

Topics in Concurrency

Lecture 9

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The NSL protocol in SPL

The initiator initiator of the protocol is parameterized by the identity of the initiator and their intended participant:

$$\begin{aligned} \textit{Init}(A, B) \quad \equiv \quad & \text{out new } x \{x, A\}_{\textit{Pub}(B)}. \\ & \text{in } \{x, y, B\}_{\textit{Pub}(A)}. \\ & \text{out } \{y\}_{\textit{Pub}(B)} \end{aligned}$$

The responder:

$$\begin{aligned} \textit{Resp}(B) \quad \equiv \quad & \text{in } \{x, Z\}_{\textit{Pub}(B)}. \\ & \text{out new } y \{x, y, B\}_{\textit{Pub}(Z)}. \\ & \text{in } \{y\}_{\textit{Pub}(B)} \end{aligned}$$

Dolev-Yao assumptions

We can program various forms of attacker process. Viewing messages as **persisting** once output to the network, they output new messages built from existing ones.

$$Spy_1 \equiv \text{in } \psi_1.\text{in } \psi_2.\text{out } (\psi_1, \psi_2)$$

$$Spy_2 \equiv \text{in } (\psi_1, \psi_2).\text{out } \psi_1.\text{out } \psi_2$$

$$Spy_3 \equiv \text{in } X.\text{in } \psi.\text{out } \{\psi\}_{Pub(X)}$$

$$Spy_4 \equiv \text{in } Priv(X).\text{in } \{\psi\}_{Pub(X)}.\text{out } \psi$$

$$Spy \equiv \parallel_{i \in \{1,2,3,4\}} Spy_i$$

The NSL system [p91]

We reason about concurrent runs of the protocol in parallel with ω -copies of the attacker.

$$\begin{aligned}P_{spy} &\equiv !Spy \\P_{init} &\equiv \parallel_{A,B \in \mathbf{Agents}} !Init(A, B) \\P_{resp} &\equiv \parallel_{A \in \mathbf{Agents}} !Resp(A)\end{aligned}$$

Messages from one run of the protocol can be used by the attacker against another run of the protocol.

$$NSL \equiv \parallel_{i \in \{resp, init, spy\}} P_i$$

Operational semantics [p92]

- A **configuration** is a tuple

$$\langle p, s, t \rangle$$

- p is a **closed** process term
- s is a finite subset of names: **the names already in use**
- t is a subset of closed messages: **the messages that have been output to the network**
- **Proper** configurations:
 - 1 $\text{names}(p) \subseteq s$
 - 2 $A \in s$ for every agent identifier A
 - 3 $\bigcup \{\text{names}(M) \mid M \in t\} \subseteq s$
- Transitions are labelled with **actions**

$$\alpha ::= \text{out new } \vec{n} M \mid \text{in } M \mid i : \alpha$$

Operational semantics [p92]

- **Output:** if \vec{n} all distinct and not in s

$$\langle \text{out new } \vec{x} \ M.p, s, t \rangle \xrightarrow{\text{out new } \vec{n} \ M[\vec{n}/\vec{x}]} \langle p[\vec{n}/\vec{x}], s \cup \{\vec{n}\}, t \cup \{M[\vec{n}/\vec{x}]\} \rangle$$

- **Input:** if $M[\vec{n}/\vec{x}][\vec{N}/\vec{\psi}] \in t$

$$\langle \text{in pat } \vec{x}, \vec{\psi} \ M.p, s, t \rangle \xrightarrow{\text{in } M[\vec{n}/\vec{x}][\vec{N}/\vec{\psi}]} \langle p[\vec{n}/\vec{x}][\vec{N}/\vec{\psi}], s, t \rangle$$

- **Parallel:**

$$\frac{\langle p_j, s, t \rangle \xrightarrow{\alpha} \langle p'_j, s', t' \rangle \quad j \in I}{\langle \parallel_{i \in I} p_i, s, t \rangle \xrightarrow{j:\alpha} \langle \parallel_{i \in I} p'_i, s', t' \rangle}$$

where $p'_j = p_i$ for $j \neq i$

Reasoning from the transition semantics

Secrecy of the responder's nonce:

Suppose $Priv(A)$ and $Priv(B)$ do not occur as the contents of any message in t_0 . For all runs

$$\langle NSL, s_0, t_0 \rangle \xrightarrow{\alpha_1} \dots \langle p_{r-1}, s_{r-1}, t_{r-1} \rangle \xrightarrow{\alpha_r} \dots$$

where $\langle NSL, s_0, t_0 \rangle$ is proper, if α_r has the form
 $resp : B : j : out\ new\ n\ \{m, n, B\}_{Pub(A)}$, then $n \notin t_l$ for any $l \in \omega$.

