

# Machine Learning for Language Processing (L101)

Ann Copestake

Computer Laboratory  
University of Cambridge

October 2016

# Outline of today's lecture

NER overview

Maximum Entropy Models

NER in practice

# Named Entity Recognition

- ▶ Identify all named entities in text

Bill Gates says mosquitoes scare him more than sharks

This reaction will produce 2,4-dinitrotoluene.

This reaction will produce 2,4- and 2,6-dinitrotoluene.

- ▶ (usually) classify complete NE as PER, LOC etc
- ▶ NER is very important for many practical applications: search, information extraction, sentiment extraction ...
- ▶ Also as a preprocessor to parsing.

## NER as an ML problem

Bill|B-PER Gates|I-PER says|O mosquitoes|O  
scare|O him|O more|O than|O sharks|O

- ▶ Annotate tokens with I (in NER) or O (not in NER), or with a more complex scheme (e.g., BIO).
- ▶ Sequence classification (possibly multiple classifiers).
- ▶ Pretokenized input. POS tagging etc to supply features.
- ▶ Often highly complex set of features, including gazeteers, Wikipedia etc etc
- ▶ maybe hand-written rules (e.g., to help create training data)
- ▶ NER is VERY domain and genre dependent.

## Maximum Entropy Model (MEM)

- ▶ MEM/MaxEnt is another name for multinomial logistic regression.
- ▶ MaxEnt is a discriminative classifier, especially useful when can't estimate full probabilities properly.
- ▶ Maximum Entropy Markov Models (MEMM): better for NER than HMM because allows for heterogeneous mix of features.
- ▶ Conditional Random Field (CRF) is an extension of MEMM.
- ▶ Slides in this section heavily based on J+M.

## MEM schematically

$$P(c|\vec{f}) = \frac{1}{Z} \exp\left(\sum_i w_i f_i\right)$$

where  $Z$  normalizes,  $w_i$  is a weight and  $f_i$  is a numerically valued feature.

- ▶ actually  $w$  and  $f$  depend on class
- ▶ discriminative rather than generative

## MEM vs NB

$$P(c|\vec{f}) = \frac{1}{Z} \exp\left(\sum_i w_i f_i\right) \quad (\text{MaxEnt, schematic})$$

$$P(c|\vec{f}) = \frac{\prod_{i=1}^n P(f_i|c)P(c)}{P(\vec{f})} \quad (\text{NB})$$

## Linear regression: a recap

$$y = w_0 + \sum_{i=1}^N w_i \times f_i$$

Where  $w$  are weights and  $f$  are features.

Rewritten using an **intercept feature**,  $f_0$ , with value 1:

$$y = \sum_{i=0}^N w_i \times f_i$$

Weights chosen to minimize sum of squares of differences between prediction and observation.



## Logistic regression: probabilistic classification

Abstractly we want (where  $f$  is the feature vector associated with observation  $x$ ):

$$\begin{aligned} P(y = \text{true}|x) &= \sum_{i=0}^N w_i \times f_i \\ &= \vec{w} \cdot \vec{f} \end{aligned}$$

but what we're predicting won't be a probability.  
Instead, we predict the log of the odds (**logit function**).

$$\ln \left( \frac{P(y = \text{true}|x)}{1 - P(y = \text{true}|x)} \right) = \vec{w} \cdot \vec{f}$$

## Logistic regression, continued

Classify observation as 'true' if:

$$P(y = \text{true}|x) > P(y = \text{false}|x)$$

That is:

$$\frac{P(y = \text{true}|x)}{1 - P(y = \text{true}|x)} > 1$$

or:

$$\vec{w} \cdot \vec{f} > 0$$

So logistic regression involves learning a **hyperplane** with true above and false below.

