

# Lambda calculus, part II

January 2018

Recap

$\lambda^{\rightarrow}$ **Types** $A, B ::= \mathcal{B} \mid A \rightarrow B$ **Terms**

$$L, M ::= x \mid \lambda x:A.M \mid L M$$

$$\langle L, M \rangle \mid \mathbf{fst} M \mid \mathbf{snd} M$$

$$\mathbf{inl} M \mid \mathbf{inr} M$$

$$\mathbf{case} L \mathbf{of} x.M \mid y.N$$
**System F****Types**

$$A, B ::= \dots \mid \alpha$$

$$\forall \alpha :: K.A$$

$$\exists \alpha :: K.A$$
**Terms**

$$L, M ::= \dots \mid \Lambda \alpha :: K.M \mid L [A]$$

$$\mathbf{pack} B, M \mathbf{as} \exists \alpha :: K.A$$

$$\mathbf{open} M \mathbf{as} \alpha, x \mathbf{in} M'$$

## Types

$$A, B ::= A \rightarrow B \mid \alpha \mid \forall \alpha :: K.A$$

## Terms

$$L, M ::= x \mid \lambda x:A.M \mid L M \mid \Lambda \alpha :: K.M \mid L [A]$$

A kind for **binary type operators**

$$* \Rightarrow * \Rightarrow *$$

A binary type operator

$$\lambda\alpha::*. \lambda\beta::*. \alpha + \beta$$

A kind for **higher-order type operators**

$$(* \Rightarrow *) \Rightarrow * \Rightarrow *$$

A higher-order type operator

$$\lambda\phi::* \Rightarrow *. \lambda\alpha::*. \phi (\phi \alpha)$$

$$\frac{K_1 \text{ is a kind} \quad K_2 \text{ is a kind}}{K_1 \Rightarrow K_2 \text{ is a kind}} \Rightarrow\text{-kind}$$

$$\frac{\Gamma, \alpha :: K_1 \vdash A :: K_2}{\Gamma \vdash \lambda \alpha :: K_1. A :: K_1 \Rightarrow K_2} \Rightarrow\text{-intro} \quad \frac{\Gamma \vdash A :: K_1 \Rightarrow K_2 \quad \Gamma \vdash B :: K_1}{\Gamma \vdash A B :: K_2} \Rightarrow\text{-elim}$$

A **sum** data type:

```
type ('a, 'b) sum =  
  Inl : 'a -> ('a, 'b) sum  
| Inr : 'b -> ('a, 'b) sum
```

A **destructor** for sums:

```
val case :  
  ('a, 'b) sum -> ('a -> 'c) -> ('b -> 'c) -> 'c  
  
let case s l r =  
  match s with  
    Inl x -> l x  
  | Inr y -> r y
```



# Encoding data types in System $F_{\omega}$ : sums

We can finally **define** sums within the language.

As for  $\mathbb{N}$  sums are represented as a binary polymorphic function:

$$\text{Sum} = \lambda\alpha.\lambda\beta.\forall\gamma.(\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma$$

The **inl** and **inr** constructors are represented as functions:

$$\begin{aligned} \mathbf{inl} &= \Lambda\alpha.\Lambda\beta.\lambda v:\alpha.\Lambda\gamma. \\ &\quad \lambda l:\alpha \rightarrow \gamma.\lambda r:\beta \rightarrow \gamma.l \ v \end{aligned}$$

$$\begin{aligned} \mathbf{inr} &= \Lambda\alpha.\Lambda\beta.\lambda v:\beta.\Lambda\gamma. \\ &\quad \lambda l:\alpha \rightarrow \gamma.\lambda r:\beta \rightarrow \gamma.r \ v \end{aligned}$$

The **foldSum** function behaves like **case**:

$$\begin{aligned} \text{foldSum} &= \\ &\Lambda\alpha.\Lambda\beta.\lambda c:\forall\gamma.(\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma.c \end{aligned}$$

## Encoding data types: sums (continued)

Of course, we can package the definition of **Sum** as an existential:

