

# **L11: Algebraic Path Problems with applications to Internet Routing**

## **Lecture 09**

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# Dijkstra's algorithm

**Input** : adjacency matrix  $\mathbf{A}$  and source vertex  $i \in V$ ,  
**Output** : the  $i$ -th row of  $\mathbf{R}$ ,  $\mathbf{R}(i, \_)$ .

**begin**

$S \leftarrow \{i\}$

$\mathbf{R}(i, i) \leftarrow \bar{1}$

**for each**  $q \in V - \{i\}$  :  $\mathbf{R}(i, q) \leftarrow \mathbf{A}(i, q)$

**while**  $S \neq V$

**begin**

find  $q \in V - S$  such that  $\mathbf{R}(i, q)$  is  $\leq_{\oplus}^L$ -minimal

$S \leftarrow S \cup \{q\}$

**for each**  $j \in V - S$

$\mathbf{R}(i, j) \leftarrow \mathbf{R}(i, j) \oplus (\mathbf{R}(i, q) \otimes \mathbf{A}(q, j))$

**end**

**end**

# Classical proofs of Dijkstra's algorithm (for global optimality) assume

## Semiring Axioms

ADD.ASSOCIATIVE	:	$a \oplus (b \oplus c)$	$=$	$(a \oplus b) \oplus c$
ADD.COMMUTATIVE	:	$a \oplus b$	$=$	$b \oplus a$
ADD.LEFT.ID	:	$\bar{0} \oplus a$	$=$	$a$
MULT.ASSOCIATIVE	:	$a \otimes (b \otimes c)$	$=$	$(a \otimes b) \otimes c$
MULT.LEFT.ID	:	$\bar{1} \otimes a$	$=$	$a$
MULT.RIGHT.ID	:	$a \otimes \bar{1}$	$=$	$a$
MULT.LEFT.ANN	:	$\bar{0} \otimes a$	$=$	$\bar{0}$
MULT.RIGHT.ANN	:	$a \otimes \bar{0}$	$=$	$\bar{0}$
L.DISTRIBUTIVE	:	$a \otimes (b \oplus c)$	$=$	$(a \otimes b) \oplus (a \otimes c)$
R.DISTRIBUTIVE	:	$(a \oplus b) \otimes c$	$=$	$(a \otimes c) \oplus (b \otimes c)$

# Classical proofs of Dijkstra's algorithm assume

## Additional axioms

$$\text{ADD.SELECTIVE} : a \oplus b \in \{a, b\}$$

$$\text{ADD.ANN} : \bar{1} \oplus a = \bar{1}$$

Note that we can derive

$$\text{RIGHT.ABSORPTION} : a \oplus (a \otimes b) = a$$

and this gives (right) inflationarity,  $\forall a, b : a \leq a \otimes b$ .

# Our goal will be simpler

## Theorem 9.1

Given adjacency matrix  $\mathbf{A}$  and source vertex  $i \in V$ , Dijkstra's algorithm will compute  $\mathbf{R}(i, \_)$  such that

$$\forall j \in V : \mathbf{R}(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in V} \mathbf{R}(i, q) \otimes \mathbf{A}(q, j).$$

That is, it computes one row of the solution for the right equation

$$\mathbf{X} = \mathbf{X}\mathbf{A} \oplus \mathbf{I}.$$

# What will we assume?

## Setting Axioms

$$\text{ADD.ASSOCIATIVE} : a \oplus (b \oplus c) = (a \oplus b) \oplus c$$

$$\text{ADD.COMMUTATIVE} : a \oplus b = b \oplus a$$

$$\text{ADD.LEFT.ID} : \bar{0} \oplus a = a$$

$$\text{MULT.ASSOCIATIVE} : a \otimes (b \otimes c) \neq (a \otimes b) \otimes c$$

$$\text{MULT.LEFT.ID} : \bar{1} \otimes a = a$$

$$\text{MULT.RIGHT.ID} : a \otimes \bar{1} \neq a$$

$$\text{MULT.LEFT.ANN} : \bar{0} \otimes a \neq \bar{0}$$

$$\text{MULT.RIGHT.ANN} : a \otimes \bar{0} \neq \bar{0}$$

$$\text{L/DISTRIBUTUTIVE} : a \otimes (b \oplus c) \neq (a \otimes b) \oplus (a \otimes c)$$

$$\text{R/DISTRIBUTUTIVE} : (a \oplus b) \otimes c \neq (a \otimes c) \oplus (b \otimes c)$$