Proof idea: strengthen hypothesis, prove by induction / assume earliest violation.

The model obscures the key reasoning technique: that a violation must be by an event that causally depends (either through input/output or control) on an earlier event that violates the invariant.

\rightsquigarrow a Petri net semantics for SPL

Petri net semantics of SPL [p93]

A net with persistent conditions representing all of SPL (not just particular processes at first).

Conditions viewed as being: **control**, **network** and **name**

- **Control** conditions form a set **C** of capacity-1 conditions

$$b ::= \text{out new } \vec{x} \ M.p \mid \text{in pat } \vec{x}, \vec{\psi} \ M.p \mid i : b$$

the control state of each thread

- **Network** conditions: form a set **O** of persistent conditions

$$\mathbf{O} = \{\text{closed messages}\}$$

the messages already output

- **Name** conditions: form a set **S** of capacity-1 conditions

$$\mathbf{S} = \mathbf{Names}$$

the names in use

Control conditions [p93]

For a process p , the subset of control conditions

$$lc(p)$$

is called its **initial conditions**.

$$lc(\text{out new } \vec{x} M.p) = \{\text{out new } \vec{x} M.p\}$$

$$lc(\text{in pat } \vec{x}, \vec{\psi} M.p) = \{\text{in pat } \vec{x}, \vec{\psi} M.p\}$$

$$lc(\parallel_{i \in I} p_i) = \bigcup_{i \in I} i : lc(p)$$

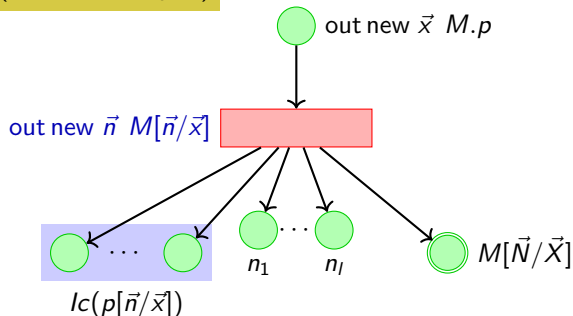
where $i : C = \{i : b \mid b \in C\}$ for $C \subseteq \mathbf{C}$.

The events of SPL: output [p94]

The set **Events** includes:

if $\text{out new } \vec{x} M.p$ is a closed term and $\vec{n} = n_1, \dots, n_l$ are distinct names to match $\vec{x} = x_1, \dots, x_l$

Out($\text{out new } \vec{x} M.p; \vec{n}$)



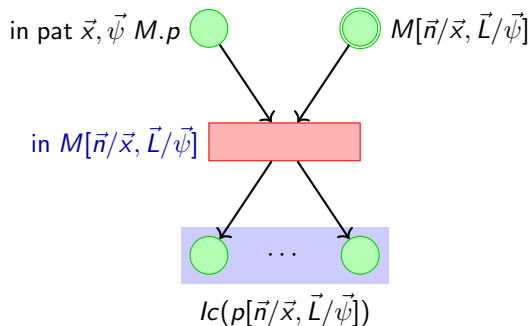
Events are labelled with an action.

The events of SPL: input [p95]

The set **Events** includes:

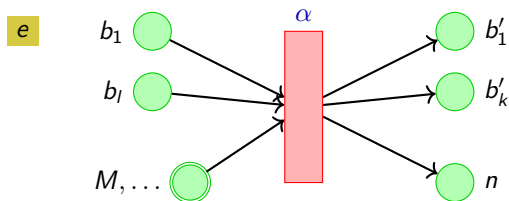
if $\text{in pat } \vec{x}, \vec{\psi} M.p$ is a closed term and $\vec{n} = n_1, \dots, n_l$ are names to match $\vec{x} = x_1, \dots, x_l$ and $\vec{L} = L_1, \dots, L_k$ are messages to match $\vec{\psi} = \psi_1, \dots, \psi_k$

In($\text{in pat } \vec{x}, \vec{\psi} M.p; \vec{n}, \vec{L}$)

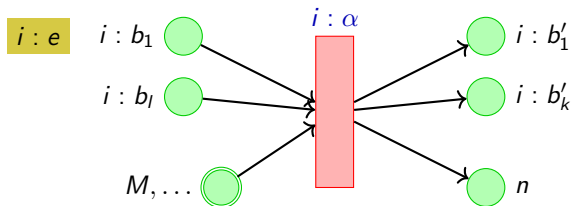


The events of SPL: tags [p95]

