

## Last time:

### Simply typed lambda calculus

$A \rightarrow B$   $\lambda x:A.M$   $M N$

### ... with products

$A \times B$   $\langle M, N \rangle$   $\text{fst } M$   $\text{snd } M$

### ... and sums

$A + B$   $\text{inl } [B] M$   $\text{inr } [A] M$   $\text{case } L \text{ of } x.M \mid y.N$

### Polymorphic lambda calculus

$\forall \alpha::K.A$   $\Lambda \alpha::K.M$   $M [A]$

### ... with existentials

$\exists \alpha::K.A$   $\text{pack } B, M \text{ as } \exists \alpha::K.A$   $\text{open } L \text{ as } \alpha, x \text{ in } M$

## Typing rules for existentials

$$\frac{\Gamma \vdash M : A[\alpha ::= B] \quad \Gamma \vdash \exists \alpha :: K. A :: *}{\Gamma \vdash \text{pack } B, M \text{ as } \exists \alpha :: K. A : \exists \alpha :: K. A} \exists\text{-intro}$$

$$\frac{\Gamma \vdash M : \exists \alpha :: K. A \quad \Gamma, \alpha :: K, x : A \vdash M' : B}{\Gamma \vdash \text{open } M \text{ as } \alpha, x \text{ in } M' : B} \exists\text{-elim}$$

# Unit in OCaml

```
type u = Unit
```

## Encoding data types in System F: unit

The **unit** type has **one inhabitant**.

We can **represent** it as the type of the **identity function**.

```
Unit =  $\forall \alpha :: *. \alpha \rightarrow \alpha$ 
```

The unit value is the single inhabitant:

```
Unit =  $\Lambda \alpha :: *. \lambda a : \alpha . a$ 
```

We can package the type and value as an **existential**:

```
pack ( $\forall \alpha :: *. \alpha \rightarrow \alpha$ ,  
       $\Lambda \alpha :: *. \lambda a : \alpha . a$ )  
as  $\exists U :: *. u$ 
```

We'll write **1** for the unit type and  $\langle \rangle$  for its inhabitant.

# Booleans in OCaml

A boolean data type:

```
type bool = False | True
```

A destructor for bool:

```
val _if_ : bool -> 'a -> 'a -> 'a
```

```
let _if_ b _then_ _else_ =  
  match b with  
  | False -> _else_  
  | True -> _then_
```

## Encoding data types in System F: booleans

The **boolean** type has two inhabitants: **false** and **true**.

We can **represent** it using sums and unit.

```
Bool = 1 + 1
```

The constructors are represented as injections:

```
false = inl [1] ⟨⟩  
true  = inr [1] ⟨⟩
```

The destructor (**if**) is implemented using **case**:

```
λb:Bool.  
  Λα:*.  
    λr:α.  
      λs:α.case b of x.s | y.r
```

## Encoding data types in System F: booleans

We can package the definition of booleans as an existential:

```
pack (1+1,  
      <inr [1] <>,  
      <inl [1] <>,  
      λb:Bool.  
        Λα::*.  
          λr:α.  
            λs:α.  
              case b of x.s | y.r>>>)  
as ∃β::*.  
  β ×  
  β ×  
  (β → ∀α::*.α → α → α)
```



# Natural numbers in OCaml

A nat data type

```
type nat =  
  Zero : nat  
  | Succ : nat -> nat
```

A destructor for nat:

```
val foldNat : nat -> 'a -> ('a -> 'a) -> 'a  
  
let rec foldNat n z s =  
  match n with  
  | Zero -> z  
  | Succ n -> s (foldNat n z s)
```

## Encoding data types in System F: natural numbers

The type of **natural numbers** is inhabited by **Z**, **SZ**, **SSZ**, ...  
We can represent it using a polymorphic function of two parameters:

$$\mathbb{N} = \forall \alpha :: *. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha$$

The **Z** and **S** constructors are represented as functions:

$$z : \mathbb{N}$$

$$z = \Lambda \alpha :: *. \lambda z : \alpha . \lambda s : \alpha \rightarrow \alpha . z$$

$$s : \mathbb{N} \rightarrow \mathbb{N}$$

$$s = \lambda n : \forall \alpha :: *. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha .$$

$$\Lambda \alpha :: *. \lambda z : \alpha . \lambda s : \alpha \rightarrow \alpha . s \text{ (n [\alpha] z s) ,}$$

The  $\text{fold}_{\mathbb{N}}$  destructor allows us to analyse natural numbers:

$$\text{fold}_{\mathbb{N}} : \mathbb{N} \rightarrow \forall \alpha :: *. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha$$

$$\text{fold}_{\mathbb{N}} = \lambda n : \forall \alpha :: *. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha . n$$

