# **Lecture 4: Card Shuffling and Covertime**

John Sylvester Nicolás Rivera Luca Zanetti Thomas Sauerwald

Lent 2019



#### **Outline**

## Shuffling and Strong Stationary Times

Covertime

s - t Connectivity

2-Sat



A *Permutation*  $\sigma$  of  $[n] = \{1, ..., n\}$  is a bijection  $\sigma : [n] \to [n]$ .

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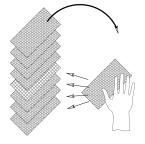
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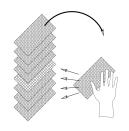
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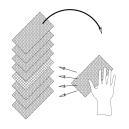
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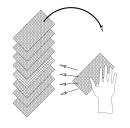
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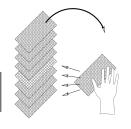


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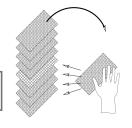


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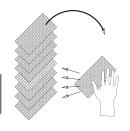
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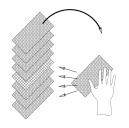
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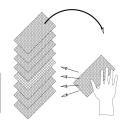
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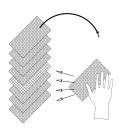
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Thus at time  $\tau_{top}-1$  B sits on the top of a uniform permutation of  $[n]\setminus\{B\}$ , then we place B in at random so  $\mathbf{P}[X_{\tau_{top}} \mid \tau_{top}=t]=1/n!$ .



Mixing of Top-to-Random Shuffle \_\_\_\_\_

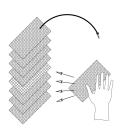
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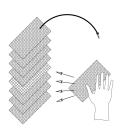
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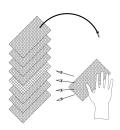


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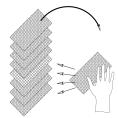
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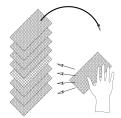
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• Since the state space  $\Sigma_n$  has size n!, we have

$$t_{mix} pprox \ln\left(|\Sigma_n|\right)$$
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	$\Delta(t)$	1.00	.92	.61	.33	.17.	.09



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#### Covertime

s - t Connectivity

2-Sat



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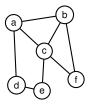
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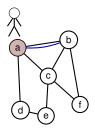


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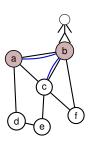


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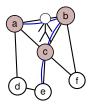


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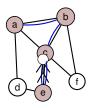


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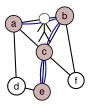


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The *Cover time t<sub>cov</sub>* (G) of a graph G = (V, E) is given by

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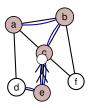


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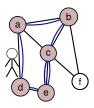


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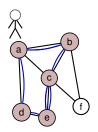


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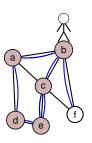


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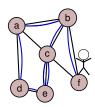


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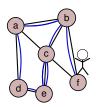


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Expected time for a walk to visit the whole graph from worst case start.



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 $au_{cov}(G)=9$ 

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Proof: Note that  $\sum_{x \in V} \pi = 1$  and that for any  $x \in V$ 

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$$h_{x,y} \leq \sum_{z \in \mathcal{X}} h_{z,y} \leq d(y) \cdot \left(\frac{2|E|}{d(y)} - 1\right) \leq 2|E|.$$



Covertime bdd

For any connected graph  $t_{cov}(G) \le 4n|E| \le 2n^3$ .

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Matthews bound

For any graph G we have

$$t_{cov}(G) \leq \left(\sum_{m=1}^{n-1} \frac{1}{m}\right) \cdot \max_{x,y \in V} h_{x,y} \approx (\ln n) \cdot \max_{x,y \in V} h_{x,y}.$$

The *n*-path  $P_n$  is the graph with  $V(P_n) = [n]$  and  $E(P_n) = \{ij : j = i + 1\}$ .

Proposition -

For the SRW on  $P_n$  we have  $h_{k,n} = n^2 - k^2$ , for any  $0 \le k \le n$ .

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$$f_0 = 1 + f_1$$
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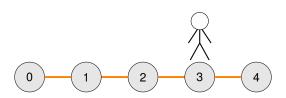
and for any  $1 \le k \le n-1$  we have,

$$f_k = 1 + \frac{n^2 - (k-1)^2}{2} + \frac{n^2 - (k+1)^2}{2} = n^2 - k^2.$$

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$$n^2 \leq \textit{t}_{cov}(P_n) \leq 2n^2.$$

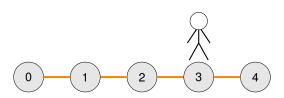


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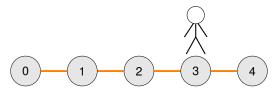
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For the upper bound the max time to reach one end point from any start point is at most  $n^2$ . Now from this end point if we reach the opposite end point we must have visited every vertex, this takes an additional  $n^2$  expected time.  $\Box$ 



#### **Outline**

Shuffling and Strong Stationary Times

Covertime

s-t Connectivity

2-Sat



s – t Connectivity Problem –

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- Start a random walk from s.
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• Running this T times gives the correct answer with probability  $\geq 1 - 1/2^T$ .

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Proof: By Markov inequality if there is a path to t we will find it w.p. > 1/2.  $\square$ 

- Running this *T* times gives the correct answer with probability  $\geq 1 1/2^T$ .
- Only uses logspace.

