Denotational Semantics

10 lectures for Part II CST 2019/20

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Course web page:

http://www.cl.cam.ac.uk/teaching/1920/DenotSem/

Topic 1

Introduction

What is this course about?

General area.

Formal methods: Mathematical techniques for the specification, development, and verification of software and hardware systems.

Specific area.

Formal semantics: Mathematical theories for ascribing meanings to computer languages.

Why do we care?

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- Rigour.
 - ... specification of programming languages
 - ... justification of program transformations

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- Rigour.
 - ... specification of programming languages
 - ... justification of program transformations
- Insight.
 - ... generalisations of notions computability
 - ... higher-order functions
 - ... data structures

- Feedback into language design.
 - ... continuations
 - ... monads

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 - ... continuations
 - ... monads
- Reasoning principles.
 - ... Scott induction
 - ... Logical relations
 - ... Co-induction

Operational.

Axiomatic.

Denotational.

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Meanings for program phrases defined in terms of the *steps* of computation they can take during program execution.

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Axiomatic.

Meanings for program phrases defined indirectly via the *ax-ioms and rules* of some logic of program properties.

Denotational.

Concerned with giving *mathematical models* of programming languages. Meanings for program phrases defined abstractly as elements of some suitable mathematical structure.

 $\mathsf{Syntax} \quad \overset{\llbracket - \rrbracket}{\longrightarrow} \quad \mathsf{Semantics}$

$$P \mapsto \llbracket P \rrbracket$$

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 - \rightsquigarrow Lectures 5 and 6.

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 - \sim Lectures 2, 3 and 4.
- Compositionality.
- Relationship to computation (e.g. operational semantics).
 - \rightsquigarrow Lectures 7 and 8.

Characteristic features of a denotational semantics

- Each phrase (= part of a program), P, is given a denotation,
 [P] a mathematical object representing the contribution of P to the meaning of any complete program in which it occurs.
- The denotation of a phrase is determined just by the denotations of its subphrases (one says that the semantics is compositional).

Basic example of denotational semantics (I)

Arithmetic expressions

$$A \in \mathbf{Aexp} ::= \underline{n} \mid L \mid A+A \mid \dots$$
 where n ranges over *integers* and L over a specified set of *locations* L

Boolean expressions

$$B \in \mathbf{Bexp} ::= \mathbf{true} \mid \mathbf{false} \mid A = A \mid \dots$$

Commands

$$C \in \mathbf{Comm}$$
 ::= $\mathbf{skip} \mid L := A \mid C; C$
 $\mid \mathbf{if} \ B \ \mathbf{then} \ C \ \mathbf{else} \ C$

Basic example of denotational semantics (II)

Semantic functions

$$\mathcal{A}: \mathbf{Aexp} \to (State \to \mathbb{Z})$$

$$\mathbb{Z} = \{\ldots, -1, 0, 1, \ldots\}$$

$$State = (\mathbb{L} \to \mathbb{Z})$$

Basic example of denotational semantics (II)

Semantic functions

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$$\mathcal{B}: \mathbf{Bexp} \to (State \to \mathbb{B})$$

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$$\mathbb{B} = \{ true, false \}$$

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Semantic functions

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$$\mathcal{B}: \mathbf{Bexp} \to (State \to \mathbb{B})$$

$$\mathcal{C}: \mathbf{Comm} \to (State \rightharpoonup State)$$

$$\mathbb{Z} = \{ \dots, -1, 0, 1, \dots \}$$

$$\mathbb{B} = \{ true, false \}$$

$$State = (\mathbb{L} \to \mathbb{Z})$$

Basic example of denotational semantics (III)

Semantic function A

$$\mathcal{A}[\![\underline{n}]\!] = \lambda s \in State. n$$

$$\mathcal{A}[\![L]\!] = \lambda s \in State. s(L)$$

$$\mathcal{A}[\![A_1 + A_2]\!] = \lambda s \in State. \mathcal{A}[\![A_1]\!](s) + \mathcal{A}[\![A_2]\!](s)$$

Basic example of denotational semantics (IV)

Semantic function \mathcal{B}

$$\mathcal{B}[\![\mathbf{true}]\!] = \lambda s \in State.\ true$$
 $\mathcal{B}[\![\mathbf{false}]\!] = \lambda s \in State.\ false$
 $\mathcal{B}[\![A_1 = A_2]\!] = \lambda s \in State.\ eq(\mathcal{A}[\![A_1]\!](s), \mathcal{A}[\![A_2]\!](s))$
where $eq(a, a') = \begin{cases} true & \text{if } a = a' \\ false & \text{if } a \neq a' \end{cases}$

Basic example of denotational semantics (V)

Semantic function \mathcal{C}

$$\llbracket \mathbf{skip} \rrbracket = \lambda s \in State.s$$

NB: From now on the names of semantic functions are omitted!

A simple example of compositionality

Given partial functions $[\![C]\!], [\![C']\!] : State \longrightarrow State$ and a function $[\![B]\!] : State \longrightarrow \{true, false\}$, we can define

[if B then C else
$$C'$$
] =
$$\lambda s \in State. if([B](s), [C](s), [C'](s))$$

$$if(b, x, x') = \begin{cases} x & \text{if } b = true \\ x' & \text{if } b = false \end{cases}$$

Basic example of denotational semantics (VI)

Semantic function \mathcal{C}

$$\llbracket L := A \rrbracket = \lambda s \in State. \ \lambda \ell \in \mathbb{L}. \ if (\ell = L, \llbracket A \rrbracket(s), s(\ell))$$

Denotational semantics of sequential composition

Denotation of sequential composition C; C' of two commands

$$\llbracket C; C' \rrbracket = \llbracket C' \rrbracket \circ \llbracket C \rrbracket = \lambda s \in State. \llbracket C' \rrbracket \bigl(\llbracket C \rrbracket (s) \bigr)$$

given by composition of the partial functions from states to states $[\![C]\!], [\![C']\!]: State \longrightarrow State$ which are the denotations of the commands.

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Cf. operational semantics of sequential composition:

$$\frac{C, s \Downarrow s' \quad C', s' \Downarrow s''}{C; C', s \Downarrow s''}$$

[while $B \operatorname{do} C$]

Fixed point property of

 $\llbracket \mathbf{while} \ B \ \mathbf{do} \ C
rbracket$

$$[\![\mathbf{while}\ B\ \mathbf{do}\ C]\!] = f_{[\![B]\!],[\![C]\!]}([\![\mathbf{while}\ B\ \mathbf{do}\ C]\!])$$
 where, for each $b: State \to \{true, false\}$ and $c: State \to State$, we define
$$f_{b,c}: (State \to State) \to (State \to State)$$
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 as
$$f_{b,c} = \lambda w \in (State \to State). \ \lambda s \in State. \ if (b(s), w(c(s)), s).$$

Fixed point property of

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$$\llbracket \mathbf{while} \ B \ \mathbf{do} \ C \rrbracket = f_{\llbracket B \rrbracket, \llbracket C \rrbracket}(\llbracket \mathbf{while} \ B \ \mathbf{do} \ C \rrbracket)$$
 where, for each $b: State \to \{true, false\}$ and $c: State \rightharpoonup State$, we define
$$f_{b,c}: (State \rightharpoonup State) \to (State \rightharpoonup State)$$
 as
$$f_{b,c} = \lambda w \in (State \rightharpoonup State). \ \lambda s \in State. \ if (b(s), w(c(s)), s).$$

- Why does $w = f_{\llbracket B \rrbracket, \llbracket C \rrbracket}(w)$ have a solution?
- What if it has several solutions—which one do we take to be $\llbracket \mathbf{while} \ B \ \mathbf{do} \ C \rrbracket$?

