

# L11: Algebraic Path Problems with applications to Internet Routing

## Lecture 16

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# Dijkstra's algorithm

**Input** : adjacency matrix  $\mathbf{A}$  and source vertex  $i \in V$ ,  
**Output** : the  $i$ -th row of  $\mathbf{R}$ ,  $\mathbf{R}(i, \_)$ .

```
begin
   $S \leftarrow \{i\}$ 
   $\mathbf{R}(i, i) \leftarrow \bar{1}$ 
  for each  $q \in V - \{i\}$  :  $\mathbf{R}(i, q) \leftarrow \mathbf{A}(i, q)$ 
  while  $S \neq V$ 
    begin
      find  $q \in V - S$  such that  $\mathbf{R}(i, q)$  is  $\leq_{\oplus}^L$ -minimal
       $S \leftarrow S \cup \{q\}$ 
      for each  $j \in V - S$ 
         $\mathbf{R}(i, j) \leftarrow \mathbf{R}(i, j) \oplus (\mathbf{R}(i, q) \otimes \mathbf{A}(q, j))$ 
      end
    end
  end
```

# Classical proofs of Dijkstra's algorithm (for global optimality) assume

## Semiring Axioms

ADD.ASSOCIATIVE	:	$a \oplus (b \oplus c)$	=	$(a \oplus b) \oplus c$
ADD.COMMUTATIVE	:	$a \oplus b$	=	$b \oplus a$
ADD.LEFT.ID	:	$\bar{0} \oplus a$	=	$a$
MULT.ASSOCIATIVE	:	$a \otimes (b \otimes c)$	=	$(a \otimes b) \otimes c$
MULT.LEFT.ID	:	$\bar{1} \otimes a$	=	$a$
MULT.RIGHT.ID	:	$a \otimes \bar{1}$	=	$a$
MULT.LEFT.ANN	:	$\bar{0} \otimes a$	=	$\bar{0}$
MULT.RIGHT.ANN	:	$a \otimes \bar{0}$	=	$\bar{0}$
L.DISTRIBUTIVE	:	$a \otimes (b \oplus c)$	=	$(a \otimes b) \oplus (a \otimes c)$
R.DISTRIBUTIVE	:	$(a \oplus b) \otimes c$	=	$(a \otimes c) \oplus (b \otimes c)$

# Classical proofs of Dijkstra's algorithm assume

## Additional axioms

$$\text{ADD.SELECTIVE} : a \oplus b \in \{a, b\}$$

$$\text{ADD.ANN} : \bar{1} \oplus a = \bar{1}$$

Note that we can derive

$$\text{RIGHT.ABSORPTION} : a \oplus (a \otimes b) = a$$

and this gives (right) inflationarity,  $\forall a, b : a \leq a \otimes b$ .

$$\begin{aligned} a \oplus (a \otimes b) &= (a \otimes \bar{1}) \oplus (a \otimes b) \\ &= a \otimes (\bar{1} \oplus b) \\ &= a \otimes \bar{1} \\ &= a \end{aligned}$$

# Our goal will be simpler

## Theorem 9.1

Given adjacency matrix  $\mathbf{A}$  and source vertex  $i \in V$ , Dijkstra's algorithm will compute  $\mathbf{R}(i, \_)$  such that

$$\forall j \in V : \mathbf{R}(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in V} \mathbf{R}(i, q) \otimes \mathbf{A}(q, j).$$

That is, it computes one row of the solution for the right equation

$$\mathbf{X} = \mathbf{X}\mathbf{A} \oplus \mathbf{I}.$$

# What will we assume?

## Setting Axioms

$$\text{ADD.ASSOCIATIVE} : a \oplus (b \oplus c) = (a \oplus b) \oplus c$$

$$\text{ADD.COMMUTATIVE} : a \oplus b = b \oplus a$$

$$\text{ADD.LEFT.ID} : \bar{0} \oplus a = a$$

$$\text{MULT.ASSOCIATIVE} : a \otimes (b \otimes c) \neq (a \otimes b) \otimes c$$

$$\text{MULT.LEFT.ID} : \bar{1} \otimes a = a$$

$$\text{MULT.RIGHT.ID} : a \otimes \bar{1} \neq a$$

$$\text{MULT.LEFT.ANN} : \bar{0} \otimes a \neq \bar{0}$$

$$\text{MULT.RIGHT.ANN} : a \otimes \bar{0} \neq \bar{0}$$

$$\text{L/DISTRIBUTIVE} : a \otimes (b \oplus c) \neq (a \otimes b) \oplus (a \otimes c)$$

$$\text{R/DISTRIBUTIVE} : (a \oplus b) \otimes c \neq (a \otimes c) \oplus (b \otimes c)$$

# What will we assume?

## Additional axioms

$$\begin{array}{lcl} \text{ADD.SELECTIVE} & : & a \oplus b \in \{a, b\} \\ \text{ADD.ANN} & : & \bar{1} \oplus a = \bar{1} \\ \text{RIGHT.ABSORBTION} & : & a \oplus (a \otimes b) = a \end{array}$$

Note that we can no longer derive RIGHT.ABSORBTION, so we must assume it.

# Dijkstra's algorithm, annotated version

Subscripts make proofs by induction easier ....

