

Monads (and applicatives)

February 2018

```
val (=) : {E:EQ} → E.t → E.t → bool
```

This time: monads (etc.)



What do monads give us?

A general approach to implementing **custom effects**

A **reusable interface** to computation

A way to **structure** effectful programs in a functional language

Effects

An **effect** is anything a function does besides mapping inputs to outputs.

Rough guideline

If an expression M evaluates to a value V

and changing $\text{let } x = M \text{ in } N$ to $\text{let } x = V \text{ in } N$ changes the behaviour

then M also performs effects.

Effects available in OCaml

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(An **effect** is anything other than mapping inputs to outputs.)

Effects available in OCaml

(higher-order) state

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r := f; !r ()
```

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let rec f x = f x
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Effects unavailable in OCaml**non-determinism**

```
amb f g h
```

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Effects available in OCaml**(higher-order) state**

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let rec f x = f x
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Effects unavailable in OCaml**non-determinism**

```
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first-class continuations

```
escape x in e
```

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Effects available in OCaml**(higher-order) state**

```
r := f; !r ()
```

exceptions

```
raise Not_found
```

I/O of various sorts

```
input_byte stdin
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concurrency (interleaving)

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Gc.finalise v f
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non-termination

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let rec f x = f x
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Effects unavailable in OCaml**non-determinism**

```
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```

first-class continuations

```
escape x in e
```

polymorphic state

```
r := "one"; r := 2
```

(An **effect** is anything other than mapping inputs to outputs.)

Effects available in OCaml**(higher-order) state**

```
r := f; !r ()
```

exceptions

```
raise Not_found
```

I/O of various sorts

```
input_byte stdin
```

concurrency (interleaving)

```
Gc.finalise v f
```

non-termination

```
let rec f x = f x
```

Effects unavailable in OCaml**non-determinism**

```
amb f g h
```

first-class continuations

```
escape x in e
```

polymorphic state

```
r := "one"; r := 2
```

checked exceptions

```
int  $\xrightarrow{\text{IOError}}$  bool
```

(An **effect** is anything other than mapping inputs to outputs.)

Effects available in OCaml**(higher-order) state**`r := f; !r ()`**exceptions**`raise Not_found`**I/O of various sorts**`input_byte stdin`**concurrency (interleaving)**`Gc.finalise v f`**non-termination**`let rec f x = f x`**Effects unavailable in OCaml****non-determinism**`amb f g h`**first-class continuations**`escape x in e`**polymorphic state**`r := "one"; r := 2`**checked exceptions**`int $\xrightarrow{\text{IOError}}$ bool`**resumable exceptions**`(invoke-restart "Try again")`

(An **effect** is anything other than mapping inputs to outputs.)

Some languages capture effects in the **type system**.

We might have two function arrows:

a **pure** arrow $a \rightarrow b$
an **effectful** arrow (or family of arrows) $a \rightsquigarrow b$

and combinators for combining effectful functions

composeE : $(a \rightsquigarrow b) \rightarrow (b \rightsquigarrow c) \rightarrow (a \rightsquigarrow c)$
ignoreE : $(a \rightsquigarrow b) \rightarrow (a \rightsquigarrow \text{unit})$
pairE : $(a \rightsquigarrow b) \rightarrow (c \rightsquigarrow d) \rightarrow (a \times c \rightsquigarrow b \times d)$
liftPure : $(a \rightarrow b) \rightarrow (a \rightsquigarrow b)$

Alternative approach

Decompose effectful arrows into pure functions and computations

$$a \rightsquigarrow b \quad \text{becomes} \quad a \rightarrow T b$$

Monads

```
(let x = e in ...)
```

Plan: define a `let`-like interface, then define its behaviour.

