

Quantum Computing  
Lecture 3

Principles of Quantum Mechanics

Anuj Dawar

# What is Quantum Mechanics?

*Quantum Mechanics* is a framework for the development of physical theories.

It is not itself a physical theory.

It states *four mathematical postulates* that a physical theory must satisfy.

Actual physical theories, such as *Quantum Electrodynamics* are built upon a foundation of quantum mechanics.

# What are the Postulates About

The four postulates specify a general framework for describing the behaviour of a physical system.

1. How to describe the state of a closed system.—*Statics* or *state space*
2. How to describe the evolution of a closed system.—*Dynamics*
3. How to describe the interactions of a system with external systems.—*Measurement*
4. How to describe the state of a composite system in terms of its component parts.

# First Postulate

Associated to any physical system is a *complex inner product space* (or *Hilbert space*) known as the *state space* of the system. The system is completely described at any given point in time by its *state vector*, which is a *unit vector* in its state space.

**Note:** Quantum Mechanics does not prescribe what the state space is for any given physical system. That is specified by individual physical theories.

## Example: A Qubit

Any system whose state space can be described by  $\mathbb{C}^2$ —the two-dimensional complex vector space—can serve as an implementation of a qubit.

*Example: An electron spin.*

Some systems may require an infinite-dimensional state space. We always assume, for the purposes of this course, that our systems have a *finite dimensional* state space.

## Second Postulate

The time evolution of *closed* quantum system is described by the Schrödinger equation:

$$i\hbar \frac{d|\psi\rangle}{dt} = H|\psi\rangle$$

where

- $\hbar$  is Planck's constant; and
- $H$  is a fixed Hermitian operator known as the *Hamiltonian* of the system.

## Second Postulate—Simpler Form

The state  $|\psi\rangle$  of a closed quantum system at time  $t_1$  is related to the state  $|\psi'\rangle$  at time  $t_2$  by a unitary operator  $U$  that depends only on  $t_1$  and  $t_2$ .

$$|\psi'\rangle = U|\psi\rangle$$

$U$  is obtained from the Hamiltonian  $H$  by the equation:

$$U(t_1, t_2) = \exp\left[\frac{-iH(t_2 - t_1)}{\hbar}\right]$$

This allows us to consider time as discrete and speak of *computational steps*

*Exercise:* Check that if  $H$  is Hermitian,  $U$  is unitary.

# Why Unitary?

Unitary operations are the only linear maps that preserve norm.

$$|\psi'\rangle = U|\psi\rangle$$

implies

$$\| |\psi'\rangle \| = \| U|\psi\rangle \| = \| |\psi\rangle \| = 1$$

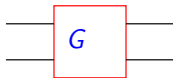
*Exercise:* Verify that unitary operations are norm-preserving.



# Gates, Operators, Matrices

In this course, most linear operators we will be interested in are unitary. They can be represented as matrices where each column is a *unit vector* and columns are pairwise orthogonal.

Another useful representation of unitary operators we will use is as gates:

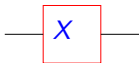


A 2-qubit gate is a unitary operator on  $\mathbb{C}^4$ .

# Pauli Gates

A particularly useful set of 1-qubit gates are the *Pauli Gates*.

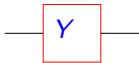
The  $X$  gate



$$X|0\rangle = |1\rangle \quad X|1\rangle = |0\rangle$$

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

The  $Y$  gate

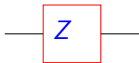


$$Y|0\rangle = i|1\rangle \quad Y|1\rangle = -i|0\rangle$$

$$Y = \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix}$$

## Pauli Gates—*contd.*

The  $Z$  gate



$$Z|0\rangle = |0\rangle \quad Z|1\rangle = -|1\rangle \quad Z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Sometimes we include the identity  $I = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$  as a fourth Pauli gate.

## Third Postulate

A measurement on a quantum system has some set  $M$  of outcomes. Quantum measurements are described by a collection  $\{P_m : m \in M\}$  of *measurement operators*. These are linear (not unitary) operators acting on the state space of the system.

