# **Information Retrieval**

# **Lecture 6: Information Extraction and Bootstrapping**

Computer Science Tripos Part II



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### Last time

- Range of problems that make named entity recognition (NE) hard
- Mikheev et al's (1998) cascading NE system
- NE is the simplest kind of IE task: no relations between entities must be determined
- NIST MUC conferences pose three kinds of harder IE tasks
- Today: more of the full task (scenario templates), and on learning

- "Flattened-out" semantic representations with lexemes directly hardwired into them
- String-based matching with type of semantic category to be found directly expressed in lexical pattern
- Problem with all string-based mechanisms: generalisation to other strings with similar semantics, and to only those
- Do generalisation by hand...
  - < Perpetrator> (APPOSITION) {blows/blew/has blown} {himself/herself} up
  - < Perpetrator> detonates
  - {blown up/detonated} by <Perpetrator>
- Manual production of patterns is time-consuming, brittle, and not portable across domains

# Learning of lexico-semantic patterns (Riloff 1993)

- UMASS participant system in MUC-4: AutoSlog
- $\bullet$  Lexico-semantic patterns for MUC-3 took 1500 person hours to build  $\rightarrow$  knowledge engineering bottleneck
- AutoSlog achieved 98% performance of manual system; AutoSlog dictionary took 5 person hours to build
- "Template mining:"
  - Use MUC training corpus (1500 texts + human answer keys; 50% non-relevant texts) to learn contexts
  - Have human check the resulting templates (30% 70% retained)

- 389 Patterns ("concept nodes") with enabling syntactic conditions, e.g. active or passive:
  - kidnap-passive: <VICTIM> expected to be subject
  - kidnap-active: < PERPETRATOR> expected to be subject
- Hard and soft constraints for fillers of slots
  - Hard constraints: selectional restrictions; soft constraints: semantic preferences
- Semantic lexicon with 5436 entries (including semantic features)

### Heuristics for supervised template mining (Riloff 1993)

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- Stylistic conventions: relationship between entity and event made explicit in first reference to the entity
- Find key word there which triggers the pattern: kidnap, shot,
- Heuristics to find these trigger words
- Given: filled template plus raw text. Algorithm:
  - Find first sentence that contains slot filler
  - Suggest good conceptual anchor point (trigger word)
  - Suggest a set of enabling conditions

"the diplomat was kidnapped" + VICTIM: the diplomat

Suggest: <SUBJECT> passive-verb + trigger=kidnap

System uses 13 "heuristics" (= syntactic patterns):

PATTERN
<subject> passive-verb</subject>
<subject> active-verb</subject>
<subject> verb infinitive</subject>
subject auxiliary <noun></noun>
passive-verb <dobj></dobj>
active-verb <dobj></dobj>
infinitive <dobj></dobj>
verb infinitive <dobj></dobj>
gerund <dobj></dobj>
noun auxiliary <dobj></dobj>
noun prep <np></np>
active-verb prep <np></np>
passive-verb prep <np></np>

### Riloff 1993: a good concept node

ID: DEV-MUC4-0657 Slot Filler: "public buildings" Sentence: IN LA OROYA, JUNIN DEPARTMENT, IN THE CENTRAL PERUVIAN MOUN-TAIN RANGE, PUBLIC BUILDINGS WERE BOMBED AND A CAR-BOMB WAS DETO-NATED.

#### CONCEPT NODE

Name:	target-subject-passive-verb-bombed
Trigger:	bombed
Variable slots:	(target (*S* 1))
Constraints:	(class phys-target *S*)
Constant slots:	(type bombing)
Enabling Conditions:	((passive))

ID: DEV-MUC4-0071 Slot Filler: "guerrillas Sentence: THE SALVADORAN GUERRILLAS ON MAR\_12\_89, TODAY, THREATENED TO MURDER INDIVIDUALS INVOLVED IN THE MAR\_19\_88 PRESIDENTIAL ELEC-TIONS IF THEY DO NOT RESIGN FROM THEIR POSTS.

#### CONCEPT NODE

Name:	perpetrator-subject-verb-infinitive-threatened-to-murder
Trigger:	murder
Variable slots:	(perpetrator (*S* 1))
Constraints:	(class perpetrator *S*)
Constant slots:	(type perpetrator)
Enabling Conditions:	((active) (trigger-preceded-by? 'to 'threatened))

### Riloff 1993: a bad concept node

ID: DEV-MUC4-1192 Slot Filler: "gilberto molasco Sentence: THEY TOOK 2-YEAR-OLD GILBERTO MOLASCO, SON OF PATRICIO RO-DRIGUEZ, AND 17-OLD ANDRES ALGUETA, SON OF EMIMESTO ARGUETA.

