

## Contextual preorder between PCF terms

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Given PCF terms  $M_1, M_2$ , PCF type  $\tau$ , and a type environment  $\Gamma$ , the relation  $\boxed{\Gamma \vdash M_1 \leq_{\text{ctx}} M_2 : \tau}$  is defined to hold iff

- Both the typings  $\Gamma \vdash M_1 : \tau$  and  $\Gamma \vdash M_2 : \tau$  hold.
- For all PCF contexts  $\mathcal{C}$  for which  $\mathcal{C}[M_1]$  and  $\mathcal{C}[M_2]$  are closed terms of type  $\gamma$ , where  $\gamma = \text{nat}$  or  $\gamma = \text{bool}$ , and for all values  $V \in \text{PCF}_\gamma$ ,

$$\mathcal{C}[M_1] \Downarrow_\gamma V \implies \mathcal{C}[M_2] \Downarrow_\gamma V .$$

Result

$$M_1 \leq_{\text{ctx}} M_2 \text{ iff } \llbracket M_1 \rrbracket \sqsubset M_2$$

## Extensionality properties of $\leq_{\text{ctx}}$

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At a ground type  $\gamma \in \{\text{bool}, \text{nat}\}$ ,

*def*  $\Downarrow_\gamma$

$M_1 \leq_{\text{ctx}} M_2 : \gamma$  holds if and only if

$$\forall V \in \text{PCF}_\gamma (M_1 \Downarrow_\gamma V \implies M_2 \Downarrow_\gamma V) .$$

At a function type  $\tau \rightarrow \tau'$ ,

$M_1 \leq_{\text{ctx}} M_2 : \tau \rightarrow \tau'$  holds if and only if

*from*  $\Downarrow_{\tau \rightarrow \tau'}$   
*being logical*

$$\forall M \in \text{PCF}_\tau (M_1 M \leq_{\text{ctx}} M_2 M : \tau') .$$

Applicative context:  $[\cdot]M$

# *Topic 8*

Full Abstraction

## Proof principle

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For all types  $\tau$  and closed terms  $M_1, M_2 \in \text{PCF}_\tau$ ,

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket \implies M_1 \cong_{\text{ctx}} M_2 : \tau .$$

Hence, to prove

$$M_1 \cong_{\text{ctx}} M_2 : \tau$$

it suffices to establish

$$\llbracket M_1 \rrbracket = \llbracket M_2 \rrbracket \text{ in } \llbracket \tau \rrbracket .$$

## Full abstraction

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A denotational model is said to be *fully abstract* whenever denotational equality characterises contextual equivalence.

- ▶ The domain model of PCF is *not* fully abstract.  
In other words, there are contextually equivalent PCF terms with different denotations.

$$[\![T_1]\!], [\![T_2]\!] : (\mathcal{B}_\perp \rightarrow (\mathcal{B}_2 \rightarrow \mathcal{B}_\perp)) \rightarrow \mathcal{B}_\perp$$

### Failure of full abstraction, idea

We will construct two closed terms

$f$  definable ; i.e  $f = [\![M]\!]$

$$T_1, T_2 \in \text{PCF}_{(\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})) \rightarrow \text{bool}}$$

such that

$$T_1 \cong_{\text{ctx}} T_2$$

$$[\![T_1]\!] f = [\![T_1]\!] ([\![M]\!])$$

$$= [\![T_1 M]\!]$$

$$= [\![T_2 M]\!]$$

and

$$[\![T_1]\!] \neq [\![T_2]\!]$$

$$\frac{\exists f \in (\mathcal{B}_\perp \rightarrow (\mathcal{B}_2 \rightarrow \mathcal{B}_\perp)) . [\![T_1]\!] f \neq [\![T_2]\!] f}{\text{such an } f \text{ should be undefinable.}}$$

$$= [\![T_2]\!] ([\![M]\!])$$

$$= [\![T_2]\!] f$$

- We achieve  $T_1 \cong_{\text{ctx}} T_2$  by making sure that

$$\forall M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})} ( T_1 M \not\downarrow_{\text{bool}} \& T_2 M \not\downarrow_{\text{bool}} )$$

Hence,

$$[\![T_1]\!](\![M]\!) = \perp = [\![T_2]\!](\![M]\!)$$

for all  $M \in \text{PCF}_{\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})}$ .