**pack**  $\lambda\alpha.\lambda\beta.\forall\gamma.(\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma,$   
     $\Lambda\alpha.\Lambda\beta.\lambda v:\alpha.\Lambda\gamma.\lambda l:\alpha \rightarrow \gamma.\lambda r:\beta \rightarrow \gamma.l \ v$   
     $\Lambda\alpha.\Lambda\beta.\lambda v:\beta.\Lambda\gamma.\lambda l:\alpha \rightarrow \gamma.\lambda r:\beta \rightarrow \gamma.r \ v$   
     $\Lambda\alpha.\Lambda\beta.\lambda c:\forall\gamma.(\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma.c$   
**as**  $\exists\phi::* \Rightarrow * \Rightarrow *.$   
     $\forall\alpha.\forall\beta.\alpha \rightarrow \phi \ \alpha \ \beta$   
     $\times \ \forall\alpha.\forall\beta.\beta \rightarrow \phi \ \alpha \ \beta$   
     $\times \ \forall\alpha.\forall\beta.\phi \ \alpha \ \beta \rightarrow \forall\gamma.(\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma$

(However, the pack notation becomes unwieldy as our definitions grow.)

A **list** data type:

```
type 'a list =  
  Nil : 'a list  
  | Cons : 'a * 'a list -> 'a list
```

A **destructor** for lists:

```
val foldList :  
  'a list -> 'b -> ('a -> 'b -> 'b) -> 'b  
  
let rec foldList l n c =  
  match l with  
  Nil -> n  
  | Cons (x, xs) -> c x (foldList xs n c)
```

# Encoding data types in System F: lists

We can define **parameterised recursive types** such as lists in System F $\omega$ .

As for  $\mathbb{N}$  lists are represented as a binary polymorphic function:

$$\text{List} = \lambda\alpha.\forall\phi::* \Rightarrow *. \phi \alpha \rightarrow (\alpha \rightarrow \phi \alpha \rightarrow \phi \alpha) \rightarrow \phi \alpha$$

The **nil** and **cons** constructors are represented as functions:

$$\begin{aligned} \text{nil} &= \Lambda\alpha.\Lambda\phi::* \Rightarrow *. \lambda n:\phi \alpha. \lambda c:\alpha \rightarrow \phi \alpha \rightarrow \phi \alpha. n \\ \text{cons} &= \Lambda\alpha.\lambda x:\alpha. \lambda xs:\text{List } \alpha. \\ &\quad \Lambda\phi::* \Rightarrow *. \lambda n:\phi \alpha. \lambda c:\alpha \rightarrow \phi \alpha \rightarrow \phi \alpha. \\ &\quad c \ x \ (xs \ [\phi] \ n \ c) \end{aligned}$$

The destructor corresponds to the `foldList` function:

$$\begin{aligned} \text{foldList} &= \Lambda\alpha.\Lambda\beta. \lambda c:\alpha \rightarrow \beta \rightarrow \beta. \lambda n:\beta. \\ &\quad \lambda l:\text{List } \alpha. l \ [\lambda\gamma.\beta] \ n \ c \end{aligned}$$

## Encoding data types: lists (continued)

We defined **add** for  $\mathbb{N}$ , and we can define **append** for lists:

```
append =  $\Lambda\alpha$ .  
   $\lambda l:\text{List } \alpha$ .  
     $\lambda r:\text{List } \alpha$ .  
      foldList [ $\alpha$ ] [List  $\alpha$ ] 1  
        r (cons [ $\alpha$ ])
```

A **regular** type:

```
type 'a tree =  
  Empty : 'a tree  
| Tree : 'a tree * 'a * 'a tree -> 'a tree
```

A **non-regular** type:

```
type 'a perfect =  
  ZeroP : 'a -> 'a perfect  
| SuccP : ('a * 'a) perfect -> 'a perfect
```

# Encoding data types in System $F\omega$ : nested types

We can represent non-regular types like **perfect** in System  $F\omega$ :

$$\begin{aligned} \text{Perfect} &= \lambda\alpha. \forall\phi::* \Rightarrow *. \\ &\quad (\forall\alpha. \alpha \rightarrow \phi \alpha) \rightarrow \\ &\quad (\forall\alpha. \phi (\alpha \times \alpha) \rightarrow \phi \alpha) \rightarrow \\ &\quad \phi \alpha \end{aligned}$$