Approximating $\llbracket \mathbf{while} \ B \ \mathbf{do} \ C \rrbracket$

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$$\begin{split} f_{\llbracket B \rrbracket, \llbracket C \rrbracket}^n(\bot) \\ &= \ \lambda s \in State. \\ & \left\{ \begin{array}{l} \llbracket C \rrbracket^k(s) & \text{if } \exists \ 0 \leq k < n. \ \llbracket B \rrbracket (\llbracket C \rrbracket^k(s)) = false \\ & \text{and } \forall \ 0 \leq i < k. \ \llbracket B \rrbracket (\llbracket C \rrbracket^i(s)) = true \end{array} \right. \\ & \uparrow & \text{if } \forall \ 0 \leq i < n. \ \llbracket B \rrbracket (\llbracket C \rrbracket^i(s)) = true \end{split}$$

$$D \stackrel{\mathrm{def}}{=} (State \rightharpoonup State)$$

■ Partial order □ on D:

```
w\sqsubseteq w' iff for all s\in State, if w is defined at s then so is w' and moreover w(s)=w'(s). iff the graph of w is included in the graph of w'.
```

- Least element $\bot \in D$ w.r.t. \sqsubseteq :
 - \perp = totally undefined partial function
 - = partial function with empty graph

(satisfies $\perp \sqsubseteq w$, for all $w \in D$).

Topic 2

Least Fixed Points

Thesis

All domains of computation are partial orders with a least element.

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All computable functions are monototic.

Partially ordered sets

A binary relation \sqsubseteq on a set D is a partial order iff it is

reflexive: $\forall d \in D. \ d \sqsubseteq d$

transitive: $\forall d, d', d'' \in D. \ d \sqsubseteq d' \sqsubseteq d'' \Rightarrow d \sqsubseteq d''$

anti-symmetric: $\forall d, d' \in D. \ d \sqsubseteq d' \sqsubseteq d \Rightarrow d = d'.$

Such a pair (D, \sqsubseteq) is called a partially ordered set, or poset.

$$x \sqsubseteq x$$

$$x \sqsubseteq y \qquad y \sqsubseteq z$$
$$x \sqsubseteq z$$

$$x \sqsubseteq y \qquad y \sqsubseteq x$$
$$x = y$$

Underlying set: all partial functions, f, with domain of definition $dom(f) \subseteq X$ and taking values in Y.

Domain of partial functions, $X \rightharpoonup Y$

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Partial order:

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f\sqsubseteq g \quad \text{iff} \quad dom(f)\subseteq dom(g) \text{ and } \\ \forall x\in dom(f). \ f(x)=g(x) \\ \text{iff} \quad graph(f)\subseteq graph(g)
```

Monotonicity

ullet A function f:D o E between posets is monotone iff $\forall d,d'\in D.\ d\sqsubseteq d'\Rightarrow f(d)\sqsubseteq f(d').$

$$\frac{x\sqsubseteq y}{f(x)\sqsubseteq f(y)}\quad (f \text{ monotone})$$

Least Elements

Suppose that D is a poset and that S is a subset of D.

An element $d \in S$ is the *least* element of S if it satisfies

$$\forall x \in S. \ d \sqsubseteq x$$
.

- ullet Note that because \sqsubseteq is anti-symmetric, S has at most one least element.
- Note also that a poset may not have least element.

Pre-fixed points

Let D be a poset and $f:D\to D$ be a function.

An element $d \in D$ is a pre-fixed point of f if it satisfies $f(d) \sqsubseteq d$.

The *least pre-fixed point* of f, if it exists, will be written

It is thus (uniquely) specified by the two properties:

$$f(fix(f)) \sqsubseteq fix(f)$$
 (lfp1)

$$\forall d \in D. \ f(d) \sqsubseteq d \Rightarrow fix(f) \sqsubseteq d.$$
 (Ifp2)

Proof principle

2. Let D be a poset and let $f:D\to D$ be a function with a least pre-fixed point $fix(f)\in D$.

For all $x \in D$, to prove that $f(x) \sqsubseteq x$ it is enough to establish that $f(x) \sqsubseteq x$.

Proof principle

2. Let D be a poset and let $f:D\to D$ be a function with a least pre-fixed point $fix(f)\in D$.

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$$\frac{f(x) \sqsubseteq x}{fix(f) \sqsubseteq x}$$

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Least pre-fixed points are fixed points

If it exists, the least pre-fixed point of a mononote function on a partial order is necessarily a fixed point.

Thesis*

All domains of computation are complete partial orders with a least element.

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All domains of computation are complete partial orders with a least element.

All computable functions are continuous.

Cpo's and domains

A chain complete poset, or cpo for short, is a poset (D, \sqsubseteq) in which all countable increasing chains $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \ldots$ have least upper bounds, $\bigsqcup_{n \geq 0} d_n$:

$$\forall m \ge 0 . d_m \sqsubseteq \bigsqcup_{n \ge 0} d_n \tag{lub1}$$

$$\forall d \in D . (\forall m \ge 0 . d_m \sqsubseteq d) \Rightarrow \bigsqcup_{n \ge 0} d_n \sqsubseteq d. \quad \text{(lub2)}$$

A domain is a cpo that possesses a least element, \perp :

$$\forall d \in D . \bot \sqsubseteq d.$$

$$\bot \sqsubseteq x$$

$$x_i \sqsubseteq \bigsqcup_{n \ge 0} x_n$$
 $(i \ge 0 \text{ and } \langle x_n \rangle \text{ a chain})$

$$\frac{\forall n \ge 0 . x_n \sqsubseteq x}{\bigsqcup_{n \ge 0} x_n \sqsubseteq x} \quad (\langle x_i \rangle \text{ a chain})$$

Domain of partial functions, $X \rightharpoonup Y$

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Lub of chain $f_0 \sqsubseteq f_1 \sqsubseteq f_2 \sqsubseteq \dots$ is the partial function f with $dom(f) = \bigcup_{n \geq 0} dom(f_n)$ and

$$f(x) = \begin{cases} f_n(x) & \text{if } x \in dom(f_n) \text{, some } n \\ \text{undefined} & \text{otherwise} \end{cases}$$

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$$f(x) = \begin{cases} f_n(x) & \text{if } x \in dom(f_n), \text{ some } n \\ \text{undefined} & \text{otherwise} \end{cases}$$

Least element \perp is the totally undefined partial function.

Some properties of lubs of chains

Let D be a cpo.

- 1. For $d \in D$, $\bigsqcup_n d = d$.
- 2. For every chain $d_0 \sqsubseteq d_1 \sqsubseteq \ldots \sqsubseteq d_n \sqsubseteq \ldots$ in D,

$$\bigsqcup_{n} d_{n} = \bigsqcup_{n} d_{N+n}$$

for all $N \in \mathbb{N}$.

3. For every pair of chains $d_0 \sqsubseteq d_1 \sqsubseteq \ldots \sqsubseteq d_n \sqsubseteq \ldots$ and $e_0 \sqsubseteq e_1 \sqsubseteq \ldots \sqsubseteq e_n \sqsubseteq \ldots$ in D, if $d_n \sqsubseteq e_n$ for all $n \in \mathbb{N}$ then $\bigsqcup_n d_n \sqsubseteq \bigsqcup_n e_n$.

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$$\frac{\forall n \ge 0 . x_n \sqsubseteq y_n}{\bigsqcup_n x_n \sqsubseteq \bigsqcup_n y_n} \quad (\langle x_n \rangle \text{ and } \langle y_n \rangle \text{ chains})$$

Diagonalising a double chain

Lemma. Let D be a cpo. Suppose that the doubly-indexed family of elements $d_{m,n} \in D$ $(m,n \ge 0)$ satisfies

$$m \le m' \& n \le n' \Rightarrow d_{m,n} \sqsubseteq d_{m',n'}.$$
 (†)

Then

$$\bigsqcup_{n\geq 0} d_{0,n} \sqsubseteq \bigsqcup_{n\geq 0} d_{1,n} \sqsubseteq \bigsqcup_{n\geq 0} d_{2,n} \sqsubseteq \ldots$$

and

$$\bigsqcup_{m\geq 0} d_{m,0} \sqsubseteq \bigsqcup_{m\geq 0} d_{m,1} \sqsubseteq \bigsqcup_{m\geq 0} d_{m,3} \sqsubseteq \dots$$

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$$\bigsqcup_{m\geq 0} d_{m,0} \sqsubseteq \bigsqcup_{m\geq 0} d_{m,1} \sqsubseteq \bigsqcup_{m\geq 0} d_{m,3} \sqsubseteq \dots$$

Moreover

$$\bigsqcup_{m\geq 0} \left(\bigsqcup_{n\geq 0} d_{m,n} \right) = \bigsqcup_{k\geq 0} d_{k,k} = \bigsqcup_{n\geq 0} \left(\bigsqcup_{m\geq 0} d_{m,n} \right) .$$

Continuity and strictness

- If D and E are cpo's, the function f is continuous iff
 - 1. it is monotone, and
 - 2. it preserves lubs of chains, *i.e.* for all chains

 $d_0 \sqsubseteq d_1 \sqsubseteq \dots$ in D, it is the case that

$$f(\bigsqcup_{n>0} d_n) = \bigsqcup_{n>0} f(d_n) \quad \text{in } E.$$

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$$f(\bigsqcup_{n\geq 0} d_n) = \bigsqcup_{n\geq 0} f(d_n) \quad \text{in } E.$$

• If D and E have least elements, then the function f is strict iff $f(\bot) = \bot$.

Tarski's Fixed Point Theorem

Let $f: D \to D$ be a continuous function on a domain D. Then

f possesses a least pre-fixed point, given by

$$fix(f) = \bigsqcup_{n \ge 0} f^n(\bot).$$

• Moreover, fix(f) is a fixed point of f, *i.e.* satisfies f(fix(f)) = fix(f), and hence is the least fixed point of f.

$\llbracket \mathbf{while} \ B \ \mathbf{do} \ C \rrbracket$

$\llbracket \mathbf{while} \ B \ \mathbf{do} \ C rbracket$