If e.g. there is an event



then there is an event



Induction on size [p91]

A well-founded relation representing the **size** of terms:

- $p[\vec{n}/\vec{x}] \prec \text{out new } \vec{x} M.p$ for any substitution \vec{n}/\vec{x}
- $p[\vec{n}/\vec{x}][\vec{L}/\vec{\psi}] \prec \text{in pat } \vec{x}, \vec{\psi} M.p$ for any substitution of names \vec{n}/\vec{x} and closed messages $\vec{L}/\vec{\psi}$
- $p_j \prec \parallel_{i \in I} p_i$ for any $j \in I$

Proposition

The relation \prec is well-founded.

Reason: if $p \prec q$ then p has fewer instances of \parallel and prefixing . .

Correspondence [p95]

Let $act(e)$ be the action label on any event.

Theorem

① If

$$\langle p, s, t \rangle \xrightarrow{\alpha} \langle p', s', t' \rangle$$

then

$$lc(p) \cup s \cup t \xrightarrow{e} lc(p') \cup s' \cup t'$$

for some event e such that $act(e) = \alpha$

② If

$$lc(p) \cup s \cup t \xrightarrow{e} \mathcal{M}'$$

then there exists a closed process p' and sets $s' \subseteq \mathbf{S}$ and $t' \subseteq \mathbf{O}$ such that

$$\langle p, s, t \rangle \xrightarrow{act(e)} \langle p', s', t' \rangle$$

and $\mathcal{M}' = lc(p') \cup s' \cup t'$.

Proof: induction (on size, though structural induction works here)

- We now write $\langle p, s, t \rangle \xrightarrow{e} \langle p', s', t' \rangle$ to mean $lc(p) \cup s \cup t \xrightarrow{e} lc(p') \cup s' \cup t'$
- We also implicitly assume that the initial marking is **proper**, from which it follows that every marking encountered will be proper (Lemma 7.8)

Elementary properties [p103]

Proposition (Well-foundedness)

Given a property \mathcal{P} on configurations, if a run

$$\langle p_0, s_0, t_0 \rangle \xrightarrow{e_1} \dots \xrightarrow{e_r} \langle p_r, s_r, t_r \rangle \xrightarrow{e_{r+1}} \dots$$

contains configurations satisfying $\mathcal{P}(p_0, s_0, t_0)$ and $\neg\mathcal{P}(p_r, s_r, t_r)$ then there is an event e_h for $0 < h \leq r$ such that $\neg\mathcal{P}(p_h, s_h, t_h)$ and $\mathcal{P}(p_i, s_i, t_i)$ for all $i < h$.

$$\langle p_0, s_0, t_0 \rangle \xrightarrow{e_1} \langle p_1, s_1, t_1 \rangle \xrightarrow{e_2} \dots \langle p_{h-1}, s_{h-1}, t_{h-1} \rangle \xrightarrow{e_h} \langle p_h, s_h, t_h \rangle$$

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$\mathcal{P}X$

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$\mathcal{P}\checkmark$ $\mathcal{P}X$

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$\mathcal{P}\checkmark$ $\mathcal{P}\checkmark$ $\mathcal{P}X$

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$$\begin{array}{ccccccc} \langle p_0, s_0, t_0 \rangle & \xrightarrow{e_1} & \langle p_1, s_1, t_1 \rangle & \xrightarrow{e_2} \dots & \langle p_{h-1}, s_{h-1}, t_{h-1} \rangle & \xrightarrow{e_h} & \langle p_h, s_h, t_h \rangle \\ \mathcal{P}\checkmark & & \mathcal{P}\checkmark & & \mathcal{P}\checkmark & & \text{earliest} \\ & & & & & & \mathcal{P}X \end{array}$$
$$\xrightarrow{e_{h+1}} \dots \langle p_r, s_r, t_r \rangle$$

$\mathcal{P}X$

Elementary properties [p103]

Write $Fresh(n_i, e)$ if e is an event that generates the new name n_i . That is, if $act(e) = out\ new\ \vec{n}\ M$ and n_i is in \vec{n} .