If the state of the system is  $|\psi\rangle$  before the measurement, then the probability of outcome  $m$  is:

$$p(m) = \langle \psi | P_m^\dagger P_m | \psi \rangle$$

The state of the system after measurement is

$$\frac{P_m |\psi\rangle}{\sqrt{\langle \psi | P_m^\dagger P_m | \psi \rangle}}$$

## Third Postulate—*contd.*

The measurement operators satisfy the *completeness equation*.

$$\sum_{m \in M} P_m^\dagger P_m = I$$

This guarantees that the sum of the probabilities of all outcomes adds up to **1**.

$$\sum_m p(m) = \sum_m \langle \psi | P_m^\dagger P_m | \psi \rangle = \langle \psi | I | \psi \rangle = 1$$

# Measurement in the Computational Basis

We are generally interested in the special case where the measurement operators are projections onto a particular orthonormal basis of the state space (which we call the *computational basis*).

So, for a single qubit, we take measurement operators  $P_0 = |0\rangle\langle 0|$  and  $P_1 = |1\rangle\langle 1|$

This gives, for a qubit in state  $\alpha|0\rangle + \beta|1\rangle$ :

$$p(0) = |\alpha|^2 \quad p(1) = |\beta|^2$$

*Exercise:* Verify!

# Global Phase

For any state  $|\psi\rangle$ , and any  $\theta$ , we can form the vector  $e^{i\theta}|\psi\rangle$ .

Then, for any unitary operator  $U$ ,

$$Ue^{i\theta}|\psi\rangle = e^{i\theta}U|\psi\rangle$$

Moreover, for any measurement operator  $P_m$

$$\langle\psi|e^{-i\theta}P_m^\dagger P_m e^{i\theta}|\psi\rangle = \langle\psi|P_m^\dagger P_m|\psi\rangle$$

Thus, such a global phase is unobservable and the states are physically indistinguishable.

## Relative Phase

In contrast, consider the two states  $|\psi_1\rangle = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$  and  $|\psi_2\rangle = \frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$ .

Measured in the computational basis, they yield the same outcome probabilities.

However, measured in a different orthonormal basis (say  $\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$  and  $\frac{1}{\sqrt{2}}(|0\rangle - |1\rangle)$ ), the results are different.

Also, if  $H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$ , then

$$H|\psi_1\rangle = |0\rangle \quad H|\psi_2\rangle = |1\rangle$$



## Fourth Postulate

The state space of a composite physical system is the tensor product of the state spaces of the individual component physical systems.

If one component is in state  $|\psi_1\rangle$  and a second component is in state  $|\psi_2\rangle$ , the state of the combined system is

$$|\psi_1\rangle \otimes |\psi_2\rangle$$

Not all states of a combined system can be separated into the tensor product of states of the individual components.

## Separable States

A state of a combined system is *separable* if it can be expressed as the tensor product of states of the components.

E.g.

$$\frac{1}{2}(|00\rangle + |01\rangle + |10\rangle + |11\rangle) = \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle) \otimes \frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$$

*If Alice has a system in state  $|\psi_1\rangle$  and Bob has a system in state  $|\psi_1\rangle$ , the state of their combined system is  $|\psi_1\rangle \otimes |\psi_1\rangle$ .*

*If Alice applies  $U$  to her state, this is equivalent to applying the operator  $U \otimes I$  to the combined state.*

## Entangled States

The following states of a 2-qubit system cannot be separated into components parts.

$$\frac{1}{\sqrt{2}}(|10\rangle + |01\rangle) \quad \text{and} \quad \frac{1}{\sqrt{2}}(|00\rangle + |11\rangle)$$

**Note:** Physical separation does not imply separability. Two particles that are physically separated could still be entangled.

# Summary

*Postulate 1:* A closed system is described by a unit vector in a complex inner product space.

*Postulate 2:* The evolution of a closed system in a fixed time interval is described by a unitary transform.

*Postulate 3:* If we measure the state  $|\psi\rangle$  of a system in an orthonormal basis  $|0\rangle \cdots |n-1\rangle$ , we get the result  $|j\rangle$  with probability  $|\langle j|\psi\rangle|^2$ . After the measurement, the state of the system is the result of the measurement.

*Postulate 4:* The state space of a composite system is the tensor product of the state spaces of the components.