf{C} -objects $M(G)$
- ▶ constants c^A to \mathbf{C} -morphisms $M(c^A) : 1 \rightarrow M[[A]]$
(In a category with a terminal object 1 , given an object $X \in \mathbf{C}$, morphisms $1 \rightarrow X$ are sometimes called **global elements** of X .)

Semantics of STLC terms in a ccc

Given a cartesian closed category \mathbf{C} ,

given any function M mapping

- ▶ ground types G to \mathbf{C} -objects $M(G)$
- ▶ constants c^A to \mathbf{C} -morphisms $M(c^A) : 1 \rightarrow M[A]$

we get a function mapping provable instances of the typing relation $\Gamma \vdash t : A$ to \mathbf{C} -morphisms

$$M[\Gamma \vdash t : A] : M[\Gamma] \rightarrow M[A]$$

defined by recursing over the proof of $\Gamma \vdash t : A$ from the typing rules (which follows the structure of t):

Semantics of STLC terms in a ccc

Variables:

$$M[\Gamma, x : A \vdash x : A] = M[\Gamma] \times M[A] \xrightarrow{\pi_2} M[A]$$

$$M[\Gamma, x' : A' \vdash x : A] =$$

$$M[\Gamma] \times M[A'] \xrightarrow{\pi_1} M[\Gamma] \xrightarrow{M[\Gamma \vdash x : A]} M[A]$$

Constants:

$$M[\Gamma \vdash c^A : A] = M[\Gamma] \xrightarrow{\langle \rangle} 1 \xrightarrow{M(c^A)} M[A]$$

Unit value:

$$M[\Gamma \vdash () : \text{unit}] = M[\Gamma] \xrightarrow{\langle \rangle} 1$$

Semantics of STLC terms in a ccc

Pairing:

$$M[\Gamma \vdash (s, t) : A \times B] = \\ M[\Gamma] \xrightarrow{\langle M[\Gamma \vdash s : A], M[\Gamma \vdash t : B] \rangle} M[A] \times M[B]$$

Projections:

$$M[\Gamma \vdash \text{fst } t : A] = \\ M[\Gamma] \xrightarrow{M[\Gamma \vdash t : A \times B]} M[A] \times M[B] \xrightarrow{\pi_1} M[A]$$

Semantics of STLC terms in a ccc

Pairing:

$$M[\Gamma \vdash (s, t) : A \times B] =$$
$$M[\Gamma] \xrightarrow{\langle M[\Gamma \vdash s : A], M[\Gamma \vdash t : B] \rangle} M[A] \times M[B]$$

Projections:

$$M[\Gamma \vdash \text{fst } t : A] =$$
$$M[\Gamma] \xrightarrow{M[\Gamma \vdash t : A \times B]} M[A] \times M[B] \xrightarrow{\pi_1} M[A]$$

Given that $\Gamma \vdash \text{fst } t : A$ holds, there is a unique type B such that $\Gamma \vdash t : A \times B$ already holds.

Lemma. If $\Gamma \vdash t : A$ and $\Gamma \vdash t : B$ are provable, then $A = B$.

Semantics of STLC terms in a ccc

Pairing:

$$M[\Gamma \vdash (s, t) : A \times B] = \\ M[\Gamma] \xrightarrow{\langle M[\Gamma \vdash s : A], M[\Gamma \vdash t : B] \rangle} M[A] \times M[B]$$

Projections:

$$M[\Gamma \vdash \text{snd } t : B] = \\ M[\Gamma] \xrightarrow{M[\Gamma \vdash t : A \times B]} M[A] \times M[B] \xrightarrow{\pi_2} M[B]$$

(As for the case of `fst`, if $\Gamma \vdash \text{snd } t : B$, then $\Gamma \vdash t : A \times B$ already holds for a unique type A .)