Proposition (Freshness)

Within a run

$$\langle p_0, s_0, t_0 \rangle \xrightarrow{e_1} \dots \xrightarrow{e_r} \langle p_r, s_r, t_r \rangle \xrightarrow{e_{r+1}} \dots$$

the following properties hold:

- 1 if $n \in s_i$ then either $n \in s_0$ or there is a previous event e_j such that $Fresh(n, e_j)$
- 2 For any name n , there is at most one event e_j such that $Fresh(n, e_j)$
- 3 If $Fresh(n, e_i)$ then for all $j < i$ the name n does not appear in $\langle p_j, s_j, t_j \rangle$.

Proposition (Control precedence)

Within a run

$$\langle p_0, s_0, t_0 \rangle \xrightarrow{e_1} \dots \xrightarrow{e_r} \langle p_r, s_r, t_r \rangle \xrightarrow{e_{r+1}} \dots$$

if $b \in {}^c e_i$ then either $b \in Ic(p_0)$ or there is an earlier event e_j with $j < i$ such that $b \in e_j^c$.

Proposition (Output-input precedence)

Within a run

$$\langle p_0, s_0, t_0 \rangle \xrightarrow{e_1} \dots \xrightarrow{e_r} \langle p_r, s_r, t_r \rangle \xrightarrow{e_{r+1}} \dots$$

if $M \in {}^o e_i$ then either $M \in t_o$ or there is an earlier event e_j with $j < i$ such that $M \in e_j^o$.

The events of processes [p98/99]

- The net constructed represents the behaviour of all possible processes.
- Given a particular process term p , can restrict to events that might occur if the initial marking of control conditions is $lc(p)$:

$$Ev(\text{out new } \vec{x} M.p) = \{\mathbf{Out}(\text{out new } \vec{x} M.p; \vec{n}) \mid \vec{n} \text{ distinct names}\} \\ \cup \bigcup \{Ev(p[\vec{n}/\vec{x}]) \mid \vec{n} \text{ distinct names}\}$$

$$Ev(\text{in pat } \vec{x}, \vec{\psi} M.p) = \{\mathbf{In}(\text{in pat } \vec{x}, \vec{\psi} M.p; \vec{n}, \vec{L}) \mid \vec{n} \text{ names } L \text{ distinct}\} \\ \cup \bigcup \{Ev(p[\vec{n}/\vec{x}][\vec{L}/\vec{\psi}]) \mid \vec{n} \text{ names}\}$$

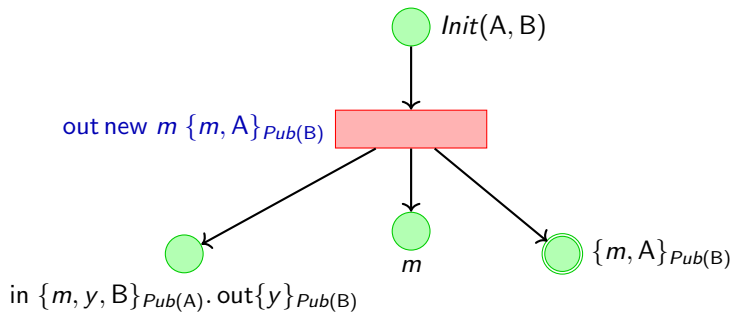
$$Ev\left(\prod_{i \in I} p_i\right) = \bigcup \{i : e \mid i \in I \ \& \ e \in Ev(p_i)\}$$

- Useful in proving invariance properties, by analysing the form of event possible in the net for a given process term.

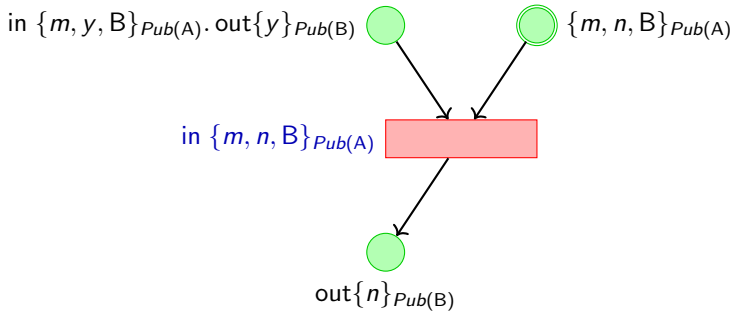
The events of NSL [p100]: Initiator events

(Omitting tags!)

