

# Lecture 15

# Presheaf categories

Let  $\mathbf{C}$  be a small category. The functor category  $\mathbf{Set}^{\mathbf{C}^{\text{op}}}$  is called the category of presheaves on  $\mathbf{C}$ .

- ▶ objects are contravariant functors from  $\mathbf{C}$  to  $\mathbf{Set}$
- ▶ morphisms are natural transformations

Much used in the semantics of various dependently-typed languages and logics.

# Yoneda functor

$$y : \mathbf{C} \rightarrow \mathbf{Set}^{\mathbf{C}^{\text{op}}}$$

(where  $\mathbf{C}$  is a small category)

is the Curried version of the hom functor

$$\mathbf{C} \times \mathbf{C}^{\text{op}} \cong \mathbf{C}^{\text{op}} \times \mathbf{C} \xrightarrow{\text{Hom}_{\mathbf{C}}} \mathbf{Set}$$

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- ▶ For each  $\mathbf{C}$ -object  $X$ , the object  $yX \in \mathbf{Set}^{\mathbf{C}^{\text{op}}}$  is the functor  $\mathbf{C}(\_, X) : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Set}$  given by

$$\begin{array}{ccc} Z & \mapsto & \mathbf{C}(Z, X) \\ \downarrow f & \mapsto & \uparrow \\ Y & \mapsto & \mathbf{C}(Y, X) \end{array} \quad \begin{array}{c} g \circ f \\ \uparrow \\ g \end{array}$$

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this function is often written as  $f^*$

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$$\begin{array}{ccc} yY(Z) & \xrightarrow{(yf)_Z} & yX(Z) \\ \parallel & & \parallel \\ \mathbf{C}(Z, Y) & & \mathbf{C}(Z, X) \end{array}$$

$$g \longmapsto f \circ g$$

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this function is often  
written as  $f_*$

# The Yoneda Lemma

For each small category  $\mathbf{C}$ , each object  $\mathbf{X} \in \mathbf{C}$  and each presheaf  $\mathbf{F} \in \mathbf{Set}^{\mathbf{C}^{\text{op}}}$ , there is a bijection of sets

$$\eta_{X,F} : \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, \mathbf{F}) \cong \mathbf{F}(X)$$

which is natural in both  $\mathbf{X}$  and  $\mathbf{F}$ .

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the value of  
 $\mathbf{F} : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Set}$   
at  $\mathbf{X}$

the set of natural transformations from  
the functor  $\mathbf{y}X : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Set}$   
to the functor  $\mathbf{F} : \mathbf{C}^{\text{op}} \rightarrow \mathbf{Set}$

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**Definition of the function**  $\eta_{X,F} : \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, \mathbf{F}) \rightarrow \mathbf{F}(X)$ :

for each  $\theta : \mathbf{y}X \rightarrow \mathbf{F}$  in  $\mathbf{Set}^{\mathbf{C}^{\text{op}}}$  we have the function

$\mathbf{C}(X, X) = \mathbf{y}X(X) \xrightarrow{\theta_X} \mathbf{F}(X)$  and define

$$\boxed{\eta_{X,F}(\theta) \triangleq \theta_X(\text{id}_X)}$$

# The Yoneda Lemma

For each small category  $\mathbf{C}$ , each object  $X \in \mathbf{C}$  and each presheaf  $F \in \mathbf{Set}^{\mathbf{C}^{\text{op}}}$ , there is a bijection of sets

$$\eta_{X,F} : \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, F) \cong F(X)$$

which is natural in both  $X$  and  $F$ .

**Definition of the function**  $\eta_{X,F}^{-1} : F(X) \rightarrow \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, F)$ :

for each  $x \in F(X)$ ,  $Y \in \mathbf{C}$  and  $f \in \mathbf{y}X(Y) = \mathbf{C}(Y, X)$ ,

we get a  $F(X) \xrightarrow{F(f)} F(Y)$  in  $\mathbf{Set}$  and hence  $F(f)(x) \in F(Y)$ ;

# The Yoneda Lemma

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Define  $(\eta_{X,F}^{-1}(x))_Y : \mathbf{y}X(Y) \rightarrow F(Y)$  by

$$(\eta_{X,F}^{-1}(x))_Y(f) \triangleq F(f)(x)$$

check this gives a natural transformation  
 $\eta_{X,F}^{-1}(x) : \mathbf{y}X \rightarrow F$

## Proof of $\eta_{X,F} \circ \eta_{X,F}^{-1} = \text{id}_{F(X)}$

For any  $x \in F(X)$  we have

$$\begin{aligned}\eta_{X,F} \left( \eta_{X,F}^{-1}(x) \right) &\triangleq \left( \eta_{X,F}^{-1}(x) \right)_X (\text{id}_X) && \text{by definition of } \eta_{X,F} \\ &\triangleq F(\text{id}_X)(x) && \text{by definition of } \eta_{X,F}^{-1} \\ &= \text{id}_{F(X)}(x) && \text{since } F \text{ is a functor} \\ &= x\end{aligned}$$

## Proof of

$$\eta_{X,F}^{-1} \circ \eta_{X,F} = \text{id}_{\mathbf{Set}^{\mathbf{C}^{\text{op}}}(yX, F)}$$

