L95: Natural Language Syntax and Parsing 6) N-best Parsing

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Reminder...

We have looked at the following parsing algorithms:

- CKY
- Shift-Reduce
- A*

But so far we have discussed finding the best parse... what if we want to find the n-best parses?

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

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2

3

0

1

they

can

fish

 $\begin{array}{lll} V & = & \{S, NP, VP, VV, VM\} \\ \Sigma & = & \{can, fish, they\} \\ S & = & S \\ P & = & \{S \rightarrow NP \ VP \ 1.0 \\ & VP \rightarrow VM \ VV \ 0.9 \\ & VP \rightarrow VV \ NP \ 0.1 \\ & VW \rightarrow can \ 1.0 \\ & NP \rightarrow they \ 0.5 \ | \ fish \ 0.5 \ \} \\ \end{array}$

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

1 2

 $0 \qquad NP_{(they)}^{0.5}$

1

2

they

can

fish

 $\begin{array}{rcl} \mathcal{N} & = & \{S, NP, VP, VV, VM\} \\ \Sigma & = & \{can, fish, they\} \\ S & = & S \\ \mathcal{P} & = & \{S \to NP \ VP \ 1.0 \\ & VP \to VM \ VV \ 0.9 \\ & VP \to VV \ NP \ 0.1 \\ & VV \to can \ 0.2 \ | \ fish \ 0.8 \\ & VM \to can \ 1.0 \\ & NP \to they \ 0.5 \ | \ fish \ 0.5 \ \} \\ \end{array}$

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

they

can

fish

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

0 $\begin{array}{rcl} \mathcal{N} & = & \{S, NP, VP, VV, VM\} \\ \Sigma & = & \{can, fish, they\} \\ S & = & S \\ \mathcal{P} & - & \end{array}$ $\{S \rightarrow NP \ VP \ 1.0$ 1 $VP \rightarrow VM \ VV \ 0.9$ $VP \rightarrow VV NP 0.1$ $VV \rightarrow can 0.2 \mid fish 0.8$ $VM \rightarrow can 1.0$ $NP \rightarrow they 0.5 \mid fish 0.5 \}$ 2 they can fish

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

0 $\begin{array}{rcl}
\mathcal{N} & = & \{S, NP, VP, VV, VM\} \\
\Sigma & = & \{can, fish, they\} \\
S & = & S \\
\mathcal{P} & = & \{S \rightarrow NP, VP, 1.0\}
\end{array}$ $\{S \rightarrow NP \ VP \ 1.0$ 1 $VP \rightarrow VM \ VV \ 0.9$ $VP \rightarrow VV NP 0.1$ $VV \rightarrow can 0.2 \mid fish 0.8$ $VM \rightarrow can 1.0$ $NP \rightarrow they 0.5 \mid fish 0.5 \}$ 2 they can fish

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

0 $\{S, NP, VP, VV, VM\}$ $\Sigma = \{s, NF, VF, VV\}$ $\Sigma = \{can, fish, they\}$ S = S $P = \{S \rightarrow NP \ VP \ 1.$ $VP_{1\rightarrow([1,2]_{VV},[2,3]_{NP})}^{0.2*(0.3*0.1=0.01)}$ $VP_{2\rightarrow([1,2]_{VM},[2,3]_{VV})}^{1.0*(0.8*0.9=0.72)}$ = { $S \rightarrow NP VP 1.0$ 1 $VP \rightarrow VM \ VV \ 0.9$ $VP \rightarrow VV NP 0.1$ $VV \rightarrow can 0.2 \mid fish 0.8$ $VM \rightarrow can 1.0$ $NP \rightarrow they 0.5 \mid fish 0.5 \}$ 2 they can fish

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

0 $\{S, NP, VP, VV, VM\}$ $VP_{([1,2]_{VM},[2,3]_{VV})}^{1.0*0.8*0.9=0.72}$ = { $S \rightarrow NP VP 1.0$ 1 $VP \rightarrow VM \ VV \ 0.9$ $VP \rightarrow VV NP 0.1$ $VV \rightarrow can 0.2 \mid fish 0.8$ $VM \rightarrow can 1.0$ $NP \rightarrow they 0.5 \mid fish 0.5 \}$ 2 they can fish

 For the best parse we keep the most probable partial derivation for every non-terminal at each cell