#### CONCEPT NODE

Name:	victim-active-verb-dobj-took
Trigger:	took
Variable slots:	(victim (*DOBJ* 1))
Constraints:	(class victim *DOBJ*)
Constant slots:	(type kidnapping)
Enabling Conditions:	((active))

System/Test Set	Recall	Prec	F-measure
MUC-4/TST3	46	56	50.5
J	43	56	48.7
MUC-4/TST4	44	40	41.9
AutoSlog/TST4	39	45	41.8

- 5 hours of sifting through AutoSlog's patterns
- Porting to new domain in less than 10 hours of human interaction
- But: creation of training corpus ignored in this calculation

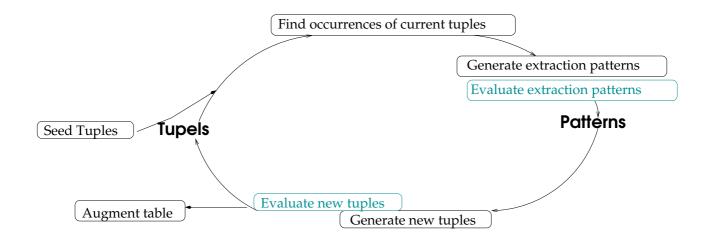
### Agichtein, Gravano (2000): Snowball

• Find locations of headquarters of a company and the corresponding company name (< *o*, *l* > tuples)

Organisation	Location of Headquarters
Microsoft	Redmond
Exxon	Irving
IBM	Armonk
Boeing	Seattle
Intel	Santa Clara

"Computer servers at Microsoft's headquarters in Redmond"

- Use minimal human interaction (handful of positive examples)
  - no manually crafted patterns
  - no large annotated corpus (IMass system at MUC-6)
- Automatically learn extraction patterns
- Less important to find every occurrence of patterns; only need to fill table with confidence



# Agichtein, Gravano (2000): Overall process

- Start from table containing some < *o*, *l* > tuples (which must exist in document collection)
- Perform NE (advantage over prior system DIPRE (Brin 98))
- $\bullet$  System searches for occurrences of the example < o, l > tuples in documents
- System learns extraction patterns from these example contexts, e.g.:

<ORGANIZATION> 's headquarters in <LOCATION> <LOCATION>-based <ORGANIZATION>

- Evaluate patterns; use best ones to find new < o, l > tuples
- Evaluate new tuples, choose most reliable ones as new seed tuples
- Iteratively repeat the process

### A SNOWBALL pattern is a 5-tuple <left,tag1,middle,tag2,right>

left	Tag1	middle	Tag2	right
The	Irving	-based	Exxon Corporation	
<{ <the, 0.2="">},</the,>	LOCATION,	{<-,0.5> <based, 0.5="">},</based,>	ORGANIZATION,	{} >

- Associate term weights as a function of frequency of term in context
- Normalize each vector so that norm is 1; then multipy with weights  $W_{left}, W_{right}, W_{mid}$ .
- Degree of match between two patterns  $t_p = \langle l_p, t_1, m_p, t_2, r_p \rangle$  and  $t_s = \langle l_s, t'_1, m_s, t'_2, r_s \rangle$ :

 $match(t_p, t_s) = l_p l_s + m_p m_s + r_p r_s$  (if tags match, 0 otherwise)

# Agichtein, Gravano (2000): Pattern generation

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- Similar contexts form a pattern
  - Cluster vectors using a clustering algorithm (minimum similarity threshold  $\tau_{\rm sim}$ )
  - Vectors represented as cluster centroids  $\bar{l_s}, \bar{m_s}, \bar{r_s}$
- Generalised Snowball pattern defined via centroids:

 $<\bar{l_s}, tag_1, \bar{m_s}, tag_2, \bar{r_s}>$ 

- Remember for each Generalised Snowball pattern
  - All contexts it came from
  - The distances of contexts from centroid

- We want productive and reliable patterns
  - productive but not reliable: < {}, ORGANIZATION, {<",", 1>}, LOCATION, {} >

"Intel, Santa Clara, announced that..."

"Invest in Microsoft, New York-based analyst Jane Smith said..."

- reliable but not productive:

 $< \{\}, ORGANIZATION, \{< whose, 0.1 >, < headquarter, 0.4 >, < is, 0.1 > < located, 0.3 >, < in, 0.09 >, < nearby, 0.01 > \}, LOCATION, \{\} >$ 

"Exxon, whose headquarter is located in nearby Irving..."