```
= fix(f_{\llbracket B \rrbracket, \llbracket C \rrbracket})
= \bigsqcup_{n \geq 0} f_{\llbracket B \rrbracket, \llbracket C \rrbracket}^{n} (\bot)
= \lambda s \in State.
```

Topic 3

Constructions on Domains

Discrete cpo's and flat domains

For any set X, the relation of equality

$$x \sqsubseteq x' \stackrel{\text{def}}{\Leftrightarrow} x = x' \qquad (x, x' \in X)$$

makes (X, \sqsubseteq) into a cpo, called the discrete cpo with underlying set X.

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Let $X_{\perp} \stackrel{\text{def}}{=} X \cup \{\perp\}$, where \perp is some element not in X. Then

$$d \sqsubseteq d' \stackrel{\text{def}}{\Leftrightarrow} (d = d') \lor (d = \bot) \qquad (d, d' \in X_\bot)$$

makes (X_{\perp}, \sqsubseteq) into a domain (with least element \perp), called the flat domain determined by X.

Binary product of cpo's and domains

The product of two cpo's (D_1,\sqsubseteq_1) and (D_2,\sqsubseteq_2) has underlying set

$$D_1 \times D_2 = \{(d_1, d_2) \mid d_1 \in D_1 \& d_2 \in D_2\}$$

and partial order _ defined by

$$(d_1, d_2) \sqsubseteq (d'_1, d'_2) \stackrel{\text{def}}{\Leftrightarrow} d_1 \sqsubseteq_1 d'_1 \& d_2 \sqsubseteq_2 d'_2$$
.

$$\begin{array}{c} (x_1, x_2) \sqsubseteq (y_1, y_2) \\ \hline x_1 \sqsubseteq_1 y_1 & x_2 \sqsubseteq_2 y_2 \end{array}$$

Lubs of chains are calculated componentwise:

$$\bigsqcup_{n\geq 0} (d_{1,n}, d_{2,n}) = (\bigsqcup_{i\geq 0} d_{1,i}, \bigsqcup_{j\geq 0} d_{2,j}) .$$

If (D_1, \sqsubseteq_1) and (D_2, \sqsubseteq_2) are domains so is $(D_1 \times D_2, \sqsubseteq)$ and $\bot_{D_1 \times D_2} = (\bot_{D_1}, \bot_{D_2})$.

Continuous functions of two arguments

Proposition. Let D, E, F be cpo's. A function $f:(D\times E)\to F$ is monotone if and only if it is monotone in each argument separately:

$$\forall d, d' \in D, e \in E. d \sqsubseteq d' \Rightarrow f(d, e) \sqsubseteq f(d', e)$$

$$\forall d \in D, e, e' \in E. e \sqsubseteq e' \Rightarrow f(d, e) \sqsubseteq f(d, e').$$

Moreover, it is continuous if and only if it preserves lubs of chains in each argument separately:

$$f(\bigsqcup_{m\geq 0} d_m, e) = \bigsqcup_{m\geq 0} f(d_m, e)$$
$$f(d, \bigsqcup_{n>0} e_n) = \bigsqcup_{n>0} f(d, e_n).$$

• A couple of derived rules:

$$\frac{x \sqsubseteq x' \qquad y \sqsubseteq y'}{f(x,y) \sqsubseteq f(x',y')} \quad (f \text{ monotone})$$

$$f(\bigsqcup_{m} x_{m}, \bigsqcup_{n} y_{n}) = \bigsqcup_{k} f(x_{k}, y_{k})$$

Function cpo's and domains

Given cpo's (D,\sqsubseteq_D) and (E,\sqsubseteq_E) , the function cpo $(D\to E,\sqsubseteq)$ has underlying set

$$(D \to E) \stackrel{\mathrm{def}}{=} \{ f \mid f : D \to E \text{ is a } \textit{continuous} \text{ function} \}$$

and partial order: $f \sqsubseteq f' \overset{\text{def}}{\Leftrightarrow} \forall d \in D . f(d) \sqsubseteq_E f'(d)$.

Function cpo's and domains

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and partial order: $f \sqsubseteq f' \overset{\text{def}}{\Leftrightarrow} \forall d \in D \cdot f(d) \sqsubseteq_E f'(d)$.

A derived rule:

$$\begin{array}{ccc}
f \sqsubseteq_{(D \to E)} g & x \sqsubseteq_D y \\
f(x) \sqsubseteq g(y)
\end{array}$$

Lubs of chains are calculated 'argumentwise' (using lubs in E):

$$\bigsqcup_{n\geq 0} f_n = \lambda d \in D. \bigsqcup_{n\geq 0} f_n(d) .$$

If E is a domain, then so is $D \to E$ and $\bot_{D \to E}(d) = \bot_E$, all $d \in D$.

Lubs of chains are calculated 'argumentwise' (using lubs in E):

$$\bigsqcup_{n\geq 0} f_n = \lambda d \in D. \bigsqcup_{n\geq 0} f_n(d) .$$

• A derived rule:

$$\left(\bigsqcup_{n} f_{n}\right)\left(\bigsqcup_{m} x_{m}\right) = \bigsqcup_{k} f_{k}(x_{k})$$

If E is a domain, then so is $D \to E$ and $\bot_{D \to E}(d) = \bot_E$, all $d \in D$.

Continuity of composition

For cpo's D, E, F, the composition function

$$\circ: \big((E \to F) \times (D \to E)\big) \longrightarrow (D \to F)$$

defined by setting, for all $f \in (D \to E)$ and $g \in (E \to F)$,

$$g \circ f = \lambda d \in D.g(f(d))$$

is continuous.

Continuity of the fixpoint operator

Let D be a domain.

By Tarski's Fixed Point Theorem we know that each continuous function $f \in (D \to D)$ possesses a least fixed point, $fix(f) \in D$.

Proposition. The function

$$fix:(D\to D)\to D$$

is continuous.

Topic 4

Scott Induction

Scott's Fixed Point Induction Principle

Let $f: D \to D$ be a continuous function on a domain D.

For any <u>admissible</u> subset $S \subseteq D$, to prove that the least fixed point of f is in S, *i.e.* that

$$fix(f) \in S$$
,

it suffices to prove

$$\forall d \in D \ (d \in S \Rightarrow f(d) \in S) \ .$$

Chain-closed and admissible subsets

Let D be a cpo. A subset $S \subseteq D$ is called chain-closed iff for all chains $d_0 \sqsubseteq d_1 \sqsubseteq d_2 \sqsubseteq \dots$ in D

$$(\forall n \ge 0 \, . \, d_n \in S) \implies \left(\bigsqcup_{n > 0} d_n\right) \in S$$

If D is a domain, $S \subseteq D$ is called admissible iff it is a chain-closed subset of D and $\bot \in S$.

Chain-closed and admissible subsets

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If D is a domain, $S \subseteq D$ is called admissible iff it is a chain-closed subset of D and $\bot \in S$.

A property $\Phi(d)$ of elements $d \in D$ is called *chain-closed* (resp. *admissible*) iff $\{d \in D \mid \Phi(d)\}$ is a *chain-closed* (resp. *admissible*) subset of D.

Building chain-closed subsets (I)

Let D, E be cpos.

Basic relations:

• For every $d \in D$, the subset

$$\downarrow(d) \stackrel{\mathrm{def}}{=} \{ x \in D \mid x \sqsubseteq d \}$$

of D is chain-closed.

Building chain-closed subsets (I)

Let D, E be cpos.

Basic relations:

• For every $d \in D$, the subset

$$\downarrow(d) \stackrel{\mathrm{def}}{=} \{ x \in D \mid x \sqsubseteq d \}$$

of D is chain-closed.

The subsets

$$\{(x,y)\in D\times D\mid x\sqsubseteq y\}$$
 and
$$\{(x,y)\in D\times D\mid x=y\}$$

of $D \times D$ are chain-closed.

Example (I): Least pre-fixed point property

Let D be a domain and let $f:D\to D$ be a continuous function.

$$\forall d \in D. f(d) \sqsubseteq d \implies fix(f) \sqsubseteq d$$

Example (I): Least pre-fixed point property

Let D be a domain and let $f:D\to D$ be a continuous function.

$$\forall d \in D. f(d) \sqsubseteq d \implies fix(f) \sqsubseteq d$$

Proof by Scott induction.

Let $d \in D$ be a pre-fixed point of f. Then,

$$x \in \downarrow(d) \implies x \sqsubseteq d$$

$$\implies f(x) \sqsubseteq f(d)$$

$$\implies f(x) \sqsubseteq d$$

$$\implies f(x) \in \downarrow(d)$$

Hence,

$$fix(f) \in \downarrow(d)$$
.

Building chain-closed subsets (II)

Inverse image:

Let $f: D \to E$ be a continuous function.

If S is a chain-closed subset of E then the inverse image

$$f^{-1}S = \{x \in D \mid f(x) \in S\}$$

is an chain-closed subset of D.

Example (II)

Let D be a domain and let $f, g: D \to D$ be continuous functions such that $f \circ g \sqsubseteq g \circ f$. Then,

$$f(\bot) \sqsubseteq g(\bot) \implies fix(f) \sqsubseteq fix(g)$$
.

Example (II)

Let D be a domain and let $f, g: D \to D$ be continuous functions such that $f \circ g \sqsubseteq g \circ f$. Then,

$$f(\bot) \sqsubseteq g(\bot) \implies fix(f) \sqsubseteq fix(g)$$
.

Proof by Scott induction.

Consider the admissible property $\Phi(x) \equiv \big(f(x) \sqsubseteq g(x)\big)$ of D.

Since

$$f(x) \sqsubseteq g(x) \Rightarrow g(f(x)) \sqsubseteq g(g(x)) \Rightarrow f(g(x)) \sqsubseteq g(g(x))$$

we have that

$$f(fix(g)) \sqsubseteq g(fix(g))$$
.

Building chain-closed subsets (III)

Logical operations:

- If $S,T\subseteq D$ are chain-closed subsets of D then $S\cup T \qquad \text{and} \qquad S\cap T$ are chain-closed subsets of D.
- If $\{S_i\}_{i\in I}$ is a family of chain-closed subsets of D indexed by a set I, then $\bigcap_{i\in I} S_i$ is a chain-closed subset of D.
- If a property P(x, y) determines a chain-closed subset of $D \times E$, then the property $\forall x \in D$. P(x, y) determines a chain-closed subset of E.

Example (III): Partial correctness

Let $\mathcal{F}: State \longrightarrow State$ be the denotation of

while
$$X > 0$$
 do $(Y := X * Y; X := X - 1)$.

For all $x, y \geq 0$,

$$\mathcal{F}[X \mapsto x, Y \mapsto y] \downarrow$$

$$\Longrightarrow \mathcal{F}[X \mapsto x, Y \mapsto y] = [X \mapsto 0, Y \mapsto !x \cdot y].$$

Recall that

$$\mathcal{F} = \mathit{fix}(f)$$
 where $f: (\mathit{State} \rightharpoonup \mathit{State}) \to (\mathit{State} \rightharpoonup \mathit{State})$ is given by
$$f(w) = \lambda(x,y) \in \mathit{State}. \left\{ \begin{array}{l} (x,y) & \text{if } x \leq 0 \\ w(x-1,x \cdot y) & \text{if } x > 0 \end{array} \right.$$

Proof by Scott induction.

We consider the admissible subset of $(State \rightarrow State)$ given by

$$S = \left\{ w \middle| \begin{array}{c} \forall x, y \ge 0. \\ w[X \mapsto x, Y \mapsto y] \downarrow \\ \Rightarrow w[X \mapsto x, Y \mapsto y] = [X \mapsto 0, Y \mapsto !x \cdot y] \end{array} \right\}$$

and show that

$$w \in S \implies f(w) \in S$$
.

Topic 5

PCF

Types

$$\tau ::= nat \mid bool \mid \tau \rightarrow \tau$$

Types

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Expressions

$$M ::= \mathbf{0} \mid \mathbf{succ}(M) \mid \mathbf{pred}(M)$$

Types

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$$M ::= \mathbf{0} \mid \mathbf{succ}(M) \mid \mathbf{pred}(M)$$
 $\mid \mathbf{true} \mid \mathbf{false} \mid \mathbf{zero}(M)$

Types

$$\tau ::= nat \mid bool \mid \tau \rightarrow \tau$$

Expressions

$$M ::= \mathbf{0} \mid \mathbf{succ}(M) \mid \mathbf{pred}(M)$$
 $\mid \mathbf{true} \mid \mathbf{false} \mid \mathbf{zero}(M)$
 $\mid x \mid \mathbf{if} M \mathbf{then} M \mathbf{else} M$

Types

$$\tau ::= nat \mid bool \mid \tau \rightarrow \tau$$

Expressions