Semantics of STLC terms in a ccc

Function abstraction:

$$M[\Gamma \vdash \lambda x : A. t : A \rightarrow B] = \\ \text{cur } f : M[\Gamma] \rightarrow (M[A] \rightarrow M[B])$$

where

$$f = M[\Gamma, x : A \vdash t : B] : M[\Gamma] \times M[A] \rightarrow M[B]$$

Semantics of STLC terms in a ccc

Function application:

$$M[\Gamma \vdash s t : B] =$$

$$M[\Gamma] \xrightarrow{\langle f, g \rangle} (M[A] \rightarrow M[B]) \times M[A] \xrightarrow{\text{app}} M[B]$$

where

A = unique type such that $\Gamma \vdash s : A \rightarrow B$ and $\Gamma \vdash t : A$
already holds (exists because $\Gamma \vdash s t : B$ holds)

$$f = M[\Gamma \vdash s : A \rightarrow B] : M[\Gamma] \rightarrow (M[A] \rightarrow M[B])$$

$$g = M[\Gamma \vdash t : A] : M[\Gamma] \rightarrow M[A]$$

Example

Consider $t \triangleq \lambda x : A. g(f x)$ so that $\Gamma \vdash t : A \rightarrow C$ when $\Gamma \triangleq \diamond, f : A \rightarrow B, g : B \rightarrow C$.

Suppose $M[[A]] = X$, $M[[B]] = Y$ and $M[[C]] = Z$ in \mathbf{C} . Then

$$M[[\Gamma]] = (1 \times Y^X) \times Z^Y$$

$$M[[\Gamma, x : A]] = ((1 \times Y^X) \times Z^Y) \times X$$

$$M[[\Gamma, x : A \vdash x : A]] = \pi_2$$

$$M[[\Gamma, x : A \vdash g : B \rightarrow C]] = \pi_2 \circ \pi_1$$

$$M[[\Gamma, x : A \vdash f : A \rightarrow B]] = \pi_2 \circ \pi_1 \circ \pi_1$$

$$M[[\Gamma, x : A \vdash f x : B]] = \text{app} \circ \langle \pi_2 \circ \pi_1 \circ \pi_1, \pi_2 \rangle$$

$$M[[\Gamma, x : A \vdash g(f x) : C]] = \text{app} \circ \langle \pi_2 \circ \pi_1, \text{app} \circ \langle \pi_2 \circ \pi_1 \circ \pi_1, \pi_2 \rangle \rangle$$

$$M[[\Gamma \vdash t : A \rightarrow C]] = \text{cur}(\text{app} \circ \langle \pi_2 \circ \pi_1, \text{app} \circ \langle \pi_2 \circ \pi_1 \circ \pi_1, \pi_2 \rangle \rangle)$$

STLC equations

take the form $\Gamma \vdash s = t : A$ where $\Gamma \vdash s : A$ and $\Gamma \vdash t : A$ are provable.

Such an equation is **satisfied** by the semantics in a ccc if $M[\Gamma \vdash s : A]$ and $M[\Gamma \vdash t : A]$ are equal **C**-morphisms $M[\Gamma] \rightarrow M[A]$.

Qu: which equations are always satisfied in any ccc?

STLC equations

take the form $\Gamma \vdash s = t : A$ where $\Gamma \vdash s : A$ and $\Gamma \vdash t : A$ are provable.

Such an equation is **satisfied** by the semantics in a ccc if $M[\Gamma \vdash s : A]$ and $M[\Gamma \vdash t : A]$ are equal **C**-morphisms $M[\Gamma] \rightarrow M[A]$.

Qu: which equations are always satisfied in any ccc?

Ans: **$(\alpha)\beta\eta$ -equivalence** — to define this, first have to define **alpha-equivalence**, **substitution** and its semantics.

Alpha equivalence of STLC terms

The names of λ -bound variables should not affect meaning.

E.g. $\lambda f : A \rightarrow B. \lambda x : A. f x$ should have the same meaning as $\lambda x : A \rightarrow B. \lambda y : A. x y$

Alpha equivalence of STLC terms

The names of λ -bound variables should not affect meaning.

