# Distributed systems <br> Lecture 11: Clocks, physical and logical time 

Michaelmas 2019<br>Dr Martin Kleppmann<br>(With thanks to Dr Robert N. M. Watson and Dr Steven Hand)

## Client-server interaction: summary

- Server handles requests from client
- Simple request/response protocols (like HTTP) useful, but lack language integration
- RPC schemes (SunRPC, DCE RPC) address this
- OOM schemes (CORBA, DCOM, RMI) extend RPC to understand objects, types, interfaces, exns, ...
- Recent WWW developments move away from traditional RPC/RMI:
- Avoid explicit IDLs since can slow evolution
- Enable asynchrony, or return to request/response


## Representational State Transfer (REST)

- AJAX still does RPC (just asynchronously)
- Is a procedure call / method invocation really the best way to build distributed systems?
- Representational State Transfer (REST) is an alternative 'paradigm' (or a throwback?)
- Resources have a name: URL or URI
- Manipulate them via POST (create), GET (select), PUT (create/overwrite), and DELETE (delete)
- More recently added: PATCH (partial update in place)
- Send state along with operations
- Very widely used today (Amazon, Flickr, Twitter)


## Clocks and distributed time

- Distributed systems need to be able to:
- order events produced by concurrent processes;
- synchronize senders and receivers of messages;
- serialize concurrent accesses to shared objects; and
- generally coordinate joint activity
- This can be provided by some sort of clock:
- physical clocks keep time of day
- (must be kept consistent across multiple nodes - why?)
- logical clocks keep track of event ordering
- NB. Clock in digital electronics (oscillator) $=$ clock in distributed systems (source of timestamps)


## Physical clock technology

- Quartz Crystal Clocks (1929)
- resonator shaped like a tuning fork
- laser-trimmed to vibrate at $32,768 \mathrm{~Hz}$
- standard resonators accurate to 6 ppm at $31^{\circ} \mathrm{C}$... so will gain/lose around 0.5 seconds per day
- stability better than accuracy (about $2 \mathrm{~s} /$ month)
- best resonators get accuracy of $\sim 1 s$ in 10 years
- Atomic clocks (1948)
- count transitions of the cesium 133 atom
- 9,192,631,770 periods defined to be 1 second
- accuracy is better than 1 second in 6 million years...
- relativity can't be ignored: think satellites


## Coordinated Universal Time (UTC)

- Physical clocks provide ticks but we want to know the actual time of day
- determined by astronomical phenomena
- Several variants of universal time
- UTO: mean solar time on Greenwich meridian
- UT1: UT0 corrected for polar motion; measured via observations of quasars, laser ranging, \& satellites
- UT2: UT1 corrected for seasonal variations
- UTC: civil time, tracked using atomic clocks, but kept within 0.9 s of UT1 by occasional leap seconds


## Computer clocks

- Typically have a Real-Time Clock (RTC)
- CMOS clock driven by a quartz oscillator
- battery-backed so continues when power is off
- Also have range of other clocks (PIT, ACPI, HPET, TSC, ...), mostly higher frequency
- free running clocks driven by quartz oscillator
- mapped to real time by OS at boot time
- programmable to generate interrupts after some number of ticks ( $\sim=$ some amount of real time)


## Operating-system use of clocks

- OSes use time for many things
- Periodic events - e.g., time sharing, statistics, at, cron
- Local I/O functions - e.g., peripheral timeouts; entropy
- Network protocols - e.g., TCP DELACK, retries, keep-alive
- Cryptographic certificate/ticket generation, expiration
- Performance profiling and sampling features
- Ticks trigger interrupts
- Historically, timers at fixed intervals (e.g., 100Hz)
- Now, tickless: timer reprogrammed for next event
- Saves energy, CPU resources - especially as cores scale up

Which of these require physical time vs logical time? What will happen to each if the real-time clock drifts or steps due to synchronization?