## MaxEnt: Multinomial logistic regression

$$P(c|x) = \frac{1}{Z} \exp \left( \sum_{i=0}^N w_{ci} f_i \right)$$

where  $Z$  is the normalization factor:

$$Z = \sum_{c' \in \mathcal{C}} \exp \left( \sum_{i=0}^N w_{c'i} f_i \right)$$

## MaxEnt: Multinomial logistic regression

with numerical-valued features:

$$P(c|x) = \frac{\exp\left(\sum_{i=0}^N w_{ci}f_i\right)}{\sum_{c' \in \mathcal{C}} \exp\left(\sum_{i=0}^N w_{c'i}f_i\right)}$$

## MaxEnt: Multinomial logistic regression

with boolean-valued features:

$$P(c|x) = \frac{\exp\left(\sum_{i=0}^N w_{ci} f_i(c, x)\right)}{\sum_{c' \in C} \exp\left(\sum_{i=0}^N w_{c'i} f_i(c', x)\right)}$$

Features include the class:

$$f_1(c, x) = 1 \text{ if } \textit{word}_j \text{ ends in "ic" \& } c = \text{CJ}$$

$$= 0 \text{ otherwise}$$

## Training and using MaxEnt models

- ▶ MaxEnt can be used for hard classification: in effect, a linear expression that separates class from other classes.
- ▶ but MaxEnt also gives a probability distribution: necessary for sequence classification.
- ▶ Training maximizes the log likelihood of the training samples (but **regularization** to penalize large weights).
- ▶ Training process makes no assumptions beyond data: model should fit constraints and have **maximum entropy**.
- ▶ Equivalent to maximizing the likelihood for multinomial logistic regression.

## MaxEnt Markov Model: MEMM

- ▶ Viterbi (as HMM) for most probable sequence of classes.
- ▶ MEMM vs HMM (assuming bigram features).

$$P(Q|O) = \prod_{i=1}^n P(q_i|q_{i-1}, o_i) \quad (\text{MEMM})$$

$$P(Q|O) = \prod_{i=1}^n P(o_i|q_i) \times \prod_{i=1}^n P(q_i|q_{i-1}) \quad (\text{HMM})$$

where Q is state sequence and O is observations.

- ▶ But MEMM can use much more complex features.

## Annotating NERs

- ▶ Deciding on span:

The New York Stock Exchange fell today.

New York Stock Exchange or The New York Stock Exchange?

- ▶ Nested or overlapping NERs?

The New York Stock Exchange fell today.

The New York and Chicago Stock Exchanges fell today.

- ▶ Named entity or ordinary noun phrase?

*Queen Elizabeth, the Queen, the Queen of England, the queen of England, a queen of England.*



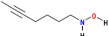
## Chemistry NERs (Corbett, Murray-Rust et al)

**Results and discussion**

**Model cyclisation studies**

We first examined the model cyclisation of the non-terminal alkylamine, hept-5-ynylhydroxylamine **7**, prepared by sodium cyanoborohydride reduction of the corresponding oxime **6**. Formation of the nitron **9** occurred in 94% overall yield after the reaction mixture had been heated in refluxing toluene for 2 hours (Scheme 2). This is consistent with our general observation that hydroxylamine-alkyne cyclisations onto terminal and silyl-substituted acetylenes are much faster than cyclisations onto other non-terminal alkynes.<sup>19,22</sup> This observation is analogous to those of Ciganek<sup>31</sup> and Black<sup>32</sup> in the Cope-House cyclisation<sup>33</sup> of alkynyl hydroxylamines.