```
egin{array}{lll} M & ::= & \mathbf{0} & | & \mathbf{succ}(M) & | & \mathbf{pred}(M) \ & | & \mathbf{true} & | & \mathbf{false} & | & \mathbf{zero}(M) \ & | & x & | & \mathbf{if} & M & \mathbf{then} & M & \mathbf{else} & M \ & | & \mathbf{fn} & x : 	au . & M & | & M & | & \mathbf{fix}(M) \end{array}
```

where $x \in \mathbb{V}$, an infinite set of variables.

Types

$$\tau ::= nat \mid bool \mid \tau \rightarrow \tau$$

Expressions

```
egin{array}{lll} M & ::= & \mathbf{0} & | & \mathbf{succ}(M) & | & \mathbf{pred}(M) \ & | & \mathbf{true} & | & \mathbf{false} & | & \mathbf{zero}(M) \ & | & x & | & \mathbf{if} & M & \mathbf{then} & M & \mathbf{else} & M \ & | & \mathbf{fn} & x : \tau \cdot M & | & M & M & | & \mathbf{fix}(M) \end{array}
```

where $x \in V$, an infinite set of variables.

Technicality: We identify expressions up to α -conversion of bound variables (created by the **fn** expression-former): by definition a PCF term is an α -equivalence class of expressions.

PCF typing relation, $\Gamma \vdash M : \tau$

- Γ is a type environment, *i.e.* a finite partial function mapping variables to types (whose domain of definition is denoted $dom(\Gamma)$)
- *M* is a term
- τ is a type.

PCF typing relation, $\Gamma \vdash M : \tau$

- Γ is a type environment, *i.e.* a finite partial function mapping variables to types (whose domain of definition is denoted $dom(\Gamma)$)
- M is a term
- τ is a type.

Notation:

