# Complexity Theory <br> Lecture 6 

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## Clique

Given a graph $G=(V, E)$, a subset $X \subseteq V$ of the vertices is called a clique, if for every $u, v \in X,(u, v)$ is an edge.

As with IND, we can define a decision problem:
CLIQUE is defined as:
The set of pairs $(G, K)$, where $G$ is a graph, and $K$ is an integer, such that $G$ contains a clique with $K$ or more vertices.

## Clique 2

CLIQUE is in NP by the algorithm which guesses a clique and then verifies it.

CLIQUE is NP-complete, since IND $\leq_{p}$ CLIQUE by the reduction that maps the pair $(G, K)$ to ( $\bar{G}, K$ ), where $\bar{G}$ is the complement graph of $G$.

## k-Colourability

A graph $G=(V, E)$ is $k$-colourable, if there is a function

$$
\chi: V \rightarrow\{1, \ldots, k\}
$$

such that, for each $u, v \in V$, if $(u, v) \in E$,

$$
\chi(u) \neq \chi(v)
$$

This gives rise to a decision problem for each $k$. 2-colourability is in P .
For all $k>2$, $k$-colourability is NP-complete.

## 3-Colourability

3-Colourability is in NP, as we can guess a colouring and verify it.
To show NP-completeness, we can construct a reduction from 3SAT to 3-Colourability.

For each variable $x$, we have two vertices $x, \bar{x}$ which are connected in a triangle with the vertex a (common to all variables).

In addition, for each clause containing the literals $I_{1}, l_{2}$ and $l_{3}$ we have a gadget.

## Gadget



With a further edge from $a$ to $b$.

## Hamiltonian Graphs

Recall the definition of HAM-the language of Hamiltonian graphs.
Given a graph $G=(V, E)$, a Hamiltonian cycle in $G$ is a path in the graph, starting and ending at the same node, such that every node in $V$ appears on the cycle exactly once.

A graph is called Hamiltonian if it contains a Hamiltonian cycle.
The language HAM is the set of encodings of Hamiltonian graphs.

## Hamiltonian Cycle

We can construct a reduction from 3SAT to HAM
Essentially, this involves coding up a Boolean expression as a graph, so that every satisfying truth assignment to the expression corresponds to a Hamiltonian circuit of the graph.

This reduction is much more intricate than the one for IND.

## Travelling Salesman

Recall the travelling salesman problem
Given

- $V$ - a set of nodes.
- $c: V \times V \rightarrow \mathbb{N}-$ a cost matrix.

Find an ordering $v_{1}, \ldots, v_{n}$ of $V$ for which the total cost:

$$
c\left(v_{n}, v_{1}\right)+\sum_{i=1}^{n-1} c\left(v_{i}, v_{i+1}\right)
$$

is the smallest possible.

## Travelling Salesman

As with other optimisation problems, we can make a decision problem version of the Travelling Salesman problem.

The problem TSP consists of the set of triples

$$
(V, c: V \times V \rightarrow \mathbb{N}, t)
$$

such that there is a tour of the set of vertices $V$, which under the cost matrix $c$, has cost $t$ or less.

## Reduction

There is a simple reduction from HAM to TSP, mapping a graph $(V, E)$ to the triple ( $V, c: V \times V \rightarrow \mathbb{N}, n$ ), where

$$
c(u, v)= \begin{cases}1 & \text { if }(u, v) \in E \\ 2 & \text { otherwise }\end{cases}
$$

and $n$ is the size of $V$.

## Sets, Numbers and Scheduling

It is not just problems about formulas and graphs that turn out to be NP-complete.

Literally hundreds of naturally arising problems have been proved NP-complete, in areas involving network design, scheduling, optimisation, data storage and retrieval, artificial intelligence and many others.

Such problems arise naturally whenever we have to construct a solution within constraints, and the most effective way appears to be an exhaustive search of an exponential solution space.

We now examine three more NP-complete problems, whose significance lies in that they have been used to prove a large number of other problems NP-complete, through reductions.

## 3D Matching

The decision problem of 3D Matching is defined as:
Given three disjoint sets $X, Y$ and $Z$, and a set of triples $M \subseteq X \times Y \times Z$, does $M$ contain a matching?
I.e. is there a subset $M^{\prime} \subseteq M$, such that each element of $X, Y$ and $Z$ appears in exactly one triple of $M^{\prime}$ ?

We can show that 3DM is NP-complete by a reduction from 3SAT.

## Reduction

If a Boolean expression $\phi$ in 3CNF has $n$ variables, and $m$ clauses, we construct for each variable $v$ the following gadget.


In addition, for every clause $c$, we have two elements $x_{c}$ and $y_{c}$. If the literal $v$ occurs in $c$, we include the triple

$$
\left(x_{c}, y_{c}, z_{v c}\right)
$$

in $M$.

Similarly, if $\neg v$ occurs in $c$, we include the triple

$$
\left(x_{c}, y_{c}, \bar{z}_{v c}\right)
$$

in $M$.
Finally, we include extra dummy elements in $X$ and $Y$ to make the numbers match up.

