

## CST 2015-16 Part II Types – Exercise Sheet

### ML Polymorphism

**Exercise 1.** Prove the following typings hold for the Mini-ML type system:

- (i)  $\{ \} \vdash \lambda x_1 (\lambda x_2 (x_1)) : \forall \alpha_1, \alpha_2 (\alpha_1 \rightarrow (\alpha_2 \rightarrow \alpha_1))$
- (ii)  $\{ \} \vdash \lambda x (x :: \text{nil}) : \forall \alpha (\alpha \rightarrow \alpha \text{ list})$
- (iii)  $\{ \} \vdash \lambda x (\text{case } x \text{ of nil} \Rightarrow \text{true} \mid x_1 :: x_2 \Rightarrow \text{false}) : \forall \alpha (\alpha \text{ list} \rightarrow \text{bool})$
- (iv)  $\{ \} \vdash \text{let } f = \lambda x_1 (\lambda x_2 (x_1)) \text{ in } f f : \forall \alpha_1, \alpha_2, \alpha_3 (\alpha_1 \rightarrow (\alpha_2 \rightarrow (\alpha_3 \rightarrow \alpha_2)))$

**Exercise 2.** Which of the following are valid instances of the specialisation relation between ML type schemes and types?

- (i)  $\forall \alpha_1, \alpha_2 (\alpha_1 \rightarrow \alpha_2) \succ (\alpha_1 \rightarrow \alpha_1) \rightarrow \alpha_1$
- (ii)  $\forall \alpha_1 (\alpha_1 \rightarrow \alpha_2) \succ (\alpha_1 \rightarrow \alpha_1) \rightarrow \alpha_1$
- (iii)  $\forall \alpha_1 (\alpha_1 \rightarrow \alpha_2) \succ (\alpha_2 \rightarrow \alpha_2) \rightarrow \alpha_2$
- (iv)  $\forall \alpha_1 (\alpha_1 \rightarrow \alpha_1) \succ (\alpha_1 \rightarrow \alpha_1) \rightarrow \alpha_2$

**Exercise 3.** Show that if  $\{ \} \vdash M : \tau$  is provable from the Mini-ML typing rules, then  $M$  must be *closed*, i.e. have no free variables. [Hint: use rule induction for the rules on Slides 16–18 to show that the provable typing judgements,  $\Gamma \vdash M : \tau$ , all have the property that  $fv(M) \subseteq dom(\Gamma)$ .]

**Exercise 4.** Consider the following Mini-ML typing problems (Slide 26).

- (i)  $x : \forall \{ \beta \} (\beta \rightarrow \alpha) \vdash x (x \text{ nil}) : ?$
- (ii)  $x : \forall \{ \alpha \} (\beta \rightarrow \alpha) \vdash x (x \text{ nil}) : ?$
- (iii)  $x : \forall \{ \beta \} (\beta \rightarrow \alpha \text{ list}) \vdash x :: (x \text{ nil}) : ?$
- (iv)  $x : \forall \{ \alpha \} (\beta \rightarrow \alpha \text{ list}) \vdash x :: (x \text{ nil}) : ?$

For each typing problem, either give a solution together with a proof of typing, or show that no solution exists.

**Exercise 5.** Complete the definition of  $pt$  on Slide 31 and in Figure 3 with clauses for `nil`, `cons`, and `case`-expressions.

### Polymorphic Reference Types

**Exercise 6.** Show that if

$$M \triangleq \text{let } f = (\lambda x (x)) \lambda y (y) \text{ in } (f \text{ true}) :: (f \text{ nil})$$

then in Mini-ML  $\{ \} \vdash M : \tau$  is provable for some  $\tau$ , but that in Midi-ML with the value-restricted rule (`letv`), it is not provable for any  $\tau$ .

**Exercise 7.** Which of the following typing judgements are provable in the Midi-ML type system with the value-restricted rule (`letv`)?