 $S_{([0,1]_{NP},[1,3]_{VP})}^{0.5*1.0*0.8*0.9*1.0=0.36}$ 0 $\begin{array}{rcl}
\mathcal{N} & = & \{5, NP, v_1, \dots \\ \Sigma & = & \{can, fish, they\} \\
S & = & S \\
\mathcal{P} & = & \{S \rightarrow NP \ VP \ 1 \\
\vdots & \vdots & \vdots & \vdots \\
NP & VM \ VV
\end{array}$ $\{S, NP, VP, VV, VM\}$ $VP_{([1,2]_{VM},[2,3]_{VV})}^{1.0*0.8*0.9=0.72}$ = { $S \rightarrow NP VP 1.0$ 1 $VP \rightarrow VM \ VV \ 0.9$ $VP \rightarrow VV NP 0.1$ $VV \rightarrow can 0.2 \mid fish 0.8$ $VM \rightarrow can 1.0$ $NP \rightarrow they 0.5 \mid fish 0.5 \}$ 2 they can fish

An example beam strategy:

- Discard partial derivations based on a score rather than their non-terminal type
- ullet Discard all partial derivations whose score is less than lpha times the maximum score for that cell
- Typical value for α is 0.0001
- Strategy can cause some loss of accuracy

ullet Can discard partial derivations when probability is $\leq \alpha$ times the maximum score for that cell

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                                                                         S_{([0,1]_{NP},[1,3]_{VP})}^{0.5*0.2*0.5*0.1*1.0=0.005} \\ S_{([0,1]_{NP},[1,3]_{VP})}^{0.5*1.0*0.8*0.9*1.0=0.36} \\ C_{([0,1]_{NP},[1,3]_{VP})}^{0.5*1.0*0.8*0.9*1.0=0.36}
0
                                                                                                                                                            \{S, NP, VP, VV, VM\}
                                                                                                                                                            { can, fish, they }
                                                                                                                                                             \{S \rightarrow NP \ VP \ 1.0
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                                                                               VD^{0.2*0.5*0.1=0.01}
                                                                                                                                                             VP \rightarrow VV NP 0.1
                                                                                                                                                             VV \rightarrow can 0.2 \mid fish 0.8
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                                                                                                                                                            \alpha = 0.0001
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ullet Can discard partial derivations when probability is $\leq \alpha$ times the maximum score for that cell

 $S_{([0,1]_{NP},[1,3]_{VP})}^{0.5*1.0*0.8*0.9*1.0=0.36}$ 0 $\{S, NP, VP, VV, VM\}$ { can, fish, they } $\{S \rightarrow NP \ VP \ 1.0$ $VP \rightarrow VM \ VV \ 0.9$ $VD^{0.2*0.5*0.1=0.01}$ $VP \rightarrow VV NP 0.1$ 1 $VV \rightarrow can 0.2 \mid fish 0.8$ $VM \rightarrow can 1.0$ $2 \rightarrow ([1,2]_{VM},[2,3]_{VV})$ $NP \rightarrow thev 0.5 \mid fish 0.5 \}$ 2 $\alpha = 0.05$ they can fish

1

ullet Can discard partial derivations when probability is $\leq \alpha$ times the maximum score for that cell

1 2 3

 \bullet Can discard partial derivations when probability is $\leq \alpha$ times the maximum score for that cell

1 2 3

2

$$VV_{(fish)}^{0.5}$$

 $NP_{(fish)}^{0.5}$

they

can

fish

Question: in what scenario is the best-parse lost when using a beam?

- Can apply beam dynamically at each cell
- To find n-best, select n most probable S parses from top right cell
- Alternatively, exploit fact that 2nd best parse will differ from best parse by just 1 of its parsing decisions
- for nth-best parse all but one of its decisions will be involved in one of the 2nd through the (n -1)th-best parses.
- So first find the best parse, then find the second-best parse, then the third-best, and so on...
- Practically, at each cell keep an ordered list of n-best partial derivations, combine with n-best lists for adjacent partial derivations until you have exactly n to store in the new cell

Coarse-to-fine n-best strategies, Charniak

Charniak parser adopts a **coarse-to-fine** parsing strategy:

- 1 produce a parse forest using simple version of the grammar i.e. find possible parses using coarse-grained non-terminals, e.g. *VP*
- 2 refine most promising of coarse-grained parses using complex grammar i.e with feature-based, lexicalised non-terminals, e.g. \(\frac{VP[buys/VBZ]}{} \)

Coarse-to-fine n-best strategies, Charniak

- Coarse-grained step can be efficiently parsed using e.g. CKY
- But the simple grammar ignores contextual features so best parse might not be accurate
- Output a pruned packed parse forest for the parses generated by the simple grammar (using a beam threshold)
- Evaluate remaining parses with complex grammar (i.e. each coarse-grained state is split into several fine-grained states)
- To create n-best parses fine-grained step keeps the n-best possibilities at each cell