An imperative program

```
let id = !counter in
let () = counter := id + 1 in
  string_of_int id
```

A monadic program

```
get                >>= fun id →
put (id + 1) >>= fun () →
  return (string_of_int id)
```

```
module type MONAD = sig
  type 'a t
  val return : 'a → 'a t
  val (≫=) : 'a t → ('a → 'b t) → 'b t
end
```

```
let return {M:MONAD} x = M.return x
let (≫=) {M:MONAD} m k = M.(≫=) m k
```

```

module type MONAD = sig
  type 'a t
  val return : 'a → 'a t
  val (>>=)   : 'a t → ('a → 'b t) → 'b t
end

```

```

let return {M:MONAD} x = M.return x
let (>>=) {M:MONAD} m k = M.>>= m k

```

Laws

$$\begin{aligned}
 \text{return } v \gg= k &\equiv k \ v \\
 e \gg= \text{return} &\equiv e \\
 (e \gg= f) \gg= g &\equiv e \gg= (\text{fun } x \rightarrow f \ x \gg= g)
 \end{aligned}$$

The **left identity** is a kind of β law:

$$\begin{aligned} \text{return } v \gg\! = k &\equiv k \ v \\ \text{let } x = v \text{ in } M &\equiv M[x:=v] \end{aligned}$$

The **right identity** is a kind of η law:

$$\begin{aligned} e \gg\! = \text{return} &\equiv e \\ \text{let } x = M \text{ in } x &\equiv M \end{aligned}$$

The **associativity law** is a kind of commuting conversion:

$$(e \gg\! = f) \gg\! = g \equiv e \gg\! = (\text{fun } x \rightarrow f \ x \gg\! = g)$$

$$\begin{aligned} \text{let } x = & & \text{let } y = L \text{ in} \\ & (\text{let } y = L \text{ in } M) & \equiv \text{let } x = M \text{ in} \\ & \text{in } N & N \end{aligned}$$

Example: a state monad (interface)

The STATE interface extends MONAD with two effects, get and put:

```
module type STATE = sig
  type state
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val get : state t
  val put : state → unit t
  val runState : 'a t → state → state * 'a
end
```

Every instance of STATE can be used as a MONAD:

```
implicit module Monad_of_state{S:STATE} = S.Monad
```

Example: a state monad (implementation)

```
module type STATE = sig
  type state
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val get : state t
  val put : state → unit t
  val runState : 'a t → state → state * 'a
end
```

A computation in STATE transforms a state and returns a result 'a:

```
type 'a t = state → state * 'a
```

return builds a computation that leaves the state untouched:

```
let return v s = (s, v)
```

Example: a state monad (implementation)

```
module type STATE = sig
  type state
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val get : state t
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end
```

A computation in STATE transforms a state and returns a result 'a:

```
type 'a t = state → state * 'a
```

\gg threads the state from one computation to another:

```
let ( $\gg$ ) m k s = let s', a = m s in k a s'
```

Example: a state monad (implementation)

```
module type STATE = sig
  type state
  type 'a t
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end
```

A computation in STATE transforms a state and returns a result 'a:

```
type 'a t = state → state * 'a
```

get returns the current state (and leaves the state untouched):

```
let get s = (s, s)
```

Example: a state monad (implementation)

```
module type STATE = sig
  type state
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
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  val runState : 'a t → state → state * 'a
end
```

A computation in STATE transforms a state and returns a result 'a:

```
type 'a t = state → state * 'a
```

put replaces the current state:

```
let put s' _ = (s', ())
```

Example: a state monad (implementation)

```
module type STATE = sig
  type state
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val get : state t
  val put : state → unit t
  val runState : 'a t → state → state * 'a
end
```

A computation in STATE transforms a state and returns a result 'a:

```
type 'a t = state → state * 'a
```

runState runs a computation with an initial state:

```
let runState m init = m init
```

Example: a state monad (implementation)

```
module type STATE = sig
  type state
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val get : state t
  val put : state → unit t
  val runState : 'a t → state → state * 'a
end

module State (S : sig type t end) = struct
  type state = S.t
  type 'a t = state -> state * 'a
  module Monad = struct
    type 'a t = state → state * 'a
    let return v s = (s, v)
    let (>>=) m k s = let s', a = m s in k a s'
  end
  let get s = (s, s)
  let put s' _ = (s', ())
  let runState m init = m init
end
```