- (i)  $\{ \} \vdash \text{let } r = \text{ref } \lambda x (x) \text{ in } (!r)(r := \lambda y (\text{true})) : \text{unit}$
- (ii)  $\{ \} \vdash \text{let } r = \text{ref } \lambda x (x) \text{ in } (!r)(r := \lambda y (())) : \text{unit}$
- (iii)  $\{ \} \vdash \text{let } f = \lambda x (\text{ref } x) \text{ in } f f : \sigma$  (for some type scheme  $\sigma$ )

## Polymorphic Lambda Calculus

**Exercise 8.** Consider the ML type system modified as in Example 7, that is, with polymorphic types and with  $(\text{var } \succ)$  replaced by the rules on Slide 43. Show that

$$\{ \} \vdash \lambda f ((f \text{ true}) :: (f \text{ nil})) : \pi_i$$

holds in this type system, where

$$\pi_1 \triangleq (\forall \alpha (\alpha \rightarrow \alpha)) \rightarrow \text{bool list}$$

$$\pi_2 \triangleq (\forall \alpha_1 (\alpha_1 \rightarrow \forall \alpha_2 (\alpha_2))) \rightarrow \text{bool list}$$

**Exercise 9.** For each of the following PLC typing judgements, are there PLC types  $\tau_1, \dots, \tau_5$  that make the judgements provable?

(i)  $\{ \} \vdash \lambda x : \forall \alpha (\alpha) (\Lambda \beta (x \beta)) : \tau_1$

(ii)  $\{ \} \vdash \Lambda \alpha (\lambda x : \alpha (\Lambda \beta (x \beta))) : \tau_2$

(iii)  $\{ \} \vdash \lambda x : \tau_3 (\Lambda \alpha (x (\alpha \rightarrow \alpha) (x \alpha))) : \tau_3 \rightarrow \forall \beta (\beta)$

(iv)  $\{ \} \vdash \lambda x : \tau_4 (\Lambda \alpha (x (\alpha \rightarrow \alpha) (x \alpha))) : \tau_4 \rightarrow \forall \alpha (\alpha \rightarrow \alpha)$

(v)  $\{ \} \vdash \Lambda \alpha (\lambda x : \tau_5 (x (\alpha \rightarrow \alpha) (x \alpha))) : \forall \alpha (\alpha \rightarrow \alpha)$

**Exercise 10.** In PLC, defining the expression  $\text{let } x = M_1 : \tau \text{ in } M_2$  to be an abbreviation for  $(\lambda x : \tau (M_2)) M_1$ , show that the typing rule

$$\frac{\Gamma \vdash M_1 : \tau_1 \quad \Gamma, x : \tau_1 \vdash M_2 : \tau_2}{\Gamma \vdash (\text{let } x = M_1 : \tau_1 \text{ in } M_2) : \tau_2} \quad \text{if } x \notin \text{dom}(\Gamma)$$

is admissible—in the sense that the conclusion is provable if the hypotheses are.

**Exercise 11.** The *erasure*,  $\text{erase}(M)$ , of a PLC expression  $M$  is the expression of the untyped lambda calculus obtained by deleting all type information from  $M$ :

$$\text{erase}(x) \triangleq x$$

$$\text{erase}(\lambda x : \tau (M)) \triangleq \lambda x (\text{erase}(M))$$

$$\text{erase}(M_1 M_2) \triangleq \text{erase}(M_1) \text{erase}(M_2)$$

$$\text{erase}(\Lambda \alpha (M)) \triangleq \text{erase}(M)$$

$$\text{erase}(M \tau) \triangleq \text{erase}(M).$$

- (i) Find PLC expressions  $M_1$  and  $M_2$  satisfying  $\text{erase}(M_1) = \lambda x (x) = \text{erase}(M_2)$  such that  $\vdash M_1 : \forall \alpha (\alpha \rightarrow \alpha)$  and  $\vdash M_2 : \forall \alpha_1 (\alpha_1 \rightarrow \forall \alpha_2 (\alpha_1))$  are provable PLC typings.
- (ii) We saw in Example 12 that there is a closed PLC expression  $M$  of type  $\forall \alpha (\alpha) \rightarrow \forall \alpha (\alpha)$  satisfying  $\text{erase}(M) = \lambda f (f f)$ . Find some other closed, typeable PLC expressions with this property.
- (iii) [For this part you will need to recall from the CST Part IB *Computation Theory* course some properties of beta reduction of expressions in the untyped lambda calculus.] A theorem of Girard says that if  $\{ \} \vdash M : \tau$  is provable in the PLC type system, then  $\text{erase}(M)$  is strongly normalisable in the untyped lambda calculus, i.e. there are no infinite chains of beta-reductions starting from  $\text{erase}(M)$ . Assuming this result, exhibit an expression of the untyped lambda calculus which is not equal to  $\text{erase}(M)$  for any closed, typeable PLC expression  $M$ .