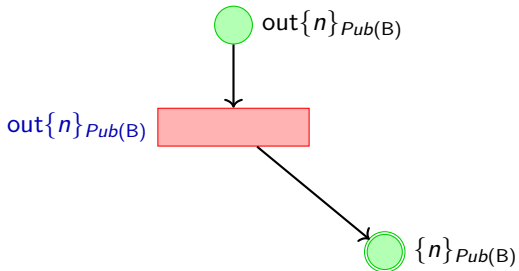
Out(*Init*(A, B); *m*)



In(in $\{m, y, B\}_{Pub(A)}$ · out $\{y\}_{Pub(B)}$)

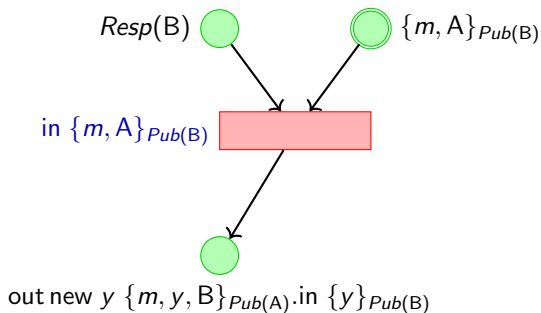


Out($\text{out}\{n\}_{Pub(B)}$)

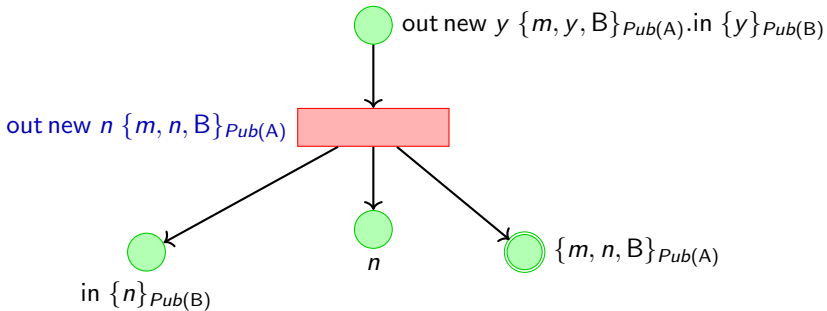


The events of NSL [p101]: Responder events

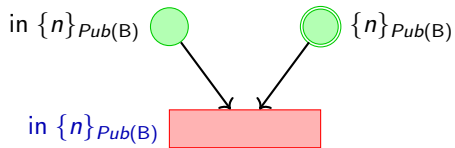
In($Resp(B); m, A$)



Out(out new $y \{m, y, B\}_{Pub(A)}.in \{y\}_{Pub(B)}; n$)

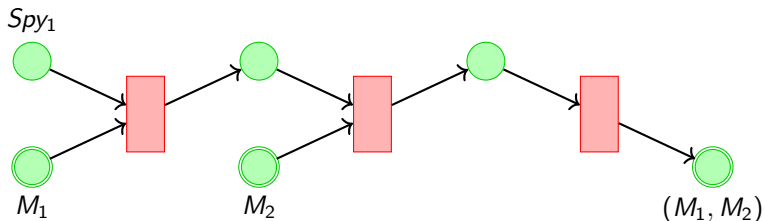


$\ln(\text{in } \{n\}_{Pub(B)})$

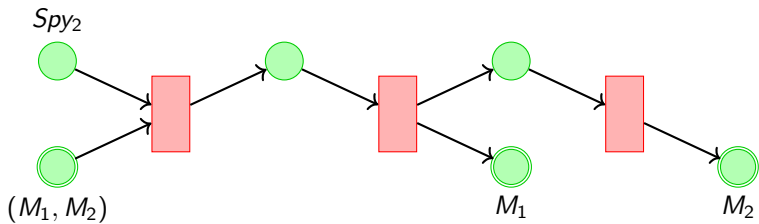


The events of NSL [p101]: Attacker events

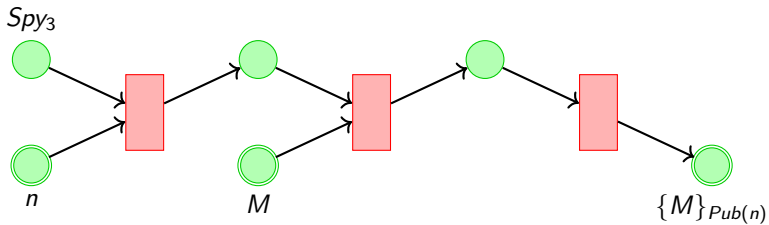
$Spy_1 \equiv in \psi_1.in \psi_2.out (\psi_1, \psi_2)$