```
M:\tau \text{ means }M \text{ is closed and }\emptyset \vdash M:\tau \text{ holds.} \mathrm{PCF}_{\tau} \stackrel{\mathrm{def}}{=} \{M \mid M:\tau\}.
```

PCF typing relation (sample rules)

$$(:_{\mathrm{fn}}) \quad \frac{\Gamma[x \mapsto \tau] \vdash M : \tau'}{\Gamma \vdash \mathbf{fn} \, x : \tau \, . \, M : \tau \to \tau'} \quad \text{if } x \notin dom(\Gamma)$$

PCF typing relation (sample rules)

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$$(:_{app}) \frac{\Gamma \vdash M_1 : \tau \to \tau' \quad \Gamma \vdash M_2 : \tau}{\Gamma \vdash M_1 M_2 : \tau'}$$

PCF typing relation (sample rules)

$$(:_{\mathrm{fn}}) \quad \frac{\Gamma[x \mapsto \tau] \vdash M : \tau'}{\Gamma \vdash \mathbf{fn} \, x : \tau \cdot M : \tau \to \tau'} \quad \text{if } x \notin dom(\Gamma)$$

$$(:_{app}) \frac{\Gamma \vdash M_1 : \tau \to \tau' \quad \Gamma \vdash M_2 : \tau}{\Gamma \vdash M_1 M_2 : \tau'}$$

$$(:_{\text{fix}}) \quad \frac{\Gamma \vdash M : \tau \to \tau}{\Gamma \vdash \mathbf{fix}(M) : \tau}$$

Partial recursive functions in PCF

• Primitive recursion.

$$\begin{cases} h(x,0) = f(x) \\ h(x,y+1) = g(x,y,h(x,y)) \end{cases}$$

Partial recursive functions in PCF

Primitive recursion.

$$\begin{cases} h(x,0) = f(x) \\ h(x,y+1) = g(x,y,h(x,y)) \end{cases}$$

Minimisation.

$$m(x) = \text{the least } y \ge 0 \text{ such that } k(x,y) = 0$$

PCF evaluation relation

takes the form

$$M \downarrow_{\tau} V$$

where

- τ is a PCF type
- $M, V \in \mathrm{PCF}_{\tau}$ are closed PCF terms of type τ
- V is a value,

$$V ::= \mathbf{0} \mid \mathbf{succ}(V) \mid \mathbf{true} \mid \mathbf{false} \mid \mathbf{fn} \ x : \tau . M.$$

PCF evaluation (sample rules)

$$(\Downarrow_{\mathrm{val}}) \quad V \Downarrow_{\tau} V \qquad (V \text{ a value of type } \tau)$$

PCF evaluation (sample rules)

$$(\Downarrow_{\mathrm{val}})$$
 $V \Downarrow_{\tau} V$ $(V \text{ a value of type } \tau)$

$$(\downarrow_{\text{cbn}}) \frac{M_1 \downarrow_{\tau \to \tau'} \mathbf{fn} \, x : \tau . M_1' \qquad M_1' [M_2/x] \downarrow_{\tau'} V}{M_1 M_2 \downarrow_{\tau'} V}$$

PCF evaluation (sample rules)

$$(\downarrow_{\mathrm{val}})$$
 $V \downarrow_{\tau} V$ $(V \text{ a value of type } \tau)$

$$(\downarrow_{\text{cbn}}) \frac{M_1 \downarrow_{\tau \to \tau'} \mathbf{fn} \, x : \tau . M_1' \qquad M_1' [M_2/x] \downarrow_{\tau'} V}{M_1 M_2 \downarrow_{\tau'} V}$$

$$(\Downarrow_{\text{fix}}) \quad \frac{M \text{ fix}(M) \Downarrow_{\tau} V}{\text{fix}(M) \Downarrow_{\tau} V}$$

Contextual equivalence

Two phrases of a programming language are contextually equivalent if any occurrences of the first phrase in a complete program can be replaced by the second phrase without affecting the <u>observable results</u> of executing the program.

Contextual equivalence of PCF terms

Given PCF terms M_1, M_2 , PCF type au, and a type environment Γ , the relation $\Gamma \vdash M_1 \cong_{\operatorname{ctx}} M_2 : au$ is defined to hold iff

- ullet Both the typings $\Gamma \vdash M_1 : au$ and $\Gamma \vdash M_2 : au$ hold.
- For all PCF contexts $\mathcal C$ for which $\mathcal C[M_1]$ and $\mathcal C[M_2]$ are closed terms of type γ , where $\gamma=nat$ or $\gamma=bool$, and for all values $V:\gamma$,

$$\mathcal{C}[M_1] \Downarrow_{\gamma} V \Leftrightarrow \mathcal{C}[M_2] \Downarrow_{\gamma} V.$$

• PCF types $\tau \mapsto \text{domains } \llbracket \tau \rrbracket$.

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- Closed PCF terms $M: \tau \mapsto \text{elements } \llbracket M \rrbracket \in \llbracket \tau \rrbracket$. Denotations of open terms will be continuous functions.

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- Compositionality.