**Exercise 12.** Define  $\alpha_1 * \alpha_2 \triangleq \forall \alpha ((\alpha_1 \rightarrow \alpha_2 \rightarrow \alpha) \rightarrow \alpha)$ . Show that there are PLC expressions *Pair*, *fst*, and *snd* satisfying:

$$\{ \} \vdash \text{Pair} : \forall \alpha_1, \alpha_2 (\alpha_1 \rightarrow \alpha_2 \rightarrow (\alpha_1 * \alpha_2)) \quad (16)$$

$$\{ \} \vdash \text{fst} : \forall \alpha_1, \alpha_2 ((\alpha_1 * \alpha_2) \rightarrow \alpha_1) \quad (17)$$

$$\{ \} \vdash \text{snd} : \forall \alpha_1, \alpha_2 ((\alpha_1 * \alpha_2) \rightarrow \alpha_2) \quad (18)$$

$$\text{fst } \alpha_1 \alpha_2 (\text{Pair } \alpha_1 \alpha_2 x_1 x_2) =_{\beta} x_1 \quad (19)$$

$$\text{snd } \alpha_1 \alpha_2 (\text{Pair } \alpha_1 \alpha_2 x_1 x_2) =_{\beta} x_2. \quad (20)$$

**Exercise 13.** Suppose that  $\tau$  is a PLC type with a single free type variable,  $\alpha$ . Suppose also that  $T$  is a closed PLC expression satisfying a (weak) ‘functoriality’ property:

$$\{ \} \vdash T : \forall \alpha_1, \alpha_2 ((\alpha_1 \rightarrow \alpha_2) \rightarrow (\tau[\alpha_1/\alpha] \rightarrow \tau[\alpha_2/\alpha])).$$

Define  $\iota$  to be the closed PLC type

$$\iota \triangleq \forall \alpha ((\tau \rightarrow \alpha) \rightarrow \alpha).$$

Show how to define PLC expressions  $R$  and  $I$  satisfying

$$\{ \} \vdash R : \forall \alpha ((\tau \rightarrow \alpha) \rightarrow \iota \rightarrow \alpha) \quad (21)$$

$$\{ \} \vdash I : \tau[\iota/\alpha] \rightarrow \iota \quad (22)$$

$$(R \beta f)(I x) \rightarrow^* f (T \iota \beta (R \beta f) x). \quad (23)$$

(Category-theoretic background: altogether these properties say that  $(\iota, I)$  is a weak initial  $\tau$ -algebra: given any  $\tau$ -algebra  $(\beta, f : \tau[\beta/\alpha] \rightarrow \beta)$ , we get  $R \beta f : \iota \rightarrow \beta$  making the square of functions

$$\begin{array}{ccc} \tau[\iota/\alpha] & \xrightarrow{I} & \iota \\ T \iota \beta (R \beta f) \downarrow & & \downarrow R \beta f \\ \tau[\beta/\alpha] & \xrightarrow{f} & \beta \end{array}$$

commute up to beta reduction.) [Hint: you will need to use  $R$  in the definition of  $I$ .]

## Dependent Types

**Exercise 14.** The translation from PLC to the PTS  $\lambda 2$  given on Slide 77 sends the PLC type  $\forall \alpha (\alpha \rightarrow \alpha)$  to the pseudo-term  $\Pi \alpha : * (\Pi x : \alpha (\alpha))$  and the PLC term  $\Lambda \alpha (\lambda x : \alpha (x))$  to the pseudo-term  $\lambda \alpha : * (\lambda x : \alpha (x))$ . Verify that the judgement

$$\diamond \vdash \lambda \alpha : * (\lambda x : \alpha (x)) : \Pi \alpha : * (\Pi x : \alpha (\alpha))$$

is provable in  $\lambda 2$ . Indicate clearly where the proof uses the axiom  $(*, \square)$  and the rules  $(*, *, *)$  and  $(\square, *, *)$ . (If you do not use them all, you are doing something wrong.)

**Exercise 15.** Give an example of pseudo-terms  $M$  and  $A$  in the PTS  $\lambda \omega$  satisfying  $\diamond \vdash M : A$  for which the proof of typing makes use of the PTS rule (conv) (Slide 73).