- We achieve  $\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket$  by making sure that

$$\llbracket T_1 \rrbracket(\text{por}) \neq \llbracket T_2 \rrbracket(\text{por})$$

for some *non-definable* continuous function

$$\text{por} \in (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)) .$$

## Parallel-or function

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is the unique continuous function  $\text{por} : \mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)$  such that

$$\text{por } \text{true } \perp = \text{true}$$

$$\text{por } \perp \text{ true} = \text{true}$$

$$\text{por } \text{false } \text{ false} = \text{false}$$

In which case, it necessarily follows by monotonicity that

$$\text{por } \text{true } \text{ true} = \text{true}$$

$$\text{por } \text{false } \perp = \perp$$

$$\text{por } \text{true } \text{ false} = \text{true}$$

$$\text{por } \perp \text{ false} = \perp$$

$$\text{por } \text{false } \text{ true} = \text{true}$$

$$\text{por } \perp \perp = \perp$$

There is a denotational model of stable functions

}  
continuous

### Udefinability of parallel-or

**Proposition.** There is no closed PCF term

(intuitively) There  
is minimal input

$$P : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})$$

To produce  
some output

satisfying

$$\llbracket P \rrbracket = \text{por} : \mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp).$$

por is not  
stable

$$\begin{array}{ccc} \text{II}, T, T & & \\ \text{II} & \text{II} & \\ \text{T}, \perp & \perp, T & \xrightarrow{\quad} T \\ \text{II} & \text{II} & \\ \perp, \perp & \xrightarrow{\quad} & \perp \end{array}$$

$$\begin{array}{c} \exists z \\ \text{II} \vee \text{II} \\ x \quad y \\ \text{II} \vee \text{II} \\ x \vee y \end{array}$$

formally

$$\begin{array}{cc} \text{fix } & \text{fix } \\ \text{II} & \text{II} \\ f_2 \circ f_1 = f & f(x) = f(y) \\ f_2 \circ f_1 = f(x \vee y) & \end{array}$$

## Parallel-or test functions

For  $i = 1, 2$  define

$$T_i \stackrel{\text{def}}{=} \text{fn } f : \text{bool} \rightarrow (\text{bool} \rightarrow \text{bool}) .$$

if ( $f$  true  $\Omega$ ) then

if ( $f$   $\Omega$  true) then

if ( $f$  false false) then  $\Omega$  else  $B_i$

else  $\Omega$

else  $\Omega$

$$\frac{\text{fix}(x.x) \Downarrow \text{fix}(x.x) \quad \text{fix}(\text{fix}(x.x)) \Downarrow}{(\text{fix}(x.x)) (\text{fix}(\text{fix}(x.x))) \Downarrow}$$

where  $B_1 \stackrel{\text{def}}{=} \text{true}$ ,  $B_2 \stackrel{\text{def}}{=} \text{false}$ ,  
and  $\Omega \stackrel{\text{def}}{=} \text{fix}(\text{fn } x : \text{bool} . x)$ .

$$\boxed{\Omega} = \perp$$

$$\text{fix}(\text{fix}(x.x)) \Downarrow$$

## Failure of full abstraction

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**Proposition.**

$$T_1 \cong_{\text{ctx}} T_2 : (\text{bool} \rightarrow (\text{bool} \rightarrow \text{bool})) \rightarrow \text{bool}$$

$$\llbracket T_1 \rrbracket \neq \llbracket T_2 \rrbracket \in (\mathbb{B}_\perp \rightarrow (\mathbb{B}_\perp \rightarrow \mathbb{B}_\perp)) \rightarrow \mathbb{B}_\perp$$

## PCF+por

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Expressions       $M ::= \dots \mid \text{por}(M, M)$

Typing      
$$\frac{\Gamma \vdash M_1 : \text{bool} \quad \Gamma \vdash M_2 : \text{bool}}{\Gamma \vdash \text{por}(M_1, M_2) : \text{bool}}$$

Evaluation

$$\frac{\begin{array}{c} M_1 \Downarrow_{\text{bool}} \text{true} \\[1ex] M_2 \Downarrow_{\text{bool}} \text{true} \end{array}}{\text{por}(M_1, M_2) \Downarrow_{\text{bool}} \text{true}} \qquad \frac{\begin{array}{c} M_1 \Downarrow_{\text{bool}} \text{false} \quad M_2 \Downarrow_{\text{bool}} \text{false} \end{array}}{\text{por}(M_1, M_2) \Downarrow_{\text{bool}} \text{false}}$$

## Plotkin's full abstraction result

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The denotational semantics of PCF+por is given by extending that of PCF with the clause

$$\llbracket \Gamma \vdash \mathbf{por}(M_1, M_2) \rrbracket(\rho) \stackrel{\text{def}}{=} \text{por}\left(\llbracket \Gamma \vdash M_1 \rrbracket(\rho)\right)\left(\llbracket \Gamma \vdash M_2 \rrbracket(\rho)\right)$$

*This denotational semantics is fully abstract for contextual equivalence of PCF+por terms:*

$$\Gamma \vdash M_1 \cong_{\text{ctx}} M_2 : \tau \Leftrightarrow \llbracket \Gamma \vdash M_1 \rrbracket = \llbracket \Gamma \vdash M_2 \rrbracket.$$

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