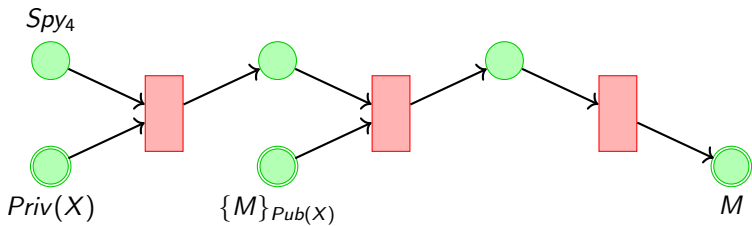
$Spy_2 \equiv \text{in } (\psi_1, \psi_2). \text{out } \psi_1. \text{out } \psi_2$



$Spy_3 \equiv \text{in } X.\text{in } \psi.\text{out } \{\psi\}_{Pub(X)}$



$Spy_4 \equiv \text{in } Priv(X).\text{in } \{\psi\}_{Pub(X)}.\text{out } \psi$



Secrecy of private keys [p103]

The **submessage relation** is the least transitive relation on messages such that

$$M \sqsubset M$$

$$M \sqsubset N \implies M \sqsubset (N, N') \ \& \ M \sqsubset (N', N)$$

$$M \sqsubset N \implies M \sqsubset \{N\}_k$$

Write $M \sqsubset t$ iff $\exists N \in t. M \sqsubset N$.

Lemma

Consider a run

$$\langle \mathbf{NSL}, s_0, t_0 \rangle \xrightarrow{e_1} \dots \xrightarrow{e_r} \langle p_r, s_r, t_r \rangle \xrightarrow{e_{r+1}} \dots$$

and agent A_0 . If $\text{Priv}(A_0) \not\sqsubset t_0$ then $\text{Priv}(A_0) \not\sqsubset t_l$ for any stage l .

Theorem

Consider a run

$$\langle \text{NSL}, s_0, t_0 \rangle \xrightarrow{e_1} \dots \xrightarrow{e_r} \langle p_r, s_r, t_r \rangle \xrightarrow{e_{r+1}} \dots .$$

Suppose there is e_r with

$$\text{act}(e_r) = \text{resp} : B_0 : j_0 : \text{out new } n_0 \{m_0, n_0, B_0\}_{\text{Pub}(A_0)}$$

where j_0 is an index. If $\text{Priv}(A_0) \not\subseteq t_0$ and $\text{Priv}(B_0) \not\subseteq t_0$ then at all stages $n_0 \notin t_l$.

Prove a stronger invariant: For any stage l

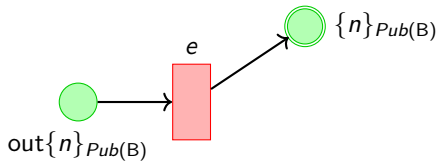
for all messages $M \in t_l$, if $n_0 \sqsubset M$ then either $\{m_0, n_0, B_0\}_{Pub(A_0)} \sqsubset M$ or $\{n_0\}_{Pub(B_0)} \sqsubset M$.

Prove a stronger invariant: For any stage l

for all messages $M \in t_l$, if $n_0 \sqsubset M$ then either $\{m_0, n_0, B_0\}_{Pub(A_0)} \sqsubset M$ or $\{n_0\}_{Pub(B_0)} \sqsubset M$.

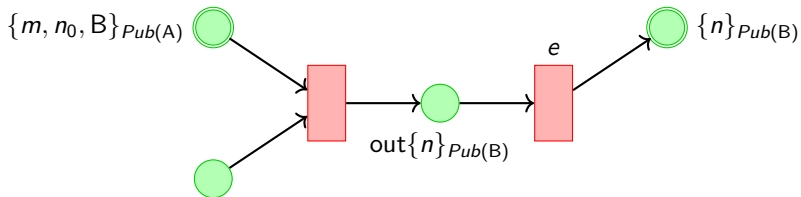
- We have $Fresh(e_r, n)$ and therefore, by freshness, the initial configuration satisfies the invariant
- Suppose for contradiction that there is a configuration that violates the invariant. By well-foundedness, there is an earliest such configuration
- Consider the event e that causes the violation: $\exists M \in e^\bullet$ satisfying $n_0 \sqsubset M$ but neither $\{m_0, n_0, B_0\}_{Pub(A_0)} \sqsubset M$ nor $\{n_0\}_{Pub(B_0)}$
- e must be the **earliest** event with such a postcondition
- Consider the possible forms of e in *NSL*: cannot be indexed input

Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{out}\{n\}_{\text{Pub}(B)})$ for some index i and pair of agents A, B .