Example: a state monad (use)

```
type 'a tree = Empty : 'a tree
             | Tree : 'a tree * 'a * 'a tree → 'a tree
```

```
implicit module IState = State (struct type t = int end)
```

```
let fresh_name : string IState.t =
  get           >>= fun i →
  put (i + 1) >>= fun () →
  return (Printf.sprintf "x%d" i)
```

```
let rec mapMTree : 'a.{M:MONAD} →
  ('a → 'b M.t) → 'a tree → 'b tree M.t =
  fun {M:MONAD} f l → match l with
  | Empty → return Empty
  | Tree (l, v, r) →
    mapMTree f l >>= fun l →
    f v           >>= fun v →
    mapMTree f r >>= fun r →
    return (Tree (l, v, r))
```

```
let label_tree = mapMTree (fun _ → fresh_name)
```


State satisfies the monad laws

Example: we'll prove the following law for the state monad:

$$\text{return } v \gg= k \equiv k \ v$$

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$$\equiv \text{ (definition of return, } \gg= \text{)}$$

$$\text{fun } s \rightarrow \text{let } s', a = (\text{fun } s \rightarrow (s, v)) \ s \ \text{in } k \ a \ s'$$

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$$\equiv (\beta)$$

$$\text{fun } s \rightarrow \text{let } s', a = (s, v) \ \text{in } k \ a \ s'$$

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$$\text{fun } s \rightarrow \text{let } s', a = (s, v) \ \text{in } k \ a \ s'$$

$$\equiv (\beta \text{ for let})$$

$$\text{fun } s \rightarrow k \ v \ s$$

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$$\equiv (\beta)$$

$$\text{fun } s \rightarrow \text{let } s', a = (s, v) \ \text{in } k \ a \ s'$$

$$\equiv (\beta \text{ for let})$$

$$\text{fun } s \rightarrow k \ v \ s$$

$$\equiv (\eta)$$

$$k \ v$$

```

module type ERROR = sig
  type error
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val raise : error → 'a t
  val _try_ : 'a t → (error → 'a) → 'a
end

```

Using the error monad:

```

let rec find : 'a. ('a → bool) → 'a list → 'a t =
  fun p l → match l with
  | [] → raise "Not found!"
  | x :: _ when p x → return x
  | _ :: xs → find p xs

```

Running an error computation:

```

_try_ (
  find (greater 3) l >>= fun v →
  return (string_of_int v)
)

```

Example: exception (implementation)

```
module type ERROR = sig
  type error
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val raise : error → 'a t
  val _try_ : 'a t → (error → 'a) → 'a
end
```

A computation in ERROR is either a result of type 'a or an error:

```
type 'a t =
  Val : 'a → 'a t
  | Exn : error → 'a t
```

return builds a successful computation (i.e. a result):

```
let return v = Val v
```

Example: exception (implementation)

```
module type ERROR = sig
  type error
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val raise : error → 'a t
  val _try_ : 'a t → (error → 'a) → 'a
end
```

A computation in ERROR is either a result of type 'a or an error:

```
type 'a t =
  Val : 'a → 'a t
  | Exn : error → 'a t
```

$\gg=$ runs the second computation only if the first succeeds:

```
let ( $\gg=$ ) m k = match m with
  | Val v → k v
  | Exn e → Exn e
```


Example: exception (implementation)

```
module type ERROR = sig
  type error
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val raise : error → 'a t
  val _try_ : 'a t → (error → 'a) → 'a
end
```

A computation in ERROR is either a result of type 'a or an error:

```
type 'a t =
  Val : 'a → 'a t
  | Exn : error → 'a t
```

raise builds a failed computation (i.e. an error)

```
let raise e = Exn e
```

Example: exception (implementation)

```
module type ERROR = sig
  type error
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val raise : error → 'a t
  val _try_ : 'a t → (error → 'a) → 'a
end
```