This time the arguments to **zeroP** and **succP** are themselves polymorphic:

$$\begin{aligned} \text{zeroP} &= \Lambda\alpha. \lambda x:\alpha. \Lambda\phi::* \Rightarrow *. \\ &\quad \lambda z:\forall\alpha. \alpha \rightarrow \phi \alpha. \lambda s:\forall\alpha. \phi (\alpha \times \alpha) \rightarrow \phi \alpha. \\ &\quad z \ [\alpha] \ x \end{aligned}$$

$$\begin{aligned} \text{succP} &= \Lambda\alpha. \lambda p:\text{Perfect} (\alpha \times \alpha). \Lambda\phi::* \Rightarrow *. \\ &\quad \lambda z:\forall\alpha. \alpha \rightarrow \phi \alpha. \lambda s:\forall\beta. \phi (\beta \times \beta) \rightarrow \phi \beta. \\ &\quad s \ [\alpha] \ (p \ [\phi] \ z \ s) \end{aligned}$$

# Encoding data types in System $F\omega$ : Leibniz equality

Recall Leibniz's equality:

*consider objects equal if they behave identically in any context*

In System  $F\omega$ :

$$\text{Eq1} = \lambda\alpha.\lambda\beta.\forall\phi::* \Rightarrow *. \phi \alpha \rightarrow \phi \beta$$



# Why might we want proofs of type equality?

## Safe cast operations

```
val cast : ('a, 'b) eq -> 'a -> 'b
```

## Flexible abstraction

```
module M : sig
  type t
  type s
  val unlock : secret:string -> (t, s) eq option
  (* ... *)
```

## Constraints on the structure of values

```
val combine: ('n,'m) eq -> 'n tree -> 'm tree ->
'm suc tree
```

# Encoding data types in System $F_\omega$ : Leibniz equality (cont.)

$$\text{Eq1} = \lambda\alpha.\lambda\beta.\forall\phi::* \Rightarrow *. \phi \alpha \rightarrow \phi \beta$$

Equality is **reflexive** ( $A \equiv A$ ):

$$\text{refl} : \forall\alpha.\text{Eq1 } \alpha \alpha$$

$$\text{refl} = \Lambda\alpha.\Lambda\phi::* \Rightarrow *. \lambda x:\phi \alpha . x$$

and **symmetric** ( $A \equiv B \rightarrow B \equiv A$ ):

$$\text{symm} : \forall\alpha.\forall\beta.\text{Eq1 } \alpha \beta \rightarrow \text{Eq1 } \beta \alpha$$

$$\text{symm} = \Lambda\alpha.\Lambda\beta.$$

$$\lambda e:(\forall\phi::* \Rightarrow *. \phi \alpha \rightarrow \phi \beta) . e [\lambda\gamma.\text{Eq1 } \gamma \alpha] (\text{refl } [\alpha])$$

and **transitive** ( $(A \equiv B) \wedge (B \equiv C) \rightarrow (A \equiv C)$ ):

$$\text{trans} : \forall\alpha.\forall\beta.\forall\gamma.\text{Eq1 } \alpha \beta \rightarrow \text{Eq1 } \beta \gamma \rightarrow \text{Eq1 } \alpha \gamma$$

$$\text{trans} = \Lambda\alpha.\Lambda\beta.\Lambda\gamma.$$

$$\lambda ab:\text{Eq1 } \alpha \beta . \lambda bc:\text{Eq1 } \beta \gamma . bc [\text{Eq1 } \alpha] ab$$

# Encoding existentials in System $F\omega$

(See exercise 1)

# Terms and types from types and terms

	<b>term parameters</b>	<b>type parameters</b>
<b>building terms</b>	$\lambda x:A.M$ $A \rightarrow B$	$\Lambda \alpha::K.M$ $\forall \alpha::K.A$
<b>building types</b>		$\lambda \alpha::K.A$ $K_1 \Rightarrow K_2$