# What will we assume?

## Additional axioms

$$\begin{array}{lll} \text{ADD.SELECTIVE} & : & a \oplus b \in \{a, b\} \\ \text{ADD.ANN} & : & \overline{1} \oplus a = \overline{1} \\ \text{RIGHT.ABSORPTION} & : & a \oplus (a \otimes b) = a \end{array}$$

Note that we can no longer derive RIGHT.ABSORPTION, so we must assume it.

# Dijkstra's algorithm, annotated version

Subscripts make proofs by induction easier ....

**begin**

$S_1 \leftarrow \{i\}$

$\mathbf{R}_1(i, i) \leftarrow \bar{1}$

**for each**  $q \in V - S_1 : \mathbf{R}_1(i, q) \leftarrow \mathbf{A}(i, q)$

**for each**  $k = 2, 3, \dots, |V|$

**begin**

find  $q_k \in V - S_{k-1}$  such that  $\mathbf{R}(i, q)$  is  $\leq_{\oplus}^L$ -minimal

$S_k \leftarrow S_{k-1} \cup \{q_k\}$

**for each**  $j \in V - S_k$

$\mathbf{R}_k(i, j) \leftarrow \mathbf{R}_{k-1}(i, j) \oplus (\mathbf{R}_{k-1}(i, q_k) \otimes \mathbf{A}(q_k, j))$

**end**

**end**



# On to the proof ...

## Main Claim

$$\forall k : 1 \leq k \leq |V| \implies \forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j)$$

## Observation 1

$$\forall k : 1 \leq k < |V| \implies \forall j \in S_{k+1} : \mathbf{R}_k(i, j) = \mathbf{R}_{k+1}(i, j)$$

This is easy to see — once a node is put into  $S$  its weight never changes.

# Observation 2

## Observation 2

$$\forall k : 1 \leq k \leq |V| \implies \forall q \in S_k : \forall w \in V - S_k : \mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w)$$

By induction.

Base : Need  $\bar{1} \leq \mathbf{A}(i, w)$ . OK

Induction. Assume

$$\forall q \in S_k : \forall w \in V - S_k : \mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w)$$

and show

$$\forall q \in S_{k+1} : \forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, q) \leq \mathbf{R}_{k+1}(i, w)$$

Since  $S_{k+1} = S_k \cup \{q_{k+1}\}$ , this means showing

- (1)  $\forall q \in S_k : \forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, q) \leq \mathbf{R}_{k+1}(i, w)$
- (2)  $\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, q_{k+1}) \leq \mathbf{R}_{k+1}(i, w)$

By Observation 1, showing (1) is the same as

$$\forall q \in S_k : \forall w \in V - S_{k+1} : \mathbf{R}_k(i, q) \leq \mathbf{R}_{k+1}(i, w)$$

which expands to (by definition of  $\mathbf{R}_{k+1}(i, w)$ )

$$\forall q \in S_k : \forall w \in V - S_{k+1} : \mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$$

But  $\mathbf{R}_k(i, q) \leq \mathbf{R}_k(i, w)$  by the induction hypothesis, and

$\mathbf{R}_k(i, q) \leq (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$  by the induction hypothesis and RINF.

Since  $a \leq_{\oplus}^L b \wedge a \leq_{\oplus}^L c \implies a \leq_{\oplus}^L (b \oplus c)$ , we are done.

By Observation 1, showing (2) is the same as showing

$$\forall w \in V - S_{k+1} : \mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_{k+1}(i, w)$$

which expands to

$$\forall w \in V - S_{k+1} : \mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_k(i, w) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$$

But  $\mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_k(i, w)$  since  $q_{k+1}$  was chosen to be minimal, and  $\mathbf{R}_k(i, q_{k+1}) \leq (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w))$  by RINF.

