

Inductive types

(§6.4 , p76 → )

# PLC-style encoding of algebraic datatypes

booleans

$$\forall \alpha (\alpha \rightarrow \alpha \rightarrow \alpha)$$

natural  
numbers

$$\forall \alpha (\alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha)$$

etc

# Calculus of Constructions

is the Pure Type System  $\lambda\mathbf{C}$ , where  $\mathbf{C} = (\mathcal{S}_\mathbf{C}, \mathcal{A}_\mathbf{C}, \mathcal{R}_\mathbf{C})$  is the PTS specification with

$$\mathcal{S}_\mathbf{C} \triangleq \{\text{Prop}, \text{Set}\}$$

$$\mathcal{A}_\mathbf{C} \triangleq \{(\text{Prop}, \text{Set})\}$$

$$\begin{aligned}\mathcal{R}_\mathbf{C} \triangleq & \{(\text{Prop}, \text{Prop}, \text{Prop})^1, (\text{Set}, \text{Prop}, \text{Prop})^2, \\ & (\text{Prop}, \text{Set}, \text{Set})^3, (\text{Set}, \text{Set}, \text{Set})^4\}\end{aligned}$$

1. Prop has implications,  $\phi \rightarrow \psi = \Pi x : \phi (\psi)$  (where  $\phi, \psi : \text{Prop}$  and  $x \notin fv(q)$ ).
2. Prop has universal quantifications over elements of a type,  $\Pi x : A (\phi(x))$  (where  $A : \text{Set}$  and  $x : A \vdash \phi(x) : \text{Prop}$ ).  
N.B.  $A$  might be  $\text{Prop}$  ( $\lambda 2 \subseteq \lambda\mathbf{C}$ ).
3. Set has types of function dependent on proofs of a proposition,  $\Pi x : p (A(x))$  (where  $p : \text{Prop}$  and  $x : p \vdash A(x) : \text{Set}$ ).
4. Set has dependent function types,  $\Pi x : A (B(x))$  (where  $A : \text{Set}$  and  $x : A \vdash B(x) : \text{Set}$ ).

# PLC-style encoding of algebraic datatypes in $\lambda C$

booleans       $\text{TT}_P : \text{Prop}(P \rightarrow P \rightarrow P)$

natural  
numbers       $\text{TT}_P : \text{Prop}(P \rightarrow (P \rightarrow P) \rightarrow P)$

etc

# PLC-style encoding of algebraic datatypes in $\lambda C$

$\text{bool} \triangleq \prod p : \text{Prop} (p \rightarrow p \rightarrow p)$

$\text{nat} \triangleq \prod p : \text{Prop} (p \rightarrow (p \rightarrow p) \rightarrow p)$

have  $\Diamond \vdash \text{bool} : \text{Prop}$

and  $\Diamond \vdash \text{nat} : \text{Prop}$

and  $\Diamond \vdash t : \text{bool} \leftrightarrow \text{nat}$  for some  $t$

How can we get  $\text{bool}, \text{nat}$ , etc of type  $\text{Set}$ ?

# PLC-style encoding of algebraic datatypes in $\lambda C$

$$\text{nat} \triangleq \prod p : \text{Prop} (p \rightarrow (p \rightarrow p) \rightarrow p)$$

$\prod x : \text{Set} (x \rightarrow (x \rightarrow x) \rightarrow x)$   
is not typeable in  $\lambda C$   
(needs a sort  $s$  with  $\text{Set} : s$ )

How can we get  $\text{bool}$ ,  $\text{nat}$ , etc of type  $\text{Set}$ ?