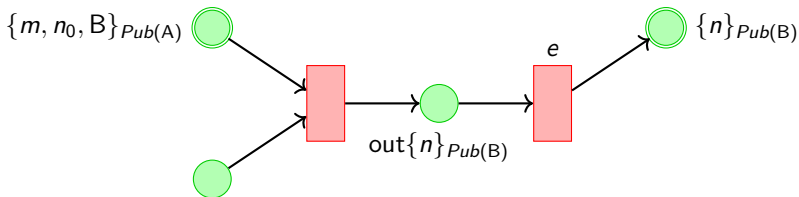
Event violates invariant, so $n = n_0$ and $B \neq B_0$

Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{out}\{n\}_{\text{Pub}(B)})$ for some index i and pair of agents A, B .



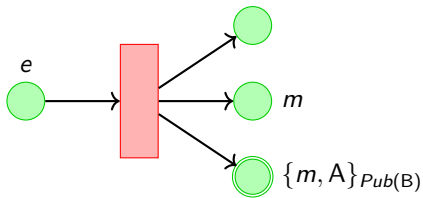
By control precedence, there is an earlier event in the run that marks its pre-control condition which must be of the form shown.

Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{out}\{n\}_{Pub(B)})$ for some index i and pair of agents A, B .

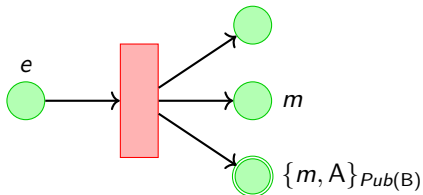


By output-input precedence, there is an earlier event that marks the condition $\{m, n_0, B\}_{Pub(A)}$. Since $B \neq B_0$, this also violates the invariant, contradicting e being the earliest event in the run to do so.

Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{Init}(A, B); m)$ for some index i and pair of agents A, B and name m .

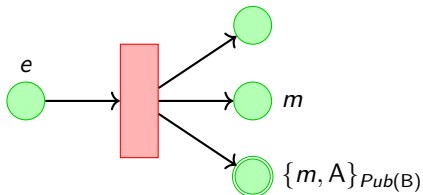


Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{Init}(A, B); m)$ for some index i and pair of agents A, B and name m .



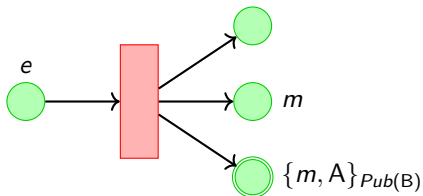
e violates the invariant, so either $m = n_0$ or $A = n_0$.

Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{Init}(A, B); m)$ for some index i and pair of agents A, B and name m .



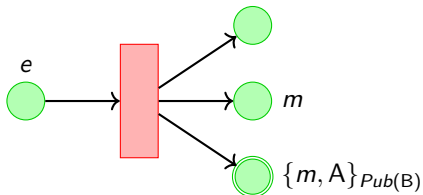
Suppose $m = n_0$. $e \neq e_r$ since e is an initiator event and e_r is a responder event. $Fresh(n_0, e)$ and $Fresh(n_0, e_r)$, contradicting the freshness lemma.

Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{Init}(A, B); m)$ for some index i and pair of agents A, B and name m .



Suppose $A = n_0$. Then n_0 is an agent identifier and therefore $n_0 \in s_0$, again contradicting freshness.

Case: $e = \text{init} : (A, B) : i : \mathbf{Out}(\text{Init}(A, B); m)$ for some index i and pair of agents A, B and name m .



+ other cases for the responder and attacker processes

Authentication for the responder

Theorem

Consider a run

$$\langle \text{NSL}, s_0, t_0 \rangle \xrightarrow{e_1} \dots \xrightarrow{e_r} \langle p_r, s_r, t_r \rangle \xrightarrow{e_{r+1}} \dots$$

If it contains events b_1, b_2 and b_3 with

$$\text{act}(b_1) = \text{resp} : B_0 : i : \text{in} \{m_0, A_0\}_{\text{Pub}(B_0)}$$

$$\text{act}(b_2) = \text{resp} : B_0 : i : \text{out new } n_0 \{m_0, n_0, B_0\}_{\text{Pub}(A_0)}$$

$$\text{act}(b_3) = \text{resp} : B_0 : i : \text{in} \{n_0\}_{\text{Pub}(B_0)}$$

and $\text{Priv}(A_0) \not\subseteq t_0$ then the run contains events a_1, a_2, a_3 with $a_3 \longrightarrow b_3$ where, for some index j

$$\text{act}(a_1) = \text{init} : (A_0, B_0) : j : \text{out new } m_0 \{m_0, A_0\}_{\text{Pub}(B_0)}$$

$$\text{act}(a_2) = \text{init} : (A_0, B_0) : j : \text{in} \{m_0, n_0, B_0\}_{\text{Pub}(A_0)}$$

$$\text{act}(a_3) = \text{init} : (A_0, B_0) : j : \text{out} \{n_0\}_{\text{Pub}(B_0)}$$