• Eliminate patterns supported by less than  $\tau_{sup} < o, l >$  tuples

### Agichtein, Gravano (2000): Pattern reliability

- If P predicts tuple t =< o, l > and there is already tuple t' =< o, l' > with high confidence, then: if l = l' → P.positive++, otherwise P.negative++ (uniqueness constraints: organization is key).
- Pattern reliability:  $Conf(P) = \frac{P.positive}{P.positive + P.negative}$  (range [0..1])
- Example:

 $P_{43} = < \{\}, ORGANIZATION, \{<'', '', 1>\}, LOCATION, \{\} > matches$ 

- 1. Exxon, Irving, said... (CORRECT: in table)
- 2. Intel, Santa Clara, cut prices (CORRECT: in table)
- invest in <u>Microsoft, New York</u>-based analyst (INCORRECT, contradicted by entry <Microsoft, Redmont>)
- 4. found at ASDA, Irving. (????, unknown, no contradiction  $\rightarrow$  disregard evidence)
- disregard unclear evidence such as 4.
- Thus,  $Conf(P_{43}) = \frac{2}{2+1}$

• Consider productivity, not just reliability:

$$Conf_{RlogF}(P) = Conf(P)log_2(P.positive)$$

• Normalized  $Conf_{RlogFNorm}(P)$ :

$$Conf_{RlogFNorm}(P) = \frac{Conf_{RlogF}(P)}{max_{i \in \mathcal{P}}Conf(i)}$$

(this brings  $Conf_{RlogFNorm}(P)$  into range [0...1])

- $max_{i \in \mathcal{P}} Conf(i)$  is the largest confidence value seen with any pattern
- $Conf_{RlogFNorm}(P)$  is a rough estimate of the probability of pattern P producing a valid tuple (called Conf(P) hereafter)

### Agichtein, Gravano (2000): Tuple evaluation I

 Confidence of a tuple T is probability that at least one valid tuple is produced:

$$Conf(T) = 1 - \prod_{i=0}^{|P|} (1 - Conf(P_i)Match(C_i, P_i))$$

 $P = \{P_i\}$  is the set of patterns that generated T $C_i$  is the context associated with an occurrence of T $Match(C_i, P_i)$  is goodness of match between  $P_i$  and  $C_i$ 

• Explanation: probability of every pattern matched incorrectly:

$$Prob(T \text{ is NOT valid}) = \prod_{i=0}^{|P|} (1 - P(i))$$

 Formula due to the assumption that for an extracted tuple T to be valid, it is sufficient that at least one pattern matched the "correct" text context of T.

• Then reset confidence of patterns:

$$Conf(P) = Conf_{new}(P)W_{updt} + Conf_{old}(P)(1 - W_{updt})$$

 $W_{updt}$  controls learning rate: does system trust old or new occurrences more? Here:  $W_{updt} = 0.5$ 

• Throw away tuples with confidence  $< \tau_t$ 

### Agichtein, Gravano (2000): Results

Conf	middle	right
1	<based, .53="">, <in, .53=""></in,></based,>	<"," ,.01>
.69	<""", .42>, <s, .42="">,<headquarters, .42="">,<in,.42></in,.42></headquarters,></s,>	
.61	<(,.93>	<),.12>

- Use training corpus to set parameters:  $\tau_{sim}$ ,  $\tau_t$ ,  $\tau_{sup}$ ,  $I_{max}$ ,  $W_{left}$ ,  $W_{right}$ ,  $W_{middle}$
- Only input: 5 < o, l > tuples
- Punctuation matters: performance decreases when punctuation is removed
- Recall b/w .78 and .87 ( $\tau_{sup} > 5$ ); precision .90 ( $\tau_{sup} > >$  4)
- High precision possible (.96 with  $\tau_t$  = .8); remaining problems come from NE recognition
- Pattern evaluation step responsible for most improvement over DIPRE

- Possible to learn simple relations from positive examples (Snowball)
- Possible to learn more diverse relations from annotated training corpus (Riloff)
- Even modest performance can be useful
  - Later manual verification
  - In circumstances where there would be no time to review source documents, so incomplete extracted information is better than none

# Summary: IE Performance

Current methods perform well if

- Information to be extracted is expressed directly (no complex inference is required)
- Information is predominantly expressed in a relatively small number of forms
- Information is expressed locally within the text

Difference between IE and QA (next time):

• IE is domain dependent, open-domain QA is not

- Ellen Riloff, Automatically constructing a dictionary for information extraction tasks. In Proc. 11th Ann. Conference of Artificial Intelligence, p 811-816, 1993
- Eugene Agichtein, Luis Gravano: Snowball: Extracting Relations from Large Plain-Text Collections, Proceedings of the Fifth ACM International Conference on Digital Libraries, 2000