# Terms and types from types and terms

	<b>term parameters</b>	<b>type parameters</b>
<b>building terms</b>	$\lambda x:A.M$ $A \rightarrow B$	$\Lambda \alpha::K.M$ $\forall \alpha::K.A$
<b>building types</b>	$\lambda x:A.M$ $\Pi x:A.B$	$\lambda \alpha::K.A$ $K_1 \Rightarrow K_2$

$*$	(type of types)
$\prod x:M.P$	(product type)
$x$	(variables)
$\lambda x:M.N$	(abstraction)
$M N$	(application)

# Environment formation and product formation rules in $\lambda C$

## Judgements

$$\begin{array}{l} \Gamma \vdash \Delta \\ \Gamma \vdash M : P \end{array} \quad \begin{array}{l} \text{(context } \Delta \text{ is valid in } \Delta) \\ \text{(term } M \text{ has type } P \text{ in context } \Delta) \end{array}$$

## Environment formation

$$\frac{}{\vdash * } \Gamma-* \quad \frac{\Gamma \vdash \Delta}{\Gamma, x : \Delta \vdash * } \Gamma-\Delta \quad \frac{\Gamma \vdash M : *}{\Gamma, x:M \vdash * } \Gamma-M$$

## Product formation

$$\frac{\Gamma, x:M \vdash \Delta}{\Gamma \vdash x:M, \Delta} \Pi-\Delta \quad \frac{\Gamma, x:M \vdash N : *}{\Gamma \vdash \Pi x:M. N : *} \Pi-*$$



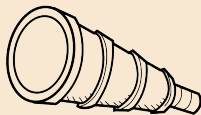
$\lambda^{\rightarrow}$ : context **order** is **irrelevant** (no dependencies between bindings)

**System F, System F $\omega$** : **term** bindings depend on **type** variables  
 e.g.  $\Lambda\alpha.\lambda x:\alpha.x$  produces this environment:

$$\alpha :: *, x : \alpha \vdash x : \alpha$$

**$\lambda\mathbf{C}$** : **term and type** bindings depend on **term and type** variables  
 e.g.  $\lambda\alpha:*. \lambda P:\alpha \rightarrow *. \lambda x:\alpha. \lambda y:P x. y$  produces this environment:

$$\alpha :: *, P : \alpha \rightarrow *, x : \alpha, y : P x \vdash y : P x$$



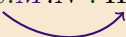
$$\frac{\Gamma, x : M, \Delta \vdash *}{\Gamma, x : M, \Delta \vdash x : M} \text{ tvar}$$

$$\frac{\Gamma, x : M \vdash N : P}{\Gamma \vdash \lambda x : M. N : \Pi x : M. P} \text{ \(\Pi\)-intro}$$

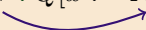
$$\frac{\Gamma \vdash M : \Pi x : P. Q \quad \Gamma \vdash N : P}{\Gamma \vdash M N : Q[x := N]} \text{ \(\Pi\)-elim}$$

$$\frac{\Gamma, x : M, \Delta \vdash *}{\Gamma, x : M, \Delta \vdash x : M} \text{ tvar}$$

$$\frac{\Gamma, x : M \vdash N : P}{\Gamma \vdash \lambda x : M. N : \Pi x : M. P} \text{ \(\Pi\)-intro}$$


  
bound variables appear in types

$$\frac{\Gamma \vdash M : \Pi x : P. Q \quad \Gamma \vdash N : P}{\Gamma \vdash M N : Q[x := N]} \text{ \(\Pi\)-elim}$$


  
arguments substituted into types

$\Pi$  **subsumes**  $\rightarrow$  and  $\forall$ . Example: the identity function:

In System F     $\Lambda\alpha::*. \lambda x:\alpha. x$     has type     $\forall\alpha. \alpha \rightarrow \alpha$

In  $\lambda C$      $\lambda\alpha::*. \lambda x:\alpha. x$     has type     $\Pi\alpha::*. \Pi x:\alpha. \alpha$

## Type abbreviations

$\forall\alpha. B$     abbreviates     $\Pi\alpha::*. B$

$A \rightarrow B$     abbreviates     $\Pi x:A. B$     (if  $x \notin \text{fv}(B)$ )