E.g. $\lambda f : A \rightarrow B. \lambda x : A. f x$ should have the same meaning as $\lambda x : A \rightarrow B. \lambda y : A. x y$

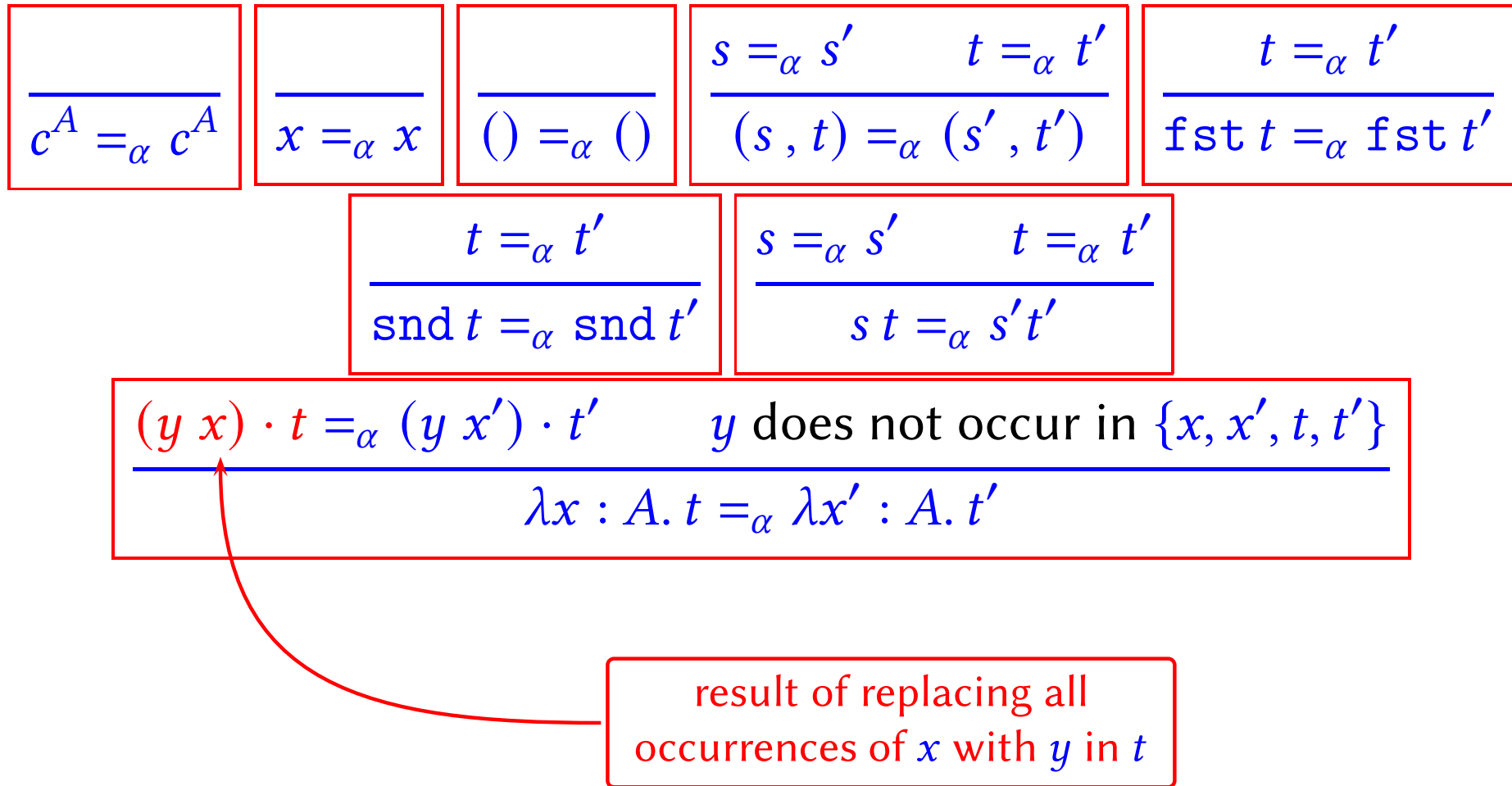
This issue is best dealt with at the level of syntax rather than semantics: from now on we re-define “STLC term” to mean not an abstract syntax tree (generated as described before), but rather an equivalence class of such trees with respect to **alpha-equivalence** $s =_{\alpha} t$, defined as follows...

(Alternatively, one can use a “nameless” (de Bruijn) representation of terms.)

