

Contextual equivalence of PCF terms

Given PCF terms M_1, M_2 , PCF type τ , and a type

environment Γ , the relation

$$\boxed{\Gamma \vdash M_1 \cong_{\text{ctx}} M_2 : \tau}$$

is defined to hold iff

- Both the typings $\Gamma \vdash M_1 : \tau$ and $\Gamma \vdash M_2 : \tau$ hold.
- For all PCF contexts \mathcal{C} for which $\mathcal{C}[M_1]$ and $\mathcal{C}[M_2]$ are closed terms of type γ , where $\gamma = \text{nat}$ or $\gamma = \text{bool}$, and for all values $V : \gamma$,

$$\mathcal{C}[M_1] \Downarrow_{\gamma} V \Leftrightarrow \mathcal{C}[M_2] \Downarrow_{\gamma} V.$$

PCF denotational semantics — aims

- PCF types $\tau \mapsto$ domains $\llbracket \tau \rrbracket$.
- Closed PCF terms $M : \tau \mapsto$ elements $\llbracket M \rrbracket \in \llbracket \tau \rrbracket$.
 - Denotations of open terms will be continuous functions.

NB: In defining $\llbracket \text{fn } x. M \rrbracket : \llbracket \sigma \rrbracket \rightarrow \llbracket \sigma \rrbracket$ we need
define $\llbracket x : \tau \vdash M : \sigma \rrbracket$.

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- **Compositionality.**
In particular: $\llbracket M \rrbracket = \llbracket M' \rrbracket \Rightarrow \llbracket \mathcal{C}[M] \rrbracket = \llbracket \mathcal{C}[M'] \rrbracket$.
- **Soundness.**
For any type τ , $M \Downarrow_{\tau} V \Rightarrow \llbracket M \rrbracket = \llbracket V \rrbracket$.
- **Adequacy.**
For $\tau = \text{bool}$ or nat , $\llbracket M \rrbracket = \llbracket V \rrbracket \in \llbracket \tau \rrbracket \implies M \Downarrow_{\tau} V$.

Theorem. For all types τ and closed terms $M_1, M_2 \in \text{PCF}_\tau$, if $\llbracket M_1 \rrbracket$ and $\llbracket M_2 \rrbracket$ are equal elements of the domain $\llbracket \tau \rrbracket$, then $M_1 \cong_{\text{ctx}} M_2 : \tau$.

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \in \llbracket \tau \rrbracket \quad (*)$$

$$\Rightarrow M_1 \underset{\text{ctx}}{\cong} M_2 : \tau \quad \text{of ground type}$$

Proof : Assume $(*)$.

$$G[M_1] \Downarrow_\gamma V \Rightarrow \llbracket G[M_1] \rrbracket = \llbracket V \rrbracket \quad \text{soundness}$$

$$\Rightarrow \llbracket G[M_2] \rrbracket = \llbracket V \rrbracket \quad \text{comp.}$$

$$\Rightarrow G[M_2] \Downarrow_\gamma V. \quad \text{adegacy} \quad \otimes$$

Theorem. For all types τ and closed terms $M_1, M_2 \in \text{PCF}_\tau$, if $\llbracket M_1 \rrbracket$ and $\llbracket M_2 \rrbracket$ are equal elements of the domain $\llbracket \tau \rrbracket$, then $M_1 \cong_{\text{ctx}} M_2 : \tau$.

Proof.

$$\mathcal{C}[M_1] \Downarrow_{nat} V \Rightarrow \llbracket \mathcal{C}[M_1] \rrbracket = \llbracket V \rrbracket \quad (\text{soundness})$$

$$\begin{aligned} &\Rightarrow \llbracket \mathcal{C}[M_2] \rrbracket = \llbracket V \rrbracket \quad (\text{compositionality} \\ &\qquad \text{on } \llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket) \end{aligned}$$

$$\Rightarrow \mathcal{C}[M_2] \Downarrow_{nat} V \quad (\text{adequacy})$$

and symmetrically. □

Proof principle

To prove

$$M_1 \cong_{\text{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket$$



The proof principle is sound, but is it complete? That is, is equality in the denotational model also a necessary condition for contextual equivalence?