**begin**

$$S_1 \leftarrow \{i\}$$

$$\mathbf{R}_1(i, i) \leftarrow \bar{1}$$

**for each**  $q \in V - S_1$  :  $\mathbf{R}_1(i, q) \leftarrow \mathbf{A}(i, q)$

**for each**  $k = 2, 3, \dots, |V|$

**begin**

find  $q_k \in V - S_{k-1}$  such that  $\mathbf{R}(i, q)$  is  $\leq_{\oplus}^L$ -minimal

$$S_k \leftarrow S_{k-1} \cup \{q_k\}$$

**for each**  $j \in V - S_k$

$$\mathbf{R}_k(i, j) \leftarrow \mathbf{R}_{k-1}(i, j) \oplus (\mathbf{R}_{k-1}(i, q_k) \otimes \mathbf{A}(q_k, j))$$

**end**

**end**



# On to the proof ...

## Main Claim

$$\forall k : 1 \leq k \leq |V| \implies \forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j)$$

## Observation 1

$$\forall k : 1 \leq k < |V| \implies \forall j \in S_{k+1} : \mathbf{R}_k(i, j) = \mathbf{R}_{k+1}(i, j)$$

This is easy to see — once a node is put into  $S$  its weight never changes.

## Observation 2

### Observation 2

$$\forall k : 1 \leq k \leq |V| \implies \forall q \in S_k : \forall w \in V - S_k : \mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w)$$

By induction.

Base : Need  $\bar{1} \leq \mathbf{A}(i, w)$ . OK

Induction. Assume

$$\forall q \in S_k : \forall w \in V - S_k : \mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w)$$

and show

$$\forall q \in S_{k+1} : \forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, q) \leq \mathbf{R}_{k+1}(i, w)$$

Since  $S_{k+1} = S_k \cup \{q_{k+1}\}$ , this is means showing

- (1)  $\forall q \in S_k : \forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, q) \leq \mathbf{R}_{k+1}(i, w)$
- (2)  $\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, q_{k+1}) \leq \mathbf{R}_{k+1}(i, w)$

By Observation 1, showing (1) is the same as

$$\forall q \in S_k : \forall w \in V - S_{k+1} : \mathbf{R}_k(i, q) \leq \mathbf{R}_{k+1}(i, w)$$

which expands to (by definition of  $\mathbf{R}_{k+1}(i, w)$ )

$$\forall q \in S_k : \forall w \in V - S_{k+1} : \mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$$

But  $\mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w)$  by the induction hypothesis, and

$\mathbf{R}_k(i, q) \leq (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$  by the induction hypothesis and RINF.

Since  $a \leq_{\oplus}^L b \wedge a \leq_{\oplus}^L c \implies a \leq_{\oplus}^L (b \oplus c)$ , we are done.

By Observation 1, showing (2) is the same as showing

$$\forall w \in V - S_{k+1} : \mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_{k+1}(i, w)$$

which expands to

$$\forall w \in V - S_{k+1} : \mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_k(i, w) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$$

But  $\mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_k(i, w)$  since  $q_{k+1}$  was chosen to be minimal, and  $\mathbf{R}_k(i, q_{k+1}) \leq (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$  by RINF.

Since  $a \leq_{\oplus}^L b \wedge a \leq_{\oplus}^L c \implies a \leq_{\oplus}^L (b \oplus c)$ , we are done.

## Observation 3

### Observation 3

$$\forall k : 1 \leq k \leq |V| \implies \forall w \in V - S_k : \mathbf{R}_k(i, w) = \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, w)$$

Proof: By induction:

Base : easy, since

$$\bigoplus_{q \in S_1} \mathbf{R}_1(i, q) \otimes \mathbf{A}(q, w) = \bar{1} \otimes \mathbf{A}(i, w) = \mathbf{A}(i, w) = \mathbf{R}_1(i, w)$$

Induction step. Assume

$$\forall w \in V - S_k : \mathbf{R}_k(i, w) = \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, w)$$

and show

$$\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, w) = \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, w)$$

By Observation 1, and a bit of rewriting, this means we must show

$$\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, w) = \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}($$

Using the induction hypothesis, this becomes

$$\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, w) = \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w) \oplus \mathbf{R}_k(i, w)$$

But this is exactly how  $\mathbf{R}_{k+1}(i, w)$  is computed in the algorithm.

# Proof of Main Claim

## Main Claim

$$\forall k : 1 \leq k \leq |V| \implies \forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j)$$

Proof : By induction on  $k$ .

Base case:  $S_1 = \{i\}$  and the claim is easy.

Induction: Assume that

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j)$$

We must show that

$$\forall j \in S_{k+1} : \mathbf{R}_{k+1}(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, j)$$

Since  $S_{k+1} = S_k \cup \{q_{k+1}\}$ , this means we must show

- (1)  $\forall j \in S_k : \mathbf{R}_{k+1}(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, j)$
- (2)  $\mathbf{R}_{k+1}(i, q_{k+1}) = \mathbf{I}(i, q_{k+1}) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, q_{k+1})$

By use Observation 1, showing (1) is the same as showing

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j),$$

which is equivalent to

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)), \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j)$$

By the induction hypothesis, this is equivalent to

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{R}_k(i, j) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)),$$



Put another way,

$$\forall j \in S_k : \mathbf{R}_k(i, j) \leq \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)$$

By observation 2 we know  $\mathbf{R}_k(i, j) \leq \mathbf{R}_k(i, q_{k+1})$ , and so

$$\mathbf{R}_k(i, j) \leq \mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)$$

by RINF.

To show (2), we use Observation 1 and  $\mathbf{I}(i, q_{k+1}) = \bar{0}$  to obtain

$$\mathbf{R}_k(i, q_{k+1}) = \bigoplus_{q \in S_{k+1}} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, q_{k+1})$$

which, since  $\mathbf{A}(q_{k+1}, q_{k+1}) = \bar{0}$ , is the same as

$$\mathbf{R}_k(i, q_{k+1}) = \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, q_{k+1})$$

This then follows directly from Observation 3.