A computation in ERROR is either a result of type 'a or an error:

```
type 'a t =
  Val : 'a → 'a t
  | Exn : error → 'a t
```

try runs a computation and handles the two possible outcomes:

```
let _try_ m catch = match m with
  | Val v → v
  | Exn e → catch e
```

Example: exception (implementation)

```
module type ERROR = sig
  type error
  type 'a t
  module Monad : MONAD with type 'a t = 'a t
  val raise : error → 'a t
  val _try_ : 'a t → (error → 'a) → 'a
end
```

```
module Error (E: sig type t end) = struct
  type error = E.t
  module Monad = struct
    type 'a t = Val : 'a → 'a t
                | Exn : error → 'a t
    let return v = Val v
    let (>>=) m k = match m with
      Val v → k v | Exn e → Exn e
  end
  let raise e = Exn e
  let _try_ m catch = match m with
    | Val v → v | Exn e → catch e
end
```

Example: exception (use)

```
let rec mapMTree : 'a.{M:MONAD} →
  ('a → 'b M.t) → 'a tree → 'b tree M.t =
  fun {M:MONAD} f l → match l with
  | Empty → return Empty
  | Tree (l, v, r) →
    mapMTree f l >>= fun l →
    f v >>= fun v →
    mapMTree f r >>= fun r →
    return (Tree (l, v, r))

let check_nonzero =
  mapMTree
  (fun v →
    if v = 0 then raise Zero
    else return v)
```

Example: we'll prove the following law for the exception monad:

$$v \gg= \text{return} \equiv v$$

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`v >>= return`

\equiv (definition of `return`, `>>=`)

`match v with Val v → Val v | Exn e → Exn e`

Example: we'll prove the following law for the exception monad:

$$v \gg= \text{return} \equiv v$$

`v >>= return`

\equiv (definition of `return`, `>>=`)

`match v with Val v → Val v | Exn e → Exn e`

\equiv (η for sums)

`v`

Higher-order
effectful programs

composeE : $(a \rightsquigarrow b) \rightarrow (b \rightsquigarrow c) \rightarrow (a \rightsquigarrow c)$

pairE : $(a \rightsquigarrow b) \rightarrow (c \rightsquigarrow d) \rightarrow (a \times c \rightsquigarrow b \times d)$

uncurryE : $(a \rightsquigarrow b \rightsquigarrow c) \rightarrow (a \times b \rightsquigarrow c)$

liftPure : $(a \rightarrow b) \rightarrow (a \rightsquigarrow b)$

Higher-order computations with monads

```
val composeM : {M:MONAD} →  
  ('a → 'b M.t) → ('b → 'c M.t) → ('a → 'c M.t)
```

```
let composeM {M:MONAD} f g x : _ M.t =  
  f x >>= fun y →  
  g y
```

```
val uncurryM : {M:MONAD} →  
  ('a → ('b → 'c M.t) M.t) → (('a * 'b) → 'c M.t)
```

```
let uncurryM {M:MONAD} f (x,y) : _ M.t =  
  f x >>= fun g →  
  g y
```

Applicatives

`(let x = e ... and)`

Idea: stop information flowing from one computation into another.

Only allow **unparameterised** computations:

$$1 \rightsquigarrow b$$

We can no longer write functions like this:

$$\text{composeE} \quad : \quad (a \rightsquigarrow b) \rightarrow (b \rightsquigarrow c) \rightarrow (a \rightsquigarrow c)$$

but some useful functions are still possible:

$$\text{pairE}_{\text{static}} \quad : \quad (1 \rightsquigarrow a) \rightarrow (1 \rightsquigarrow b) \rightarrow (1 \rightsquigarrow a \times b)$$

An imperative program

```
let x = fresh_name ()  
and y = fresh_name ()  
in (x, y)
```