Discriminative reranking is used to recover best parse

- Use parser to produce n-best list of parses
- Define an initial ranking of these parses based on original parse score
- Use second model (e.g. max-ent) to improve the initial ranking (using additional features)
- Collins re-ranking: http://www.aclweb.org/anthology/J05-1003
- Charniak re-ranking: https://dl.acm.org/citation.cfm?id=1219862
- Provides small improvements PARSEVAL metrics on Penn Treebank

Example of shift-reduce parse for the string bacdfe

| | | | | | | STACK | BUFFER bacdfe | ACTION | RECORD |
|---|---|---|---|---|---|-------|------------------|--------|--------|
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Example of shift-reduce parse for the string bacdfe

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Example of shift-reduce parse for the string bacdfe

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Example of shift-reduce parse for the string bacdfe

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Example of shift-reduce parse for the string bacdfe

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Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack

| | | | | | STACK | BUFFER | ACTION | RECORD |
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| | | | | | | bacdfe | SHIFT | |
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Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack

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| | | | | | | bacdfe | SHIFT | |
| | | | | | b | acdfe | SHIFT | |
| | | | | | ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
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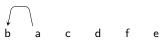
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Example of shift-reduce parse for the string bacdfe

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| | | | | | b | acdfe | SHIFT | |
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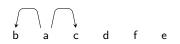
Example of shift-reduce parse for the string bacdfe

| STACK | BUFFER bacdfe | ACTION SHIFT | RECORD |
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| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
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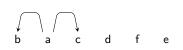
Example of shift-reduce parse for the string bacdfe

| STACK | BUFFER | ACTION | RECORD |
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| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| а | cdfe | SHIFT | |
| ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
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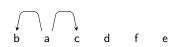
Example of shift-reduce parse for the string bacdfe

| STACK | BUFFER | ACTION | RECORD |
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| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
| ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
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Example of shift-reduce parse for the string bacdfe

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| ac | dfe | RIGHT-ARC | a 	o c |
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Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack

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| | | | | а | cdfe | SHIFT | |
| | | | | ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
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STACK BUFFER ACTION RECORD

Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack

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| | | | | b | acdfe | SHIFT | |
| | | | | ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| | | | | а | cdfe | SHIFT | |
| | | | | ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
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STACK BUFFER ACTION RECORD

Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack

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| | | | | | а | cdfe | SHIFT | |
| | | | | | ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
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STACK BUFFER ACTION RECORD

Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack

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| | | | | | a | cdfe | SHIFT | |
| | | | | | ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
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STACK | BUFFER | ACTION | RECORD

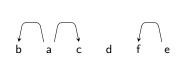
Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack

| | | | | | bacdfe | SHIFT | |
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| | | | | b | acdfe | SHIFT | |
| | | | | ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| | | | | а | cdfe | SHIFT | |
| | | | | ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
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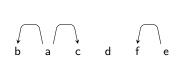
STACK BUFFER ACTION

Example of shift-reduce parse for the string bacdfe



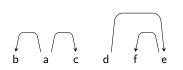
| STACK | BUFFER | ACTION | RECORD |
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| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
| ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
| a | dfe | SHIFT | |
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Example of shift-reduce parse for the string bacdfe



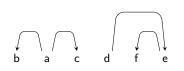
| STACK | BUFFER | ACTION | RECORD |
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| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
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| a | dfe | SHIFT | |
| ad | fe | SHIFT | |
| adf | е | SHIFT | |
| adfe | | LEFT-ARC | $e \rightarrow f$ |
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Example of shift-reduce parse for the string bacdfe



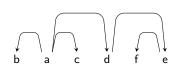
| STACK | BUFFER | ACTION | RECORD |
|-------|--------|-----------|-------------------|
| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
| ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
| a | dfe | SHIFT | |
| ad | fe | SHIFT | |
| adf | е | SHIFT | |
| adfe | | LEFT-ARC | $e \rightarrow f$ |
| ade | | RIGHT-ARC | $d \rightarrow e$ |
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Example of shift-reduce parse for the string bacdfe



