## Complexity Theory

Lecture 6

Anuj Dawar

http://www.cl.cam.ac.uk/teaching/1819/Complexity

## Clique

Given a graph G = (V, E), a subset  $X \subseteq V$  of the vertices is called a *clique*, if for every  $u, v \in X$ , (u, v) is an edge.

As with IND, we can define a decision problem: CLIQUE is defined as:

The set of pairs (G, K), where G is a graph, and K is an integer, such that G contains a clique with K or more vertices.

# Clique 2

CLIQUE is in NP by the algorithm which guesses a clique and then verifies it.

CLIQUE is NP-complete, since  $\text{IND} \leq_P \text{CLIQUE}$ by the reduction that maps the pair (G, K) to  $(\overline{G}, K)$ , where  $\overline{G}$  is the complement graph of G.

## k-Colourability

A graph G = (V, E) is k-colourable, if there is a function

 $\chi: V \to \{1,\ldots,k\}$ 

such that, for each  $u, v \in V$ , if  $(u, v) \in E$ ,

 $\chi(u) \neq \chi(v)$ 

This gives rise to a decision problem for each k. 2-colourability is in P. For all k > 2, k-colourability is NP-complete.

# 3-Colourability

3-Colourability is in NP, as we can guess a colouring and verify it.

To show NP-completeness, we can construct a reduction from 3SAT to 3-Colourability.

For each variable x, we have two vertices x,  $\bar{x}$  which are connected in a triangle with the vertex *a* (common to all variables).

In addition, for each clause containing the literals  $l_1$ ,  $l_2$  and  $l_3$  we have a gadget.

# Gadget



With a further edge from a to b.

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**Complexity Theory** 

#### Hamiltonian Graphs

Recall the definition of HAM—the language of Hamiltonian graphs.

Given a graph G = (V, E), a *Hamiltonian cycle* in G is a path in the graph, starting and ending at the same node, such that every node in V appears on the cycle *exactly once*.

A graph is called *Hamiltonian* if it contains a Hamiltonian cycle.

The language HAM is the set of encodings of Hamiltonian graphs.

## Hamiltonian Cycle

We can construct a reduction from 3SAT to HAM Essentially, this involves coding up a Boolean expression as a graph, so that every satisfying truth assignment to the expression corresponds to a Hamiltonian circuit of the graph.

This reduction is much more intricate than the one for IND.

#### Travelling Salesman

Recall the travelling salesman problem

Given

- V a set of nodes.
- $c: V \times V \rightarrow \mathbb{N}$  a cost matrix.

Find an ordering  $v_1, \ldots, v_n$  of V for which the total cost:

$$c(v_n, v_1) + \sum_{i=1}^{n-1} c(v_i, v_{i+1})$$

is the smallest possible.

## Travelling Salesman

As with other optimisation problems, we can make a decision problem version of the Travelling Salesman problem.

The problem TSP consists of the set of triples

 $(V, c: V \times V \rightarrow \mathbb{N}, t)$ 

such that there is a tour of the set of vertices V, which under the cost matrix c, has cost t or less.

## Reduction

There is a simple reduction from HAM to TSP, mapping a graph (V, E) to the triple  $(V, c : V \times V \to \mathbb{N}, n)$ , where

$$c(u, v) = \begin{cases} 1 & \text{if } (u, v) \in E \\ 2 & otherwise \end{cases}$$

and n is the size of V.

## Sets, Numbers and Scheduling

It is not just problems about formulas and graphs that turn out to be NP-complete.

Literally hundreds of naturally arising problems have been proved NP-complete, in areas involving network design, scheduling, optimisation, data storage and retrieval, artificial intelligence and many others.

Such problems arise naturally whenever we have to construct a solution within constraints, and the most effective way appears to be an exhaustive search of an exponential solution space.

We now examine three more NP-complete problems, whose significance lies in that they have been used to prove a large number of other problems NP-complete, through reductions.

# 3D Matching

The decision problem of **3D** Matching is defined as:

Given three disjoint sets X, Y and Z, and a set of triples  $M \subseteq X \times Y \times Z$ , does M contain a matching? I.e. is there a subset  $M' \subseteq M$ , such that each element of X, Y and Z appears in exactly one triple of M'?

We can show that 3DM is NP-complete by a reduction from 3SAT.

## Reduction

If a Boolean expression  $\phi$  in 3CNF has *n* variables, and *m* clauses, we construct for each variable *v* the following gadget.



In addition, for every clause c, we have two elements  $x_c$  and  $y_c$ . If the literal v occurs in c, we include the triple

 $(x_c, y_c, z_{vc})$ 

in M.

Similarly, if  $\neg v$  occurs in *c*, we include the triple

 $\left(x_{c},y_{c},\bar{z}_{vc}\right)$ 

in M.

Finally, we include extra dummy elements in X and Y to make the numbers match up.