## The clock synchronization problem

- In distributed systems, we'd like all the different nodes to have the same notion of time, but
- quartz oscillators oscillate at slightly different frequencies (time, temperature, manufacture)
- Hence clocks tick at different rates:
- create ever-widening gap in perceived time
- this is called clock drift
- The difference between two clocks at a given point in time is called clock skew
- Clock synchronization aims to minimize clock skew between two (or a set of) different clocks


## Clock skew and clock drift



08:00:00


08:00:00

February 18, 2012 08:00:00

## Clock skew and clock drift



08:01:24
Skew $=84$ seconds
Drift $=84 \mathrm{~s} / 34$ days

$$
\begin{aligned}
& =+2.47 \mathrm{~s} \mathrm{per} \mathrm{day} \\
& =28.6 \mathrm{ppm}
\end{aligned}
$$

March 23, 2012 08:00:00


08:01:48
Skew = 108 seconds
Drift $=108 \mathrm{~s} / 34$ days
= +3.18s per day
$=36.8 \mathrm{ppm}$

## Dealing with drift

- A clock can have positive or negative drift with respect to a reference clock (e.g. UTC)
- Need to [re]synchronize periodically
- Can't just set clock to 'correct' time
- Jumps (particularly backward!) can confuse apps
- Instead aim for gradual compensation
- If clock fast, make it run slower until correct
- If clock slow, make it run faster until correct


## Compensation

- Most systems relate real-time to cycle counters or periodic interrupt sources
- E.g. calibrate CPU Time-Stamp Counter (TSC) against CMOS Real-Time Clock (RTC) at boot, and compute scaling factor (e.g. cycles per ms)
- Can now convert TSC differences to real-time
- Similarly can determine how much real-time passes between periodic interrupts: call this delta
- On interrupt, add delta to software real-time clock
- Making small changes to delta gradually adjusts time
- Once synchronized, change delta back to original value
- (Or try to estimate drift \& continually adjust delta)
- Minimise time discontinuities from stepping


## Obtaining accurate time

- Of course, need some way to know correct time (e.g. UTC) in order to adjust clock!
- could attach a GPS receiver (or atomic clock) to computer, and get $\pm 0.1 \mathrm{~ms}$ accuracy...
- ...but too expensive/clunky for general use
- (RF in server rooms and data centres non-ideal)
- Instead can ask some machine with a more accurate clock over the network: a time server
- e.g. send RPC getTime() to server
- What's the problem here?


## Cristian's Algorithm (1989)


time

- Attempt to compensate for network delays
- Remember local time just before sending: $\mathrm{T}_{0}$
- Server gets request, and puts $\mathrm{T}_{\mathrm{s}}$ into response
- When client receives reply, notes local time: $T_{1}$
- Correct time is then approximately $\left(T_{s}+\left(T_{1}-T_{0}\right) / 2\right)$ (assumes symmetric behaviour...)


## Cristian's Algorithm: Example



- RTT $=460 \mathrm{~ms}$, so one way delay is [approx] 230 ms .
- Estimate correct time as (08:02:04.325 + 230ms) $=08: 02: 04.555$
- Client gradually adjusts local clock to gain 2.425 seconds


## Berkeley Algorithm (1989)

- Don't assume have an accurate time server
- Try to synchronize a set of clocks to the average
- One machine, $\mathbf{M}$, is designated the master
- M periodically polls all other machines for their time
- (can use Cristian's technique to account for delays)
- Master computes average (including itself, but ignoring outliers), and sends an adjustment to each machine



## Network Time Protocol (NTP)

- Previous schemes designed for LANs; in practice today's systems use NTP:
- Global service designed to enable clients to stay within (hopefully) a few ms of UTC
- Hierarchy of clocks arranged into strata
- Stratum0 = atomic clocks (or maybe GPS, GEOS)
- Stratum1 = servers directly attached to stratum0 clock
- Stratum2 = servers that synchronize with stratum1
- ... and so on
- Timestamps made up of seconds and 'fraction'
- e.g. 32 bit seconds-since-epoch; 32 bit 'picoseconds'


## NTP algorithm



- UDP/IP messages with slots for four timestamps
- systems insert timestamps at earliest/latest opportunitv
- Client computes:
- Offset $\mathbf{O}=\left(\left(\mathrm{T}_{1}-\mathrm{T}_{0}\right)+\left(\mathrm{T}_{2}-\mathrm{T}_{3}\right)\right) / 2$
- Delay D = ( $\left.\mathrm{T}_{3}-\mathrm{T}_{0}\right)-\left(\mathrm{T}_{2}-\mathrm{T}_{1}\right)$

Measured difference in average
timestamps: (T1+T2)/2 - (T0+T3)/2
Estimated two-way communication delay minus processing time

- Relies on symmetric messaging delays to be correct (but now excludes variable processing delay at server)