### **Outline**

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Covertime

s - t Connectivity

2-Sat



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SAT: 
$$(x_1 \lor \overline{x_2} \lor \overline{x_3}) \land (\overline{x_1} \lor \overline{x_3}) \land (x_1 \lor x_2 \lor x_4) \land (x_4 \lor \overline{x_3}) \land (x_4 \lor \overline{x_1})$$

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Solution:  $X_1 = \text{True}$ ,  $X_2 = \text{False}$ ,  $X_3 = \text{False}$  and  $X_4 = \text{True}$ .

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• If each clause has k literals we call the problem k-SAT.

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- In general, determining if a SAT formula has a solution is NP-hard

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Solution:  $x_1 = \text{True}, \quad x_2 = \text{False}, \quad x_3 = \text{False} \quad \text{and} \quad x_4 = \text{True}.$ 

- If each clause has k literals we call the problem k-SAT.
- In general, determining if a SAT formula has a solution is NP-hard
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A *Satisfiability (SAT)* formula is a logical expression that's the conjunction (AND) of a set of *Clauses*, where a clause is the disjunction (OR) of *Literals*.

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SAT: 
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RAND 2-SAT Algorithm ———

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S =	(Т,	Т,	F,	T)	
-----	-----	----	----	----	--

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F

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S =	(T, T	, F, T).
-----	-------	----------

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
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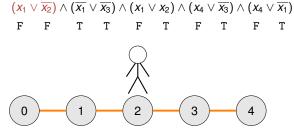
S =	<b>(</b> T,	т,	F,	T).	
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t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
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### RAND 2-SAT Algorithm

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### Example 1:



$$S = (T, T, F, T).$$

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	Т	F	F

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$$S = (T, T, F, T).$$

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
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S =	(T,	Т,	F,	T)	١.
-----	-----	----	----	----	----

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	Т	F	F
2	T	Т	F	F

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$$T \quad F \quad F \quad T \quad T \quad T \quad F \quad T \quad F \quad F$$

$$0 \qquad \qquad 1 \qquad \qquad 2 \qquad \qquad 3 \qquad \qquad 4$$

$$S = (T, T, F, T).$$

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	Т	F	F
2	T	Т	F	F

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S =	(T, T	(F,T).	
-----	-------	--------	--

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	Т	F	F
2	T	Т	F	F

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$$\mathcal{S} = (\mathtt{T}, \mathtt{T}, \mathtt{F}, \mathtt{T}).$$

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	Т	F	F
2	T	Т	F	F
3	Т	Т	F	Т



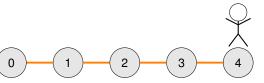
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## Example 1: Solution Found

$$S = (T, T, F, T).$$

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	Т	F	F
2	T	Т	F	F
3	T	Т	F	Т



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## Example 2:

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S =	(T,	F,	F,	T).	
-----	-----	----	----	-----	--

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F

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S =	(T,	F,	F,	T)	١.
-----	-----	----	----	----	----

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S =	(T,	F, F	, T).
-----	-----	------	-------

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F

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S =	(T,	F,	F,	T).	
-----	-----	----	----	-----	--

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F

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S =	<b>(</b> T,	F,	F,	T)	١.
-----	-------------	----	----	----	----

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0	F	F	F	F
1	F	F	F	Т

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S =	<b>(</b> T,	F,	F,	T)	١.
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t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	F	F	Т

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$$F \quad T \quad T \quad F \quad F \quad T \quad T \quad T$$

$$S = (T, F, F, T).$$

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	F	F	Т

- (1) Start with an arbitrary truth assignment.
- (2) Repeat up to  $2n^2$  times, terminating if all clauses are satisfied:
  - (a) Choose an arbitrary clause that is not satisfied
  - (b) Choose one of it's literals UAR and switch the variables value.
- (3) If a valid solution is found return it. O/W return unsatisfiable
- Call each loop of (2) a Step. Let A<sub>i</sub> be the variable assignment at step i.
- Let *S* be any solution and  $X_i = |\text{variable values shared by } A_i \text{ and } S|$ .

### Example 2:

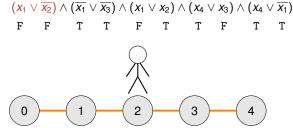
 $(X_1 \vee \overline{X_2}) \wedge (\overline{X_1} \vee \overline{X_3}) \wedge (X_1 \vee X_2) \wedge (X_4 \vee X_3) \wedge (X_4 \vee \overline{X_1})$ 

S =	(T, I	Ŧ, F,	T).
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t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
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2	F	Т	F	Т

#### RAND 2-SAT Algorithm

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-----	-----	----	----	----	----

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## Example 2: Solution Found

$$S = (T, F, F, T).$$

t	<i>X</i> <sub>1</sub>	<i>X</i> <sub>2</sub>	<i>X</i> <sub>3</sub>	<i>X</i> <sub>4</sub>
0	F	F	F	F
1	F	F	F	Т
2	F	Т	F	Т
3	Т	Т	F	T

Expected iterations of (2) in RAND 2-SAT -

If a valid solution S exists then the expected number of iterations of loop (2) before RAND 2-SAT outputs a valid solution is at most  $n^2$ .

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Proof: Fix any solution S, then for any i > 0 and 1 < k < n - 1.

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Proposition

Provided a solution exists the RAND 2-SAT Algorithm will return a valid solution in time  $2n^2$  with probability at least 1/2.