# The generality of $\Pi$ : type operators in $\lambda C$

$\Pi$  subsumes System  $F\omega$ 's  $\lambda$  Example: abstracting  $\rightarrow$ :

In System  $F\omega$   $\lambda\alpha.\lambda\beta.\alpha \rightarrow \beta$  has kind  $* \Rightarrow * \Rightarrow *$

In  $\lambda C$   $\lambda\alpha.\lambda\beta.\Pi x:\alpha.\beta$  has type  $\Pi\alpha:*. \Pi\beta:*. *$

## Type abbreviations

$* \rightarrow * \rightarrow *$  abbreviates  $\Pi\alpha:*. \Pi\beta:*. *$

$\forall\alpha.\forall\beta.*$  abbreviates  $\Pi\alpha:*. \Pi\beta:*. *$

## Equality between terms

$$\text{Eq1} = \lambda\alpha.\lambda x:\alpha.\lambda y:\alpha.\Pi P:\alpha \rightarrow *.P\ x \rightarrow P\ y$$

Equality **proofs** have the same structure as in System  $F\omega$ :

$$\text{ref1} : \forall\alpha.\Pi x:\alpha.\text{Eq1}\ \alpha\ x\ x$$

$$\text{ref1} = \lambda\alpha.\lambda x:\alpha.\lambda P:\alpha \rightarrow *.\lambda p:P\ x.p$$

# Why might we want proofs of term equality?

Term equality can represent facts about the **behaviour** of programs.

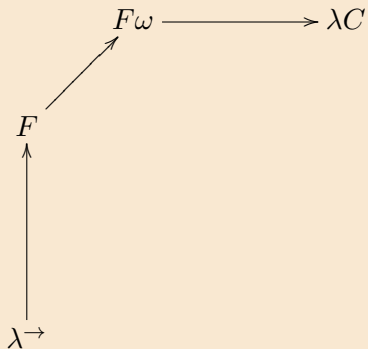
In general, types that mention terms act as **propositions** about programs:

```
compare :  $\prod m:\mathbb{N}.\prod n:\mathbb{N}.$   
           $Lt\ m\ n \vee Eq\ m\ n \vee Gt\ m\ n$ 
```

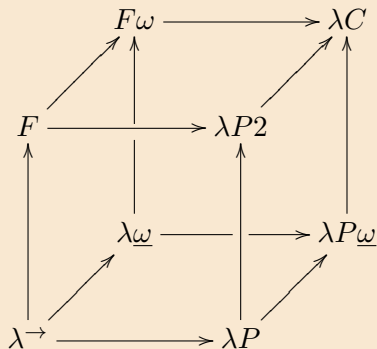
```
append :  $\forall \alpha.\prod n:\mathbb{N}.\prod m:\mathbb{N}.$   
          $Seq\ m\ \alpha \rightarrow Seq\ n\ \alpha \rightarrow Seq\ (m+n)\ \alpha$ 
```

```
sr :  $\prod e:\text{Expr}.\prod e':\text{Expr}.\prod t:\text{Type}.$   
      $HasType\ e\ t \rightarrow ReducesTo\ e\ e' \rightarrow HasType\ e'\ t$ 
```

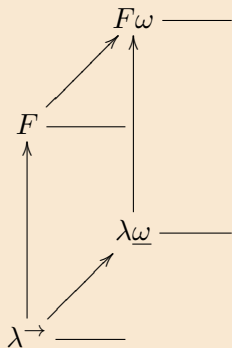
(NB: to prove some of these propositions  $\lambda C$  must be extended with support for induction — i.e. we need the Calculus of *Inductive* Constructions)



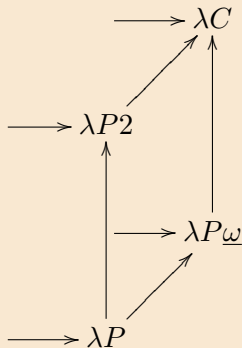




# Programming on the left face of the cube



**Functional programming**



**Dependently-typed programming**