Topic 6

Denotational Semantics of PCF

Denotational semantics of PCF

To every typing judgement

$$\Gamma \vdash M : \tau$$

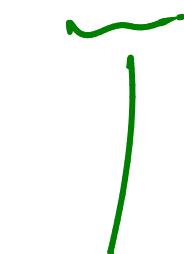
by induction on the derivation

we associate a continuous function

$$[\Gamma \vdash M] : [\Gamma] \rightarrow [\tau]$$

between domains.

$$\Gamma \models \langle x_1 : z_1, \dots, x_n : z_n \rangle$$



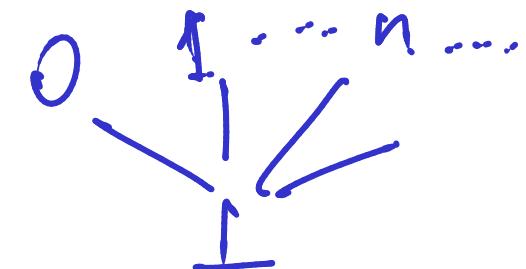
by aid on the structure
of types

$[\Gamma \vdash M]$ computationally uses $[\Gamma, z_i : \tau]$

Denotational semantics of PCF types

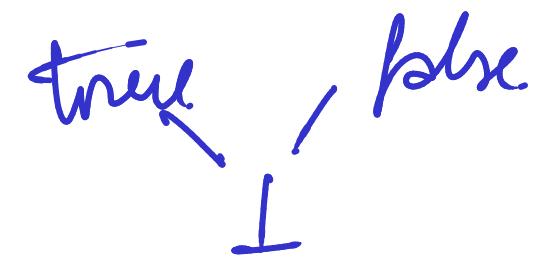
$$\llbracket \text{nat} \rrbracket \stackrel{\text{def}}{=} \mathbb{N}_{\perp}$$

(flat domain)



$$\llbracket \text{bool} \rrbracket \stackrel{\text{def}}{=} \mathbb{B}_{\perp}$$

(flat domain)



where $\mathbb{N} = \{0, 1, 2, \dots\}$ and $\mathbb{B} = \{\text{true}, \text{false}\}$.

Denotational semantics of PCF types

$$\llbracket \text{nat} \rrbracket \stackrel{\text{def}}{=} \mathbb{N}_{\perp} \quad (\text{flat domain})$$

$$\llbracket \text{bool} \rrbracket \stackrel{\text{def}}{=} \mathbb{B}_{\perp} \quad (\text{flat domain})$$

$$\llbracket \tau \rightarrow \tau' \rrbracket \stackrel{\text{def}}{=} \llbracket \tau \rrbracket \rightarrow \llbracket \tau' \rrbracket \quad (\text{function domain}).$$

where $\mathbb{N} = \{0, 1, 2, \dots\}$ and $\mathbb{B} = \{\text{true}, \text{false}\}$.

Denotational semantics of PCF type environments

$$\llbracket \Gamma \rrbracket \stackrel{\text{def}}{=} \prod_{x \in \text{dom}(\Gamma)} \llbracket \Gamma(x) \rrbracket \quad (\Gamma\text{-environments})$$

= the domain of partial functions ρ from variables to domains such that $\text{dom}(\rho) = \text{dom}(\Gamma)$ and $\rho(x) \in \llbracket \Gamma(x) \rrbracket$ for all $x \in \text{dom}(\Gamma)$

Example:

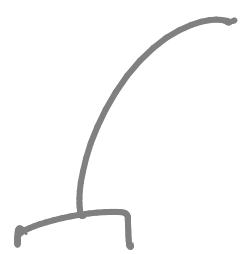
1. For the empty type environment \emptyset ,

$$\llbracket \emptyset \rrbracket = \{ \perp \} = \emptyset_\perp$$

*The flat domain
over the
empty set*

where \perp denotes the unique partial function with $\text{dom}(\perp) = \emptyset$.

Singleton set consisting of x


$$2. \llbracket \langle x \mapsto \tau \rangle \rrbracket = (\{x\} \rightarrow \llbracket \tau \rrbracket)$$

bijection

↓

2. $\llbracket \langle x \mapsto \tau \rangle \rrbracket = (\{x\} \rightarrow \llbracket \tau \rrbracket) \cong \llbracket \tau \rrbracket$

(*) is functional notation $f(x_i)$ is the value of x_i in the environment f .