Alpha equivalence of STLC terms

$\frac{}{c^A =_\alpha c^A}$	$\frac{}{x =_\alpha x}$	$\frac{}{() =_\alpha ()}$	$\frac{s =_\alpha s' \quad t =_\alpha t'}{(s, t) =_\alpha (s', t')}$	$\frac{t =_\alpha t'}{\text{fst } t =_\alpha \text{fst } t'}$
		$\frac{t =_\alpha t'}{\text{snd } t =_\alpha \text{snd } t'}$	$\frac{s =_\alpha s' \quad t =_\alpha t'}{s t =_\alpha s' t'}$	
$\frac{(y x) \cdot t =_\alpha (y x') \cdot t' \quad y \text{ does not occur in } \{x, x', t, t'\}}{\lambda x : A. t =_\alpha \lambda x' : A. t'}$				

Alpha equivalence of STLC terms



Alpha equivalence of STLC terms

$\frac{}{c^A =_\alpha c^A}$	$\frac{}{x =_\alpha x}$	$\frac{}{() =_\alpha ()}$	$\frac{s =_\alpha s' \quad t =_\alpha t'}{(s, t) =_\alpha (s', t')}$	$\frac{t =_\alpha t'}{\text{fst } t =_\alpha \text{fst } t'}$
		$\frac{t =_\alpha t'}{\text{snd } t =_\alpha \text{snd } t'}$	$\frac{s =_\alpha s' \quad t =_\alpha t'}{s t =_\alpha s' t'}$	
$\frac{(y x) \cdot t =_\alpha (y x') \cdot t' \quad y \text{ does not occur in } \{x, x', t, t'\}}{\lambda x : A. t =_\alpha \lambda x' : A. t'}$				

E.g.

$$\lambda x : A. x x =_\alpha \lambda y : A. y y \neq_\alpha \lambda x : A. x y$$

$$(\lambda y : A. y) x =_\alpha (\lambda x : A. x) x \neq_\alpha (\lambda x : A. x) y$$

Substitution

$t[s/x]$ = result of replacing all free occurrences of variable x in term t (i.e. those not occurring within the scope of a $\lambda x : A.$ binder) by the term s , alpha-converting λ -bound variables in t to avoid them “capturing” any free variables of t .

E.g. $(\lambda y : A. (y, x))[y/x]$ is $\lambda z : A. (z, y)$ and is not $\lambda y : A. (y, y)$

Substitution

$t[s/x]$ = result of replacing all free occurrences of variable x in term t (i.e. those not occurring within the scope of a $\lambda x : A.$ binder) by the term s , alpha-converting λ -bound variables in t to avoid them “capturing” any free variables of t .

E.g. $(\lambda y : A. (y, x))[y/x]$ is $\lambda z : A. (z, y)$ and is not $\lambda y : A. (y, y)$

The relation $t[s/x] = t'$ can be inductively defined by the following rules...

Substitution

$\frac{}{c^A[s/x] = c^A}$	$\frac{}{x[s/x] = s}$	$\frac{y \neq x}{y[s/x] = y}$	$\frac{}{() [s/x] = ()}$
$\frac{t_1[s/x] = t'_1 \quad t_2[s/x] = t'_2}{(t_1, t_2)[s/x] = (t'_1, t'_2)}$		$\frac{t[s/x] = t'}{(\text{fst } t)[s/x] = \text{fst } t'}$	
$\frac{t[s/x] = t'}{(\text{snd } t)[s/x] = \text{snd } t'}$	$\frac{t_1[s/x] = t'_1 \quad t_2[s/x] = t'_2}{(t_1 t_2)[s/x] = t'_1 t'_2}$		
$\frac{t[s/x] = t' \quad y \neq x \text{ and } y \text{ does not occur in } s}{(\lambda y : A. t)[s/x] = \lambda y : A. t'}$			

Semantics of substitution in a ccc

Substitution Lemma If $\Gamma \vdash s : A$ and $\Gamma, x : A \vdash t : B$ are provable, then so is $\Gamma \vdash t[s/x] : B$.

Substitution Theorem If $\Gamma \vdash s : A$ and $\Gamma, x : A \vdash t : B$ are provable, then in any ccc the following diagram commutes:

$$\begin{array}{ccc} M[\Gamma] & \xrightarrow{\langle \text{id}, M[\Gamma \vdash s : A] \rangle} & M[\Gamma] \times M[A] \\ & \searrow M[\Gamma \vdash t[s/x] : B] & \downarrow M[\Gamma, x : A \vdash t : B] \\ & & M[B] \end{array}$$