# The Pure Type System $\lambda\mathbf{U}$

is given by the PTS specification  $\mathbf{U} = (\mathcal{S}_{\mathbf{U}}, \mathcal{A}_{\mathbf{U}}, \mathcal{R}_{\mathbf{U}})$ , where:

$$\mathcal{S}_{\mathbf{U}} \triangleq \{\text{Prop}, \text{Set}, \text{Type}\}$$

$$\mathcal{A}_{\mathbf{U}} \triangleq \{(\text{Prop}, \text{Set}), (\text{Set}, \text{Type})\}$$

$$\begin{aligned}\mathcal{R}_{\mathbf{U}} \triangleq & \{(\text{Prop}, \text{Prop}, \text{Prop}), (\text{Set}, \text{Prop}, \text{Prop}), (\text{Type}, \text{Prop}, \text{Prop}), \\ & (\text{Set}, \text{Set}, \text{Set}), (\text{Type}, \text{Set}, \text{Set})\}\end{aligned}$$

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**Theorem** (Girard).  $\lambda\mathbf{U}$  is logically inconsistent: every legal proposition  $\Gamma \vdash P : \text{Prop}$  has a proof  $\Gamma \vdash M : P$ . (In particular, there is a proof of falsity  $\perp \triangleq \Pi p : \text{Prop} (p)$ .)

# Inductive types (informally)

An inductive type is specified by giving

- ▶ *constructor functions* that allow us to inductively generate data values of that type  
(Some restrictions on how the inductive type appears in the domain type of constructors is needed to ensure termination of reduction and logical consistency.)
- ▶ *eliminators* for constructing functions on the data
- ▶ *computation rules* that explain how to simplify an eliminator applied to constructors.

# Extending $\lambda\mathbf{C}$ with an inductive type of natural numbers

Pseudo-terms

$t ::= \dots \mid \text{Nat} \mid \text{zero} \mid \text{succ} \mid \text{elimNat}(x.t) \ t \ t$

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Typing rules

- ▶ formation:  $\Diamond \vdash \text{Nat} : \text{Set}$
- ▶ introduction:  $\Diamond \vdash \text{zero} : \text{Nat}$        $\Diamond \vdash \text{succ} : \text{Nat} \rightarrow \text{Nat}$

- ▶ elimination: 
$$\frac{\Gamma, x : \text{Nat} \vdash A(x) : s \quad \Gamma \vdash M : A(\text{zero}) \quad \Gamma \vdash F : \Pi x : \text{Nat} \ (A(x) \rightarrow A(\text{succ } x))}{\Gamma \vdash \text{elimNat}(x.A) \ M \ F : \Pi x : \text{Nat} \ (A(x))}$$

(where  $A(t)$  stands for  $A[t/x]$ )

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gives us (dep. typed) functions defined  
by primitive recursion, eg  
addition  $\lambda x : \text{Nat} (\text{elimNat}(y, \text{Nat}) \circ (\lambda y : \text{Nat} (\text{succ})))$

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Computation rules

$$\text{elimNat}(x.A) \ M \ F \ \text{zero} \rightarrow M$$

$$\text{elimNat}(x.A) \ M \ F \ (\text{succ } N) \rightarrow F \ N \ (\text{elimNat}(x.A) \ M \ F \ N)$$

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(where  $A(t)$  stands for  $A[t/x]$ )



also gives us Proof by induction

$$\varphi(\text{zero}) \wedge \forall x (\varphi(x) \rightarrow \varphi(\text{succ } x)) \\ \rightarrow \forall x \varphi(x)$$

# Inductive types of vectors

For a fixed parameter  $\Gamma \vdash A : s$ , the indexed family  $(\text{Vec}_A x \mid x : \text{Nat})$  of types  $\text{Vec}_A x$  of *lists of A-values of length x* is inductively defined as follows:

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Formation:

$$\frac{\Gamma \vdash N : \text{Nat}}{\Gamma \vdash \text{Vec}_A N : \text{Set}}$$

Introduction:

$$\Gamma \vdash \text{vnil}_A : \text{Vec}_A \text{ zero}$$

$$\Gamma \vdash \text{vcons}_A : A \rightarrow \prod_{x : \text{Nat}} (\text{Vec}_A x \rightarrow \text{Vec}_A (\text{succ } x))$$

Elimination and Computation:

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Elimination and Computation:

