

# ***Regular Languages and Finite Automata***

8 lectures for CST Part IA

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Course web page:

[www.cl.cam.ac.uk/teaching/1213/RLFA/](http://www.cl.cam.ac.uk/teaching/1213/RLFA/)

## Pattern matching

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What happens if, at a Unix/Linux shell prompt, you type

```
ls *
```

and press return?

Suppose the current directory contains files called `regfla.tex`, `regfla.aux`, `regfla.log`, `regfla.dvi`, and (strangely) `.aux`. What happens if you type

```
ls *.aux
```

and press return?

# Alphabets

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An **alphabet** is specified by giving a finite set,  $\Sigma$ , whose elements are called **symbols**. For us, any set qualifies as a possible alphabet, so long as it is finite.

## Examples:

$\Sigma_1 = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$  — 10-element set of decimal digits.

$\Sigma_2 = \{a, b, c, \dots, x, y, z\}$  — 26-element set of lower-case characters of the English language.

$\Sigma_3 = \{S \mid S \subseteq \Sigma_1\}$  —  $2^{10}$ -element set of all subsets of the alphabet of decimal digits.

## Non-example:

$\mathbb{N} = \{0, 1, 2, 3, \dots\}$  — set of all non-negative whole numbers is not an alphabet, because it is infinite.

## Strings over an alphabet

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A **string of length**  $n$  ( $\geq 0$ ) over an alphabet  $\Sigma$  is just an ordered  $n$ -tuple of elements of  $\Sigma$ , written without punctuation.

**Example:** if  $\Sigma = \{a, b, c\}$ , then  $a$ ,  $ab$ ,  $aac$ , and  $bbac$  are strings over  $\Sigma$  of lengths one, two, three and four respectively.

$\Sigma^*$   $\stackrel{\text{def}}{=}$  set of all strings over  $\Sigma$  of any finite length.

N.B. there is a unique string of length zero over  $\Sigma$ , called the **null string** (or **empty string**) and denoted  $\epsilon$  (no matter which  $\Sigma$  we are talking about).

## Concatenation of strings

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The **concatenation** of two strings  $u, v \in \Sigma^*$  is the string  $uv$  obtained by joining the strings end-to-end.

**Examples:** If  $u = ab$ ,  $v = ra$  and  $w = cad$ , then  $vu = raab$ ,  $uu = abab$  and  $wv = cadra$ .

This generalises to the concatenation of three or more strings.

E.g.  $uvwuv = abracadabra$ .

## Regular expressions over an alphabet $\Sigma$

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- each symbol  $a \in \Sigma$  is a regular expression
- $\epsilon$  is a regular expression
- $\emptyset$  is a regular expression
- if  $r$  and  $s$  are regular expressions, then so is  $(r|s)$
- if  $r$  and  $s$  are regular expressions, then so is  $rs$
- if  $r$  is a regular expression, then so is  $(r)^*$

Every regular expression is built up inductively, by *finitely many* applications of the above rules.

(N.B. we assume  $\epsilon$ ,  $\emptyset$ ,  $(, )$ ,  $|$ , and  $*$  are **not** symbols in  $\Sigma$ .)

## Matching strings to regular expressions

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- $u$  matches  $a \in \Sigma$  iff  $u = a$
- $u$  matches  $\varepsilon$  iff  $u = \varepsilon$
- no string matches  $\emptyset$
- $u$  matches  $r|s$  iff  $u$  matches either  $r$  or  $s$
- $u$  matches  $rs$  iff it can be expressed as the concatenation of two strings,  $u = vw$ , with  $v$  matching  $r$  and  $w$  matching  $s$
- $u$  matches  $r^*$  iff either  $u = \varepsilon$ , or  $u$  matches  $r$ , or  $u$  can be expressed as the concatenation of two or more strings, each of which matches  $r$

## Examples of matching, with $\Sigma = \{0, 1\}$

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- $0|1$  is matched by each symbol in  $\Sigma$
- $1(0|1)^*$  is matched by any string in  $\Sigma^*$  that starts with a '1'
- $((0|1)(0|1))^*$  is matched by any string of even length in  $\Sigma^*$
- $(0|1)^*(0|1)^*$  is matched by any string in  $\Sigma^*$
- $(\epsilon|0)(\epsilon|1)|11$  is matched by just the strings  $\epsilon$ ,  $0$ ,  $1$ ,  $01$ , and  $11$
- $\emptyset 1|0$  is just matched by  $0$

## Languages

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A (formal) **language**  $L$  over an alphabet  $\Sigma$  is just a set of strings in  $\Sigma^*$ .

Thus any subset  $L \subseteq \Sigma^*$  determines a language over  $\Sigma$ .

The **language determined by a regular expression**  $r$  over  $\Sigma$  is

$$L(r) \stackrel{\text{def}}{=} \{u \in \Sigma^* \mid u \text{ matches } r\}.$$

Two regular expressions  $r$  and  $s$  (over the same alphabet) are **equivalent** iff  $L(r)$  and  $L(s)$  are equal sets (i.e. have exactly the same members).

## Some questions

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- (a) Is there an algorithm which, given a string  $u$  and a regular expression  $r$  (over the same alphabet), computes whether or not  $u$  matches  $r$ ?
- (b) In formulating the definition of regular expressions, have we missed out some practically useful notions of pattern?
- (c) Is there an algorithm which, given two regular expressions  $r$  and  $s$  (over the same alphabet), computes whether or not they are equivalent? (Cf. Slide 8.)
- (d) Is every language of the form  $L(r)$ ?