```
In particular: \llbracket M \rrbracket = \llbracket M' \rrbracket \Rightarrow \llbracket \mathcal{C}[M] \rrbracket = \llbracket \mathcal{C}[M'] \rrbracket.
```

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In particular:
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.

Soundness.

For any type τ , $M \downarrow_{\tau} V \Rightarrow \llbracket M \rrbracket = \llbracket V \rrbracket$.

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In particular:
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.

Soundness.

For any type
$$\tau$$
, $M \downarrow_{\tau} V \Rightarrow \llbracket M \rrbracket = \llbracket V \rrbracket$.

Adequacy.

For
$$\tau = bool$$
 or nat , $\llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \tau \rrbracket \implies M \Downarrow_{\tau} V$.

Theorem. For all types τ and closed terms $M_1, M_2 \in \mathrm{PCF}_{\tau}$, if $\llbracket M_1 \rrbracket$ and $\llbracket M_2 \rrbracket$ are equal elements of the domain $\llbracket \tau \rrbracket$, then $M_1 \cong_{\mathrm{ctx}} M_2 : \tau$.

Theorem. For all types τ and closed terms $M_1, M_2 \in \mathrm{PCF}_{\tau}$, if $\llbracket M_1 \rrbracket$ and $\llbracket M_2 \rrbracket$ are equal elements of the domain $\llbracket \tau \rrbracket$, then $M_1 \cong_{\mathrm{ctx}} M_2 : \tau$.

Proof.

$$\mathcal{C}[M_1] \Downarrow_{nat} V \Rightarrow \llbracket \mathcal{C}[M_1] \rrbracket = \llbracket V \rrbracket \quad ext{(soundness)}$$
 $\Rightarrow \llbracket \mathcal{C}[M_2] \rrbracket = \llbracket V \rrbracket \quad ext{(compositionality on } \llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket ext{)}$ $\Rightarrow \mathcal{C}[M_2] \Downarrow_{nat} V \quad ext{(adequacy)}$

and symmetrically.

Proof principle

To prove

$$M_1 \cong_{\operatorname{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1
rbracket = \llbracket M_2
rbracket$$
 in $\llbracket au
rbracket$

Proof principle

To prove

$$M_1 \cong_{\operatorname{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1
rbracket = \llbracket M_2
rbracket$$
 in $\llbracket au
rbracket$

? The proof principle is sound, but is it complete? That is, is equality in the denotational model also a necessary condition for contextual equivalence?

Topic 6

Denotational Semantics of PCF

Denotational semantics of PCF

To every typing judgement

$$\Gamma \vdash M : \tau$$

we associate a continuous function

$$\llbracket\Gamma \vdash M\rrbracket : \llbracket\Gamma\rrbracket \to \llbracket\tau\rrbracket$$

between domains.

Denotational semantics of PCF types

$$[nat] \stackrel{\text{def}}{=} \mathbb{N}_{\perp}$$
 (flat domain)

$$\llbracket bool \rrbracket \stackrel{\text{def}}{=} \mathbb{B}_{\perp}$$
 (flat domain)

where
$$\mathbb{N} = \{0, 1, 2, \dots\}$$
 and $\mathbb{B} = \{true, false\}$.

Denotational semantics of PCF types

$$[nat] \stackrel{\text{def}}{=} \mathbb{N}_{\perp}$$
 (flat domain)

$$\llbracket bool \rrbracket \stackrel{\text{def}}{=} \mathbb{B}_{\perp}$$
 (flat domain)

$$\llbracket \tau \to \tau' \rrbracket \stackrel{\text{def}}{=} \llbracket \tau \rrbracket \to \llbracket \tau' \rrbracket$$
 (function domain).

where
$$\mathbb{N} = \{0, 1, 2, \dots\}$$
 and $\mathbb{B} = \{true, false\}$.

Denotational semantics of PCF type environments

$$\llbracket \Gamma \rrbracket \stackrel{\mathrm{def}}{=} \prod_{x \in dom(\Gamma)} \llbracket \Gamma(x) \rrbracket$$
 (Γ -environments)

Denotational semantics of PCF type environments

Denotational semantics of PCF type environments

$$\llbracket \Gamma \rrbracket \stackrel{\mathrm{def}}{=} \prod_{x \in dom(\Gamma)} \llbracket \Gamma(x) \rrbracket$$
 (Γ -environments)

= the domain of partial functions ρ from variables to domains such that $dom(\rho)=dom(\Gamma)$ and $\rho(x)\in \llbracket\Gamma(x)\rrbracket$ for all $x\in dom(\Gamma)$

Example:

1. For the empty type environment \emptyset ,

$$\llbracket\emptyset\rrbracket=\{\,\bot\,\}$$

where \perp denotes the unique partial function with $dom(\perp) = \emptyset$.

2.
$$[\![\langle x \mapsto \tau \rangle]\!] = (\{x\} \to [\![\tau]\!])$$

2.
$$[\![\langle x \mapsto \tau \rangle]\!] = (\{x\} \to [\![\tau]\!]) \cong [\![\tau]\!]$$

2.
$$[\![\langle x \mapsto \tau \rangle]\!] = (\{x\} \to [\![\tau]\!]) \cong [\![\tau]\!]$$

3.

Denotational semantics of PCF terms, I

$$\llbracket \Gamma \vdash \mathbf{0} \rrbracket (\rho) \stackrel{\text{def}}{=} 0 \in \llbracket nat \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{true} \rrbracket(\rho) \stackrel{\text{def}}{=} true \in \llbracket bool \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{false} \rrbracket(\rho) \stackrel{\text{def}}{=} \mathit{false} \in \llbracket \mathit{bool} \rrbracket$$

Denotational semantics of PCF terms, I

$$\llbracket \Gamma \vdash \mathbf{0} \rrbracket (\rho) \stackrel{\text{def}}{=} 0 \in \llbracket nat \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{true} \rrbracket(\rho) \stackrel{\text{def}}{=} true \in \llbracket bool \rrbracket$$

$$\llbracket \Gamma \vdash \mathbf{false} \rrbracket(\rho) \stackrel{\text{def}}{=} \mathit{false} \in \llbracket \mathit{bool} \rrbracket$$

$$\llbracket \Gamma \vdash x \rrbracket(\rho) \stackrel{\text{def}}{=} \rho(x) \in \llbracket \Gamma(x) \rrbracket \qquad (x \in dom(\Gamma))$$

Denotational semantics of PCF terms, II

$$\begin{split} & [\![\Gamma \vdash \mathbf{succ}(M)]\!](\rho) \\ & \stackrel{\mathrm{def}}{=} \begin{cases} [\![\Gamma \vdash M]\!](\rho) + 1 & \text{if } [\![\Gamma \vdash M]\!](\rho) \neq \bot \\ & \text{if } [\![\Gamma \vdash M]\!](\rho) = \bot \end{cases} \end{aligned}$$

Denotational semantics of PCF terms, II

$$\begin{split} & \llbracket \Gamma \vdash \mathbf{succ}(M) \rrbracket(\rho) \\ & \stackrel{\mathrm{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) + 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) \neq \bot \\ \bot & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \bot \end{cases} \\ & \llbracket \Gamma \vdash \mathbf{pred}(M) \rrbracket(\rho) \\ & \stackrel{\mathrm{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) - 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) > 0 \\ \bot & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = 0, \bot \end{cases} \end{split}$$

Denotational semantics of PCF terms, II

Denotational semantics of PCF terms, III

Denotational semantics of PCF terms, III

$$\llbracket\Gamma \vdash M_1 M_2 \rrbracket(\rho) \stackrel{\text{def}}{=} (\llbracket\Gamma \vdash M_1 \rrbracket(\rho)) (\llbracket\Gamma \vdash M_2 \rrbracket(\rho))$$

Denotational semantics of PCF terms, IV

NB: $\rho[x \mapsto d] \in \llbracket \Gamma[x \mapsto \tau] \rrbracket$ is the function mapping x to $d \in \llbracket \tau \rrbracket$ and otherwise acting like ρ .

Denotational semantics of PCF terms, V

$$\llbracket \Gamma \vdash \mathbf{fix}(M) \rrbracket(\rho) \stackrel{\text{def}}{=} fix(\llbracket \Gamma \vdash M \rrbracket(\rho))$$

Recall that fix is the function assigning least fixed points to continuous functions.

Denotational semantics of PCF

Proposition. For all typing judgements $\Gamma \vdash M : \tau$, the denotation

$$\llbracket\Gamma \vdash M\rrbracket : \llbracket\Gamma\rrbracket \to \llbracket\tau\rrbracket$$

is a well-defined continous function.

Denotations of closed terms

For a closed term $M \in \mathrm{PCF}_{\tau}$, we get

$$\llbracket \emptyset \vdash M \rrbracket : \llbracket \emptyset \rrbracket \to \llbracket \tau \rrbracket$$

and, since $\llbracket \emptyset \rrbracket = \{ \bot \}$, we have

$$\llbracket M \rrbracket \stackrel{\text{def}}{=} \llbracket \emptyset \vdash M \rrbracket (\bot) \in \llbracket \tau \rrbracket \qquad (M \in \mathrm{PCF}_{\tau})$$

Compositionality