Since  $a \leq_{\oplus}^L b \wedge a \leq_{\oplus}^L c \implies a \leq_{\oplus}^L (b \oplus c)$ , we are done.

# Observation 3

## Observation 3

$$\forall k : 1 \leq k \leq |V| \implies \forall w \in V - S_k : \mathbf{R}_k(i, w) = \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, w)$$

Proof: By induction:

Base : easy, since

$$\bigoplus_{q \in S_1} \mathbf{R}_1(i, q) \otimes \mathbf{A}(q, w) = \bar{1} \otimes \mathbf{A}(i, w) = \mathbf{A}(i, w) = \mathbf{R}_1(i, w)$$

Induction step. Assume

$$\forall w \in V - S_k : \mathbf{R}_k(i, w) = \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, w)$$

and show

$$\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, w) = \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, w)$$

By Observation 1, and a bit of rewriting, this means we must show

$$\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, w) = \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, w)$$

Using the induction hypothesis, this becomes

$$\forall w \in V - S_{k+1} : \mathbf{R}_{k+1}(i, w) = \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, w) \oplus \mathbf{R}_k(i, w)$$

But this is exactly how  $\mathbf{R}_{k+1}(i, w)$  is computed in the algorithm.

# Proof of Main Claim

## Main Claim

$$\forall k : 1 \leq k \leq |V| \implies \forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j)$$

Proof : By induction on  $k$ .

Base case:  $S_1 = \{i\}$  and the claim is easy.

Induction: Assume that

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j)$$

We must show that

$$\forall j \in S_{k+1} : \mathbf{R}_{k+1}(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, j)$$

Since  $S_{k+1} = S_k \cup \{q_{k+1}\}$ , this means we must show

- (1)  $\forall j \in S_k : \mathbf{R}_{k+1}(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, j)$
- (2)  $\mathbf{R}_{k+1}(i, q_{k+1}) = \mathbf{I}(i, q_{k+1}) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_{k+1}(i, q) \otimes \mathbf{A}(q, q_{k+1})$

By use Observation 1, showing (1) is the same as showing

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus \bigoplus_{q \in S_{k+1}} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j),$$

which is equivalent to

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{I}(i, j) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)), \oplus \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, j),$$

By the induction hypothesis, this is equivalent to

$$\forall j \in S_k : \mathbf{R}_k(i, j) = \mathbf{R}_k(i, j) \oplus (\mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)),$$



Put another way,

$$\forall j \in S_k : \mathbf{R}_k(i, j) \leq \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)$$

By observation 2 we know  $\mathbf{R}_k(i, j) \leq \mathbf{R}_k(i, q_{k+1})$ , and so

$$\mathbf{R}_k(i, j) \leq \mathbf{R}_k(i, q_{k+1}) \leq \mathbf{R}_k(i, q_{k+1}) \otimes \mathbf{A}(q_{k+1}, j)$$

by RINF.

To show (2), we use Observation 1 and  $\mathbf{I}(i, q_{k+1}) = \bar{0}$  to obtain

$$\mathbf{R}_k(i, q_{k+1}) = \bigoplus_{q \in S_{k+1}} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, q_{k+1})$$

which, since  $\mathbf{A}(q_{k+1}, q_{k+1}) = \bar{0}$ , is the same as

$$\mathbf{R}_k(i, q_{k+1}) = \bigoplus_{q \in S_k} \mathbf{R}_k(i, q) \otimes \mathbf{A}(q, q_{k+1})$$

This then follows directly from Observation 3.

