Reminder: Last time

1. Networking and the sockets API
2. Network-stack design principles: 1980s and today
3. Memory flow across hardware and software
4. Network-stack construction and work flows
5. Recent network-stack research
The Transmission Control Protocol (TCP)

September 1981

Transmission Control Protocol Functional Specification

<table>
<thead>
<tr>
<th>TCP Connection State Diagram</th>
<th>Figure 6.</th>
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TCP protocol

TCP goals and properties

- Network may delay, (reorder), drop, corrupt packets
- TCP: Reliable, ordered, stream transport protocol over IP
- Three-way handshake: SYN / SYN-ACK / ACK (mostly!)
- Sequence numbers ACK’d; data retransmitted on loss
- Round-Trip Time (RTT) measured to time out loss
- Flow control via advertised window size in ACKs
- Congestion control (‘fairness’) via packet loss and ECN
TCP congestion control and avoidance

- 1986 Internet CC collapse
  - 32Kbps -> 40bps
- Van Jacobson, SIGCOMM 1988
  - Don’t send more data than the network can handle!
- Conservation of packets via ACK clocking
- Exponential retransmit timer, slow start, aggressive receiver ACK, and dynamic window sizing on congestion

Figure 4: Startup behavior of TCP with Slow-start

Same conditions as the previous figure (same time of day, same Suns, same network path, same buffer and window sizes), except the machines were running the 4.3+TCP with slow-start. No bandwidth is wasted on retransmits but two seconds is spent on the slow-start so the effective bandwidth of this part of the trace is 16 Kbps — two times better than figure 3. (This is slightly misleading: Unlike the previous figure, the slope of the trace is 20 KBps and the effect of the 2 second offset decreases as the trace lengthens. E.g., if this trace had run a minute, the effective bandwidth would have been 19 KBps. The effective bandwidth without slow-start stays at 7 KBps no matter how long the trace.)

- ECN (RFC 3168), ABC (RFC 3465), Compound (Tan, et al, INFOCOM 2006), Cubic (Rhee and Xu, ACM OSR 2008)
TCP time/sequence graphs

- Extracted from TCP packet traces
- Visualise windows, congestion response, RTT, etc.
  - X: Time
  - Y: Sequence number
- We can also extract this data from the stack using DTrace
BSD/FreeBSD TCP implementation evolution

1983 - 4.2 BSD: BSD sockets, TCP/IP implementation
1986 - 4.3 BSD: VJ/Karels congestion control
1999 - FreeBSD 3.1: sendfile(2)
2000 - FreeBSD 4.2: TCP accept filters
2001 - FreeBSD 4.4: TCP ISN randomisation
2002 - FreeBSD 4.5: TCP SYN cache/cookies
2003 - FreeBSD 5.0–5.1: IPv6, TCP TIMEWAIT state reduction
2004 - FreeBSD 5.2–5.3: TCP host cache, SACK, fine-grained locking
2008 - FreeBSD 6.3: TCP LRO, TSO
2008 - FreeBSD 7.0: T/TCP removed, socket-buffer autosizing
2009 - FreeBSD 7.1: read-write locking, full TCP offload
2009 - FreeBSD 8.0: TCP ECN
2012 - FreeBSD 9.0: pluggable congestion control, connection groups

Which changes have protocol-visible effects vs. only code?
Lect. 5: Local send/receive paths in the network stack
Data structures - sockets, control blocks

Socket and Socket Buffers
- socket
- so_pcb
- so_proto
- Listen state, accept filter
- Receive socket buffer
- Send socket buffer

Internet Protocol Control Blocks
- inpcb
  - inp_pppcb
  - List/hash entries
  - IP/port 4-tuple
  - IP options
  - Flow/RSS state

TCP Protocol Control Blocks
- tcpcb
  - Reassembly Q
  - Timers
  - Sequence state
  - Common CC state
  - Per-CC state
  - SACK state
  - TOE state
- tcptw
  - Sequence state
  - 2MSL timer

Protocol Description
...
TCP implementation

Denial of Service (DoS) - state minimisation

- Yahoo!, Amazon, CNN taken out by SYN floods in February 2000
- D. Borman: TCP SYN cache - minimise state for new connection
- D. Bernstein: SYN cookies - eliminate state entirely – at a cost
- J. Lemon: TCP TIMEWAIT reduction - minimise state during close
- J. Lemon: TCP TIMEWAIT recycle - release state early under load

Figure 3: Time needed to connect() to remote system.
TCP-connection lookup tables

- Global list of connections for monitoring (e.g., `netstat`)
- Connections are installed in a global hash table for lookup
- Separate (similar) hash table for port-number allocations
- Tables protected by global read-write lock as reads dominant
  - New packets more frequent than new connections
Lect. 5 - Work dispatch: input path

Deferred dispatch - *ithread* -> *netisr thread* -> *user thread*

Now: direct dispatch - *ithread* -> *user thread*
  - Pros: reduced latency, better cache locality, drop overload early
  - Cons: reduced parallelism and work placement opportunities
An Evaluation of Network Stack Parallelization Strategies in Modern Operating Systems


- Network bandwidth growth > CPU frequency growth
- Locking overhead (space, contention) substantial – getting ‘speedup’ is hard
- Evaluate different strategies for TCP processing parallelisation
- Message-based Parallelism
- Connection-based Parallelism (threads)
- Connection-based Parallelism (locks)
- Coalescing locks across connections benefits both overhead and parallelism
FreeBSD connection groups, RSS

- **Connection groups blend MsgP and ConnP-L models**
  - PCBs assigned to group based on 4-tuple hash
  - Lookup requires group lock, not global lock
  - Global lock retained for 4-tuple reservation (e.g., setup, teardown)

- **Problem:** have to look at TCP headers (cache lines) to place work!

- **Microsoft: NIC Receive-Side Scaling (RSS)**
  - Multi-queue NICs deliver packets to queue using hash
  - Align connection groups with RSS buckets / interrupt routing
Performance: dispatch model and locking

Varying dispatch strategy – bandwidth

- 2010-vintage 4-core x86 multicore
- TCP LRO disabled
- Single queue: 1 ithread
- Single queue: 8 worker threads (1 per core)
- Multi queue: 8 queues, 8 ithreads
From architectural to micro-architectural optimisation

Hardware, software, protocol co-design change optimisation approaches:

- Counting instructions -> counting cache misses
- Lock contention -> cache-line contention
- Locking -> identifying parallelism opportunities
- Work ordering, classification, and distribution
- Vertically integrate distribution and affinity
- NIC offload of further protocol layers, crypto
- DMA/cache interactions
Labs 4 + 5: TCP

▶ Build from abstract to more concrete understanding of TCP
▶ Use tools such as `tcpdump` and `DUMMynet`
▶ Explore effects of latency on TCP performance

Lab 4 - TCP state machine and latency

▶ Measure the TCP state machine in practice
▶ Explore TCP latency vs. bandwidth (DUMMynet)
▶ At what transfer size are different latencies masked?

Lab 5 - TCP congestion control

▶ Draw time–sequence-number diagrams
▶ Annotate diagrams with scheduler events
▶ Annotate diagrams with timer events
▶ Effects of latency on slow-start rampup