Anantioselective synthesis of HTX **1** would require the (S)-hydroxylamine-alkyne derivative (e.g. **40**) from which all other stereocentres could then be induced diastereoselectively. Whilst a number of methods for the enantioselective synthesis of hydroxylamines exist (e.g. oxidation of amines,<sup>34</sup> nucleophilic displacement of triflates,<sup>35</sup> addition of organometallics to nitrones<sup>36–39</sup> and oximes<sup>40</sup>) it was decided to mimic the enolate hydroxyamination protocol of Oppolzer,<sup>41</sup> but using an Evans oxazolidinone auxiliary. The terminally silylated heptynoic acid **12** was prepared in 4 steps from commercially available hex-5-yn-1-ol **9** as shown in Scheme 3, and was then coupled to the Evans benzyloxazolidinone auxiliary<sup>42</sup> by a mixed anhydride method. Attempted electrophilic hydroxyamination of the sodium enolate of the N-acyloxazolidinone **13** using 1-chloro-1-nitrosocyclohexane followed by acid hydrolysis of the nitron intermediate, base extraction (to release the intermediate hydroxylamine **14**) and stirring at 25 °C for 1 hour to induce the Cope-House cyclisation was unsatisfactory, giving the required nitron **15** in poor yield, along with the by-product **16**, resulting from attack on the carbonyl of the auxiliary by the hydroxylamine **14**. Evans has noted similar side reactions with related amines<sup>43</sup> and clearly the more demanding cyclisation conditions required for a non-terminal alkylamine would be incompatible with the Evans auxiliary. The diastereoselectivity of the hydroxyamination reaction was assumed to follow the usual reactivity pattern of the Evans auxiliaries,<sup>44</sup> and was shown by <sup>1</sup>H NMR spectroscopy to be >95 : 5. Given the above mentioned problems this approach was abandoned in favour of the Oppolzer camphorsultam auxiliary.<sup>41,45</sup>



- Experimental data
  - Ontology term
- Chemical (etc.) with structure
  - Chemical (etc.), without structure
  - Reaction
- Chemical adjective
- Alkyne-alkene word
- Chemical prefix

Find: cancel    @ Previous    Highlight all    Match case

Done

Computer    Inbox...    golem    Nitron...    Java...    golem    of [The ...]    Layer...    \*Unit...    \*Unit...    Open Notebook

13:25

## Chemistry NER (Corbett and Copestake, 2008)

- ▶ Used cascaded classifiers: preclassifier (character ngrams), first-order MEMM, entity type rescorer.
- ▶ Complex feature examples:

`4G=ceti`

the character sequence 'c' 'e' 't' 'i' is in the token

`bg:0:1:ct=CJ_w=acid`

token is of type CJ (chemical adjective) according to preclassifier and next token is 'acid'

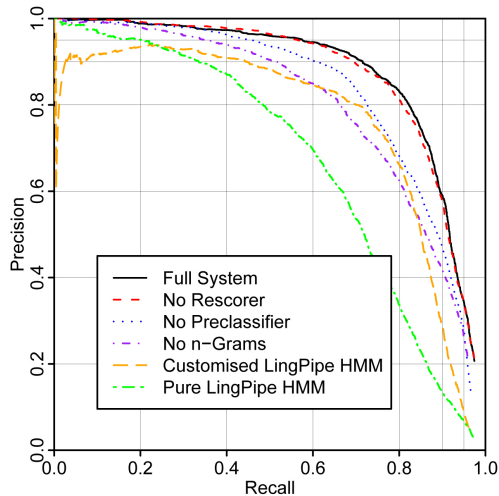
- ▶ Use probability estimates to experiment with precision vs recall.

## Precision and recall

- ▶ Precision: percentage of NERs found that were correct
- ▶ Recall: percentage of annotated NERs that were found
- ▶ F-measure: combined precision and recall

$$F_1 = \frac{2PR}{P + R}$$

# Chemistry NERs: precision and recall



## Beyond $F_1$

Confidence scores allow precision/recall to be varied:

- ▶ High precision: good where high redundancy but high cost to checking result. e.g., normal search
- ▶ High recall: good where little or no redundancy, false positives not as important as false negatives.  
e.g., exhaustive search  
e.g., chemistry NER as preprocessor to parsing — because unrecognised NER leads to very bad parse results

## Next time

- ▶ Next session is Monday, Naive Bayes readings.
- ▶ My next lecture is next Thursday (kernels and perceptrons).