## Encoding data types: natural numbers (continued)

```
fold $\mathbb{N}$  :  $\mathbb{N} \rightarrow \forall \alpha :: *. \alpha \rightarrow (\alpha \rightarrow \alpha) \rightarrow \alpha$ 
```

For example, we can use `fold $\mathbb{N}$`  to write a function to test for zero:

```
 $\lambda n : \mathbb{N}. \text{fold}\mathbb{N} \ n \ [\text{Bool}] \ \text{true} \ (\lambda b : \text{Bool}. \text{false})$ 
```

Or we could instantiate the type parameter with  `$\mathbb{N}$`  and write an addition function:

```
 $\lambda m : \mathbb{N}. \lambda n : \mathbb{N}. \text{fold}\mathbb{N} \ m \ [\mathbb{N}] \ n \ \text{succ}$ 
```

## Encoding data types: natural numbers (concluded)

Of course, we can package the definition of  $\mathbb{N}$  as an existential:

```
pack (∀α::*.α → (α → α) → α,  
      ⟨Λα::*.λz:α.λs:α → α.z,  
      ⟨λn:∀α::*.α → (α → α) → α.  
        Λα::*.λz:α.λs:α → α.s (n [α] z s),  
      ⟨λn:∀α::*.α → (α → α) → α.n⟩⟩⟩)  
as ∃N::*.  
  N ×  
  (N → N) ×  
  (N → ∀α::*.α → (α → α) → α)
```

# System $F\omega$

(polymorphism + type abstraction)

# System $F\omega$ by example

## A kind for binary type operators

$* \Rightarrow * \Rightarrow *$

## A binary type operator

$\lambda\alpha::*. \lambda\beta::*. \alpha + \beta$

## A kind for higher-order type operators

$(* \Rightarrow *) \Rightarrow * \Rightarrow *$

## A higher-order type operator

$\lambda\phi::* \Rightarrow *. \lambda\alpha::*. \phi (\phi \alpha)$

## Kind rules for System $F\omega$

$$\frac{K_1 \text{ is a kind} \quad K_2 \text{ is a kind}}{K_1 \Rightarrow K_2 \text{ is a kind}} \Rightarrow\text{-kind}$$

## Kinding rules for System $F_\omega$

$$\frac{\Gamma, \alpha :: K_1 \vdash A :: K_2}{\Gamma \vdash \lambda \alpha :: K_1. A :: K_1 \Rightarrow K_2} \Rightarrow\text{-intro}$$

$$\frac{\Gamma \vdash A :: K_1 \Rightarrow K_2 \quad \Gamma \vdash B :: K_1}{\Gamma \vdash A B :: K_2} \Rightarrow\text{-elim}$$



## Sums in OCaml

```
type ('a, 'b) sum =  
  Inl : 'a -> ('a, 'b) sum  
| Inr : 'b -> ('a, 'b) sum
```

```
val case :  
  ('a, 'b) sum -> ('a -> 'c) -> ('b -> 'c) -> 'c
```

```
let case s l r =  
  match s with  
  | Inl x -> l x  
  | Inr y -> r y
```

## Encoding data types in System F $\omega$ : sums

We can finally **define** sums within the language.

As for  $\mathbb{N}$  sums are represented as a binary polymorphic function:

$$\text{Sum} = \lambda\alpha::*. \lambda\beta::*. \forall\gamma::*. (\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma$$

The **inl** and **inr** constructors are represented as functions:

$$\begin{aligned} \text{inl} &= \Lambda\alpha::*. \Lambda\beta::*. \lambda v:\alpha. \Lambda\gamma::*. \\ &\quad \lambda l:\alpha \rightarrow \gamma. \lambda r:\beta \rightarrow \gamma. l \ v \\ \text{inr} &= \Lambda\alpha::*. \Lambda\beta::*. \lambda v:\beta. \Lambda\gamma::*. \\ &\quad \lambda l:\alpha \rightarrow \gamma. \lambda r:\beta \rightarrow \gamma. r \ v \end{aligned}$$

The **foldSum** function behaves like **case**:

$$\begin{aligned} \text{foldSum} &= \\ &\Lambda\alpha::*. \Lambda\beta::*. \lambda c:\forall\gamma::*. (\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma. c \end{aligned}$$