2. $\llbracket \langle x \mapsto \tau \rangle \rrbracket = (\{x\} \rightarrow \llbracket \tau \rrbracket) \cong \llbracket \tau \rrbracket$

3.

$$\llbracket \langle x_1 \mapsto \tau_1, \dots, x_n \mapsto \tau_n \rangle \rrbracket$$

$$\cong (\{x_1\} \rightarrow \llbracket \tau_1 \rrbracket) \times \dots \times (\{x_n\} \rightarrow \llbracket \tau_n \rrbracket)$$

$$\cong \llbracket \tau_1 \rrbracket \times \dots \times \llbracket \tau_n \rrbracket$$

Idea: $\rho \in \llbracket z_1 \rrbracket \times \dots \times \llbracket z_n \rrbracket$ is an "environment".
[$\Downarrow (d_1, d_2, \dots, d_n)$
 d_i is the value of x_i in the environment.]

Denotational semantics of PCF terms, I

$$\llbracket \Gamma \vdash 0 : \text{nat} \rrbracket(\rho) \stackrel{\text{def}}{=} 0 \in \llbracket \text{nat} \rrbracket$$

$$\llbracket \Gamma \vdash \text{true} \rrbracket(\rho) \stackrel{\text{def}}{=} \text{true} \in \llbracket \text{bool} \rrbracket$$

$$\llbracket \Gamma \vdash \text{false} \rrbracket(\rho) \stackrel{\text{def}}{=} \text{false} \in \llbracket \text{bool} \rrbracket$$

$$\begin{aligned} \llbracket \Gamma \vdash 0 : \text{nat} \rrbracket &: \llbracket \Gamma \rrbracket \rightarrow \llbracket \text{nat} \rrbracket \\ &\Downarrow \\ &\quad \exists f \in \llbracket \Gamma \rrbracket . \ 0 \end{aligned}$$

$$\llbracket \Gamma \vdash x : \mathcal{Z} \rrbracket : \llbracket \Gamma \rrbracket \rightarrow \llbracket \mathcal{Z} \rrbracket$$

Denotational semantics of PCF terms, I

$$\frac{x \in \text{dom}(\Gamma) \quad \Gamma(x) = \mathcal{Z}}{\Gamma \vdash x : \mathcal{Z}}$$

$$\llbracket \Gamma \vdash 0 \rrbracket(\rho) \stackrel{\text{def}}{=} 0 \in \llbracket \text{nat} \rrbracket$$

in other terms $\overbrace{\quad \quad \quad}^{i=1, \dots, n}$

$$\llbracket \Gamma \vdash \text{true} \rrbracket(\rho) \stackrel{\text{def}}{=} \text{true} \in \llbracket \text{bool} \rrbracket \quad \overbrace{x_1 : \mathcal{Z}_1, \dots, x_n : \mathcal{Z}_n \vdash x_i : \mathcal{Z}_i}^{\text{in other terms}}$$

$$\llbracket \Gamma \vdash \text{false} \rrbracket(\rho) \stackrel{\text{def}}{=} \text{false} \in \llbracket \text{bool} \rrbracket$$

$$\llbracket \Gamma \vdash x \rrbracket(\rho) \stackrel{\text{def}}{=} \rho(x) \in \llbracket \Gamma(x) \rrbracket \quad (x \in \text{dom}(\Gamma))$$