An applicative program

```
pure (fun x y → (x, y))  
⊗ fresh_name  
⊗ fresh_name
```

```
module type APPLICATIVE =  
sig  
  type 'a t  
  val pure : 'a → 'a t  
  val (⊗) : ('a → 'b) t → 'a t → 'b t  
end  
  
let pure {A:APPLICATIVE} x = A.pure x  
let (⊗) {A:APPLICATIVE} m k = A.(⊗) m k
```

```

module type APPLICATIVE =
sig
  type 'a t
  val pure : 'a → 'a t
  val (⊗) : ('a → 'b) t → 'a t → 'b t
end

```

```

let pure {A:APPLICATIVE} x = A.pure x
let (⊗) {A:APPLICATIVE} m k = A.(⊗) m k

```

Laws:

$$\begin{aligned}
 \text{pure } f \otimes \text{pure } v &\equiv \text{pure } (f \ v) \\
 u &\equiv \text{pure } \text{id} \otimes u \\
 u \otimes (v \otimes w) &\equiv \text{pure } \text{compose} \otimes u \otimes v \otimes w \\
 v \otimes \text{pure } x &\equiv \text{pure } (\text{fun } f \rightarrow f \ x) \otimes v
 \end{aligned}$$

The type of $\gg=$: $'a\ t \rightarrow ('a \rightarrow 'b\ t) \rightarrow 'b\ t$

$'a \rightarrow 'b\ t$: a function that builds a computation

(Almost) the type of \otimes : $'a\ t \rightarrow ('a \rightarrow 'b)\ t \rightarrow 'b\ t$

$('a \rightarrow 'b)\ t$: a computation that builds a function

The actual type of \otimes : $('a \rightarrow 'b)\ t \rightarrow 'a\ t \rightarrow 'b\ t$

Every applicative computation can be rewritten in this form:

$$\text{pure } f \otimes c_1 \otimes c_2 \dots \otimes c_n$$

Even more explicitly (η -expanding f), we might write:

$$\text{pure } (\text{fun } x_1 \ x_2 \ \dots \ x_n \ \rightarrow e) \otimes c_1 \otimes c_2 \dots \otimes c_n$$

which corresponds to a `let ... and` expression in OCaml:

```
let x1 = c1
and x2 = c2
...
and xn = cn
in e
```

Applicative normalisation via the laws

`pure f ⊗ (pure g ⊗ fresh_name) ⊗ fresh_name`

Applicative normalisation via the laws

$\text{pure } f \otimes (\text{pure } g \otimes \text{fresh_name}) \otimes \text{fresh_name}$

\equiv (composition law)

$(\text{pure compose} \otimes \text{pure } f \otimes \text{pure } g \otimes \text{fresh_name}) \otimes \text{fresh_name}$

Applicative normalisation via the laws

$\text{pure } f \otimes (\text{pure } g \otimes \text{fresh_name}) \otimes \text{fresh_name}$

\equiv (composition law)

$(\text{pure compose} \otimes \text{pure } f \otimes \text{pure } g \otimes \text{fresh_name}) \otimes \text{fresh_name}$

\equiv (homomorphism law ($\times 2$))

$\text{pure} (\text{compose } f \ g) \otimes \text{fresh_name} \otimes \text{fresh_name}$

Creating applicatives: every monad is an applicative

```
implicit module Applicative_of_monad {M:MONAD} :  
  APPLICATIVE with type 'a t = 'a M.t =  
struct  
  type 'a t = 'a M.t  
  let pure = M.return  
  let ( $\otimes$ ) f p =  
    M.(f  $\gg=$  fun g  $\rightarrow$   
      p  $\gg=$  fun q  $\rightarrow$   
        return (g q))  
end
```

Applicatives built from monads satisfy the laws

The applicative laws follow from the monad laws. Let's prove

$$\text{pure } f \otimes \text{pure } v \equiv \text{pure } (f \ v)$$

$$\text{pure } f \otimes \text{pure } v$$

Applicatives built from monads satisfy the laws

The applicative laws follow from the monad laws. Let's prove

$$\text{pure } f \otimes \text{pure } v \equiv \text{pure } (f \ v)$$

`pure f` \otimes `pure v`

\equiv (definition of `pure`, \otimes)