## Encoding data types: sums (continued)

Of course, we can package the definition of **Sum** as an existential:

```
pack  $\lambda\alpha::*. \lambda\beta::*. \forall\gamma::*. (\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma,$   
   $\Lambda\alpha::*. \Lambda\beta::*. \lambda v:\alpha. \Lambda\gamma::*. \lambda l:\alpha \rightarrow \gamma. \lambda r:\beta \rightarrow \gamma. l \ v$   
   $\Lambda\alpha::*. \Lambda\beta::*. \lambda v:\beta. \Lambda\gamma::*. \lambda l:\alpha \rightarrow \gamma. \lambda r:\beta \rightarrow \gamma. r \ v$   
   $\Lambda\alpha::*. \Lambda\beta::*. \lambda c:\forall\gamma::*. (\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma. c$   
as  $\exists\phi::* \Rightarrow * \Rightarrow *.$   
   $\forall\alpha::*. \forall\beta::*. \alpha \rightarrow \phi \ \alpha \ \beta$   
   $\times \ \forall\alpha::*. \forall\beta::*. \beta \rightarrow \phi \ \alpha \ \beta$   
   $\times \ \forall\alpha::*. \forall\beta::*. \phi \ \alpha \ \beta \rightarrow \forall\gamma::*. (\alpha \rightarrow \gamma) \rightarrow (\beta \rightarrow \gamma) \rightarrow \gamma$ 
```

(However, the pack notation becomes unwieldy as our definitions grow.)

# Lists in OCaml

A list data type:

```
type 'a list =  
  Nil : 'a list  
  | Cons : 'a * 'a list -> 'a list
```

A destructor for lists:

```
val foldList :  
  'a list -> 'b -> ('a -> 'b -> 'b) -> 'b  
  
let rec foldList l n c =  
  match l with  
  Nil -> n  
  | Cons (x, xs) -> c x (foldList xs n c)
```

## Encoding data types in System F: lists

We can define parameterised recursive types such as lists in System F $\omega$ .

As for  $\mathbb{N}$  lists are represented as a binary polymorphic function:

$$\mathbf{List} = \lambda\alpha::*. \forall\phi::* \Rightarrow *. \phi \alpha \rightarrow (\alpha \rightarrow \phi \alpha \rightarrow \phi \alpha) \rightarrow \phi \alpha$$

The **nil** and **cons** constructors are represented as functions:

$$\mathbf{nil} = \Lambda\alpha::*. \Lambda\phi::* \Rightarrow *. \lambda n:\phi \alpha. \lambda c:\alpha \rightarrow \phi \alpha \rightarrow \phi \alpha. n$$
$$\mathbf{cons} = \Lambda\alpha::*. \lambda x:\alpha. \lambda xs:\mathbf{List} \alpha.$$
$$\Lambda\phi::* \Rightarrow *. \lambda n:\phi \alpha. \lambda c:\alpha \rightarrow \phi \alpha \rightarrow \phi \alpha. \\ c \ x \ (xs \ [\phi] \ n \ c)$$

The destructor corresponds to the `foldList` function:

$$\mathbf{foldList} = \Lambda\alpha::*. \Lambda\beta::*. \lambda c:\alpha \rightarrow \beta \rightarrow \beta. \lambda n:\beta.$$
$$\lambda l:\mathbf{List} \ \alpha. l \ [\lambda\gamma::*. \beta] \ n \ c$$

## Encoding data types: lists (continued)

We defined **add** for  $\mathbb{N}$ , and we can define **append** for lists:

```
append =  $\Lambda\alpha::*$ .  
   $\lambda l:\text{List } \alpha. \lambda r:\text{List } \alpha.$   
    foldList [ $\alpha$ ] [List  $\alpha$ ]  
      l r (cons [ $\alpha$ ])
```

# Nested types in OCaml

A regular type:

```
type 'a tree =  
  Empty : 'a tree  
| Tree : 'a tree * 'a * 'a tree -> 'a tree
```

A non-regular type:

```
type 'a perfect =  
  ZeroP : 'a -> 'a perfect  
| SuccP : ('a * 'a) perfect -> 'a perfect
```

## Encoding data types in System $F\omega$ : nested types

We can represent non-regular types like **perfect** in System  $F\omega$ :

$$\begin{aligned} \text{Perfect} = & \lambda\alpha::*. \forall\phi::* \Rightarrow *. \\ & (\forall\alpha::*. \alpha \rightarrow \phi \alpha) \rightarrow \\ & (\forall\alpha::*. \phi (\alpha \times \alpha) \rightarrow \phi \alpha) \rightarrow \\ & \phi \alpha \end{aligned}$$

This time the arguments to **zeroP** and **succP** are themselves polymorphic:

$$\begin{aligned} \text{zeroP} = & \Lambda\alpha::*. \lambda x:\alpha. \Lambda\phi::* \Rightarrow *. \\ & \lambda z:\forall\alpha::*. \alpha \rightarrow \phi \alpha. \lambda s:\forall\alpha::*. \phi (\alpha \times \alpha) \rightarrow \phi \alpha. \\ & z [\alpha] x \end{aligned}$$

$$\begin{aligned} \text{succP} = & \Lambda\alpha::*. \lambda p:\text{Perfect} (\alpha \times \alpha). \Lambda\phi::* \Rightarrow *. \\ & \lambda z:\forall\alpha::*. \alpha \rightarrow \phi \alpha. \lambda s:\forall\beta::*. \phi (\beta \times \beta) \rightarrow \phi \beta. \\ & s [\alpha] (p [\phi] z s) \end{aligned}$$