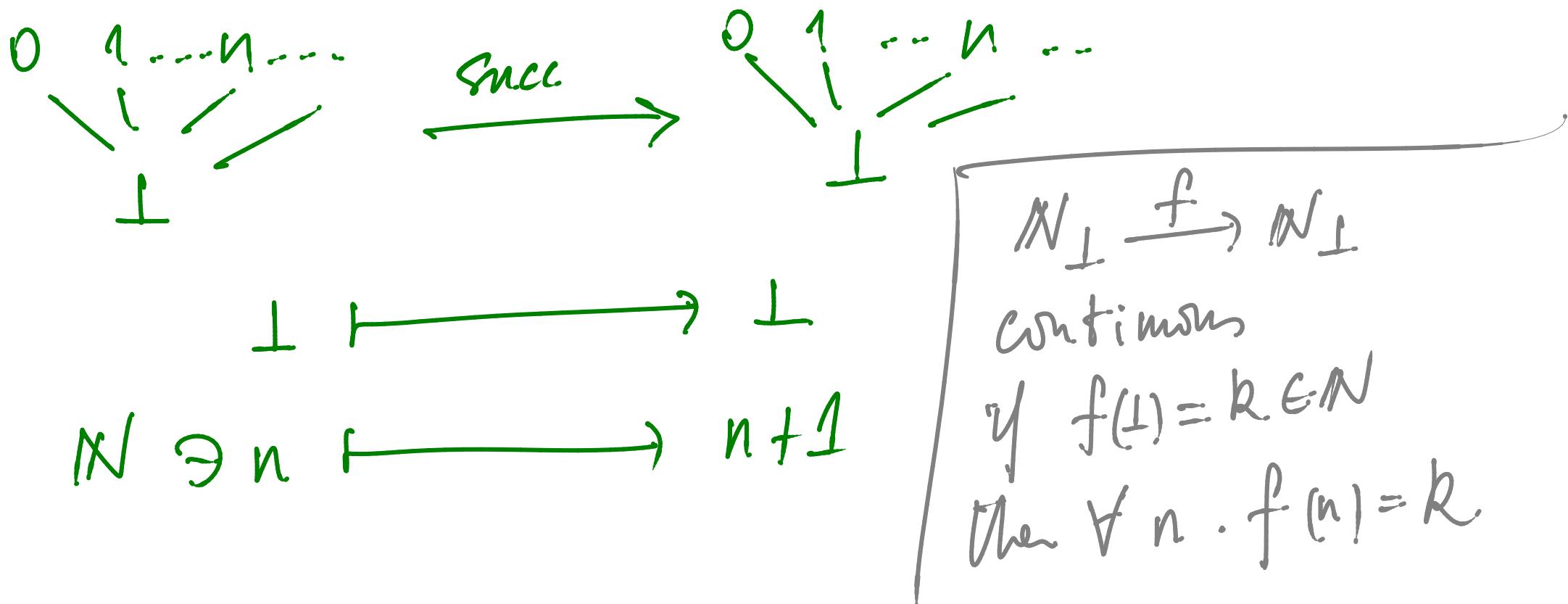
in other terms

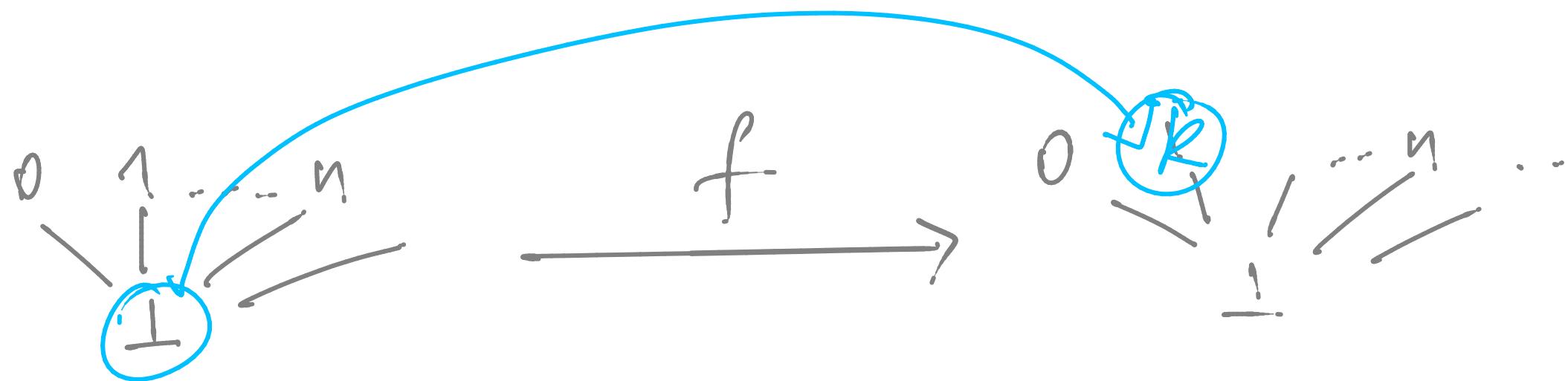
$$\llbracket x_1 : \mathcal{Z}_1, \dots, x_n : \mathcal{Z}_n \vdash x_i : \mathcal{Z}_i \rrbracket(d_1, \dots, d_n) = d_i$$

Denotational semantics of PCF terms, II

$$\llbracket \Gamma \vdash \mathbf{succ}(M) \rrbracket(\rho)$$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) + 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) \neq \perp \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \perp \end{cases}$$





$\forall i \perp \in i \Rightarrow f(\perp) \in f(i) \Rightarrow f(\perp) = k$
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Denotational semantics of PCF terms, II

$$\llbracket \Gamma \vdash \mathbf{succ}(M) \rrbracket(\rho)$$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) + 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) \neq \perp \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \perp \end{cases}$$

$$\llbracket \Gamma \vdash \mathbf{pred}(M) \rrbracket(\rho)$$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) - 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) > 0 \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = 0, \perp \end{cases}$$

$$\mathbf{pred} : \mathbb{N}_{\perp} \rightarrow \mathbb{N}_{\perp} \quad \text{cont.}$$

$$\left\{ \begin{array}{l} \perp, 0 \mapsto \perp \\ n > 1 \mapsto n - 1 \end{array} \right.$$