# Finding Left Local Solutions?

$$\mathbf{L} = (\mathbf{A} \otimes \mathbf{L}) \oplus \mathbf{I} \quad \Longleftrightarrow \quad \mathbf{L}^T = (\mathbf{L}^T \otimes^T \mathbf{A}^T) \oplus \mathbf{I}$$

$$\mathbf{R}^T = (\mathbf{A}^T \otimes^T \mathbf{R}^T) \oplus \mathbf{I} \quad \Longleftrightarrow \quad \mathbf{R} = (\mathbf{R} \otimes \mathbf{A}) \oplus \mathbf{I}$$

where

$$a \otimes^T b = b \otimes a$$

Notice that this exchanges RINF for LINF!

$$\text{LINF} : \forall a, b : a \leq b \otimes a$$

- Complexity of solving for left local optima?
  - ▶ Previous work has shown that Bellman-Ford will find a solution as long as only simple paths are explored — but no time bounds are known.
  - ▶ Dijkstra's algorithm :  $O(V^3)$
  - ▶ Could do better in sparse graphs using Fibonacci heaps ...

## HW 2 : Recall a few definitions

### Recall definition of a reduction

If  $S \equiv (S, \oplus, \otimes)$  is a semiring and  $r$  is a function from  $S$  to  $S$ , then  $r$  is a **reduction for  $S$**  if for all  $a$  and  $b$  in  $S$

- 1  $r(a) = r(r(a))$
- 2  $r(a \oplus b) = r(r(a) \oplus b) = r(a \oplus r(b))$
- 3  $r(a \otimes b) = r(r(a) \otimes b) = r(a \otimes r(b))$

### Reduce operation

If  $(S, \oplus, \otimes)$  is semiring and  $r$  is a reduction, then let  $\text{red}_r(S) = (S_r, \oplus_r, \otimes_r)$  where

- 1  $S_r = \{s \in S \mid r(s) = s\}$
- 2  $x \oplus_r y = r(x \oplus y)$
- 3  $x \otimes_r y = r(x \otimes y)$

## HW2 : A few more definitions

### Recall: Lifted product semiring

Assume  $(S, \otimes, \bar{1})$  is a monoid (a semigroup with identity  $\bar{1}$ ). Define the semiring

$$\text{lift}(S) = (\mathcal{P}_{\text{fin}}(S), \cup, \hat{\otimes}, \{\}, \{\bar{1}\})$$

where

$$X \hat{\otimes} Y = \{x \otimes y \mid x \in X, y \in Y\}$$

for  $X, Y \in \mathcal{P}_{\text{fin}}(S)$ , the set of finite subsets of  $S$ .

### Definition: min-sets

Suppose that  $(S, \leq)$  is a pre-ordered set (reflexive, transitive pre-order). Let  $A \subseteq S$  be finite. Define

$$\min_{\leq}(A) \equiv \{a \in A \mid \forall b \in A : \neg(b < a)\}$$

## HW 2 : Questions 1 and 2

### Question 1 (30 points)

Is it always the case that  $\text{red}_r(S)$  is a semiring? If so prove this. Otherwise impose some conditions on  $r$  that would guarantee that  $\text{red}_r(S)$  is a semiring.

### Question 2 (40 points)

Is  $\min_{\leq}$  always a reduction for the semiring  $\text{lift}(S)$ ? If not, impose some constraints on  $\leq$  and  $\otimes$  that will result in  $\min_{\leq}$  being a reduction.

## HW2 : Question 3

The lecture notes introduced this “reduction”

$$\begin{aligned} r(\infty) &= \infty \\ r(s, W) &= \begin{cases} \infty & \text{if } W = \{\} \\ (s, W) & \text{otherwise} \end{cases} \end{aligned}$$

and then gave an example using the algebra

$$s \equiv \text{red}_r(\text{add\_zero}(\infty, \text{min\_plus } \vec{\times} \text{ sep}(G)))$$

### Question 3 (30 points)

- (a) Show that  $r$  is not in fact a reduction.
- (b) Suppose that  $\mathbf{A}$  is an adjacency matrix over algebra  $s$ . Looking only at the first component of the metric, suppose there are no 0-weight cycles in the graph. Argue that starting with any  $\mathbf{M}$  and iterating using  $\mathbf{A}_{\mathbf{M}}^{\langle k \rangle}$  we will arrive at  $\mathbf{A}^*$ .