```
Proposition. For all typing judgements \Gamma \vdash M : \tau and \Gamma \vdash M' : \tau, and all contexts \mathcal{C}[-] such that \Gamma' \vdash \mathcal{C}[M] : \tau' and \Gamma' \vdash \mathcal{C}[M'] : \tau',  \text{if } \llbracket \Gamma \vdash M \rrbracket = \llbracket \Gamma \vdash M' \rrbracket : \llbracket \Gamma \rrbracket \to \llbracket \tau \rrbracket  then \llbracket \Gamma' \vdash \mathcal{C}[M] \rrbracket = \llbracket \Gamma' \vdash \mathcal{C}[M] \rrbracket : \llbracket \Gamma' \rrbracket \to \llbracket \tau' \rrbracket
```

Soundness

Proposition. For all closed terms $M, V \in \mathrm{PCF}_{\tau}$,

if
$$M \Downarrow_{ au} V$$
 then $\llbracket M
rbracket = \llbracket V
rbracket \in \llbracket au
rbracket$.

Substitution property

Proposition. Suppose that $\Gamma \vdash M : \tau$ and that $\Gamma[x \mapsto \tau] \vdash M' : \tau'$, so that we also have $\Gamma \vdash M'[M/x] : \tau'$. Then,

for all $\rho \in \llbracket \Gamma \rrbracket$.

Substitution property

Proposition. Suppose that $\Gamma \vdash M : \tau$ and that $\Gamma[x \mapsto \tau] \vdash M' : \tau'$, so that we also have $\Gamma \vdash M'[M/x] : \tau'$. Then,

for all $\rho \in \llbracket \Gamma \rrbracket$.

In particular when
$$\Gamma=\emptyset$$
, $[\![\langle x\mapsto \tau\rangle \vdash M']\!]: [\![\tau]\!] \to [\![\tau']\!]$ and
$$[\![M'[M/x]]\!] = [\![\langle x\mapsto \tau\rangle \vdash M']\!]([\![M]\!])$$

Topic 7

Relating Denotational and Operational Semantics

For any closed PCF terms M and V of ground type $\gamma \in \{nat, bool\}$ with V a value

$$\llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \gamma \rrbracket \implies M \Downarrow_{\gamma} V.$$

For any closed PCF terms M and V of ground type $\gamma \in \{nat, bool\}$ with V a value

$$\llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \gamma \rrbracket \implies M \Downarrow_{\gamma} V.$$

NB. Adequacy does not hold at function types

For any closed PCF terms M and V of ground type $\gamma \in \{nat, bool\}$ with V a value

$$\llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \gamma \rrbracket \implies M \Downarrow_{\gamma} V.$$

NB. Adequacy does not hold at function types:

$$\llbracket \mathbf{fn} \ x : \tau . \ (\mathbf{fn} \ y : \tau . \ y) \ x \rrbracket = \llbracket \mathbf{fn} \ x : \tau . \ x \rrbracket : \llbracket \tau \rrbracket \to \llbracket \tau \rrbracket$$

For any closed PCF terms M and V of ground type $\gamma \in \{nat, bool\}$ with V a value

$$\llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \gamma \rrbracket \implies M \Downarrow_{\gamma} V.$$

NB. Adequacy does not hold at function types:

$$\llbracket \mathbf{fn} \ x : \tau . \ (\mathbf{fn} \ y : \tau . \ y) \ x \rrbracket = \llbracket \mathbf{fn} \ x : \tau . \ x \rrbracket : \llbracket \tau \rrbracket \to \llbracket \tau \rrbracket$$

but

$$\mathbf{fn} \ x : \tau. \ (\mathbf{fn} \ y : \tau. \ y) \ x \not \! \downarrow_{\tau \to \tau} \mathbf{fn} \ x : \tau. \ x$$

- 1. We cannot proceed to prove the adequacy statement by a straightforward induction on the structure of terms.
 - ▶ Consider M to be $M_1 M_2$, $\mathbf{fix}(M')$.

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- 1. We cannot proceed to prove the adequacy statement by a straightforward induction on the structure of terms.
 - ▶ Consider M to be $M_1 M_2$, $\mathbf{fix}(M')$.
- 2. So we proceed to prove a stronger statement that applies to terms of arbitrary types and implies adequacy.

This statement roughly takes the form:

$$[\![M]\!] \lhd_{\tau} M$$
 for all types τ and all $M \in \mathrm{PCF}_{\tau}$

where the formal approximation relations

$$\lhd_{\tau} \subseteq \llbracket \tau \rrbracket \times \mathrm{PCF}_{\tau}$$

are *logically* chosen to allow a proof by induction.

Requirements on the formal approximation relations, I

We want that, for $\gamma \in \{nat, bool\}$,

$$\llbracket M \rrbracket \lhd_{\gamma} M \text{ implies } \underbrace{\forall \, V \, (\llbracket M \rrbracket = \llbracket V \rrbracket \implies M \Downarrow_{\gamma} V)}_{\text{adequacy}}$$

Definition of
$$d \lhd_{\gamma} M$$
 $(d \in [\![\gamma]\!], M \in \mathrm{PCF}_{\gamma})$ for $\gamma \in \{nat, bool\}$

$$n \lhd_{nat} M \stackrel{\text{def}}{\Leftrightarrow} (n \in \mathbb{N} \Rightarrow M \Downarrow_{nat} \mathbf{succ}^n(\mathbf{0}))$$

$$b \lhd_{bool} M \stackrel{\text{def}}{\Leftrightarrow} (b = true \Rightarrow M \Downarrow_{bool} \mathbf{true})$$

$$\& (b = false \Rightarrow M \Downarrow_{bool} \mathbf{false})$$

Proof of: $\llbracket M \rrbracket \lhd_{\gamma} M$ implies adequacy

Case $\gamma = nat$.

$$\llbracket M
rbracket = \llbracket V
rbracket$$
 $\implies \llbracket M
rbracket = \llbracket \mathbf{succ}^n(\mathbf{0})
rbracket$ for some $n \in \mathbb{N}$
 $\implies n = \llbracket M
rbracket \lhd_{\gamma} M$
 $\implies M \Downarrow \mathbf{succ}^n(\mathbf{0})$ by definition of \lhd_{nat}

Case $\gamma = bool$ is similar.

Requirements on the formal approximation relations, II

We want to be able to proceed by induction.

ightharpoonup Consider the case $M=M_1\,M_2$.

→ logical definition

Definition of

$$f \lhd_{\tau \to \tau'} M \ \left(f \in (\llbracket \tau \rrbracket \to \llbracket \tau' \rrbracket), M \in \mathrm{PCF}_{\tau \to \tau'} \right)$$

Definition of

$$f \lhd_{\tau \to \tau'} M \ \left(f \in (\llbracket \tau \rrbracket \to \llbracket \tau' \rrbracket), M \in \mathrm{PCF}_{\tau \to \tau'} \right)$$

$$f \vartriangleleft_{\tau \to \tau'} M$$

$$\stackrel{\text{def}}{\Leftrightarrow} \forall x \in \llbracket \tau \rrbracket, N \in \mathrm{PCF}_{\tau}$$

$$(x \vartriangleleft_{\tau} N \Rightarrow f(x) \vartriangleleft_{\tau'} M N)$$

Requirements on the formal approximation relations, III

We want to be able to proceed by induction.

ightharpoonup Consider the case $M = \mathbf{fix}(M')$.

→ admissibility property

Admissibility property

Lemma. For all types τ and $M \in \mathrm{PCF}_{\tau}$, the set

$$\{ d \in [\![\tau]\!] \mid d \vartriangleleft_{\tau} M \}$$

is an admissible subset of $[\tau]$.

Further properties

Lemma. For all types τ , elements $d, d' \in [\tau]$, and terms $M, N, V \in \mathrm{PCF}_{\tau}$,

- 1. If $d \sqsubseteq d'$ and $d' \lhd_{\tau} M$ then $d \lhd_{\tau} M$.
- 2. If $d \lhd_{\tau} M$ and $\forall V (M \Downarrow_{\tau} V \implies N \Downarrow_{\tau} V)$ then $d \lhd_{\tau} N$.

Requirements on the formal approximation relations, IV

We want to be able to proceed by induction.

ightharpoonup Consider the case $M = \operatorname{fn} x : \tau \cdot M'$.

→ substitutivity property for open terms

Fundamental property

Theorem. For all
$$\Gamma = \langle x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \rangle$$
 and all $\Gamma \vdash M : \tau$, if $d_1 \lhd_{\tau_1} M_1, \dots, d_n \lhd_{\tau_n} M_n$ then
$$\llbracket \Gamma \vdash M \rrbracket \llbracket x_1 \mapsto d_1, \dots, x_n \mapsto d_n \rrbracket \lhd_{\tau} M \llbracket M_1/x_1, \dots, M_n/x_n \rrbracket.$$

Fundamental property

Theorem. For all
$$\Gamma = \langle x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \rangle$$
 and all $\Gamma \vdash M : \tau$, if $d_1 \lhd_{\tau_1} M_1, \dots, d_n \lhd_{\tau_n} M_n$ then $[\![\Gamma \vdash M]\!][x_1 \mapsto d_1, \dots, x_n \mapsto d_n] \lhd_{\tau} M[M_1/x_1, \dots, M_n/x_n]$.

NB. The case $\Gamma = \emptyset$ reduces to

$$\llbracket M \rrbracket \lhd_{\tau} M$$

for all $M \in \mathrm{PCF}_{\tau}$.

Fundamental property of the relations \triangleleft_{τ}

Proposition. If $\Gamma \vdash M : \tau$ is a valid PCF typing, then for all Γ -environments ρ and all Γ -substitutions σ

$$\rho \lhd_{\Gamma} \sigma \Rightarrow \llbracket \Gamma \vdash M \rrbracket(\rho) \lhd_{\tau} M[\sigma]$$

- $\bullet \ \rho \lhd_{\Gamma} \sigma \text{ means that } \rho(x) \lhd_{\Gamma(x)} \sigma(x) \text{ holds for each } x \in dom(\Gamma).$
- $M[\sigma]$ is the PCF term resulting from the simultaneous substitution of $\sigma(x)$ for x in M, each $x \in dom(\Gamma)$.

Contextual preorder between PCF terms

Given PCF terms M_1, M_2 , PCF type τ , and a type environment Γ , the relation $\Gamma \vdash M_1 \leq_{\text{ctx}} M_2 : \tau$ is defined to hold iff

- ullet Both the typings $\Gamma \vdash M_1 : \tau$ and $\Gamma \vdash M_2 : \tau$ hold.
- For all PCF contexts \mathcal{C} for which $\mathcal{C}[M_1]$ and $\mathcal{C}[M_2]$ are closed terms of type γ , where $\gamma = nat$ or $\gamma = bool$, and for all values $V \in \mathrm{PCF}_{\gamma}$,

$$\mathcal{C}[M_1] \Downarrow_{\gamma} V \implies \mathcal{C}[M_2] \Downarrow_{\gamma} V$$
.

Extensionality properties of \leq_{ctx}

At a ground type
$$\gamma \in \{bool, nat\}$$
,
$$M_1 \leq_{\operatorname{ctx}} M_2 : \gamma \text{ holds if and only if}$$

$$\forall \, V \in \operatorname{PCF}_{\gamma} \left(M_1 \Downarrow_{\gamma} V \implies M_2 \Downarrow_{\gamma} V \right) \;.$$
 At a function type $\tau \to \tau'$,
$$M_1 \leq_{\operatorname{ctx}} M_2 : \tau \to \tau' \text{ holds if and only if}$$

$$\forall \, M \in \operatorname{PCF}_{\tau} \left(M_1 \, M \leq_{\operatorname{ctx}} M_2 \, M : \tau' \right) \;.$$

Topic 8

Full Abstraction

Proof principle

For all types au and closed terms $M_1, M_2 \in \mathrm{PCF}_{ au}$,

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket \implies M_1 \cong_{\operatorname{ctx}} M_2 : \tau$$
.

Hence, to prove

$$M_1 \cong_{\operatorname{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1
rbracket = \llbracket M_2
rbracket$$
 in $\llbracket au
rbracket$.

Full abstraction

A denotational model is said to be *fully abstract* whenever denotational equality characterises contextual equivalence.

Full abstraction

A denotational model is said to be *fully abstract* whenever denotational equality characterises contextual equivalence.

ightharpoonup The domain model of PCF is *not* fully abstract.

In other words, there are contextually equivalent PCF terms with different denotations.

Failure of full abstraction, idea

We will construct two closed terms

$$T_1, T_2 \in \mathrm{PCF}_{(bool \to (bool \to bool)) \to bool}$$

such that

$$T_1 \cong_{\operatorname{ctx}} T_2$$

and

$$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$$

lacktriangle We achieve $T_1 \cong_{\operatorname{ctx}} T_2$ by making sure that

$$\forall M \in \mathrm{PCF}_{bool \to (bool \to bool)} \left(T_1 M \not \downarrow_{bool} \& T_2 M \not \downarrow_{bool} \right)$$

ightharpoonup We achieve $T_1 \cong_{\operatorname{ctx}} T_2$ by making sure that

$$\forall M \in \mathrm{PCF}_{bool \to (bool \to bool)} (T_1 M \not \downarrow_{bool} \& T_2 M \not \downarrow_{bool})$$

Hence,

$$[\![T_1]\!]([\![M]\!]) = \bot = [\![T_2]\!]([\![M]\!])$$

for all $M \in \mathrm{PCF}_{bool \to (bool \to bool)}$.

ightharpoonup We achieve $T_1 \cong_{\operatorname{ctx}} T_2$ by making sure that

$$\forall M \in \mathrm{PCF}_{bool \to (bool \to bool)} (T_1 M \not \downarrow_{bool} \& T_2 M \not \downarrow_{bool})$$

Hence,

$$[T_1]([M]) = \bot = [T_2]([M])$$

for all $M \in \mathrm{PCF}_{bool \to (bool \to bool)}$.

lacktriangle We achieve $\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$ by making sure that

$$[T_1](por) \neq [T_2](por)$$

for some *non-definable* continuous function

$$por \in (\mathbb{B}_{\perp} \to (\mathbb{B}_{\perp} \to \mathbb{B}_{\perp}))$$
.

Parallel-or function

is the unique continuous function $por: \mathbb{B}_{\perp} \to (\mathbb{B}_{\perp} \to \mathbb{B}_{\perp})$ such that