`return f` $\gg=$ `fun g` \rightarrow `return v` $\gg=$ `fun q` \rightarrow `return (g q)`

Applicatives built from monads satisfy the laws

The applicative laws follow from the monad laws. Let's prove

$$\text{pure } f \otimes \text{pure } v \equiv \text{pure } (f \ v)$$

`pure f` \otimes `pure v`

\equiv (definition of `pure`, \otimes)

`return f` $\gg=$ `fun g` \rightarrow `return v` $\gg=$ `fun q` \rightarrow `return (g q)`

\equiv (left identity law ($\times 2$))

`(fun g` \rightarrow `(fun q` \rightarrow `return (g q)`) `v)` `f`

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\equiv (β reduction ($\times 2$))

`return (f v)`

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$$\begin{aligned} & \text{pure } f \otimes \text{pure } v \\ \equiv & \text{ (definition of pure, } \otimes \text{)} \\ & \text{return } f \gg= \text{fun } g \rightarrow \text{return } v \gg= \text{fun } q \rightarrow \text{return } (g \ q) \\ \equiv & \text{ (left identity law } (\times 2)) \\ & (\text{fun } g \rightarrow (\text{fun } q \rightarrow \text{return } (g \ q)) \ v) \ f \\ \equiv & \text{ (} \beta \text{ reduction } (\times 2)) \\ & \text{return } (f \ v) \\ \equiv & \text{ (definition of pure)} \\ & \text{pure } (f \ v) \end{aligned}$$

The state applicative via the state monad

```
module StateA(S : sig type t end) :
sig
  type state = S.t
  type 'a t
  module Applicative : APPLICATIVE with type 'a t = 'a t
  val get : state t
  val put : state → unit t
  val runState : 'a t → state → state * 'a
end =
struct
  type state = S.t
  module StateM = State(S)
  type 'a t = 'a StateM.t
  module Applicative =
    Applicative_of_monad{StateM.Monad}
  let (get, put, runState) = StateM.(get, put, runState)
end
```

Creating applicatives: composing applicatives

```
module Compose (F : APPLICATIVE)
              (G : APPLICATIVE) :
  APPLICATIVE with type 'a t = 'a G.t F.t =
struct
  type 'a t = 'a G.t F.t
  let pure x = F.pure (G.pure x)
  let ( $\otimes$ ) f x = F.(pure G.( $\otimes$ )  $\otimes$  f  $\otimes$  x)
end
```

Creating applicatives: the dual applicative

```
module Dual_applicative (A: APPLICATIVE)
  : APPLICATIVE with type 'a t = 'a A.t =
struct
  type 'a t = 'a A.t
  let pure = A.pure
  let ( $\otimes$ ) f x =
    A.(pure (fun y g  $\rightarrow$  g y)  $\otimes$  x  $\otimes$  f)
end
```

```
module RevNameA = Dual_applicative(NameA.Applicative)
```

```
RevNameA.(pure (fun x y  $\rightarrow$  (x, y))
   $\otimes$  fresh_name
   $\otimes$  fresh_name)
```

Composed applicatives are law-abiding

`pure f ⊗ pure x`

Composed applicatives are law-abiding

`pure f` \otimes `pure x`

\equiv (definition of \otimes and `pure`)

`F.pure` (\otimes_G) \otimes_F `F.pure` (`G.pure` `f`) \otimes_F `F.pure` (`G.pure` `x`)

Composed applicatives are law-abiding

pure f \otimes pure x

\equiv (definition of \otimes and pure)

F.pure (\otimes_G) \otimes_F F.pure (G.pure f) \otimes_F F.pure (G.pure x)

\equiv (homomorphism law for F ($\times 2$))

F.pure (G.pure f \otimes_G G.pure x)

Composed applicatives are law-abiding

`pure f ⊗ pure x`

≡ (definition of `⊗` and `pure`)

`F.pure (⊗G) ⊗F F.pure (G.pure f) ⊗F F.pure (G.pure x)`

≡ (homomorphism law for `F (×2)`)