Denotational semantics of PCF terms, II

$$\llbracket \Gamma \vdash \mathbf{succ}(M) \rrbracket(\rho)$$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) + 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) \neq \perp \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \perp \end{cases}$$

$$\llbracket \Gamma \vdash \mathbf{pred}(M) \rrbracket(\rho)$$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M \rrbracket(\rho) - 1 & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) > 0 \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = 0, \perp \end{cases}$$

$$\llbracket \Gamma \vdash \mathbf{zero}(M) \rrbracket(\rho) \stackrel{\text{def}}{=} \begin{cases} \text{true} & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = 0 \\ \text{false} & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) > 0 \\ \perp & \text{if } \llbracket \Gamma \vdash M \rrbracket(\rho) = \perp \end{cases}$$

Denotational semantics of PCF terms, III

$\llbracket \Gamma \vdash \text{if } M_1 \text{ then } M_2 \text{ else } M_3 \rrbracket(\rho)$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M_2 \rrbracket(\rho) & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket(\rho) = \text{true} \\ \llbracket \Gamma \vdash M_3 \rrbracket(\rho) & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket(\rho) = \text{false} \\ \perp & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket(\rho) = \perp \end{cases}$$

$$\frac{\Gamma \vdash M_1 : \tau \rightarrow \sigma \quad \Gamma \vdash M_2 : \tau}{\Gamma \vdash M_1 M_2 : \sigma}$$

Denotational semantics of PCF terms, III

$$\llbracket \Gamma \vdash M_1 : \tau \rrbracket \in \llbracket \Gamma \vdash \tau \rightarrow (\prod z \rightarrow \prod \sigma) \rrbracket$$

$$\llbracket \Gamma \vdash \text{if } M_1 \text{ then } M_2 \text{ else } M_3 \rrbracket(\rho) \in \llbracket \Gamma \vdash M_2 \rrbracket \in \llbracket \Gamma \vdash \tau \rightarrow (\prod z \rightarrow \prod \sigma) \rrbracket$$

$$\stackrel{\text{def}}{=} \begin{cases} \llbracket \Gamma \vdash M_2 \rrbracket(\rho) & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket(\rho) = \text{true} \\ \llbracket \Gamma \vdash M_3 \rrbracket(\rho) & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket(\rho) = \text{false} \\ \perp & \text{if } \llbracket \Gamma \vdash M_1 \rrbracket(\rho) = \perp \end{cases}$$

$$\llbracket \Gamma \vdash M_1 M_2 \rrbracket(\rho) \stackrel{\text{def}}{=} (\underbrace{\llbracket \Gamma \vdash M_1 \rrbracket(\rho)}_{\in \llbracket \Gamma \vdash \tau \rrbracket} \underbrace{(\llbracket \Gamma \vdash M_2 \rrbracket(\rho))}_{\in \llbracket \Gamma \vdash (\tau \rightarrow \sigma) \rrbracket} \underbrace{\in \llbracket \Gamma \vdash \tau \rrbracket}_{\in \llbracket \Gamma \vdash \tau \rightarrow \sigma \rrbracket})$$

$$\Gamma, x : \tau \vdash M : \sigma$$
$$\frac{}{\Gamma \vdash \text{fn } x : \tau. M : \tau \rightarrow \sigma}$$

Denotational semantics of PCF terms, IV

$$[\![\Gamma \vdash \text{fn } x : \tau. M]\!](\rho)$$
$$\stackrel{\text{def}}{=} \lambda d \in [\![\tau]\!]. [\![\Gamma[x \mapsto \tau] \vdash M]\!](\rho[x \mapsto d])$$
$$[\![\Gamma, x : \tau \vdash M]\!] \gamma : [\![\Gamma, x : \tau \gamma \rightarrow [\![\sigma]\!]]]$$
$$(\boxed{x} \notin \text{dom}(\Gamma))$$

currying (is continuous)

$$[\![\Gamma]\!] \times [\![\tau]\!]$$

NB: $\rho[x \mapsto d] \in [\![\Gamma[x \mapsto \tau]]\!]$ is the function mapping x to $d \in [\![\tau]\!]$ and otherwise acting like ρ .

$$[\![\Gamma]\!] \rightarrow ([\![\tau]\!] \rightarrow [\![\sigma]\!])$$