## NTP example



- First request/reply pair:
- Total message delay is ((6-3) - (38-37)) $=2$
- Offset is ((37-3) + (38-6)) / $2=33$
- Second request/reply pair:
- Total message delay is ((13-8) - (45-42)) $=2$
- Offset is ((42-8) + (45-13)) / $2=33$


## NTP: additional details (1)

- NTP uses multiple requests per server
- Remember <offset, delay> in each case
- Calculate the filter dispersion of the offsets \& discard outliers
- Chooses remaining candidate with the smallest delay
- NTP can also use multiple servers
- Servers report synchronization dispersion = estimate of their quality relative to the root (stratum 0)
- Combined procedure to select best samples from best servers (see RFC 5905 for the gory details)


## NTP: additional details (2)

- Various operating modes:
- Broadcast ("multicast"): server advertises current time
- Client-server ("procedure call"): as described on previous slides
- Symmetric: between a set of NTP servers
- Security is supported
- Authenticate server, prevent replays
- Cryptographic cost compensated for


## Physical clocks: summary

- Physical devices exhibit clock drift
- Even if initially correct, they tick too fast or too slow, and hence time ends up being wrong
- Drift rates depend on the specific device, and can vary with time, temperature, acceleration, ...
- Instantaneous difference between clocks is clock skew
- Clock synchronization algorithms attempt to minimize the skew between a set of clocks
- Decide upon a target correct time (atomic, or average)
- Communicate to agree, compensating for delays
- In reality, will still have 1-10ms skew after sync ;-(


## Ordering

- One use of time is to provide ordering
- If I withdrew $£ 100$ cash at 23:59.44...
- And the bank computes interest at 00:00.00...
- Then interest calculation shouldn’t include the $£ 100$
- But in distributed systems we can't perfectly synchronize time => cannot use this for ordering
- Clock skew can be large, and may not be trusted
- And over large distances, relativistic events mean that ordering depends on the observer
- Message sent at T $=2.0 \mathrm{~s}$ (according to sender clock) may be received at $\mathrm{T}=1.9 \mathrm{~s}$ (according to recipient)


## The "happens-before" relation

- Often don't need to know when event $\boldsymbol{a}$ occurred
- Just need to know if $\boldsymbol{a}$ occurred before or after $\boldsymbol{b}$
- Define the happens-before relation, $a \rightarrow b$
- If events $\boldsymbol{a}$ and $\boldsymbol{b}$ are within the same process, then $\boldsymbol{a} \rightarrow \boldsymbol{b}$ if $\boldsymbol{a}$ occurs with an earlier local timestamp
- Messages between processes are ordered causally, i.e. the event send $(m) \rightarrow$ the event receive( $m$ )
- Transitivity: i.e. if $\boldsymbol{a} \rightarrow \boldsymbol{b}$ and $\boldsymbol{b} \rightarrow \boldsymbol{c}$, then $\boldsymbol{a} \rightarrow \boldsymbol{c}$
- Note that this only provides a partial order:
- Possible for neither $\boldsymbol{a} \rightarrow \boldsymbol{b}$ nor $\boldsymbol{b} \rightarrow \boldsymbol{a}$ to hold
- We say that $\boldsymbol{a}$ and $\boldsymbol{b}$ are concurrent and write $\boldsymbol{a} \| \boldsymbol{b}$


## Example



- Three processes (each with 2 events), and 2 messages
- Due to process order, we know $\boldsymbol{a} \rightarrow \boldsymbol{b}, \boldsymbol{c} \rightarrow \boldsymbol{d}$ and $\boldsymbol{e} \rightarrow \boldsymbol{f}$
- Causal order tells us $\boldsymbol{b} \rightarrow \boldsymbol{c}$ and $\boldsymbol{d} \rightarrow \boldsymbol{f}$
- And by transitivity $a \rightarrow c, a \rightarrow d, a \rightarrow f, b \rightarrow d, b \rightarrow f, c \rightarrow f$
- However, event $e$ is concurrent with $\boldsymbol{a}, \boldsymbol{b}, \boldsymbol{c}$ and $\boldsymbol{d}$


## Summary + next time

- Clock skew and drift
- The clock synchronization problem
- Cristian's Algorithm, Berkeley Algorithm, NTP
- Logical time via the happens-before relation
- Vector clocks
- Consistent cuts
- Group communication
- Enforcing ordering vs. asynchrony
- Distributed mutual exclusion