**Exercise 16.** By analogy with the encoding of existential types in PLC (Slide 63), define pseudo-terms *exists*, *pack* and *unpack* in the PTS  $\lambda \omega$  satisfying

$$\diamond \vdash \text{exists} : (* \rightarrow *) \rightarrow * \quad (24)$$

$$\diamond \vdash \text{pack} : \Pi T : * \rightarrow * (\Pi \alpha : * (T \alpha \rightarrow \text{exists } T)) \quad (25)$$

$$\diamond \vdash \text{unpack} : \Pi T : * \rightarrow * (\text{exists } T \rightarrow \Pi \beta : * ((\Pi \alpha : * (T \alpha \rightarrow \beta)) \rightarrow \beta)) \quad (26)$$

$$\text{unpack } T (\text{pack } T \alpha x) \beta f \rightarrow^* f \alpha x \quad (27)$$

## Propositions as Types

**Exercise 17.** Conjunction (*conj*) and bi-implication (*bimp*) can be defined in the Calculus of Constructions  $\lambda C$  in the same way as they are in 2IPC (Slide 91):

$$conj \triangleq \lambda p, q : \text{Prop} (\Pi r : \text{Prop} ((p \rightarrow q \rightarrow r) \rightarrow r)) \quad (28)$$

$$\diamond \vdash conj : \text{Prop} \rightarrow \text{Prop} \rightarrow \text{Prop}$$

$$bimp \triangleq \lambda p, q : \text{Prop} (conj (p \rightarrow q) (q \rightarrow p)) \quad (29)$$

$$\diamond \vdash bimp : \text{Prop} \rightarrow \text{Prop} \rightarrow \text{Prop}$$

Bi-implication is used in the definition of Leibniz equality on Slide 96, where  $Px \leftrightarrow Py$  stands for  $bimp (Px) (Py)$ :

$$Eq_A \triangleq \lambda x, y : A (\Pi P : A \rightarrow \text{Prop} (Px \leftrightarrow Py)) \quad (30)$$

$$\Gamma \vdash Eq_A : A \rightarrow A \rightarrow \text{Prop} \quad \text{if} \quad \Gamma \vdash A : \text{Set}$$

Show that the simpler definition

$$Eq'_A \triangleq \lambda x, y : A (\Pi P : A \rightarrow \text{Prop} (Px \rightarrow Py))$$

gives a logically equivalent notion of equality by constructing pseudo-terms  $F, G$  satisfying

$$\Gamma \vdash F : \Pi x, y : A (Eq_A x y \rightarrow Eq'_A x y)$$

$$\Gamma \vdash G : \Pi x, y : A (Eq'_A x y \rightarrow Eq_A x y)$$

(assuming  $\Gamma \vdash A : \text{Set}$ ). [Hint for  $G$ : given  $x, y : A$ ,  $f : \Pi P : A \rightarrow \text{Prop} (Px \rightarrow Py)$  and  $P : A \rightarrow \text{Prop}$ , we can get a function  $Py \rightarrow Px$  by applying  $f$  to  $\lambda z : A (Pz \rightarrow Px)$  and  $\lambda p : Px (p)$ .]

**Exercise 18.** In  $\lambda C$  extended with inductively defined identity propositions (Slide 102) construct proofs that equality is symmetric and transitive

$$\Gamma \vdash symm_A : \Pi x, y : A (\text{Id}_{A,x} y \rightarrow \text{Id}_{A,y} x) \quad (31)$$

$$\Gamma \vdash trans_A : \Pi x, y : A (\text{Id}_{A,x} y \rightarrow \Pi z : A (\text{Id}_{A,y} z \rightarrow \text{Id}_{A,x} z)) \quad (32)$$

(where  $\Gamma \vdash A : s$ ).

**Exercise 19.** In  $\lambda C$  extended with an inductive type of natural numbers (Slide 100) and inductive identity propositions (Slide 102), give a pseudo-term  $P$  satisfying

$$\diamond \vdash P : \Pi x : \text{Nat} (\text{Id}_{\text{Nat},x} (\text{add zero } x)) \quad (33)$$

where

$$add \triangleq \lambda x : \text{Nat} (\text{elimNat}(y.\text{Nat}) x (\lambda y : \text{Nat} (\text{succ}))) \quad (34)$$

[Hint: try to convert the Agda proof on Slide 103, which uses Agda's pattern-matching facilities, into a proof using the eliminators `elimNat` and `J`.]