```
por true \perp = true
por \perp true = true
por false false = false
```

Parallel-or function

is the unique continuous function $por: \mathbb{B}_\perp \to (\mathbb{B}_\perp \to \mathbb{B}_\perp)$ such that

```
por true \perp = true
por \perp true = true
por false false = false
```

In which case, it necessarily follows by monotonicity that

Undefinability of parallel-or

Proposition. There is no closed PCF term

$$P:bool \rightarrow (bool \rightarrow bool)$$

satisfying

$$\llbracket P \rrbracket = por : \mathbb{B}_{\perp} \to (\mathbb{B}_{\perp} \to \mathbb{B}_{\perp})$$
.

Parallel-or test functions

Parallel-or test functions

```
For i=1,2 define
       T_i \stackrel{\text{def}}{=} \mathbf{fn} \ f: bool \to (bool \to bool) \ .
                           if (f \mathbf{true} \Omega) \mathbf{then}
                               if (f \Omega \text{ true}) then
                                   if (f false false) then \Omega else B_i
                               else \Omega
                            else \Omega
where B_1 \stackrel{\text{def}}{=} \mathbf{true}, B_2 \stackrel{\text{def}}{=} \mathbf{false},
and \Omega \stackrel{\text{def}}{=} \mathbf{fix}(\mathbf{fn} \, x : bool.x).
```

Failure of full abstraction

Proposition.

$$T_1 \cong_{\operatorname{ctx}} T_2 : (bool \to (bool \to bool)) \to bool$$
$$||T_1|| \neq ||T_2|| \in (\mathbb{B}_\perp \to (\mathbb{B}_\perp \to \mathbb{B}_\perp)) \to \mathbb{B}_\perp$$

PCF+por

Expressions
$$M::=\cdots \mid \mathbf{por}(M,M)$$

Typing $\frac{\Gamma dash M_1:bool \ \Gamma dash M_2:bool}{\Gamma dash \mathbf{por}(M_1,M_2):bool}$

Evaluation

Plotkin's full abstraction result

The denotational semantics of PCF+por is given by extending that of PCF with the clause

$$\llbracket\Gamma \vdash \mathbf{por}(M_1, M_2)\rrbracket(\rho) \stackrel{\text{def}}{=} por(\llbracket\Gamma \vdash M_1\rrbracket(\rho)) (\llbracket\Gamma \vdash M_2\rrbracket(\rho))$$

This denotational semantics is fully abstract for contextual equivalence of PCF+por terms:

$$\Gamma \vdash M_1 \cong_{\operatorname{ctx}} M_2 : \tau \iff \llbracket \Gamma \vdash M_1 \rrbracket = \llbracket \Gamma \vdash M_2 \rrbracket.$$