`F.pure (G.pure f ⊗G G.pure x)`

≡ (homomorphism law for `G`)

`F.pure (G.pure (f x))`

Composed applicatives are law-abiding

pure f \otimes pure x

\equiv (definition of \otimes and pure)

F.pure (\otimes_G) \otimes_F F.pure (G.pure f) \otimes_F F.pure (G.pure x)

\equiv (homomorphism law for F ($\times 2$))

F.pure (G.pure f \otimes_G G.pure x)

\equiv (homomorphism law for G)

F.pure (G.pure (f x))

\equiv (definition of pure)

pure (f x)

```
type 'a tree =  
  Empty : 'a tree  
  | Tree : 'a tree * 'a * 'a tree → 'a tree  
  
module IState = State (struct type t = int end)  
  
let fresh_name : string IState.t =  
  get          >>= fun i →  
  put (i + 1) >>= fun () →  
  return (Printf.sprintf "x%d" i)  
  
let rec label_tree : 'a tree → string tree IState.t =  
  function  
  | Empty → return Empty  
  | Tree (l, v, r) →  
    label_tree l >>= fun l →  
    fresh_name   >>= fun name →  
    label_tree r >>= fun r →  
    return (Tree (l, name, r))
```

Problem: we can't write `fresh_name` using the `APPLICATIVE` interface.

```
let fresh_name : string IState.t =  
  get          >>= fun i →  
  put (i + 1) >>= fun () →  
  return (Printf.sprintf "x%d" i)
```

Solution: introduce `fresh_name` as a primitive effect:

```
implicit module NameA : sig  
  module Applicative : APPLICATIVE  
  val fresh_name : string Applicative.t  
end = ...
```

```
let rec label_tree : 'a tree → string tree NameA.t =  
  function  
    Empty → pure Empty  
  | Tree (l, v, r) →  
    pure (fun l name r → Tree (l, name, r))  
      ⊗ label_tree l  
      ⊗ fresh_name  
      ⊗ label_tree r
```

The phantom monoid applicative

```
module type MONOID =
sig
  type t
  val zero : t
  val (++) : t → t → t
end

module Phantom_monoid (M: MONOID)
  : APPLICATIVE with type 'a t = M.t =
struct
  type 'a t = M.t
  let pure _ = M.zero
  let (⊗) = M.(++)
end
```

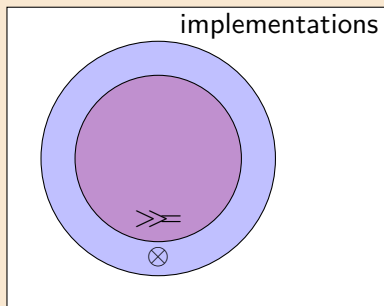
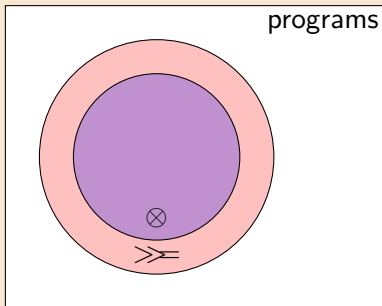
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  type 'a t = M.t
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  let (⊗) = M.(++)
end
```

Observation: we cannot implement `Phantom_monoid` as a monad.

Applicatives vs monads



Some **monadic programs** are **not applicative**, e.g. `fresh_name`.

Some **applicative instances** are **not monadic**, e.g. `Phantom_monoid`.

*Be conservative in what you do,
be liberal in what you accept from others.*

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Conservative in what you do: **use applicatives**, not monads.
(Applicatives give the implementor more freedom.)

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Conservative in what you do: **use applicatives**, not monads.
(Applicatives give the implementor more freedom.)

Liberal in what you accept: **implement monads**, not applicatives.
(Monads give the user more power.)

monads

```
let x1 = e1 in
let x2 = e2 in
  ...
let xn = en in
  e
```

applicatives

```
let x1 = e1
and x2 = e2
  ...
and xn = en in
  e
```