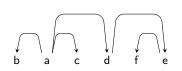
| STACK | BUFFER | ACTION | RECORD |
|-------|--------|-----------|-------------------|
| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
| ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
| a | dfe | SHIFT | |
| ad | fe | SHIFT | |
| adf | е | SHIFT | |
| adfe | | LEFT-ARC | $e \rightarrow f$ |
| ade | | RIGHT-ARC | $d \rightarrow e$ |
| ad | | | |
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Example of shift-reduce parse for the string bacdfe



| STACK | BUFFER | ACTION | RECORD |
|-------|--------|-----------|-------------------|
| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
| ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
| a | dfe | SHIFT | |
| ad | fe | SHIFT | |
| adf | е | SHIFT | |
| adfe | | LEFT-ARC | $e \rightarrow f$ |
| ade | | RIGHT-ARC | $d \rightarrow e$ |
| ad | | RIGHT-ARC | $a \rightarrow d$ |
| | | | |
| | | | |

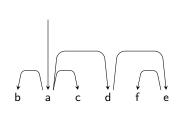
Example of shift-reduce parse for the string bacdfe



| STACK | BUFFER | ACTION | RECORD |
|-------|--------|-----------|-------------------|
| | bacdfe | SHIFT | |
| b | acdfe | SHIFT | |
| ba | cdfe | LEFT-ARC | $a \rightarrow b$ |
| a | cdfe | SHIFT | |
| ac | dfe | RIGHT-ARC | $a \rightarrow c$ |
| a | dfe | SHIFT | |
| ad | fe | SHIFT | |
| adf | е | SHIFT | |
| adfe | | LEFT-ARC | $e \rightarrow f$ |
| ade | | RIGHT-ARC | d 	o e |
| ad | | RIGHT-ARC | $a \rightarrow d$ |
| a | | | |
| | | | |

Example of shift-reduce parse for the string bacdfe

 Actions selected from a classifier based on the features of the configuration of items on the buffer and stack



| STACK | BUFFER |
|-------|--------|
| | bacdfe |
| b | acdfe |
| ba | cdfe |
| a | cdfe |
| ac | dfe |
| a | dfe |
| ad | fe |
| adf | e |
| adfe | |
| ade | |
| ad | |
| | |

| ACTION | |
|-----------|---|
| SHIFT | ı |
| SHIFT | ı |
| LEFT-ARC | ı |
| SHIFT | ı |
| RIGHT-ARC | ı |
| SHIFT | ı |
| SHIFT | ı |
| SHIFT | ı |
| LEFT-ARC | ı |
| RIGHT-ARC | ı |
| RIGHT-ARC | |
| TERMINATE | |

 $root \rightarrow a$

The shift-reduce parser is **greedy**

- Shift-reduce parser makes a single pass through the sentence making greedy decisions
- Makes the algorithm very efficient, O(n) for sentence length n
- Stuck with early decisions no matter how much later evidence contradicts them

Retrieve n-best shift-reduce parses using agenda

- To get the n-best parses we need to systematically explore and score alternative action sequences
- This gives rise to an exponential number of of potential sequences
- Solution is to score and filter possible sequences to within a fixed beam size
- Use an agenda to store possible buffer/stack configurations along with a score of the actions that let to that configuration
- Apply all actions to top item on the agenda and then score the resulting configurations
- Add new configurations to the agenda until the beam is full and then replace lowest scoring items with higher scoring ones
- Continue as long as non-terminating configurations exist on the agenda (guarantees best parse will be found)

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Score reflects action-sequences rather than actions

- In the greedy algorithm the classifier acted as an oracle actions are scored
- With the beam search we want to score action sequences action sequences are scored
- Notice that beam here is constrained by the size of the agenda

N-best dependency parse algorithm

```
function DEPENDENCYBEAMPARSE(words, width) returns dependency tree
  state \leftarrow \{[root], [words], [], 0.0\}; initial configuration
  agenda \leftarrow \langle state \rangle:
                          initial agenda
  while agenda contains non-final states
    newagenda \leftarrow \langle \rangle
    for each state \in agenda do
        for all \{t \mid t \in VALIDOPERATORS(state)\}\ do
          child \leftarrow APPLY(t, state)
          newagenda \leftarrow ADDTOBEAM(child, newagenda, width)
    agenda \leftarrow newagenda
  return BESTOF(agenda)
function ADDTOBEAM(state, agenda, width) returns updated agenda
  if LENGTH(agenda) < width then
     agenda \leftarrow INSERT(state, agenda)
  else if SCORE(state) > SCORE(WORSTOF(agenda))
      agenda \leftarrow REMOVE(WORSTOF(agenda))
     agenda \leftarrow INSERT(state, agenda)
  return agenda
```

Psuedo code from Jurafsky and Martin version 3

n-best shift-reduce parser example in class

Next time

- Lexicalised PCFGs
- More on features and training...