*[do-it-yourself]*

# Inductive identity propositions

For fixed parameters  $\Gamma \vdash A : s$  and  $\Gamma \vdash a : A$ , the indexed family  $(\text{Id}_{A,a} x \mid x : A)$  of propositions  $\text{Id}_{A,a} x$  that  $a$  and  $x$  are equal elements of type  $A$  is inductively defined as follows:

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Formation:

$$\frac{\Gamma \vdash M : A}{\Gamma \vdash \text{Id}_{A,a} M : \text{Prop}}$$

Introduction:

$$\Gamma \vdash \text{refl}_{A,a} : \text{Id}_{A,a} a$$

Elimination:

$$\frac{\Gamma, x : A, p : \text{Id}_{A,a} x \vdash B(x, p) : s \quad \Gamma \vdash N : B(a, \text{refl}_{A,a})}{\Gamma \vdash J_{A,a}(x, p. B) N : \Pi x : A (\Pi p : \text{Id}_{A,a} x (B(x, p)))}$$

Computation:

$$J_{A,a}(x, p. B) N \ a \text{refl}_{A,a} \rightarrow N$$

# Inductive identity propositions

programming/proving using eliminators

gets tricky very rapidly

(cf. Ex.Sh. qn 19 about proving  $\forall n (n = 0 + n)$ )

Elimination:

$$\frac{\Gamma, x : A, p : \text{Id}_{A,a} x \vdash B(x, p) : s \quad \Gamma \vdash N : B(a, \text{refl}_{A,a})}{\Gamma \vdash J_{A,a}(x, p. B) N : \Pi x : A (\Pi p : \text{Id}_{A,a} x (B(x, p)))}$$

Computation:

$$J_{A,a}(x, p. B) N \ a \text{refl}_{A,a} \rightarrow N$$

# Agda proof of $\forall x \in \mathbb{N} (x = 0 + x)$

```
data Nat : Set where
  zero : Nat
  succ : Nat -> Nat

add : Nat -> Nat -> Nat
add x zero      = x
add x (succ y) = succ (add x y)
```

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data Id (A : Set)(x : A) : A -> Set where
  refl : Id A x x

cong : (A B : Set)(f : A -> B)(x y : A) ->
       Id A x y -> Id B (f x) (f y)
cong A B f x .x refl = refl
```

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P : (x : Nat) -> Id Nat x (add zero x)
P zero      = refl
P (succ x) = cong Nat Nat succ x (add zero x) (P x)
```

# Uniqueness of identity proofs

In  $\lambda\mathbf{C}$  extended with inductive identity propositions, there are some types  $\Gamma \vdash A : s$  for which it is impossible to prove that all equality proofs in  $\text{Id}_{A,x} y$  (where  $x, y : A$ ) are identical. That is, there is no pseudo-term  $uip$  satisfying

$$\Gamma \vdash uip : \Pi x, y : A (\Pi p, q : \text{Id}_{A,x} y (\text{Id}_{(\text{Id}_{A,x} y),p} q))$$

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$$\Gamma \vdash \text{uip} : \Pi x, y : A (\Pi p, q : \text{Id}_{A,x} y (\text{Id}_{(\text{Id}_{A,x} y),p} q))$$

By contrast, in Agda we have:

```
data Id (A : Set)(x : A) : A -> Set where
  refl : Id A x x
```

```
uip : (A : Set)(x y : A)(p q : Id A x y) -> Id (Id A x y) p q
uip A x .x refl refl = refl
```

Dependent function types  $(\prod x:A)B$

ML type schemes  
function types

PTS's {

PLC	V-types function types
$F_\omega, \lambda C$	$\Pi$ -types

# Dependent function types $(\prod x:A)B$

ML

"Turing powerful"  
termination undecidable

PTS's {  
PLC  
 $F_\omega, \lambda C$

only total functions :  
termination  
decidable  
 $\rightsquigarrow$  decidable  
type-checking

Dependent function types  $(\prod x:A)B$

"impure"

computation has  
side-effects

---

ML

PTS's {

PLC	pure
$F_\omega, \lambda C$	

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PTS's {  
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pure

? make sense of  
• Propositions-as-Types  
in presence of  
side-effects

?