# Encoding data types in System $F\omega$ : Leibniz equality

Recall Leibniz's equality:

*consider objects equal if they behave identically in any context*

In System  $F\omega$ :

$$\text{Eq} = \lambda\alpha::*. \lambda\beta::*. \forall\phi::*. \Rightarrow *. \phi \alpha \rightarrow \phi \beta$$

# Encoding data types in System F $\omega$ : Leibniz equality (continued)

$$\text{Eq} = \lambda\alpha::*. \lambda\beta::*. \forall\phi::*. \Rightarrow *. \phi \alpha \rightarrow \phi \beta$$

Equality is **reflexive** ( $A \equiv A$ ):

$$\begin{aligned} \text{refl} & : \forall\alpha::*. \text{Eq1 } \alpha \alpha \\ \text{refl} & = \Lambda\alpha::*. \Lambda\phi::*. \Rightarrow *. \lambda x:\phi \alpha. x \end{aligned}$$

and **symmetric** ( $A \equiv B \rightarrow B \equiv A$ ):

$$\begin{aligned} \text{symm} & : \forall\alpha::*. \forall\beta::*. \text{Eq1 } \alpha \beta \rightarrow \text{Eq1 } \beta \alpha \\ \text{symm} & = \Lambda\alpha::*. \Lambda\beta::*. \\ & \lambda e:(\forall\phi::*. \Rightarrow *. \phi \alpha \rightarrow \phi \beta). e [\lambda\gamma::*. \text{Eq } \gamma \alpha] (\text{refl } [\alpha]) \end{aligned}$$

and **transitive** ( $A \equiv B \wedge B \equiv C \rightarrow A \equiv C$ ):

$$\begin{aligned} \text{trans} & : \forall\alpha::*. \forall\beta::*. \forall\gamma::*. \text{Eq1 } \alpha \beta \rightarrow \text{Eq1 } \beta \gamma \rightarrow \text{Eq1 } \alpha \gamma \\ \text{trans} & = \Lambda\alpha::*. \Lambda\beta::*. \Lambda\gamma::*. \\ & \lambda \text{ab:Eq } \alpha \beta. \lambda \text{bc:Eq } \beta \gamma. \text{bc } [\text{Eq } \alpha] \text{ ab} \end{aligned}$$

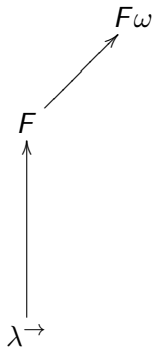
# Terms and types from types and terms

	term parameters	type parameters
building terms	$A \rightarrow B$ $\lambda x : A.M$	$\forall \alpha :: K.A$ $\Lambda \alpha :: K.M$
building types		$K_1 \Rightarrow K_2$ $\lambda \alpha :: K.A$

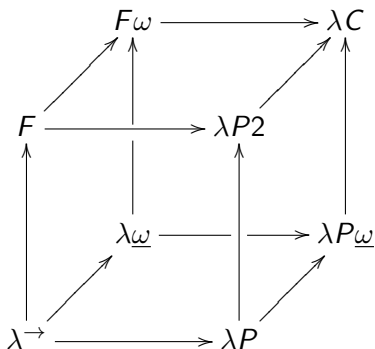
# Terms and types from types and terms

	<b>term parameters</b>	<b>type parameters</b>
<b>building terms</b>	$A \rightarrow B$ $\lambda x : A.M$	$\forall \alpha :: K.A$ $\Lambda \alpha :: K.M$
<b>building types</b>	$\Pi x : A.K$ $\Pi x : A.B$	$K_1 \Rightarrow K_2$ $\lambda \alpha :: K.A$

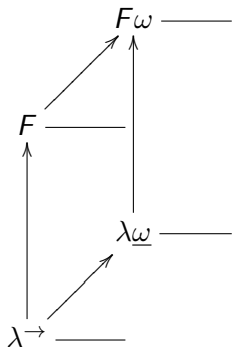
## The roadmap again



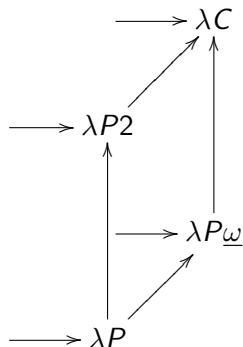
# The lambda cube



# Programming on the left face of the cube



**Functional programming**



**Dependently-typed programming**