For any  $yX \xrightarrow{\theta} F$  in  $\mathbf{Set}^{\mathbf{C}^{\text{op}}}$  and  $Y \xrightarrow{f} X$  in  $\mathbf{C}$ , we have

$$\begin{aligned}
 \left( \eta_{X,F}^{-1} (\eta_{X,F}(\theta)) \right)_Y f &\triangleq \left( \eta_{X,F}^{-1} (\theta_X(\text{id}_X)) \right)_Y f && \text{by definition of } \eta_{X,F} \\
 &\triangleq F(f)(\theta_X(\text{id}_X)) && \text{by definition of } \eta_{X,F}^{-1} \\
 &= \theta_Y(f^*(\text{id}_X)) && \text{by naturality of } \theta \\
 &\triangleq \theta_Y(\text{id}_X \circ f) && \text{by definition of } f^* \\
 &= \theta_Y(f)
 \end{aligned}$$

naturality of  $\theta$

$$\begin{array}{ccc}
 yX(Y) & \xrightarrow{\theta_Y} & F(Y) \\
 \uparrow f^* & & \uparrow F(f) \\
 yX(X) & \xrightarrow{\theta_X} & F(X)
 \end{array}$$

**Proof of**

$$\eta_{X,F}^{-1} \circ \eta_{X,F} = \text{id}_{\mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X,F)}$$

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 \end{aligned}$$

$$\text{so } \forall \theta, Y, \left( \eta_{X,F}^{-1} (\eta_{X,F}(\theta)) \right)_Y = \theta_Y$$

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$$\text{so } \eta_{X,F}^{-1} \circ \eta_{X,F} = \text{id}.$$

# The Yoneda Lemma

For each small category  $\mathbf{C}$ , each object  $\mathbf{X} \in \mathbf{C}$  and each presheaf  $\mathbf{F} \in \mathbf{Set}^{\mathbf{C}^{\text{op}}}$ , there is a bijection of sets

$$\eta_{X,F} : \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, \mathbf{F}) \cong \mathbf{F}(X)$$

which is natural in both  $\mathbf{X}$  and  $\mathbf{F}$ .

## Proof that $\eta_{X,F}$ is natural in $F$ :

Given  $F \xrightarrow{\varphi} G$  in  $\mathbf{Set}^{\mathbf{C}^{\text{op}}}$ , have to show that

$$\begin{array}{ccc} \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, F) & \xrightarrow{\eta_{X,F}} & F(X) \\ \varphi_* \downarrow & & \downarrow \varphi_X \\ \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, G) & \xrightarrow{\eta_{X,G}} & G(X) \end{array}$$

commutes in  $\mathbf{Set}$ . For all  $\mathbf{y}X \xrightarrow{\theta} F$  we have

$$\begin{aligned} \varphi_X(\eta_{X,F}(\theta)) &\triangleq \varphi_X(\theta_X(\text{id}_X)) \\ &\triangleq (\varphi \circ \theta)_X(\text{id}_X) \\ &\triangleq \eta_{X,G}(\varphi \circ \theta) \\ &\triangleq \eta_{X,G}(\varphi_*(\theta)) \end{aligned}$$

## Proof that $\eta_{X,F}$ is natural in $X$ :

Given  $Y \xrightarrow{f} X$  in  $\mathbf{C}$ , have to show that

$$\begin{array}{ccc} \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}X, F) & \xrightarrow{\eta_{X,F}} & F(X) \\ (yf)^* \downarrow & & \downarrow F(f) \\ \mathbf{Set}^{\mathbf{C}^{\text{op}}}(\mathbf{y}Y, F) & \xrightarrow{\eta_{Y,F}} & F(Y) \end{array}$$

commutes in  $\mathbf{Set}$ . For all  $\mathbf{y}X \xrightarrow{\theta} F$  we have

$$\begin{aligned} F(f)((\eta_{X,F}(\theta))) &\triangleq F(f)(\theta_X(\text{id}_X)) \\ &= \theta_Y(f^*(\text{id}_X)) && \text{by naturality of } \theta \\ &= \theta_Y(f) \\ &= \theta_Y(f_*(\text{id}_Y)) \\ &\triangleq (\theta \circ yf)_Y(\text{id}_Y) \\ &\triangleq \eta_{Y,F}(\theta \circ yf) \\ &\triangleq \eta_{Y,F}((yf)^*(\theta)) \end{aligned}$$

## Corollary of the Yoneda Lemma:

the functor  $\mathbf{y} : \mathbf{C} \rightarrow \mathbf{Set}^{\mathbf{C}^{\text{op}}}$  is **full** and **faithful**.

In general, a functor  $\mathbf{F} : \mathbf{C} \rightarrow \mathbf{D}$  is

- ▶ **faithful** if for all  $X, Y \in \mathbf{C}$  the function

$$\begin{array}{ccc} \mathbf{C}(X, Y) & \rightarrow & \mathbf{D}(F(X), F(Y)) \\ f & \mapsto & F(f) \end{array}$$

is injective:

$$\forall f, f' \in \mathbf{C}(X, Y), F(f) = F(f') \Rightarrow f = f'$$

- ▶ **full** if the above functions are all surjective:

$$\forall g \in \mathbf{D}(F(X), F(Y)), \exists f \in \mathbf{C}(X, Y), F(f) = g$$