Authentication: proof

b_1

b_2

b_3

Draw $e \longrightarrow e'$ if e precedes e' in the run

Authentication: proof

$$b_1 \longrightarrow b_2 \longrightarrow b_3$$

Control precedence

Authentication: proof

$$b_1 \longrightarrow b_2 \longrightarrow b_3$$

The invariant

$$Q(p, s, t) \iff \forall M \in t : n_0 \sqsubset M \implies \{m_0, n_0, B_0\}_{Pub(A_0)} \sqsubset M$$

- must be violated in the configuration immediately before b_3
- must hold in the configuration immediately after and all configurations before b_2 , by freshness

Authentication: proof

$$b_1 \longrightarrow b_2 \longrightarrow b_3$$

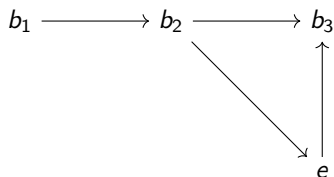
e

The invariant

$$Q(p, s, t) \iff \forall M \in t : n_0 \sqsubset M \implies \{m_0, n_0, B_0\}_{Pub(A_0)} \sqsubset M$$

- must be violated in the configuration immediately before b_3
- must hold in the configuration immediately after and all configurations before b_2 , by freshness
- so there exists an earliest event e that breaks the invariant

Authentication: proof

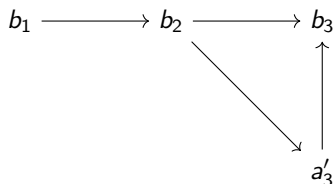


The invariant

$$Q(p, s, t) \iff \forall M \in t : n_0 \sqsubset M \implies \{m_0, n_0, B_0\}_{Pub(A_0)} \sqsubset M$$

- must be violated in the configuration immediately before b_3
- must hold in the configuration immediately after and all configurations before b_2 , by freshness
- so there exists an earliest event e that breaks the invariant

Authentication: proof



The only kind of event that can break the invariant

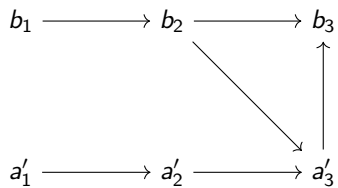
$$Q(p, s, t) \iff \forall M \in t : n_0 \sqsubset M \implies \{m_0, n_0, B_0\}_{Priv(A_0)} \sqsubset M$$

is an initiator event

$$act(a'_3) = init : (A, B_0) : j : out\{n_0\}_{Pub(B_0)}$$

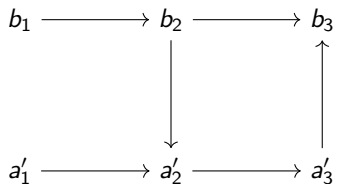
using secrecy of $Priv(A_0)$

Authentication: proof



Control precedence

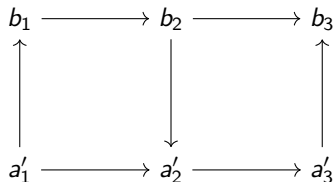
Authentication: proof



$$Q(p, s, t) \iff \forall M \in t : n_0 \sqsubset M \implies \{m_0, n_0, B_0\}_{Priv(A_0)} \sqsubset M$$

Q holds immediately before a'_2 , so $A = A_0$ and $m = m_0$

Authentication: proof



Taking $a_1 = a'_1$, $a_2 = a'_2$ and $a_3 = a'_3$ we have

$$\text{act}(a_1) = \text{init} : A_0 : i : \text{out new } m_0 \{m_0, A_0\}_{\text{Pub}(B_0)}$$

$$\text{act}(a_2) = \text{init} : A_0 : i : \text{in} \{m_0, n_0, B_0\}_{\text{Pub}(A_0)}$$

$$\text{act}(a_3) = \text{init} : A_0 : i : \text{out} \{n_0\}_{\text{Pub}(B_0)}$$